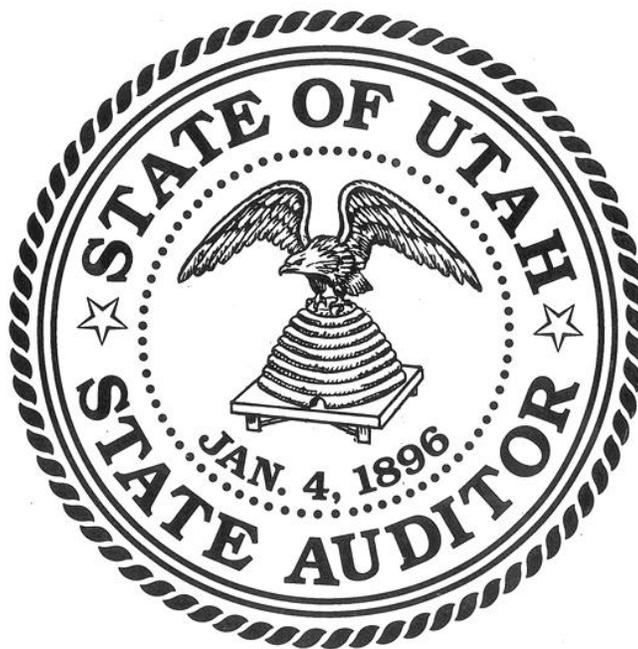


STATE OF UTAH

SINGLE AUDIT REPORT

FISCAL YEAR ENDED JUNE 30, 2013

Report No. 13-30



OFFICE OF THE
UTAH STATE AUDITOR



OFFICE OF THE
UTAH STATE AUDITOR

December 2, 2013

The Members of the Legislature of the State of Utah

The Honorable Gary R. Herbert, Governor, State of Utah

National External Audit Resources, U.S. Department of Health and Human Services

We are pleased to submit the *Single Audit Report* of federal financial assistance for the State of Utah for the year ended June 30, 2013. The audit was conducted in accordance with the requirements of the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

This report contains the following:

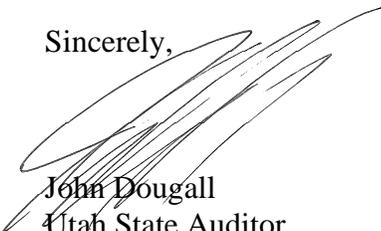
- Our reports on compliance and on internal control, at the financial statement level and at the major federal program level.
- The Schedule of Expenditures of Federal Awards.
- The Schedule of Findings and Questioned Costs, together with management's corrective action plan.
- The Summary Schedule of Prior Audit Findings.

The results of this report are critical since the State of Utah continues to have a heavy dependence on federal financial assistance, which amounted to \$4.5 billion in federal expenditures and \$2.2 billion in endowments, loans and loan guarantees for the fiscal year ended June 30, 2013.

The State of Utah's *Comprehensive Annual Financial Report* for the year ended June 30, 2013 and our report thereon, dated October 23, 2013, have been issued under separate cover.

We express our appreciation to the program and accounting personnel in the State agencies and institutions and the State Division of Finance who continue to do a good job of administering and accounting for the complexities of federal financial assistance.

Sincerely,



John Dougall
Utah State Auditor

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- A. Material Weakness in internal control – basic financial statement level.
- B. Significant Deficiency of internal control – basic financial statement level.
- C. Material Noncompliance – basic financial statement level.
- D. Reportable instance of noncompliance and other matters – fraud or illegal act unless clearly inconsequential, or significant violations of provisions of contracts or grant agreements and abuse.

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- F. Significant deficiency of internal control – major program level.
- G. Material or possible material instance of noncompliance – major program level.
- H. Other finding or questioned cost required to be reported under section __.510(a) of OMB Circular A-133.

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INTRODUCTION

Background

The Federal Government requires the State of Utah to have an entity-wide audit of its financial statements and its federal programs as a condition of receiving federal financial assistance. The federally-required audit is commonly referred to as the “single audit.” The single audit focuses on testing compliance with laws and regulations and testing related internal control for major programs. The requirements for performing the single audit are stated in the U.S. Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

Scope

OMB Circular A-133 requires a risk-based approach to auditing federal programs. Under the risk-based approach, programs are classified as Type A or Type B programs based on a dollar level computed in accordance with Circular A-133. Type A programs for the State are those programs which exceeded \$15,900,000 in federal awards expended for the fiscal year ended June 30, 2013. All other programs are classified as Type B. For the year ended June 30, 2013, 19 Type A and 10 Type B programs were audited as major programs in accordance with OMB Circular A-133 requirements.

Presentation

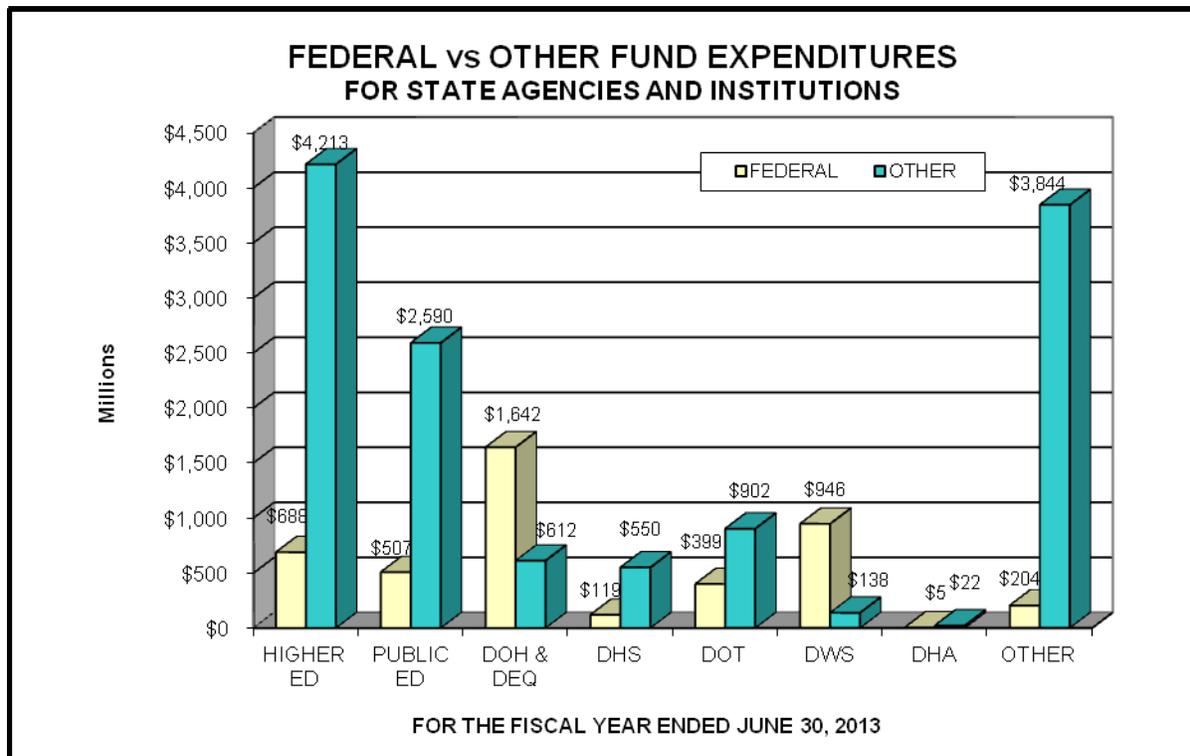
This report includes all required information for the single audit except the State’s financial statements and our report thereon, which were issued under separate cover. A Summary Schedule of Expenditures of Federal Awards and a Schedule of Expenditures of Federal Awards by federal agency are presented as required. An optional Schedule sorted by state agency is also presented. The required summary of our audit results, including a list of the major programs audited, is presented on pages 128-129. In addition, management’s corrective action plan is presented with the related finding and questioned cost rather than in a separate section of the report. The type of each finding and the auditor’s report(s) to which it relates are listed in the foregoing Table of Contents.

Perspective

Federal programs continue to be a significant source of funding for the State. The top graph on the following page shows the relationship of federal award expenditures to total expenditures for State agencies and institutions.

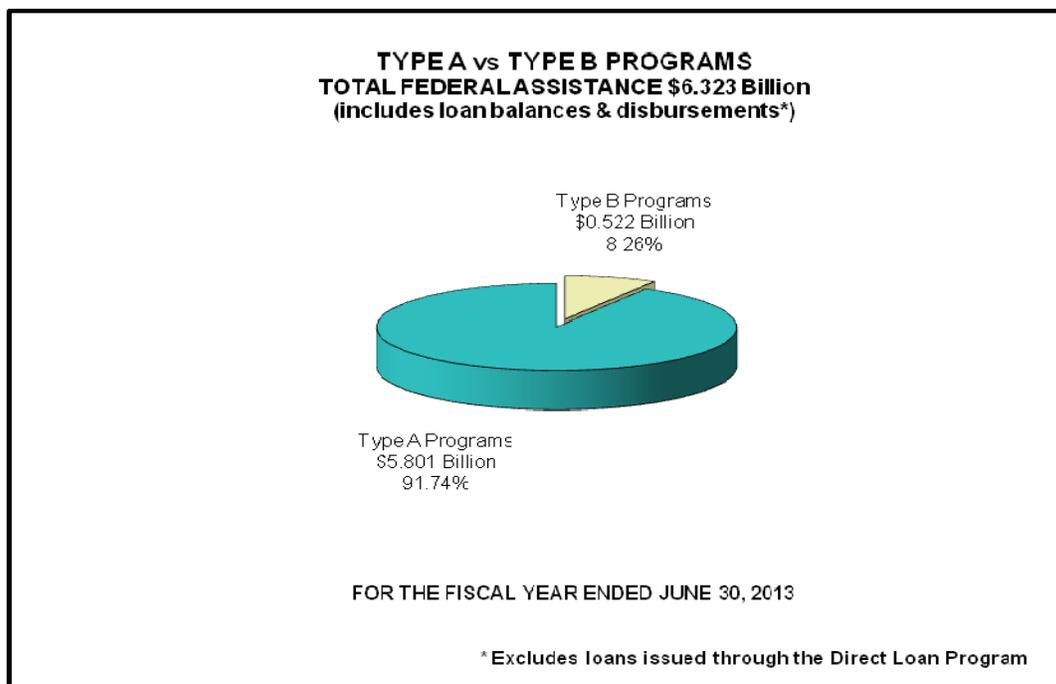
The bottom graph on the following page shows the break out of Type A and Type B programs. The State had 29 Type A programs and hundreds of Type B programs in fiscal year 2013.

INTRODUCTION



HIGHER ED – Colleges and Universities
PUBLIC ED – Office of Education
DOH & DEQ – Dept. of Health and
Dept. of Environmental Quality
DHS – Dept. of Human Services

DOT – Dept. of Transportation
DWS – Dept. of Workforce Services
DHA – Dept. of Heritage and Arts
OTHER – Other state agencies





OFFICE OF THE
UTAH STATE AUDITOR

**INDEPENDENT STATE AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Members of the Utah State Legislature
and
The Honorable Gary R. Herbert
Governor, State of Utah

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Utah (State), as of and for the year ended June 30, 2013, and the related notes to the financial statements, which collectively comprise the State's basic financial statements, and have issued our report thereon dated October 23, 2013. Our report includes a reference to other auditors who audited the financial statements of Utah Housing Corporation, Utah Public Employees Health Program, Utah State Retirement Systems, the University of Utah's hospital, the University of Utah's component units, and Utah State University Research Foundation as described in our report on the State's financial statements. The financial statements of the University of Utah's component units were not audited in accordance with *Government Auditing Standards* and accordingly, this report does not include reporting on internal control over financial reporting or instances of reportable noncompliance associated with the University of Utah's component units. This report includes our consideration of the results of the other auditors' testing of internal control over financial reporting and compliance and other matters that are reported on separately by those other auditors. However, this report, insofar as it relates to the results of the other auditors, is based solely on the reports of the other auditors.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the State's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State's internal control.

Our consideration of internal control was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as described in the accompanying "Schedule of Findings and Questioned Costs," we identified a certain deficiency in internal control

that we consider to be a material weakness and others that we consider to be significant deficiencies.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or to detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. We consider the deficiency described in the accompanying "Schedule of Findings and Questioned Costs" as finding 2013-001 to be a material weakness.

A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies described in the accompanying "Schedule of Findings and Questioned Costs" as findings 2013-002 and 2013-003 to be significant deficiencies.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State's basic financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain other findings that we have reported to management of the applicable State agencies and institutions in separate letters.

The State's Response to Findings

The State's responses to the findings identified in our audit are described in the accompanying "Schedule of Findings and Questioned Costs." The State's responses were not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on them.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Office of the Utah State Auditor

Office of the Utah State Auditor
October 23, 2013



OFFICE OF THE
UTAH STATE AUDITOR

INDEPENDENT STATE AUDITOR'S REPORT ON:

- **COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM;**
- **INTERNAL CONTROL OVER COMPLIANCE; AND**
- **SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY OMB CIRCULAR A-133**

To the Members of the Utah State Legislature
and

The Honorable Gary R. Herbert
Governor, State of Utah

Report on Compliance for Each Major Federal Program

We have audited the State of Utah's (State's) compliance with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the State's major federal programs for the year ended June 30, 2013. The State's major federal programs are identified in the "Schedule of Findings and Questioned Costs, Part I. Summary of Auditor's Results."

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the State's major federal programs based on our audit of the types of compliance requirements referred to above.

As stated in our report on the basic financial statements, we did not audit the financial statements of the Utah State University Research Foundation (Foundation), nor did we audit the Foundation's compliance with 20% of the Research and Development Cluster program, which comprises 1% of the State's total federal expenditures. The financial statements of the Foundation and the compliance for that portion of the Research and Development cluster were audited by other auditors whose reports, including reports on compliance and internal control over compliance, have been furnished to us. Our report on the basic financial statements and this report, insofar as it relates to the amounts included for the Foundation and their compliance and internal control over compliance, are based solely on the reports of the other auditors.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and OMB Circular

A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit and the report of other auditors provide a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the State's compliance.

Basis for Qualified Opinion on CFDA 93.568 LIHEAP

As described in the accompanying "Schedule of Findings and Questioned Costs," the State did not comply with requirements regarding CFDA 93.568 LIHEAP as described in finding 2013-031 for Reporting. Compliance with such requirements is necessary, in our opinion, for the State to comply with the requirements applicable to that program.

Qualified Opinion on CFDA 93.568 LIHEAP

In our opinion, except for the noncompliance described in the Basis for Qualified Opinion paragraph, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on the CFDA 93.568 LIHEAP program for the year ended June 30, 2013.

Unmodified Opinion on Each of the Other Major Federal Programs

In our opinion, based on our audit and the report of other auditors, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in the summary of auditor's results section of the accompanying "Schedule of Findings and Questioned Costs" for the year ended June 30, 2013.

Other Matters

The results of our auditing procedures disclosed other instances of noncompliance, which are required to be reported in accordance with OMB Circular A-133 and which are identified as **Type H** in the accompanying "Table of Contents" and described in the accompanying "Schedule of Findings and Questioned Costs." Our opinion on each major federal program is not modified with respect to these matters.

The State's responses to the noncompliance findings identified in our audit are described in the accompanying "Schedule of Findings and Questioned Costs." The State's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

Report on Internal Control Over Compliance

Management of the State is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and

performing our audit of compliance, we considered the State's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis. We consider the deficiencies in internal control over compliance described in the accompanying "Schedule of Findings and Questioned Costs" and identified in the accompanying "Table of Contents" as **Type E** to be material weaknesses.

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying "Schedule of Findings and Questioned Costs" and identified in the accompanying "Table of Contents" as **Type F** to be significant deficiencies.

The State's responses to the internal control over compliance findings identified in our audit are described in the accompanying "Schedule of Findings and Questioned Costs." The State's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the financial statements of the State as of and for the year ended June 30, 2013, and have issued our report thereon dated October 23, 2013, which contained an unmodified

opinion on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements as a whole. The accompanying “Schedule of Expenditures of Federal Awards” is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied by us and other auditors in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America by us and other auditors. In our opinion, based on our audit and the report of other auditors, the “Schedule of Expenditure of Federal Awards” is fairly stated in all material respects in relation to the financial statements as a whole.

Office of the Utah State Auditor

Office of the Utah State Auditor
October 23, 2013

SUMMARY
SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
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SUMMARY SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

AGE	\$ 7,094,405	Aging Cluster
* CC	48,761,512	CCDF Cluster (Child Care)
CIL	185,773	Centers for Independent Living Cluster
EDC	24,085	Economic Development Cluster
* ES	21,369,559	Employment Services Cluster
ETSG	469,069	Educational Technology State Grants Cluster
FDC	2,937,796	Food Distribution Cluster
FW	9,606,545	Fish and Wildlife Cluster
* HPC	392,464,701	Highway Planning and Construction Cluster
HS	4,301,628	Highway Safety Cluster
JAG	2,379,083	JAG Program Cluster
* MED	1,439,743,955	Medicaid Cluster
NUT	128,378,216	Child Nutrition Cluster
* R&D	343,991,318	Research and Development Programs
SCDBG	7,464,126	CDBG – State-Administered CDBG Cluster
SDS	4,014,595	Statewide Data Systems Cluster
* SE	124,299,636	Special Education (IDEA) Cluster
* SFA	245,351,939	Student Financial Assistance Programs
SIG	7,369,680	School Improvement Grants Cluster
* SNAP	409,916,418	SNAP Cluster
* SSI	12,109,949	Disability Insurance/SSI Cluster
* TANF	52,336,409	TANF Cluster
* TIPA	91,341,681	Title I, Part A Cluster
TRIO	7,732,275	TRIO Cluster
TSP	2,395,546	Transit Services Programs Cluster
* WIA	13,272,572	WIA Cluster
10	4,806,746	Department of Agriculture
10.025	694,393	Plant and Animal Disease, Pest Control, and Animal Care
10.028	111,308	Wildlife Services
10.069	10,000	Conservation Reserve Program
10.162	112,865	Inspection Grading and Standardization
10.170	270,713	Specialty Crop Block Grant Program - Farm Bill
10.319	54,975	Farm Business Management and Benchmarking Competitive Grants Program
10.435	26,155	State Mediation Grants
10.477	1,364,351	Meat, Poultry, and Egg Products Inspection
10.500	13,302	Cooperative Extension Service
10.557	45,045,457	Special Supplemental Nutrition Program for Women, Infants, and Children
* 10.558	27,779,210	Child and Adult Care Food Program
10.560	2,202,334	State Administrative Expenses for Child Nutrition
10.578	131,921	WIC Grants To States (WGS)
10.579	392,275	Child Nutrition Discretionary Grants Limited Availability
10.582	207,636	Fresh Fruit and Vegetable Program
10.590	22,432	Disaster Relief Appropriations Act—Emergency Food Assistance Program (Administrative Costs)
10.664	2,861,985	Cooperative Forestry Assistance
10.672	99,341	Rural Development, Forestry, and Communities
10.675	6,650	Urban and Community Forestry Program
10.676	4,019,831	Forest Legacy Program
10.680	16,262	Forest Health Protection
10.688	128,672	Recovery Act of 2009: Wildland Fire Management
10.691	79,775	Good Neighbor Authority
10.769	26,901	Rural Business Enterprise Grants
10.855	19,480	Distance Learning and Telemedicine Loans and Grants
10.902	142,756	Soil and Water Conservation

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
10.912	402,338	Environmental Quality Incentives Program
10.916	292,445	Watershed Rehabilitation Program
11	18,619	Department of Commerce
11.550	81,703	Public Telecommunications Facilities Planning and Construction
11.555	470,091	Public Safety Interoperable Communications Grant Program
11.557	5,641,230	Broadband Technology Opportunities Program (BTOP)
11.558	1,422,451	State Broadband Data and Development Grant Program
11.611	313,381	Manufacturing Extension Partnership
12	297,531	Department of Defense
12.002	290,325	Procurement Technical Assistance for Business Firms
12.113	425,845	State Memorandum of Agreement Program for the Reimbursement of Technical Services
12.217	112,999	Electronic Absentee Systems for Elections
12.400	856,236	Military Construction, National Guard
12.401	38,484,754	National Guard Military Operations and Maintenance (O&M) Projects
12.420	38,633	Military Medical Research and Development
12.615	18,406	Research and Technical Assistance
12.630	32,410	Basic, Applied, and Advanced Research in Science and Engineering
12.901	274,826	Mathematical Sciences Grants Program
14	1,262,363	Department of Housing and Urban Development
14.231	716,695	Emergency Solutions Grants Program
14.235	357,881	Supportive Housing Program
14.238	28,421	Shelter Plus Care
14.239	565,805	Home Investment Partnerships Program
14.241	314,252	Housing Opportunities for Persons with AIDS
14.401	295,449	Fair Housing Assistance Program – State and Local
15	1,909,191	Department of Interior
15.151	13,359	Education Program Enhancements
15.224	123,319	Cultural Resource Management
15.225	78,973	Recreation Resource Management
15.228	212,247	National Fire Plan - Wildland Urban Interface Community Fire Assistance
15.229	2,094,580	Wild Horse and Burro Resource Management
15.230	28,923	Invasive and Noxious Plant Management
15.231	6,315,454	Fish, Wildlife and Plant Conservation Resource Management
15.236	250,339	Environmental Quality and Protection Resource Management
15.237	40,000	Rangeland Resource Management
15.239	40,821	Management Initiatives
15.242	29,278	National Fire Plan - Rural Fire Assistance
15.250	1,940,872	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining
15.252	4,211,798	Abandoned Mine Land Reclamation (AMLR) Program
15.503	367	Reclamation Projects
15.504	2,079,393	Water Reclamation and Reuse Program
15.509	1,015,395	Colorado River Basin Salinity Control Program
15.529	112,174	Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs
15.615	130,636	Cooperative Endangered Species Conservation Fund
15.633	107,417	Landowner Incentive Program
15.634	703,699	State Wildlife Grants
15.637	21,112	Migratory Bird Joint Ventures
15.642	1,142,681	Challenge Cost Share
15.807	893,839	Earthquake Hazards Reduction Program
15.808	131,812	U.S. Geological Survey – Research and Data Collection
15.810	184,885	National Cooperative Geologic Mapping Program
15.814	44,748	National Geological and Geophysical Data Preservation Program
15.818	158,050	Volcano Hazards Program Research and Monitoring
15.819	15,000	Energy Cooperatives to Support the National Coal Resources Data System (NCRDS)
15.904	661,203	Historic Preservation Fund Grants-In-Aid
15.916	155	Outdoor Recreation – Acquisition, Development and Planning

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
15.945	592,188	Cooperative Research and Training Programs – Resources of the National Park System
16	776,311	Department of Justice
16.001	5,293,479	Law Enforcement Assistance – Narcotics and Dangerous Drugs – Laboratory Analysis
16.017	211,149	Sexual Assault Services Formula Program
16.523	564,078	Juvenile Accountability Block Grants
16.540	746,741	Juvenile Justice and Delinquency Prevention – Allocation to States
16.544	7,406	Youth Gang Prevention
16.548	2,063	Title V – Delinquency Prevention Program
16.550	237,080	State Justice Statistics Program for Statistical Analysis Centers
16.575	3,903,007	Crime Victim Assistance
16.576	1,845,204	Crime Victim Compensation
16.585	12,700	Drug Court Discretionary Grant Program
16.588	1,574,893	Violence Against Women Formula Grants
16.590	241,589	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program
16.593	167,297	Residential Substance Abuse Treatment for State Prisoners
16.606	459,480	State Criminal Alien Assistance Program
16.607	18,860	Bulletproof Vest Partnership Program
16.727	170,387	Enforcing Underage Drinking Laws Program
16.738	4,774	Edward Byrne Memorial Justice Assistance Grant Program
16.740	170,042	Statewide Automated Victim Information Notification (SAVIN) Program
16.741	526,253	Forensic DNA Backlog Reduction Program
16.742	143,998	Paul Coverdell Forensic Sciences Improvement Grant Program
16.750	78,148	Support for Adam Walsh Act Implementation Grant Program
16.754	57,775	Harold Rogers Prescription Drug Monitoring Program
16.800	10,759	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)
16.801	39,617	Recovery Act - State Victim Assistance Formula Grant Program
16.816	121,220	John R. Justice Prosecutors and Defenders Incentive Act
17	85,164	Department of Labor
17.002	3,127,394	Labor Force Statistics
17.005	88,309	Compensation and Working Conditions
* 17.225	344,038,437	Unemployment Insurance
17.235	580,173	Senior Community Service Employment Program
17.245	2,008,540	Trade Adjustment Assistance
17.261	176,872	WIA Pilots, Demonstrations, and Research Projects
17.269	756,335	Community Based Job Training Grants
17.271	138,843	Work Opportunity Tax Credit Program (WOTC)
17.273	140,939	Temporary Labor Certification for Foreign Workers
17.274	421,804	Youthbuild
17.275	1,728,533	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors
17.276	23,185	Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)
17.277	177,554	Workforce Investment Act (WIA) National Emergency Grants
17.282	970,066	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants
17.283	322,917	Workforce Innovation Fund
17.503	1,492,031	Occupational Safety and Health – State Program
17.504	455,288	Consultation Agreements
17.807	7,729	Transition Assistance Program
19	176,724	Department of State
19.015	26,321	Cultural, Technical and Educational Centers
20	1,660,217	Department of Transportation
20.106	6,057	Airport Improvement Program
20.218	2,177,302	National Motor Carrier Safety
20.232	182,633	Commercial Driver's License Program Improvement Grant
20.234	18,480	Safety Data Improvement Program
20.237	920,559	Commercial Vehicle Information Systems and Networks
20.509	1,918,053	Formula Grants for Other Than Urbanized Areas
20.514	9,063	Public Transportation Research

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
20.614	420,034	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants
20.700	175,468	Pipeline Safety Program Base Grants
20.701	77,589	University Transportation Centers Program
20.703	214,838	Interagency Hazardous Materials Public Sector Training and Planning Grants
21.008	49,238	Low-Income Taxpayer Clinics
30.001	527,500	Employment Discrimination – Title VII of the Civil Rights Act of 1964
32	7,195	Federal Communications Commission
39.003	88,425	Donation of Federal Surplus Personal Property
43	777,697	National Aeronautics and Space Administration
43.001	138,112	Aerospace Education Services Program
43.008	65,285	Education
45	178,073	National Foundation on the Arts and Humanities
45.024	12,567	NEA Promotion of the Arts – Grants to Organizations and Individuals
45.025	675,675	NEA Promotion of the Arts – Partnership Agreements
45.164	13,171	NEH Promotion of the Humanities – Public Programs
45.303	(6,424)	IMLS Conservation Project Support
45.310	2,351,560	IMLS Grants to States
45.312	26,438	IMLS National Leadership Grants
47	519,975	National Science Foundation
47.041	23,570	Engineering Grants
47.049	320,940	Mathematical and Physical Sciences
47.050	54,356	Geosciences
47.070	(626)	Computer and Information Science and Engineering
47.074	703,673	Biological Sciences
47.075	16,983	Social, Behavioral, and Economic Sciences
47.076	2,716,483	Education and Human Resources
47.079	541,641	International Science and Engineering (OISE)
47.080	107,235	Office of Cyberinfrastructure
59	173,479	Small Business Administration
59.037	756,611	Small Business Development Centers
59.061	393,279	State Trade and Export Promotion Pilot Grant Program
64	7,021,388	Department of Veterans Affairs
* 64.005	19,322,463	Grants to States for Construction of State Home Facilities
64.012	82,302	Veterans Prescription Service
* 64.015	7,532,898	Veterans State Nursing Home Care
64.101	162,096	Burial Expenses Allowance for Veterans
64.203	502,109	State Cemetery Grants
66	215,412	Environmental Protection Agency
66.034	558,768	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act
66.039	288,811	National Clean Diesel Funding Assistance Program
66.040	344,130	State Clean Diesel Grant Program
66.202	15,441	Congressionally Mandated Projects
66.433	79,603	State Underground Water Source Protection
66.454	47,259	Water Quality Management Planning
66.458	1,142,324	Capitalization Grants for Clean Water State Revolving Funds
66.460	874,616	Nonpoint Source Implementation Grants
66.461	415,356	Regional Wetland Program Development Grants
66.468	3,287,800	Capitalization Grants for Drinking Water State Revolving Funds
66.474	13,667	Water Protection Grants to the States
66.516	26,759	P3 Award: National Student Design Competition for Sustainability
* 66.605	6,711,759	Performance Partnership Grants
66.608	174,302	Environmental Information Exchange Network Grant Program and Related Assistance
66.700	336,566	Consolidated Pesticide Enforcement Cooperative Agreements
66.802	1,573,965	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements
66.804	386,093	Underground Storage Tank Prevention, Detection and Compliance Program
66.805	964,106	Leaking Underground Storage Tank Trust Fund Corrective Action Program

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
66.808	31,408	Solid Waste Management Assistance Grants
66.817	544,557	State and Tribal Response Program Grants
66.818	21,641	Brownfields Assessment and Cleanup Cooperative Agreements
77	36,659	Nuclear Regulatory Commission
77.008	59,713	U.S. Nuclear Regulatory Commission Scholarship and Fellowship Program
81	271,768	Department of Energy
* 81.041	2,864,861	State Energy Program
81.042	3,132,113	Weatherization Assistance for Low Income Persons
81.087	378,378	Renewable Energy Research and Development
81.089	106,642	Fossil Energy Research and Development
81.104	16,424	Office of Environmental Waste Processing
81.106	115,515	Transport of Transuranic Wastes to the Waste Isolation Pilot Plant: States and Tribal Concerns, Proposed Solutions
81.117	19,921	Energy Efficiency & Renewable Energy Information Dissemination, Outreach, Training & Technical Analysis/Assist.
81.121	5,000	Nuclear Energy Research, Development and Demonstration
81.122	974,338	Electricity Delivery and Energy Reliability, Research, Development and Analysis
81.128	626,898	Energy Efficiency and Conservation Block Grant Program (EECBG)
84	492,191	Department of Education
84.002	3,050,774	Adult Education – Basic Grants to States
84.011	1,815,810	Migrant Education – State Grant Program
84.013	674,042	Title I Program for Neglected and Delinquent Children
84.015	731,322	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program
84.016	62,061	Undergraduate International Studies and Foreign Language Programs
84.031	700,986	Higher Education – Institutional Aid
* 84.032	23,973,757	Federal Family Education Loans
* 84.048	13,427,092	Career and Technical Education – Basic Grants to States
84.051	235,204	Career and Technical Education -- National Programs
84.116	334,184	Fund for the Improvement Of Postsecondary Education
* 84.126	46,974,078	Rehabilitation Services – Vocational Rehabilitation Grants to States
84.129	331,349	Rehabilitation Long – Term Training
84.133	29,001	National Institute on Disability and Rehabilitation Research
84.144	50,006	Migrant Education – Coordination Program
84.169	341,603	Independent Living – State Grants
84.177	221,828	Rehabilitation Services – Independent Living Services for Older Individuals Who are Blind
84.181	5,994,027	Special Education – Grants for Infants and Families
84.187	482,492	Supported Employment Services for Individuals with Significant Disabilities
84.196	432,300	Education for Homeless Children and Youth
84.215	68,109	Fund for the Improvement of Education
84.224	435,350	Assistive Technology
84.235	2,653	Rehabilitation Services Demonstration and Training Programs
84.243	418,846	Tech-Prep Education
84.265	47,322	Rehabilitation Training – State Vocational Rehabilitation Unit In-Service Training
84.275	176,498	Rehabilitation Training – General Training
84.287	5,902,373	Twenty-First Century Community Learning Centers
84.323	439,483	Special Education – State Personnel Development
84.325	1,389,979	Special Education – Personnel Development to Improve Services and Results for Children with Disabilities
84.326	1,501,708	Special Education – Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities
84.330	160,480	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grants)
84.331	3,001	Grants to States for Workplace and Community Transition Training for Incarcerated Individuals
84.334	6,173,818	Gaining Early Awareness and Readiness for Undergraduate Programs
84.335	521,699	Child Care Access Means Parents in School
84.358	32,022	Rural Education
84.365	5,229,768	English Language Acquisition Grants
84.366	1,497,343	Mathematics and Science Partnerships

* Major Programs, see Note 2.A.

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State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
84.367	18,119,435	Improving Teacher Quality State Grants
84.368	6,180	Grants for Enhanced Assessment Instruments
84.369	4,807,270	Grants for State Assessments and Related Activities
84.378	1,504,884	College Access Challenge Grant Program
84.410	2,945,330	Education Jobs Fund
84.411	34	Investing in Innovation (i3) Fund
90.400	9,626	Help America Vote College Program
90.401	595,607	Help America Vote Act Requirements Payments
92	16,412	National Council on Disability
93	8,443,163	Department of Health and Human Services
93.041	34,414	Special Programs for the Aging – Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation
93.042	84,115	Special Programs for the Aging – Title VII, Chapter 2 – Long Term Care Ombudsman Services for Older Individuals
93.043	105,056	Special Programs for the Aging – Title III, Part D – Disease Prevention and Health Promotion Services
93.048	577,717	Special Programs for the Aging – Title IV – and Title II – Discretionary Projects
93.051	197,427	Alzheimer's Disease Demonstration Grants to States
93.052	843,051	National Family Caregiver Support, Title III, Part E
* 93.069	6,837,282	Public Health Emergency Preparedness
93.070	375,344	Environmental Public Health and Emergency Response
93.072	83,262	Lifespan Respite Care Program
93.074	3,350,821	Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements
93.089	168,482	Emergency System for Advance Registration of Volunteer Health Professionals
93.092	494,486	Affordable Care Act (ACA) Personal Responsibility Education Program
93.103	141,764	Food and Drug Administration Research
93.104	286,603	Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)
93.107	401,272	Area Health Education Centers Point of Service Maintenance and Enhancement Awards
93.110	1,481,409	Maternal and Child Health Federal Consolidated Programs
93.116	328,986	Project Grants and Cooperative Agreements for Tuberculosis Control Programs
93.117	308,964	Preventive Medicine Residency Program
93.127	3,097,336	Emergency Medical Services for Children
93.130	144,841	Cooperative Agreements to States/Territories for the Coordination and Development of Primary Care Offices
93.136	876,483	Injury Prevention and Control Research and State and Community Based Programs
93.142	143,818	NIEHS Hazardous Waste Worker Health and Safety Training
93.145	174,200	AIDS Education and Training Centers
93.150	527,000	Projects for Assistance in Transition From Homelessness (PATH)
93.153	411,914	Coordinated Services and Access to Research for Women, Infants, Children, and Youth
93.173	81,858	Research Related to Deafness and Communication Disorders
93.191	228,459	Patient Navigator and Chronic Disease Prevention Program
93.204	65,849	Surveillance of Hazardous Substance Emergency Events
93.211	292,065	Telehealth Programs
93.213	29,439	Research and Training in Complementary and Alternative Medicine
93.226	518,310	Research on Healthcare Costs, Quality and Outcomes
93.234	251,575	Traumatic Brain Injury State Demonstration Grant Program
93.235	397,789	Affordable Care Act (ACA) Abstinence Education Program
93.240	223,374	State Capacity Building
93.241	375,534	State Rural Hospital Flexibility Program
93.242	166,895	Mental Health Research Grants
93.243	1,627,510	Substance Abuse and Mental Health Services – Projects of Regional and National Significance
93.247	355,581	Advanced Education Nursing Grant Program
93.249	135,572	Public Health Training Centers Program
93.250	154,155	Geriatric Academic Career Awards
93.251	328,658	Universal Newborn Hearing Screening
93.253	151,567	Poison Control Stabilization and Enhancement Grants
93.262	1,570,448	Occupational Safety and Health Program
93.265	187,523	Comprehensive Geriatric Education Program (CGEP)

* Major Programs, see Note 2.A.

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State of Utah
Summary Schedule of Expenditures of Federal Awards
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Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
* 93.268	26,241,820	Immunization Cooperative Agreements
93.270	100,914	Adult Viral Hepatitis Prevention and Control
93.275	2,336,812	Substance Abuse and Mental Health Services – Access to Recovery
93.279	1,147,927	Drug Abuse and Addiction Research Programs
93.281	182,660	Mental Health Research Career/Scientist Development Awards
93.282	42,574	Mental Health National Research Service Awards for Research Training
93.283	10,377,097	Centers for Disease Control and Prevention – Investigations and Technical Assistance
93.286	80,637	Discovery and Applied Research for Technological Innovations to Improve Human Health
93.290	280,430	National Community Centers of Excellence in Women's Health
93.296	127,951	State Partnership Grant Program to Improve Minority Health
93.301	195,632	Small Rural Hospital Improvement Grant Program
93.307	260,479	Minority Health and Health Disparities Research
93.310	85,229	Trans-NIH Research Support
93.361	34,304	Nursing Research
93.389	619,131	National Center for Research Resources
93.393	205,893	Cancer Cause and Prevention Research
93.395	303,106	Cancer Treatment Research
93.398	472,723	Cancer Research Manpower
93.399	6,694	Cancer Control
93.449	20,395	Ruminant Feed Ban Support Project
93.505	1,514,748	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program
93.506	704,945	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers
93.507	289,328	Strengthening Public Health Infrastructure for Improved Health Outcomes
93.511	988,416	Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review
93.513	451,440	Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative
93.514	176,000	Affordable Care Act (ACA) Expansion of Physician Assistant Training Program
93.520	69,762	Centers for Disease Control and Prevention –Affordable Care Act (ACA) – Communities Putting Prevention to Work
93.521	1,499,955	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP)
93.525	413,794	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges
93.531	596,949	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds
93.538	1,017,077	Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation
93.539	1,899,862	PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds
93.544	199,198	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program
93.548	442,302	PPHF 2012: Nutrition, Physical Activity and Obesity Program - financed in part by 2012 Prevention and Public Health Funds (PPHF-2012)
93.556	2,076,758	Promoting Safe and Stable Families
93.563	18,474,053	Child Support Enforcement
93.566	7,654,354	Refugee and Entrant Assistance – State Administered Programs
* 93.568	23,811,201	Low-Income Home Energy Assistance
93.569	3,364,202	Community Services Block Grant
93.576	509,568	Refugee and Entrant Assistance – Discretionary Grants
93.584	617,006	Refugee and Entrant Assistance – Targeted Assistance Grants
93.586	429,981	State Court Improvement Program
93.590	470,688	Community-Based Child Abuse Prevention Grants
93.597	100,000	Grants to States for Access and Visitation Programs
93.599	314,609	Chafee Education and Training Vouchers Program (ETV)
93.600	3,455,199	Head Start
93.603	892,044	Adoption Incentive Payments
93.617	16,709	Voting Access for Individuals with Disabilities – Grants to States
93.623	250,000	Basic Center Grant

* Major Programs, see Note 2.A.

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State of Utah
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Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
93.624	44,320	ACA - State Innovation Models: Funding for Model Design and Model Testing Assistance
93.630	699,063	Developmental Disabilities Basic Support and Advocacy Grants
93.643	213,952	Children's Justice Grants to States
93.645	3,521,700	Child Welfare Services – State Grants
93.652	178,942	Adoption Opportunities
* 93.658	22,752,081	Foster Care – Title IV-E
93.659	7,631,056	Adoption Assistance
93.667	24,935,291	Social Services Block Grant
93.669	308,509	Child Abuse and Neglect State Grants
93.671	1,121,522	Family Violence Prevention and Services/Grants for Battered Women's Shelters – Grants to States and Indian Tribes
93.674	949,747	Chafee Foster Care Independence Program
93.701	868,011	Trans-NIH Recovery Act Research Support
93.702	3,008,504	National Center for Research Resources, Recovery Act Construction Support
93.708	644,717	ARRA - Head Start
93.712	143,765	ARRA - Immunization
93.719	958,609	ARRA - State Grants to Promote Health Information Technology
93.723	43,644	ARRA - Prevention and Wellness – State, Territories and Pacific Islands
93.733	75,557	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF-2012)
93.734	145,283	Empowering Older Adults and Adults with Disabilities through Chronic Disease Self-Management Education Programs – financed by 2012 Prevention and Public Health Funds (PPHF-2012)
93.735	90,520	State Public Health Approaches for Ensuring Quitline Capacity – Funded in part by 2012 Prevention and Public Health Funds (PPHF-2012)
93.736	158,600	Prevention Public Health Fund 2012: Viral Hepatitis Prevention
93.744	43,664	PPHF 2012: Breast and Cervical Cancer Screening Opportunities for States, Tribes and Territories solely financed by 2012 Prevention and Public Health Funds
* 93.767	56,622,067	Children's Health Insurance Program
93.768	2,438	Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities
93.779	382,864	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations
93.780	1,610,691	Grants to States for Operation of Qualified High-Risk Pools
93.796	4,561	State Survey Certification of Health Care Providers and Suppliers (Title XIX) Medicaid
93.822	182,981	Health Careers Opportunity Program
93.837	1,283,383	Cardiovascular Diseases Research
93.838	362,139	Lung Diseases Research
93.839	6,568	Blood Diseases and Resources Research
93.846	24,502	Arthritis, Musculoskeletal and Skin Diseases Research
93.847	978,269	Diabetes, Digestive, and Kidney Diseases Extramural Research
93.849	34,916	Kidney Diseases, Urology and Hematology Research
93.853	554,890	Extramural Research Programs in the Neurosciences and Neurological Disorders
93.855	755,367	Allergy, Immunology and Transplantation Research
93.859	544,597	Biomedical Research and Research Training
93.865	2,557,969	Child Health and Human Development Extramural Research
93.866	554,498	Aging Research
93.867	32,776	Vision Research
93.879	879,385	Medical Library Assistance
93.884	92,760	Grants for Training in Primary Care Medicine and Dentistry
93.887	167,492	Health Care and Other Facilities
93.888	455,285	Specially Selected Health Projects
93.910	301,373	Family and Community Violence Prevention Program
93.912	85,001	Rural Health Care Services Outreach and Rural Health Network Development Program
93.913	179,257	Grants to States for Operation of Offices of Rural Health
93.917	5,401,363	HIV Care Formula Grants
93.918	993,855	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease
93.924	9,301	Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership
93.928	22,640	Special Projects of National Significance

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
93.938	47,602	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems
93.940	1,359,547	HIV Prevention Activities – Health Department Based
93.944	231,249	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance
93.945	397,067	Assistance Programs for Chronic Disease Prevention and Control
93.946	113,623	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs
93.958	3,499,297	Block Grants for Community Mental Health Services
93.959	17,041,171	Block Grants for Prevention and Treatment of Substance Abuse
93.977	472,353	Preventive Health Services – Sexually Transmitted Diseases Control Grants
93.991	575,901	Preventive Health and Health Services Block Grant
93.994	6,038,652	Maternal and Child Health Services Block Grant to the States
94	446,164	Corporation for National and Community Service
94.003	144,609	State Commissions
94.004	600	Learn and Serve America – School and Community Based Programs
* 94.006	1,551,918	Americorps
94.007	137,858	Planning and Program Development Grants
94.009	27,717	Training and Technical Assistance
94.013	24,515	Volunteers in Service to America
94.021	65,886	Volunteer Generation Fund
95.001	653,842	High Intensity Drug Trafficking Areas Program
96	1,437,780	Social Security Administration
97.012	1,313,170	Boating Safety Financial Assistance
97.023	166,900	Community Assistance Program State Support Services Element (CAP-SSSE)
97.024	579	Emergency Food and Shelter National Board Program
* 97.036	4,324,431	Disaster Grants – Public Assistance (Presidentially Declared Disasters)
97.039	165,009	Hazard Mitigation Grant
97.040	635,207	Chemical Stockpile Emergency Preparedness Program
97.041	32,414	National Dam Safety Program
97.042	4,416,645	Emergency Management Performance Grants
97.043	14,088	State Fire Training Systems Grants
97.045	447,561	Cooperating Technical Partners
97.046	11,996	Fire Management Assistance Grant
97.047	4,371,608	Pre-Disaster Mitigation
97.055	152,366	Interoperable Emergency Communications
* 97.067	6,710,915	Homeland Security Grant Program
97.082	50,940	Earthquake Consortium
97.089	1,344,769	Driver's License Security Grant Program
97.107	26,009	National Incident Management System (NIMS)
99	70,108	Other Government Agencies
	<u>\$ 4,510,270,470</u>	TOTAL FEDERAL EXPENDITURES

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
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SUMMARY OF ARRA EXPENDITURES

* 10.551	\$ 148,299	Supplemental Nutrition Assistance Program
10.579	294,775	Child Nutrition Discretionary Grants Limited Availability
10.688	128,672	Recovery Act of 2009: Wildland Fire Management
11.557	5,641,230	Broadband Technology Opportunities Program (BTOP)
11.558	1,422,451	State Broadband Data and Development Grant Program
15	13,276	Department of Interior
* 15	21,920	(R&D) Department of Interior
16.588	58,371	Violence Against Women Formula Grants
16.800	10,759	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)
16.801	39,617	Recovery Act - State Victim Assistance Formula Grant Program
16.803	340,964	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories
16	11,185	Department of Justice
* 16	22,658	(R&D) Department of Justice
* 17.225	57,590,924	Unemployment Insurance
17.275	1,728,533	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors
17.276	23,185	Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)
* 20.205	386,986	Highway Planning and Construction
20.509	156,135	Formula Grants for Other Than Urbanized Areas
* 43	50,527	(R&D) National Aeronautics and Space Administration
* 47.082	3,213,189	(R&D) Trans – NSF Recovery Act Research Support
* 47	754,476	(R&D) National Science Foundation
66.818	21,641	Brownfields Assessment and Cleanup Cooperative Agreements
* 81.041	2,737,294	State Energy Program
81.042	184,995	Weatherization Assistance for Low Income Persons
* 81.049	12,604	(R&D) Office of Science Financial Assistance Program
* 81.087	1,303,558	(R&D) Renewable Energy Research and Development
81.117	19,921	Energy Efficiency & Renewable Energy Information Dissemination, Outreach, Training & Technical Analysis/Assist
81.122	974,338	Electricity Delivery and Energy Reliability, Research, Development and Analysis
81.128	626,898	Energy Efficiency and Conservation Block Grant Program (EECBG)
* 81.133	49,017	(R&D) National Institute on Disability and Rehabilitation Research
* 81.134	1,039,938	(R&D) Industrial Carbon Capture and Storage (CCS) Application
* 81	408,135	(R&D) Department of Energy
84.384	4,014,595	Statewide Data Systems, Recovery Act
84.388	4,486,523	School Improvement Grants, Recovery Act
* 84.391	2,882,373	Special Education Grants to States, Recovery Act
84.410	2,945,330	Education Jobs Fund
84	55,275	Department of Education
* 84	4,001,990	(R&D) Department of Education
93.395	126	Cancer Treatment Research
93.701	868,011	Trans-NIH Recovery Act Research Support
* 93.701	1,643,168	(R&D) Trans – NIH Recovery Act Research Support
93.702	3,008,504	National Center for Research Resources, Recovery Act Construction Support
93.708	644,717	ARRA - Head Start
93.712	143,765	ARRA - Immunization
* 93.715	1,541,380	(R&D) Recovery Act – Comparative Effectiveness Research - AHRQ
93.719	958,609	ARRA - State Grants to Promote Health Information Technology
93.733	43,644	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF-2012)
* 93.726	25,923	(R&D) ARRA Accelerating Adoption of Comparative Effectiveness Research (CER)
* 93.727	373,320	(R&D) ARRA - Health Information Technology - Beacon Communities
* 93.728	(9,771)	(R&D) ARRA - Strategic Health IT Advanced Research Projects (SHARP)
* 93.778	1,299,907	Medical Assistance Program
* 93.867	36,056	(R&D) Vision Research
93	(83)	Department of Health and Human Services

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2013

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
* 93	445,977	(R&D) Department of Health and Human Services
94	<u>(9,152)</u>	Corporation for National and Community Service
	<u>\$ 108,836,658</u>	TOTAL ARRA EXPENDITURES

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS
By Federal Agency

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>AGENCY FOR INTERNATIONAL DEVELOPMENT</u>					
(R&D) Agency for International Development	USU	* N/A	VARIOUS	25,061	25,061
<u>Pass-Through From Non-State Entities:</u>					
(R&D) Agency for International Develop. —					
Colorado State University	USU	* N/A	VARIOUS	106,079	
Development Alternatives, Inc.	USU	* N/A	VARIOUS	28,646	
Subtotal – Agency for International Development				159,786	25,061
<u>AGRICULTURE, DEPARTMENT OF</u>					
ARRA-State Child Nutrition Programs	Education	10.579	VARIOUS	294,775	
ARRA-Bumblebee	Natural Resources	10.688	10-DG-110482B1-006	5,979	
ARRA-Mountain Center	Natural Resources	10.688	10-DG-110482B1-009	5,563	
ARRA-North Elk	Natural Resources	10.688	10-DG-110482B1-008	86,880	
ARRA-Parowan Front	Natural Resources	10.688	10-DG-110482B1-010	20,203	
ARRA-Duck Creek	Natural Resources	10.688	10-DG-110482B1-070	10,047	
ARRA-(SNAP) SNAP – SSI Cash Out	Workforce Services	* 10.551	2012 / 2013 ID281143	148,299	
(FDC) Commodity Supplemental Food Program	Health	10.565	3UT810819	166,370	
(FDC) Emerg Food Assist Pgm–Admin Costs	Education	10.568	VARIOUS	583,256	580,599
(FDC) Emerg Food Assist Pgm-Commodities	Education	10.569	VARIOUS	2,188,170	2,188,170
(NUT) Nutr. Act School Breakfast Program	Education	10.553	VARIOUS	18,331,803	17,980,430
(NUT) Natl School Lunch Pgm – Commodities	Education	10.555	VARIOUS	13,059,000	13,059,000
(NUT) Natl School Lunch Pgm – Cash Assist	Education	10.555	VARIOUS	92,527,622	91,857,816
(NUT) Nutr. Act Special Milk Program	Education	10.556	VARIOUS	96,068	92,030
(NUT) Nutrition Act Summer Food	Education	10.559	VARIOUS	4,363,723	4,296,654
(R&D) Utilization Of A Skin Carotenoid Detecti	U OF U	* 10.001	5854501355	11,893	
(R&D) AG Research – Basic and Applied	Weber State	* 10.001	VARIOUS	6,144	
(R&D) Grants for Ag Research–Competitive	U OF U	* 10.206	VARIOUS	63,880	
(R&D) Department of Agriculture	U OF U	* N/A	VARIOUS	51,991	
(R&D) Research and Development	USU	* N/A	58-3625-9-743 (09S069)	485,564	
(R&D) Research and Development	USU	* N/A	59-2501-12-036 (120501)	138,825	
(R&D) Research and Development	USU	* N/A	59-5430-1-344 (09S072)	290,062	
(R&D) Animal & Plant Health Inspection Svc	USU	* N/A	VARIOUS	87,989	
(R&D) Animal & Plant Health Inspection Svc	USU	* N/A	12-7449-0042-CA (120912)	127,094	
(R&D) Agriculture Research Services	USU	* N/A	VARIOUS	328,998	1,817
(R&D) Economic Research Service	USU	* N/A	59-5000-0-0065 (100918)	100,891	
(R&D) Foreign Agriculture Service	USU	* N/A	VARIOUS	314,448	235,750
(R&D) Forest Service	USU	* N/A	VARIOUS	547,209	16,162
(R&D) Forest Service	USU	* N/A	11-CR-11221638-011 (110325)	309,843	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-34613-20124 (090858)	129,248	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-38640-19711 (090758)	142,072	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-51300-05533 (090673)	122,393	
(R&D) Cooperative State Research Svc.	USU	* N/A	3110006049 (F121000)	333,203	
(R&D) Cooperative State Research Svc.	USU	* N/A	3120006049 (F121100)	420,883	
(R&D) Cooperative State Research Svc.	USU	* N/A	3110006049 (F131000)	905,275	
(R&D) Cooperative State Research Svc.	USU	* N/A	3120006049 (F131100)	674,477	
(R&D) Cooperative State Research Svc.	USU	* N/A	321006049 (F132000)	184,341	
(R&D) Cooperative State Research Svc.	USU	* N/A	VARIOUS	1,280,389	977,489
(R&D) National Institute of Food and Ag	USU	* N/A	VARIOUS	2,523,113	2,005,482
(R&D) National Institute of Food and Ag	USU	* N/A	2010-38640-20947 (100893)	556,596	
(R&D) National Institute of Food and Ag	USU	* N/A	2010-49400-21768 (100912)	228,961	
(R&D) National Institute of Food and Ag	USU	* N/A	2010-51300-21283 (100759)	209,099	
(R&D) National Institute of Food and Ag	USU	* N/A	2011-38415-31187 (120004)	104,360	
(R&D) National Institute of Food and Ag	USU	* N/A	2011-67009-30043 (101146)	132,872	
(R&D) National Institute of Food and Ag	USU	* N/A	2011-67015-3008 (100984)	121,173	
(R&D) National Institute of Food and Ag	USU	* N/A	2011-67021-30161 (111027)	190,856	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) National Institute of Food and Ag	USU	* N/A	2012-51150-19677 (120889)	231,336	
(R&D) National Institute of Food and Ag	USU	* N/A	2012-67017-30231 (110973)	148,418	
(R&D) National Resources Conservation Svc	USU	* N/A	VARIOUS	59,308	
(R&D) Research and Development	USU	* N/A	VARIOUS	96,476	
(SNAP) SNAP – SSI Cash Out	Workforce Services	* 10.551	2012 /2013 IS601843	2,443,230	
(SNAP) SNAP – Benefits	Workforce Services	* 10.551	8016499S6008	385,117,086	
(SNAP) SNAP – Nutrition Education	Workforce Services	* 10.561	2013IS750343	814,703	
(SNAP) SNAP – Administration	Workforce Services	* 10.561	2012/2013 IS251443	18,324,859	
(SNAP) SNAP – ABAWD	Workforce Services	* 10.561	2013IS252243	1,079,692	
(SNAP) SNAP – Emp/Train 50%	Workforce Services	* 10.561	2012/2013 IS251943	918,510	
(SNAP) SNAP – Emp/Train 50% Partici.	Workforce Services	* 10.561	2012/2013 IS252043	145,209	
(SNAP) SNAP – Interview Research Grant	Workforce Services	* 10.561	2011IS807313	78,193	
(SNAP) SNAP – Emp/Train 100%	Workforce Services	* 10.561	2012/2013 IE251843	845,774	
(SNAP) SNAP – State Exchange	Workforce Services	* 10.561	2012/2013 IS802643/3643	863	
Japanese Beetle	Agriculture	10.025	12-8549-0587-CA	5,786	
Honey Bee Survey Farm Bill	Agriculture	10.025	12-8549-1456-CA	15,000	
Chinese Long Horned Beetle (WoodBorer)	Agriculture	10.025	12-8549-1601-CA	18,547	
Imported Fire Ant	Agriculture	10.025	12-8549-0587-CA	7,908	
Asian Defoliator Farm Bill	Agriculture	10.025	12-8549-1601-CA	9,995	
Suzukii Education Farm Bill	Agriculture	10.025	12-8549-1601-CA	5,862	
Orchard Pest Survey Farm Bill	Agriculture	10.025	11-8549-1454-CA	31,349	
Pine Shoot Beetle	Agriculture	10.025	13-8549-0587-CA	1,353	
CAPS Woodborer Farm Bill	Agriculture	10.025	13-8549-0587-CA	4,607	
Avian Influenza Game Birds	Agriculture	10.025	12-9749-2168-CA	11,578	
Avian Influenza Commercial	Agriculture	10.025	12-9749-2168-CA	40,982	
Scrapie Genotyping	Agriculture	10.025	11-9749-2079-CA	7,732	
Cereal Leaf Beetle	Agriculture	10.025	12-8549-0587-CA	8,797	
Alfalfa Pest Survey	Agriculture	10.025	12-8549-0587-CA	13,122	
Assessing Weed Bio	Agriculture	10.025	12-8549-0587-CA	6,840	
UWSA Weed Bio Control	Agriculture	10.025	12-8549-0587-CA	17,000	17,000
Emerald Ash Borer	Agriculture	10.025	12-8549-0587-CA	8,135	
Emerald Ash Borer	Agriculture	10.025	13-8549-0587-CA	738	
Siberian Moth (Asian Defoliator)	Agriculture	10.025	12-8549-0587-CA	7,364	
Siberian Moth (Asian Defoliator)	Agriculture	10.025	13-8549-0587-CA	246	
Gypsy Moth, APHIS	Agriculture	10.025	13-8549-0587-CA	1,874	
Gypsy Moth, APHIS	Agriculture	10.025	12-8549-0587-CA	10,340	
Animal Disease Traceability	Agriculture	10.025	11-9749-2039-CA	105,000	
Animal Disease Traceability	Agriculture	10.025	10-9749-2039-CA	(486)	
Core Infrastructure	Agriculture	10.025	12-8549-0587-CA	87,289	
Grasshopper/Mormon Cricket	Agriculture	10.025	04-8588-0819-GR	267,435	
Chronic Wasting Disease	Natural Resources	10.028	10-9749-1396-CA	111,308	
USDA NRCS	DHA	10.069	68-8D43-8-28	10,000	
UDSA School Lunch	Agriculture	10.162	12-25-A-3316	2,987	
USDA Egg Surveillance	Agriculture	10.162	12-25-A-2423	8,475	
COOL Training	Agriculture	10.162	12-25-A-5449	18,000	
USDA Egg Product	Agriculture	10.162	12-37-A-507	83,403	
Specialty Crop Block Grant Prgrm - Farm Bill	Agriculture	10.170	12-25-B-1099	151,726	
Specialty Crop Block Grant Prgrm - Farm Bill	Agriculture	10.170	12-25-B-1492	59,744	
Specialty Crop Block Grant Prgrm - Farm Bill	Agriculture	10.170	12-25-B-1259	59,243	
NFM Benchmarking Center	Snow College	10.319	VARIOUS	54,975	
USDA Dairy Grading	Agriculture	10.435	12-25-A-3176	14,042	
Agriculture Mediation	Agriculture	10.435	5200-3087600-0545	12,113	
USDA Meat Cooperative	Agriculture	10.477	12-37-A-446	137,951	
USDA Meat Cooperative	Agriculture	10.477	12-37-A-317	1,226,400	
Farm Ranch Management	Snow College	10.500	VARIOUS	13,302	
USDA WIC Food/Admin	Health	10.557	3UT700709	44,497,449	41,399,111
USDA WIC Breastfeeding Peer Counseling	Health	10.557	3UT700809	548,008	552,426
Child and Adult Care Food Program	Education	* 10.558	VARIOUS	27,779,210	26,578,158
Nutrition Act State Administration	Education	10.560	VARIOUS	2,202,334	
WIC National Breastfeeding Award	Health	10.578	2011IW500543	131,921	68,115

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Child Nutrition Direct Certification Performance	Education	10.579	12108UT000L4303	97,500	
Fresh Fruit and Vegetable Program	Education	10.582	VARIOUS	207,636	207,636
FEMA FBWMA	Natural Resources	10.590	CD98870603	22,432	
Gypsy Moth, Forest Service	Agriculture	10.664	09-CA-11046000-611	12,280	
Consolidated Grant - All Programs	Natural Resources	10.664	08-DG-11046000-611	503,183	21,452
Consolidated Grant - All Programs	Natural Resources	10.664	09-DG-11046000-606	406,048	3,000
Consolidated Grant - All Programs	Natural Resources	10.664	10-DG-11046000-611	267,504	1,500
Consolidated Grant - All Programs	Natural Resources	10.664	11-DG-11046000-606	205,280	63,524
Consolidated Grant - All Programs	Natural Resources	10.664	12-DG-11046000-604	356,162	190,197
Community Protection	Natural Resources	10.664	10-DG-11046000-630	8,892	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	08-DG-11046000-619	165,996	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	09-DG-11046000-612	19,346	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	10-DG-11046000-624	159,319	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	11-DG-11046000-615	6,034	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	12-DG-11046000-613	2,918	
Competitive Redesign Grant	Natural Resources	10.664	09-DG-11046000-617	20,260	
Competitive Redesign Grant	Natural Resources	10.664	10-DG-11046000-615	176,056	
Competitive Redesign Grant	Natural Resources	10.664	11-DG-11046000-608	14,024	
Competitive Redesign Grant	Natural Resources	10.664	12-DG-11046000-616	103,220	
Disaster Assistance	Natural Resources	10.664	09-DG-11046000-602	36,834	
Cooperative Forestry Assistance	Natural Resources	10.664	VARIOUS	398,629	
Fuel for Schools	Agriculture	10.672	10-DG-11046000-604	99,341	
iTree Training	Natural Resources	10.675	07-DG-11046000-625	6,650	
Forest Legacy - Administration	Natural Resources	10.676	08-DG-11046000-603	19,831	
Forest Legacy - Green Canyon	Natural Resources	10.676	12-DG-11046000-606	4,000,000	
Forest Health Protection	Natural Resources	10.680	11-DG-11046000-610	5,545	
Forest Health Protection	Natural Resources	10.680	12-DG-11046000-611	10,717	
Good Neighbor Authority - Upper Santa Clara	Natural Resources	10.691	09-CA-11040700-046	31,474	
Good Neighbor Authority - Prescribed Fire Pro	Natural Resources	10.691	09-CA-11040700-047	6,320	
Good Neighbor Authority - Timber Sale Prep	Natural Resources	10.691	12-CA-11040700-024	6,844	
Good Neighbor Authority - Duck Creek Pile Bu	Natural Resources	10.691	08-CA-11040700-025	11,816	
Good Neighbor Authority - Birch Creek	Natural Resources	10.691	12-CA-11040700-032	22,196	
Challenge Cost Share - Mammoth Creek	Natural Resources	10.691	12-CS-11040700-044	1,125	
Utah Rural Econ Gard Demo Project	SUU	10.769	52-011-876000481	26,901	
UU & Northland Pioneer College Distance	U OF U	10.855	706C16	19,480	
GIP Grazing Project in Rich & Cache Co	Agriculture	10.902	68-8D43-10-12	70,436	
Colorado Salinity Technical Assistance	Agriculture	10.902	68-8D43-12-01	72,320	60,926
Farm Bill Biologists	Natural Resources	10.912	VARIOUS	94,991	
Restoration of San Rafael River	Natural Resources	10.912	728D430818Q	307,347	
San Rafael River/Muddy Creek Watershed	Natural Resources	10.916	68-8D43-10-22	5,222	
Engineering & Planning Dam Rehabilitation	Natural Resources	10.916	68-8D43-9-24	287,223	
Wasatch Plateau Landslides (USF4)	Natural Resources	N/A	10-CS-11041000-033	75,708	
Wasatch Mountain - Forest Service	Natural Resources	N/A	VARIOUS	4,512	
EOTC Curation Services	Natural Resources	N/A	VARIOUS	19,210	
Rural Business Enterprise Grant	Bridgerland ATC	N/A	52-003-870294483	37,565	
Forest Service Website Development	Dixie College	N/A	VARIOUS	1,191	
Kalbab YCC	SUU	N/A	12-PA-11030703-019	3,000	
Dixie National Forest Part Agreement	SUU	N/A	11-PA-11040700-010	13,593	
NSF Broad Internship	SUU	N/A	13-PA-11040700-032	22,492	
Initiative Native Plant and Habitat	SUU	N/A	12-CS-11040700-017	34,330	
Initiative Native Plant Project	SUU	N/A	10-PA-11040700-038	143,298	
Distance Learning & Telemedicine Grant	U OF U	N/A	UTAH706B16	246,375	
Department of Agriculture	U OF U	N/A	VARIOUS	2,634	
Agriculture Research and Development	USU	N/A	VARIOUS	59,127	
Farm and Foreign Agricultural Services	USU	N/A	VARIOUS	1,539	
Foreign Agriculture Service	USU	N/A	VARIOUS	14,865	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Forest Service	USU	N/A	VARIOUS	237,964	
Cooperative State Research Service	USU	N/A	2013-41100/41200 (F134000)	1,352,150	
Cooperative State Research Service	USU	N/A	2013-41510 (F137000)	156,699	
Cooperative State Research Service	USU	N/A	41100/41200 (F124000)	462,428	
Cooperative State Research Service	USU	N/A	41510 (F127000)	289,497	
Cooperative State Research Service	USU	N/A	VARIOUS	183,797	16,602
National Institute of Food and Agriculture	USU	N/A	VARIOUS	465,805	429,141
National Institute of Food and Agriculture	USU	N/A	2010-41590-20709 (100547)	124,161	
National Institute of Food and Agriculture	USU	N/A	2012-38858-19937 (130007)	105,017	
National Resources Conservation Service	USU	N/A	VARIOUS	36,574	
Rural Development	USU	N/A	VARIOUS	33,714	33,714
Risk Management Agency	USU	N/A	VARIOUS	74,887	
Risk Management Agency	USU	N/A	11-IE-53300-003 (120077)	199,826	
Rural Utilities Service	USU	N/A	VARIOUS	13,705	
Participating Agreement	Weber State	N/A	04-PA-11046000-044	3,709	
Pass-Through From Non-State Entities:					
(R&D) Corn Rootworm Beetle Genome — University Of Illinois At Chicago	U OF U	* 10.310	20100718801	7,297	
(R&D) Research and Development — Brigham Young University	USU	* N/A	VARIOUS	24,452	
Freedom Photonics, LLC	USU	* N/A	VARIOUS	11,168	
Hansen Energy and Environ LLC (HEE)	USU	* N/A	VARIOUS	13,147	
North Carolina State University	USU	* N/A	VARIOUS	34,634	
Penn State University	USU	* N/A	VARIOUS	34,624	
Renssaeler Polytechnic Institute	USU	* N/A	VARIOUS	59,159	
University of California, Davis	USU	* N/A	VARIOUS	50	
University of Idaho	USU	* N/A	VARIOUS	8,300	
University of Illinois, Chicago	USU	* N/A	VARIOUS	1,982	
University of Vermont	USU	* N/A	VARIOUS	6,102	
University of Wyoming	USU	* N/A	VARIOUS	3,668	
Washington State University	USU	* N/A	VARIOUS	5,358	
(R&D) Forest Service — The Nature Conservancy	USU	* N/A	VARIOUS	30,106	
(R&D) Department of Agriculture — University of Florida	U OF U	* N/A	UF11197	28,327	
(R&D) Nat'l Resources Conservation Svc — University of Montana	USU	* N/A	VARIOUS	4,637	
(R&D) National Institute of Food & Ag — Montana State University	USU	* N/A	VARIOUS	11,218	
New Mexico State University	USU	* N/A	VARIOUS	(961)	
Texas A&M University	USU	* N/A	VARIOUS	24,902	
University of California, Davis	USU	* N/A	VARIOUS	14,668	
University of Hawaii	USU	* N/A	VARIOUS	17,866	
University of Nebraska	USU	* N/A	VARIOUS	1,464	
Washington State University	USU	* N/A	VARIOUS	12,344	
National Institute of Food & Agriculture — Colorado State University	USU	N/A	VARIOUS	4,727	
Kansas State University	USU	N/A	VARIOUS	19,856	
New Mexico State University	USU	N/A	VARIOUS	8,283	
University of California, Davis	USU	N/A	VARIOUS	11,454	
University of Idaho	USU	N/A	VARIOUS	26,868	
University of Wyoming	USU	N/A	VARIOUS	15,320	
Forest Service — Case, Lowe & Hart, Inc. (CLH)	USU	N/A	VARIOUS	68,268	
Risk Management Agency — University of Nevada, Reno	USU	N/A	VARIOUS	80,158	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Cooperative State Research Service — Colorado State University	USU	N/A	VARIOUS	55,546	
Agriculture Research and Development — Kansas State University	USU	N/A	VARIOUS	96,894	
Subtotal – Department of Agriculture				644,589,131	202,933,901
CENTRAL INTELLIGENCE AGENCY					
Pass-Through From Non-State Entities:					
(R&D) Central Intelligence Agency — North Carolina State University	U OF U	* N/A	2010231601	45,892	
Subtotal – Central Intelligence Agency				45,892	0
COMMERCE, DEPARTMENT OF					
ARRA-Utah Anchors Community Broadband	U OF U	11.557	NT10BIX5570024	5,641,230	119,045
ARRA-State Broadband Data & Development	Public Service Comm	11.558	49-50-M09054	1,422,451	
(R&D) Advancing Analysis, Forecast & Warnin	U OF U	* 11.468	NA10NWS4680005	89,639	
KUER	U OF U	11.550	4902N08209/4901N10057	81,703	
Public Safety Interoperable Communication	Public Safety	11.555	2007GSH70026	470,091	470,091
(R&D) Measurement & Engineering R & S	U OF U	* 11.609	60NANB10D225	2,672	
(R&D) National Institute of Standards Tech	USU	* N/A	VARIOUS	69,519	30,514
(R&D) National Institute of Standards Tech	USU	* N/A	70NANB12H233 (S00001388)	316,751	
(R&D) National Institute of Standards Tech	USU	* N/A	70NANB9H9120 (09S068)	281,587	
(R&D) National Oceanic and Atmospheric	USU	* N/A	VARIOUS	125,415	
(R&D) National Oceanic and Atmospheric	USU	* N/A	DG-133E-12-NC-1333 / GS-23F-0046P (S00001249)	865,907	
Pass-Through From Non-State Entities:					
(R&D) Strontium Isotope Analysis — University Of Alaska Fairbanks	U OF U	* 11.417	UAF120077FP30061	28,294	
(R&D) Drivers' Awareness & Response— University Corp- Atmospheric Research	U OF U	* 11.467	Z1083388	9,577	
(R&D) National Mesonet Expansion — Avani Inc	U OF U	* N/A	SA12AVC01	316,951	
Global Science &Tech Innovation Lab	U OF U	* N/A	SA11U0U01	532,668	
(R&D) Department of Commerce — University of Colorado, Boulder	USU	* N/A	VARIOUS	(976)	
Supporting Manufacturers in New Energy Ecoi WestCamp Inc.	UVU	11.611	70NANB10H319	313,381	
National Institute of Standards Tech — University of Colorado, Boulder	USU	N/A	VARIOUS	18,619	
Subtotal – Department of Commerce				10,585,479	619,650
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE					
ARRA-Corp for National & Community Svc	USU	N/A	VARIOUS	(9,152)	
NCS Americorps Subgrantees	DHA	94.003	10CAHUT0001	144,609	
Service Learning	Education	94.004	06KSPUT001	600	
NCS Americorps Subgrantees	DHA	* 94.006	09ACHUT001	187,229	187,229
NCS Americorps Subgrantees	DHA	* 94.006	10FXHUT001	66,329	66,329
NCS Americorps Subgrantees	DHA	* 94.006	11AFHUT001	1,298,360	1,298,360
NCS Americorps Subgrantees	DHA	94.007	11CDHUT001	34,072	
Planning and Program Development	SLCC	94.007	VARIOUS	103,786	
NCS Americorps Subgrantees	DHA	94.009	11PTHUT001	27,717	
Refugee Vista Grant	Workforce Services	94.013	G-11VSPUT001	15,539	
Utah Campus Compact VISTA Project	U OF U	94.013	10VSPUT006	8,976	
NCS Americorps Subgrantees	DHA	94.021	10VGHUT001	65,886	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Corporation for National & Community Service	USU	N/A	VARIOUS	(10)	
Corporation for National & Community Service	USU	N/A	06VSPUT018 (110271)	199,534	
Corporation for National & Community Service	USU	N/A	DRCA (130366)	123,937	
AmeriCorps Student Awards	UVU	N/A	VARIOUS	83,989	
Pass-Through From Non-State Entities:					
Corporation National & Community Svc —					
Colorado Campus Compact	USU	N/A	VARIOUS	766	
Oregon Campus Compact	USU	N/A	VARIOUS	197	
The Corps Network	USU	N/A	VARIOUS	46,903	
Subtotal – Corporation for National and Community Service				2,399,267	1,551,918
DEFENSE, DEPARTMENT OF					
(R&D) Basic and Applied Scientific Res.	U OF U	* 12.300	VARIOUS	1,370,157	196,445
(R&D) Military Medical Research & Develop.	U OF U	* 12.420	VARIOUS	4,957,132	570,523
(R&D) Basic Scientific Research	U OF U	* 12.431	VARIOUS	387,052	12,676
(R&D) Basic, Applied, and Advanced Res.	U OF U	* 12.630	VARIOUS	2,221,115	1,309,701
(R&D) Air Force Defense Research Sci.	U OF U	* 12.800	VARIOUS	2,555,934	1,467,033
(R&D) Research and Technology Developmer	U OF U	* 12.910	VARIOUS	683,127	
Defense Logistics Agency	Governor's Office	12.002	SP4800-12-2-1239	290,325	
DSMOA	Environmental Q.	12.113	W912DY1220248	424,873	
Defense Human Resources Activity	Governor's Office	12.217	H98210-12-0016	112,999	
Military Construction	National Guard	12.400	W911YP-2001	856,236	
Military Operations and Maintenance	National Guard	12.401	W911YP-1001A	21,276,815	
Military Operations and Maintenance	National Guard	12.401	W911YP-1001D	1,237,572	
Environmental Resource Management	National Guard	12.401	W911YP-1002	2,973,007	
Military Operations and Maintenance	National Guard	12.401	W911YP-1003	1,298,588	
Military Operations and Maintenance	National Guard	12.401	W911YP-1004	384,778	
Military Operations and Maintenance	National Guard	12.401	W911YP-1005	4,510,147	
Military Operations and Maintenance	National Guard	12.401	W911YP-1007	520,201	
Anti Terrorism Program Activities	National Guard	12.401	W911YP-1010	117,968	
Military Operations and Maintenance	National Guard	12.401	W911YP-1015	698,479	
Military Operations and Maintenance	National Guard	12.401	W911YP-1021	1,958,355	
Military Operations and Maintenance	National Guard	12.401	W911YP-1023	604,523	
Military Operations and Maintenance	National Guard	12.401	W911YP-1024	1,792,440	
Military Operations and Maintenance	National Guard	12.401	W911YP-1040	238,218	
State Family Program Activities	National Guard	12.401	W911YP-1041	873,663	
MSP Signaling In Metastasis Of Breast Cance	U OF U	12.420	W81XWH1010366	34,034	
Research and Technical Assistance	Weber State	12.615	VARIOUS	18,406	
Star Talk	Education	12.901	VARIOUS	274,826	274,826
(R&D) Department of Defense	U OF U	* N/A	VARIOUS	1,642,213	149
(R&D) AF Research and Development	USU	* N/A	VARIOUS	3,312	
(R&D) AF Research and Development	USU	* N/A	FA8814-09-D-0001 TO001 (09S070001)	639,097	
(R&D) AF Research and Development	USU	* N/A	FA9453-08-C-0244 (08S063)	194,437	
(R&D) AF Research and Development	USU	* N/A	FA9550-11-1-0236 (110445)	130,912	
(R&D) AF Research and Development	USU	* N/A	IPA Agreement with Air Force (S00000381)	294,302	
(R&D) AF Research and Development	USU	* N/A	IPA Agreement with Air Force (S00001318)	142,596	
(R&D) AF Research and Development	USU	* N/A	IPA Agreement with Air Force (S00001319)	223,778	
(R&D) Army Research and Development	USU	* N/A	VARIOUS	56,320	
(R&D) Army Research and Development	USU	* N/A	W911NF-12-1-0008 (111074)	145,789	
(R&D) Army Research and Development	USU	* N/A	W911W6-06-C-0008 (06S035)	101,951	
(R&D) Department of Defense	USU	* N/A	VARIOUS	197,830	149,465
(R&D) Missile Defense Agency	USU	* N/A	VARIOUS	179,825	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0003 (S00005003)	725,532	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0004 (S00005004)	795,000	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0006 (S00005006)	6,501,425	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0007 (S00005007)	177,661	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0008 (S00005008)	255,462	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0009 (S00005009)	349,940	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0011 (S00005011)	276,649	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0012 (S00005012)	162,971	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0013 (S00005013)	1,076,129	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0015 (S00005015)	617,416	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0016 (S00005016)	3,264,686	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0017 (S00005017)	7,753,105	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0018 (S00005018)	852,142	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0019/NNG12LNS51 (S00005019)	412,972	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0020 (S00005020)	986,359	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0021 (S00005021)	303,261	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0022 (S00005022)	137,432	
(R&D) National Reconnaissance Office	USU	* N/A	NRO000-09-C-0309 (09S099001)	178,833	
(R&D) Navy Research and Development	USU	* N/A	VARIOUS	155,671	
(R&D) Navy Research and Development	USU	* N/A	N00014-09-1-0292 (090193)	181,283	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0002 (08S044002)	934,476	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0003 (08S044003)	568,512	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0004 (08S044004)	336,344	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0005 (08S044005)	3,047,038	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0006 (08S044006)	4,478,658	336,315
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001003)	480,545	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001004)	232,071	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001005)	290,201	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001006)	104,539	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001008)	423,885	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001009)	278,348	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001010)	785,694	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001012)	538,182	
(R&D) Navy Research and Development	USU	* N/A	N00173-12-D-2004 0001 (S12001013)	190,531	
(R&D) Navy Research and Development	USU	* N/A	N68936-10-D-0017 TO0005 (S00063005)	281,982	
(R&D) Navy Research and Development	USU	* N/A	N68936-10-D-0017 TO0006 (S00063006)	327,900	
(R&D) US Marines Research & Development	USU	* N/A	N00173-12-D-2004 0001 (S12001016)	556,815	
(R&D) US Marines Research & Development	USU	* N/A	N00173-12-D-2004 0001 (S12001017)	879,686	
Ogden Defense Depot	Environmental Q.	N/A	9210020922	2,852	
Hill Air Force Base	Environmental Q.	N/A	CerclaSctn120	120,266	
AF Research and Development	USU	N/A	VARIOUS	3,904	
Army Research and Development	USU	N/A	VARIOUS	16,288	
Pass-Through From Non-State Entities:					
(R&D) Basic and Applied Scientific Resh —					
Northeastern University	U OF U	* 12.300	504314P1104878	327,606	
University of CO At Boulder	U OF U	* 12.300	1548378	223,938	
University Of Notre Dame	U OF U	* 12.300	201839	616,839	
(R&D) Military Medical Research & Devel —					
Florida State University	U OF U	* 12.420	R01481	135,827	
The Geneva Foundation	U OF U	* 12.420	S123001	23,374	
Univ. of Alabama At Birmingham	U OF U	* 12.420	VARIOUS	25,736	
(R&D) Basic Scientific Reserach —					
Duke University	U OF U	* 12.431	11AR01066	84,991	
University Of California Davis	U OF U	* 12.431	0700159	78,662	
University Of Kentucky	U OF U	* 12.431	304810995913116	16,417	
(R&D) Prediction & Validation of Water —					
Purdue University	U OF U	* 12.630	410451084	35,625	
(R&D) 3-D ENZYMATIC —					
Columbia University	U OF U	* 12.800	1ACCT522395POG03171	136,191	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Research and Technology Develop —					
Case Western Reserve University	U OF U	* 12.910	RES503663	155,347	
George Mason University	U OF U	* 12.910	E2025234	4,799	
University Of Michigan	U OF U	* 12.910	F0235423001571504	44,003	
(R&D) AF Research and Development —					
Alliant Techsystems, Inc	USU	* N/A	VARIOUS	35,151	
Applied Technology Assoc Aerospace	USU	* N/A	VARIOUS	125,529	
ATA Aerospace	USU	* N/A	SDL03-100 TO3	106,567	
Atmospheric & Space Tech R Assoc, LLC	USU	* N/A	VARIOUS	90,716	
Box Elder Innovations, LLC	USU	* N/A	VARIOUS	36,238	
Exelis, Inc.	USU	* N/A	VARIOUS	2,747	
Georgia Institute of Technology	USU	* N/A	BOA 580-08 TO D6342-S1/HC1047-05-D-4000	383,413	
L-3 Communications	USU	* N/A	VARIOUS	793	
L-3 Communications	USU	* N/A	PO 202033/FA8527-11-D-0011	140,435	
Lockheed Martin	USU	* N/A	VARIOUS	64,669	
Lockheed Martin	USU	* N/A	4100747863 / F09604-03-D-0031	288,574	
Northrop Grumman	USU	* N/A	7500055856	612,020	
NorthWest Research Associates, Inc.	USU	* N/A	VARIOUS	2	
Norwich Univ Applied Research Inst	USU	* N/A	VARIOUS	723	
Science Applications Internatl Corp	USU	* N/A	VARIOUS	43,475	
Sensing Strategies, Inc	USU	* N/A	1077-08/FA8650-06-C-1077	347,487	
Sierra Nevada Corporation	USU	* N/A	VARIOUS	150,890	
Sierra Nevada Corporation	USU	* N/A	PO S12CNH171 / FA8750-11-C-0252	1,018,152	
Sierra Nevada Corporation	USU	* N/A	PO S13CNH065 / FA8750-13-C-0057	160,498	
SRC, Inc.	USU	* N/A	VARIOUS	22,448	
The Aerospace Corporation	USU	* N/A	VARIOUS	11,858	
Universal Technology Corporation	USU	* N/A	VARIOUS	59,414	
University of Wyoming	USU	* N/A	VARIOUS	(30,572)	
UTC Aerospace Systems	USU	* N/A	RCD-012-040	1,436,282	
Wavefront, LLC	USU	* N/A	VARIOUS	17,705	
(R&D) Navy Research and Development —					
BAE Systems	USU	* N/A	VARIOUS	142,783	
Exelis, Inc.	USU	* N/A	VARIOUS	(1,617)	
Management Sciences, Inc.	USU	* N/A	VARIOUS	(4,968)	
Raytheon	USU	* N/A	VARIOUS	63,978	
Raytheon	USU	* N/A	S00001450 / N00014-09-D-0726-0003	179,584	
Scientific Research Corporation	USU	* N/A	VARIOUS	56,272	
Stanley Associates, Inc.	USU	* N/A	VARIOUS	51,950	
Technology Service Corporation	USU	* N/A	VARIOUS	35,000	
University of Colorado, Boulder	USU	* N/A	VARIOUS	87,879	
(R&D) Department of Defense —					
Ball Aerospace	USU	* N/A	VARIOUS	34,168	
Ball Aerospace	USU	* N/A	11DLB30368	292,861	
Battelle Energy Alliance, LLC	USU	* N/A	VARIOUS	2,437	
Fairchild Imaging, Inc.	USU	* N/A	VARIOUS	22,202	
General Dynamics	USU	* N/A	PO 27ESM500757 / GDAIS-IS-09-001/0001	255,414	
Inhibikase Therapeutics, Inc.	USU	* N/A	VARIOUS	103,014	
ITT	USU	* N/A	VARIOUS	3,726	
Johns Hopkins University	USU	* N/A	VARIOUS	2,842	
Massachusetts Institute of Technology	USU	* N/A	VARIOUS	9,558	
Optimus Prime	USU	* N/A	2009-0730727-000	3,093,202	
Quantum Research International	USU	* N/A	VARIOUS	11,053	
Raytheon	USU	* N/A	VARIOUS	147,422	
Raytheon	USU	* N/A	4200573755	118,710	
Raytheon	USU	* N/A	4200575493	106,219	
Technology Service Corporation	USU	* N/A	VARIOUS	16,531	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Army Research and Development —					
Gannett Fleming, Inc.	USU	* N/A	VARIOUS	98,764	
Georgia Institute of Technology	USU	* N/A	VARIOUS	45,911	
L-3 Communications	USU	* N/A	218394 / FA8527-11-D-0011	195,038	
ManTech International Corporation	USU	* N/A	VARIOUS	5	
McMillen, LLC	USU	* N/A	W91238-10-D-0001	157,958	
Science Applications Internatl Corp	USU	* N/A	VARIOUS	88,620	
Sensing Strategies, Inc.	USU	* N/A	VARIOUS	4,660	
SRC, Inc.	USU	* N/A	VARIOUS	16,679	
Thermal Management Technologies, LLC	USU	* N/A	VARIOUS	14,900	
(R&D) Volume Charge Dist Measure Thin Dielectrics					
Box Elder Innovations	UVU	* N/A	FA9453-12-M-008	9,877	
(R&D) Department of Defense —					
Center For Plant Conservation	U OF U	* N/A	VARIOUS	150	
Electronic Bioscience Inc	U OF U	* N/A	VARIOUS	(3,638)	
Fisk University	U OF U	* N/A	FA95500910661PHASE2	43,949	
Giner Inc	U OF U	* N/A	VARIOUS	39,999	
Ibm Center For The Business Of Govt	U OF U	* N/A	4910001755	73,146	
Johns Hopkins University	U OF U	* N/A	973604	375,529	42,774
Laredo Technical Services	U OF U	* N/A	VARIOUS	36,888	
Lockheed Martin	U OF U	* N/A	957667	16,438	
Lumarray Inc	U OF U	* N/A	C0082102	41,318	
Materials And Systems Research Inc	U OF U	* N/A	20101	77,006	
North Carolina State University	U OF U	* N/A	210113B	53,864	
Nvidia Corporation	U OF U	* N/A	54128854	118,265	
Raytheon Company	U OF U	* N/A	950001046513919	243,197	
Reaction Engineering Intl	U OF U	* N/A	82222	1,925	
University Of Michigan	U OF U	* N/A	3002312549	70,965	
Wasatch Molecular Inc	U OF U	* N/A	F2472510014622	12,728	
(R&D) DARPA Department of Defense —					
Kitware	U OF U	* N/A	K00088600S05	62,539	
Nvidia Corporation	U OF U	* N/A	10028643	37,553	
(R&D) Navy Department of Defense —					
Espira	U OF U	* N/A	VARIOUS	2,537	
(R&D) US Marines Research & Development -					
General Dynamics	USU	* N/A	VARIOUS	3,353	
Formerly Used Defense Sites —					
EM Assist	Environmental Q.	12.113	USACE - FUDS	972	
Trial of 177LU Radiolabeled Monoclo —					
Weill Cornell Medical College	U OF U	12.420	0901004912070952	4,599	
Intermountain Jr. Science & Humanities Symp					
Academy Of Applied Science	U OF U	12.630	VARIOUS	32,410	
Department of Defense —					
Rockwell Collins Inc	U OF U	N/A	VARIOUS	49,115	
American Burn Association	U OF U	N/A	VARIOUS	71,134	
Foundation Fighting Blindness	U OF U	N/A	NNSPCTEC01090034UUTNER	(17,130)	
Institute Of International Education	U OF U	N/A	HQ00340820024	1	
National Marrow Donor Program	U OF U	N/A	577RITNP0201929	8,000	
Western Institute For Biomedical Resh	U OF U	N/A	VARIOUS	56,174	
Navy Research and Development —					
Management Sciences, Inc.	USU	N/A	VARIOUS	(13,073)	
Subtotal – Department of Defense				110,663,092	4,359,907

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
EDUCATION, DEPARTMENT OF					
ARRA-(SE) Special Ed Grants to States	Education	* 84.391	H391A090059	2,882,373	2,882,373
ARRA-Education Jobs Fund	Governor's Office	84.410	S410A100045	2,945,330	2,943,252
ARRA-(R&D) What Works and Innovation Fun	USU	* N/A	VARIOUS	2,322,915	2,322,915
ARRA-(R&D) What Works and Innovation Fun	USU	* N/A	U396B100267 (101008ARR)	1,679,075	
ARRA-(SDS) Utah Data Alliance	Education	84.384	R384A100056	4,014,595	
ARRA-(SIG) School Improvement Grants	Education	84.388	S388A090045	4,486,523	4,374,927
(ETSG) Technology Literacy Challenge	Education	84.318	S318X10/11/120044	469,069	459,682
(R&D) Improvement of Post-secondary Ed	USU	* 84.116	P116Z100173	155,995	
(R&D) Rehabilitation Long-Term Training	USU	* 84.129	VARIOUS	51,590	
(R&D) Natl Inst Disability & Rehabilitation —	USU	* 84.133	H133G110242	284,285	
(R&D) State Grants for Assistive Technology	USU	* 84.224	VARIOUS	41,634	
(R&D) Rehab Training General Training	USU	* 84.275	VARIOUS	66,418	
(R&D) Research in Special Education	USU	* 84.324	R324A100063 (090889)	441,036	
(R&D) Special Ed—Personnel Preparation	USU	* 84.325	H325K100501 (110045)	150,112	
(R&D) Special Ed—Personnel Preparation	USU	* 84.325	H325K120154 (121115)	162,221	
(R&D) Special Ed—Tech & Media Services	USU	* 84.327	VARIOUS	20,943	
(R&D)(SFA) Federal Work Study Program	USU	* 84.033	VARIOUS	54,705	
(R&D)(TRIO) Student Support Services	USU	* 84.042	VARIOUS	26,621	
(SE) IDEA Special Education	Education	* 84.027	H027A10/11/120059	117,417,727	111,714,243
(SE) Preschool Grant	Education	* 84.173	H173A10/11/120092	3,502,214	3,463,913
(SFA) Supp. Educ. Opportunity Grants	Davis ATC	* 84.007	P007A127112	35,686	
(SFA) Supp. Educ. Opportunity Grants	Mountainland ATC	* 84.007	P007A125818	5,976	
(SFA) Supp. Educ. Opportunity Grants	Ogden/Weber ATC	* 84.007	P007A126314	65,539	
(SFA) Supp. Educ. Opportunity Grants	Dixie College	* 84.007	P007A124194	173,036	
(SFA) Supp. Educ. Opportunity Grants	SLCC	* 84.007	P007A124220	307,202	
(SFA) Supp. Educ. Opportunity Grants	Snow College	* 84.007	VARIOUS	78,999	
(SFA) Supp. Educ. Opportunity Grants	SUU	* 84.007	P007A124213	156,441	
(SFA) Supp. Educ. Opportunity Grants	U OF U	* 84.007	P007A124217	534,335	
(SFA) Supp. Educ. Opportunity Grants	USU	* 84.007	VARIOUS	14,803	
(SFA) Supp. Educ. Opportunity Grants	USU	* 84.007	P007A124218	723,155	
(SFA) Supp. Educ. Opportunity Grants	UVU	* 84.007	P007A124219	476,572	
(SFA) Supp. Educ. Opportunity Grants	Weber State	* 84.007	P007A124221	427,934	
(SFA) Federal Work Study Program	Davis ATC	* 84.033	P033A127112	21,641	
(SFA) Federal Work Study Program	Ogden/Weber ATC	* 84.033	P033A126314	48,196	
(SFA) Federal Work Study Program	Dixie College	* 84.033	P033A124194	193,286	
(SFA) Federal Work Study Program	SLCC	* 84.033	P033A124220	415,357	
(SFA) Federal Work Study Program	Snow College	* 84.033	P033A124212	69,608	
(SFA) Federal Work Study Program	SUU	* 84.033	P033A124213	239,054	
(SFA) Federal Work Study Program	U OF U	* 84.033	VARIOUS	1,338,823	
(SFA) Federal Work Study Program	USU	* 84.033	P033S124218	673,379	
(SFA) Federal Work Study Program	UVU	* 84.033	P033A114219	26,284	
(SFA) Federal Work Study Program	UVU	* 84.033	P033A124219	770,199	
(SFA) Federal Work Study Program	Weber State	* 84.033	P033A114221/P033A124221	921,896	
(SFA) Perkins Loan Program	SUU	* 84.038	P038A094213	17,166	
(SFA) Perkins Loan Program	U OF U	* 84.038	P038A124217	328,135	
(SFA) Perkins Loan Program	USU	* 84.038	P038A124218	119,543	
(SFA) Perkins Loan Program	Weber State	* 84.038	P038A124221	66,759	
(SFA) Pell Grant Program	Bridgerland ATC	* 84.063	P063P123761	1,029,602	
(SFA) Pell Grant Program	Davis ATC	* 84.063	P063P123839	1,095,218	
(SFA) Pell Grant Program	Dixie ATC	* 84.063	P063P126204	306,449	
(SFA) Pell Grant Program	Mountainland ATC	* 84.063	P063P125818	621,378	
(SFA) Pell Grant Program	Ogden/Weber ATC	* 84.063	P063P124239	1,790,104	
(SFA) Pell Grant Program	Southwest ATC	* 84.063	P063P125928	213,688	
(SFA) Pell Grant Program	Uintah Basin ATC	* 84.063	P063P123427	152,224	
(SFA) Pell Grant Program	Dixie College	* 84.063	VARIOUS	17,742,068	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(SFA) Pell Grant Program	SLCC	* 84.063	VARIOUS	41,352,821	
(SFA) Pell Grant Program	Snow College	* 84.063	VARIOUS	5,804,611	
(SFA) Pell Grant Program	SUU	* 84.063	P063P122352	12,832,942	
(SFA) Pell Grant Program	U OF U	* 84.063	VARIOUS	32,850,263	
(SFA) Pell Grant Program	USU	* 84.063	VARIOUS	37,764,180	
(SFA) Pell Grant Program	UVU	* 84.063	P063P112493	(31,798)	
(SFA) Pell Grant Program	UVU	* 84.063	P063P122493	52,186,619	
(SFA) Pell Grant Program	Weber State	* 84.063	VARIOUS	30,927,180	
(SFA) TEACH Grants	USU	* 84.379	VARIOUS	10,500	
(SFA) TEACH Grants	USU	* 84.379	F13 TEACH (F13TEACH)	386,233	
(SIG) School Improvement Grants	Education	84.377	S377A10/11/120045	2,883,157	2,684,075
(TIPA) Title I Grants to Local Ed Agencies	Education	* 84.010	S010A10/11/120044	91,341,681	90,580,178
(TRIO) Student Support Services	Dixie College	84.042	P042A10100174	300,600	
(TRIO) Student Support Services	SLCC	84.042	P042A100798	272,566	
(TRIO) Student Support Services	Snow College	84.042	VARIOUS	328,194	
(TRIO) Student Support Services	SUU	84.042	P042A060878	270,987	
(TRIO) Student Support Services	U OF U	84.042	P042A100856	331,082	
(TRIO) Student Support Services	USU	84.042	P042A110004	267,966	
(TRIO) Student Support Services	USU	84.042	P042A110585	386,937	
(TRIO) Student Support Services	UVU	84.042	P042A101437	236,876	
(TRIO) Student Support Services	Weber State	84.042	P042A101501	388,292	
(TRIO) Talent Search	Dixie College	84.044	P044A070343	389,716	
(TRIO) Talent Search	SLCC	84.044	P044A110418	209,346	
(TRIO) Talent Search	SUU	84.044	P044A060385	513,928	
(TRIO) Talent Search	USU	84.044	VARIOUS	5,027	
(TRIO) Talent Search	USU	84.044	P044A110528	359,338	
(TRIO) Talent Search	UVU	84.044	P044A110955	275,437	
(TRIO) Talent Search	Weber State	84.044	P044A110773	223,010	
(TRIO) Upward Bound	Dixie College	84.047	P047A080310	343,792	
(TRIO) Upward Bound	Snow College	84.047	VARIOUS	324,144	
(TRIO) Upward Bound Program	SUU	84.047	P047A070318	254,921	
(TRIO) Upward Bound	U OF U	84.047	P047A07/P047A120645	385,703	
(TRIO) Upward Bound	USU	84.047	P047A100228	463,887	
(TRIO) Upward Bound	USU	84.047	P047A120065	185,541	
(TRIO) Upward Bound	UVU	84.047	P047A081130	42,090	
(TRIO) Upward Bound	UVU	84.047	P047A120673	289,129	
(TRIO) Upward Bound	Weber State	84.047	VARIOUS	657,145	
Adult Education--Basic Grants to States	Education	84.002	V002A10/11/120044	3,050,774	2,683,162
Title I Migrant Education	Education	84.011	S011A10/11/120045	1,815,810	1,626,761
Title I Neglected & Delinquent Children	Education	84.013	S013A10/11/120044	674,042	607,527
Title VI National Resource Center And FLAS	U OF U	84.015	P015A/P015B100198	731,322	286,507
Enhancing Latin Am Studies, Cultures, & Lang	U OF U	84.016	P016A120004	62,061	
Higher Education Institutional Aid	USU	84.031	P031X00006-NASNTI (SJ)	417,979	
Endowment Challenge Grant	CEU	84.031	P0131G10013	283,007	
Federal Reinsurance Received on					
Defaulted Loan Claims Paid				40,467,318	
Less Collection on Defaulted Loans					
Remitted to Department of Education				(6,099,381)	
Net Federal Reinsurance Received on					
Defaulted Loan Claims Paid	Board of Regents	* 84.032	VARIOUS	34,367,937	
Special Allowance Payments	Board of Regents	* 84.032	VARIOUS	(19,997,698)	
Federal Interest Subsidy Payments	Board of Regents	* 84.032	VARIOUS	8,322,962	
Default Adversion Fee	Board of Regents	* 84.032	VARIOUS	191,756	
Account Maintenance Fee	Board of Regents	* 84.032	VARIOUS	1,088,800	
Career and Technical Education	Education	* 84.048	V048A10/11/120044	13,427,092	7,345,008
Career & Technical Education--Nat'l Pgrms	Education	84.051	V051C100005	235,204	117,699

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Improvement of Postsecondary Education	USU	84.116	VARIOUS	75,096	22,800
Improvement of Postsecondary Education	USU	84.116	P116B100152	175,525	
FIPSE - DESHM	UVU	84.116	P116N080008	4,148	
EU US Atlantis	UVU	84.116	P116J090088	12,440	
Fund for the Improvement of Postsecondary E	Weber State	84.116	P116Z080202	62,809	
Vocational Rehab. Basic Title 1 Section	Education	* 84.126	H126A11/12/130066	46,974,078	100,420
Rehabilitation Long-Term Training	USU	84.129	VARIOUS	124,611	
Rehabilitation Long-Term Training	USU	84.129	H129B090052	106,517	
Rehabilitation Long-Term Training	USU	84.129	H129W100002	100,221	
Migrant Education Coordination	Education	84.144	S144F06/080045	50,006	37,319
Independent Living Services	Education	84.169	H169A10/11/120065	341,603	341,603
Independent Living Older Blind Persons	Education	84.177	H177B10/11/12100044	221,828	209,614
Infants and Toddlers with Disabilities	Health	84.181	H181A120111	5,922,291	3,603,861
Title VI Supported Employment	Education	84.187	H187A10/11/120067	482,492	
Homeless Child Education	Education	84.196	S196A10/11/120046	432,300	363,510
FIE - Boot Camp High Schl Entrepreneurs	UVU	84.215	U215K100137	43,766	
Fund for the Improvement of Education	Weber State	84.215	U215K100083	2,506	
State Grants for Assistive Technology	USU	84.224	VARIOUS	7,814	
State Grants for Assistive Technology	USU	84.224	H224A120044	427,536	
Vocational Education Tech Preparation	Education	84.243	V243A10/11/120044	418,846	159,457
Vocational Rehab. In-Service Training	Education	84.265	H265A05/100029	47,322	
Rehabilitation Training General Training	USU	84.275	H275A100001A	176,498	
After School Learning 21st Century	Education	84.287	S287C10/11/120045	5,902,373	5,767,448
State Improvement Grant IDEA	Education	84.323	H323A070016	439,483	439,483
Spec. Ed–Personnel Children w Disabilities	U OF U	84.325	VARIOUS	917,745	
Special Ed–Personnel Preparation	USU	84.325	VARIOUS	156,113	
Special Ed–Personnel Preparation	USU	84.325	H325D090053A (090225)	179,276	
Special Ed–Personnel Preparation	USU	84.325	H325N100038 (100373)	136,845	
Special Ed – Technical Assit. and Dissmin.	USU	84.326	H326R090003 (100114)	1,400,551	
Deaf Blind Centers	USDB	84.326	H326C030012	101,157	
Advanced Placement Program Test Fees	Education	84.330	S330B100045/110008	160,480	
Training Incarcerated Youth	Education	84.331	Q331A10/11/120046	3,001	
Gear Up	SUU	84.334	VARIOUS	27,750	
Gaining Early Awareness & Readiness Under	USU	84.334	VARIOUS	518,197	518,197
Gaining Early Awareness & Readiness Under	USU	84.334	P334A120037 (120058)	655,550	
Gear-Up Scholarships	UVU	84.334	P334S050019	1,648,049	
Gear-Up	UVU	84.334	P334S110015	3,144,366	547,021
Child Care Access Means Parents in School	SLCC	84.335	P335A090046	98,528	
Child Care Access Means Parents in School	SUU	84.335	P335A090204-10	66,139	
Child Care Access Means Parents in School	U OF U	84.335	P335A100149	138,979	
Child Care Access Means Parents in School	UVU	84.335	P335A090223	218,053	
Rural Education	USU	84.358	VARIOUS	32,022	
English Language Acquisition	Education	84.365	T365A10/11/120044	5,229,768	4,982,945
Math and Science Partnership	Education	84.366	S366B10/11/120045	1,497,343	1,120,267
Improving Teacher Quality	Education	84.367	S367A10/11/120042	17,652,187	17,132,795
No Child Left Behind	Board of Regents	84.367	S367B080039A / 090039	455,232	
Discretionary Assessment-Math, Disabilities	Education	84.368	S368A090019	6,180	
State Assessments	Education	84.369	S369A10/11/120046	4,807,270	
College Access Challenge Grant Program	Board of Regents	84.378	P378A090024 / A100024	1,467,409	
College Access Challenge Grant Program	Snow College	84.378	VARIOUS	37,475	
Education Statistics System	Education	N/A	VARIOUS	240,188	
Department of Education	U OF U	N/A	VARIOUS	196,728	
Pass-Through From Non-State Entities:					
ARRA-Centers for Independent Living —		N/A			
TIRR Memorial Hermann	USU	N/A	VARIOUS	55,275	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(CIL) Centers for Independent Living —					
Independent Living Resh Utilization	USU	84.132	VARIOUS	55,815	
TIRR Memorial Herman	USU	84.132	VARIOUS	129,958	
(R&D) WY Assessment of Rehab Needs 2012					
State Of Wyoming	U OF U	* 84.126	VARIOUS	14,654	
(R&D) Natl Inst Disability & Rehabilitation —					
Penn State University	USU	* 84.133	VARIOUS	67,176	
(R&D) Ed Research, Develop, & Dissem —					
University of Minnesota	USU	* 84.305	VARIOUS	110,721	
(R&D) Department of Education —					
University Of Utah Research Foundation	U OF U	* N/A	VARIOUS	(8,951)	
Wested	U OF U	* N/A	S12174	24,199	
(SE) Special Ed Grants to States —					
Iowa Department of Education	USU	* 84.027	VARIOUS	16,867	
Kansas State Board of Education	USU	* 84.027	21007	477,747	
State of Idaho Department of Education	USU	* 84.027	VARIOUS	15	
State of Wyoming Dept of Education	USU	* 84.027	VARIOUS	2,693	
Fund Improvement of Postsecondary Ed —					
LaGuardia Community College	SLCC	84.116	P116B100295	4,166	
Natl Inst Disability & Rehabilitation —					
Independent Living Rsch Utilizatio	USU	84.133	VARIOUS	9,941	
TIRR Memorial Herman	USU	84.133	VARIOUS	19,060	
Special Ed Grants for Infants and Families —					
Nebraska Dept of Ed	USU	84.181	VARIOUS	71,736	
Fund for the Improvement of Education —					
United Way of Northern Utah	Weber State	84.215	042012-002	4,200	
Fund for the Improvement of Education —					
Ogden City School District	Weber State	84.215	MOU PO#3790	17,637	
Special Proj & Demonstrations for Providing —					
George Washington Univ	USU	84.235	VARIOUS	2,653	
Gear Up —					
Ogden City School District	Weber State	84.334	VARIOUS	179,906	
Supporting Effective Educator Develop. —					
National Writing Project Corp	Weber State	84.367	07-UT02-SEED2012	12,016	
Investing in Innovation (i3) Fund —					
State of Wyoming Department of Ed	USU	84.411	VARIOUS	34	
Subtotal – Department of Education				638,072,415	269,438,962
<u>ELECTION ASSISTANCE COMMISSION</u>					
Election Assistance Commission	SUU	90.400	E4064552E	9,626	
Help America Vote Act Requirements Pmnts.	Governor's Office	90.401	VARIOUS	595,607	
Subtotal – Election Assistance Commission				605,233	0
<u>ENERGY, DEPARTMENT OF</u>					
ARRA- State Energy Program	Governor's Office	* 81.041	DE-EE0000083	2,737,294	1,176,987
ARRA-Weatherization Low-Income Persons	Workforce Services	81.042	DE-EE0000080-001	85,838	
ARRA-Weatherization Low-Income Persons	Workforce Services	81.042	DE-EE0003869	99,157	
ARRA-Energy Efficiency & Renewable Info	SLCC	81.117	EDE-EE002090	19,921	
ARRA-Energy Assurance Planning	Governor's Office	81.122	DE-EE0000067	102,649	
ARRA-Electricity Regulators Assistance	Public Service Comm	81.122	DE-OE0000131	124,346	
ARRA-Electricity Delivery & Energy Reliability	SLCC	81.122	DE-OE0000457	111,285	
ARRA-Electricity Delivery & Energy Reliability	SLCC	81.122	DE-OE0000458	625,835	
ARRA-Energy Efficiency and Conservation	Governor's Office	81.128	DE-EE0000887	626,898	560,362
ARRA-(R&D) Renewable Energy R&D	U OF U	* 81.087	VARIOUS	821,609	184,248

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
ARRA-(R&D) Site Char Geo Formations	U OF U	* 81.134	DE-FE0001812@2003030	1,039,938	657,751
ARRA-(R&D) Department of Energy	USU	* N/A	VARIOUS	43,497	(6,412)
ARRA-(R&D) Department of Energy	USU	* N/A	DE-EE0002848 (100089ARR)	135,408	
ARRA-(R&D) Department of Energy	USU	* N/A	DE-SC0004991 (090729ARR)	147,962	
(R&D) Innovation Ecosystem Develop Initiative	U OF U	* 81.036	DESC0005480	347,281	
(R&D) Office of Science Financial Assist	U OF U	* 81.049	VARIOUS	5,018,196	521,542
(R&D) Conservation Research and Devlp	U OF U	* 81.086	VARIOUS	1,872,167	
(R&D) Renewable Energy Research & D	U OF U	* 81.087	VARIOUS	1,489,647	436,553
(R&D) Fossil Energy Research and Develop	U OF U	* 81.089	VARIOUS	2,230,511	92,112
(R&D) Nuclear Energy Resh, Develop & Demc	U OF U	* 81.121	VARIOUS	107,851	
(R&D) Accelerating Deployment of Co2 Captui	U OF U	* 81.124	DENA0000740	1,082,005	98,054
(R&D) Advanced Research & Projects Agency	U OF U	* 81.135	VARIOUS	1,142,395	284,806
(R&D) Center for Novel Biomarkers of Respon	U OF U	* N/A	52828	2,567	
(R&D) Department of Energy	U OF U	* N/A	VARIOUS	1,394,584	47,897
(R&D) Argonne National Laboratory	USU	* N/A	VARIOUS	8,277	
(R&D) Department of Energy	USU	* N/A	VARIOUS	355,743	138,282
(R&D) Department of Energy	USU	* N/A	DE-EE0003114 (100859)	877,486	
(R&D) Department of Energy	USU	* N/A	DE-NA0001740 (120591)	108,365	
(R&D) Idaho National Laboratory	USU	* N/A	VARIOUS	(8)	
(R&D) Idaho National Laboratory	USU	* N/A	00131696 (120979)	117,534	
(R&D) Los Alamos National Security	USU	* N/A	VARIOUS	8,781	
(R&D) National Renewable Energy Lab	USU	* N/A	VARIOUS	24,859	
(R&D) Sandia National Laboratory	USU	* N/A	VARIOUS	46,492	
(R&D) Science	USU	* N/A	VARIOUS	2,183	(153)
(R&D) Science	USU	* N/A	DE-FC02-08ER54973 (080773)	101,140	
State Energy Program	Governor's Office	* 81.041	DE-FG26-07NT43206	127,567	
Weatherization Assist Low-Income Persons	Workforce Services	81.042	DE-EE0000148	2,947,118	2,589,763
Rocky Mtn Solar Instructor Training Providers	SLCC	81.087	DE-EE0005677	378,378	245,705
Shale Oil Reservoir (USHO)	Natural Resources	81.089	DE-FE0010667	64,041	
VITRO	Environmental Q.	81.104	DE-FC01-99GJ79483	648	
Green River DOE	Environmental Q.	81.104	DE-FC01-99GJ79483	1,052	
Monticello DOE	Environmental Q.	81.104	DE-FG01-07LM00113	14,724	
Nuclear Engineering Prgm Scholarships & F	U OF U	81.121	DENE0000563	5,000	
Department of Energy	U OF U	N/A	VARIOUS	20,260	
2nd Year NLM Assoc Fellowship Program	U OF U	N/A	VARIOUS	3,239	
Department of Energy	USU	N/A	DE-NE0000368 (100887)	153,432	
Pass-Through From Non-State Entities:					
ARRA-Workforce Training Electric Power — University Of Minnesota	U OF U	81.122	A000211567	10,223	
ARRA-(R&D) Performance Engineering R — University of South Carolina	U OF U	* 81.049	146097	12,604	
ARRA-(R&D) Renewable Energy R&D — Altarock Energy	U OF U	* 81.087	VARIOUS	326,691	39,825
Boise State University	U OF U	* 81.087	014G106215B	122,695	
Ruby Mountain Inc	U OF U	* 81.087	10015028	32,563	
ARRA-(R&D) Reg Seq Tech Training — New Mexico Institute Of Mining & Tech	U OF U	* 81.133	DRSE10	49,017	
ARRA-(R&D) Kiln Biomass Firing — Houghton Cascade Holdings LLC	U OF U	* N/A	10028621	81,268	
(R&D) Office of Science Fin Assistance — Polytechnic Inst Of New York Univ	U OF U	* 81.049	4006671DESC0006872	24,761	
Reaction Engineering Intl	U OF U	* 81.049	82181	219,641	
University Of Colorado At Boulder	U OF U	* 81.049	1547650	106,727	
University Of South Carolina	U OF U	* 81.049	101725	238,595	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Create, Review & Teach Course —					
Stanford University	U OF U	* 81.087	DEEE0004946	10,765	
University Of Nevada Reno	U OF U	* 81.087	UNR1114	47,868	
(R&D) Fossil Energy Research & Develop. —					
New Mexico Institute Of Mining	U OF U	* 81.089	DSWT20	284,553	15,272
Stanford University	U OF U	* 81.089	2428829046112A	16,898	
University of Kentucky	U OF U	* 81.089	46900105504	23,754	
Virginia Polytechnic Institute	U OF U	* 81.089	41638719122	(1,132)	
(R&D) Initiative For Nuclear —					
University of Nevada Reno	U OF U	* 81.104	UNR1188	368,179	
(R&D) Nuclear Materials —					
University of Nevada Reno	U OF U	* 81.121	UNR1164	(51)	
(R&D) Ntl Gas To Chem Reactive Sep —					
Ceramatec Inc	U OF U	* 81.135	10029446	17,586	
(R&D) Department of Energy —					
University Of Nevada Reno	U OF U	* N/A	UNR1143	257,147	
Battelle Energy Alliance, Llc	U OF U	* N/A	00130837/00100874	159,537	430
Battelle Memorial Institute	U OF U	* N/A	82888	9,884	
American Iron And Steel Institute	U OF U	* N/A	10029234 AISI	403,243	
Battelle Memorial Institute	U OF U	* N/A	198996	40,373	
Headwaters Inc	U OF U	* N/A	T01AMENDMENT2	116,398	30,869
Newpath Research Llc	U OF U	* N/A	VARIOUS	24,715	
Ormat Technologies Inc	U OF U	* N/A	10020514	1,092	
Praxair Inc	U OF U	* N/A	10001708	(3,119)	
Reaction Engineering Intl	U OF U	* N/A	82281	175,093	
Rti International	U OF U	* N/A	23400211955	16,939	
Savannah River Nuclear Solutions, Llc	U OF U	* N/A	AC723290	176	
Southern Methodist University	U OF U	* N/A	DEAC5209NA29325	17,661	
Spectral Sciences	U OF U	* N/A	9493	90,103	
University Of Wisconsin-Madison	U OF U	* N/A	354K760	98,309	
(R&D) Research and Development —					
Battelle	USU	* N/A	00091896	116,332	
Battelle Energy Alliance, LLC	USU	* N/A	VARIOUS	154,607	
Battelle Energy Alliance, LLC	USU	* N/A	00118627	159,142	
Battelle Energy Alliance, LLC	USU	* N/A	00128493	145,992	
Battelle Memorial Institute, PNWNL	USU	* N/A	VARIOUS	30,000	
Bechtel Marine Propulsion Corp / Bettis L	USU	* N/A	VARIOUS	7,976	
Brigham Young University	USU	* N/A	VARIOUS	21,058	
Brigham Young University	USU	* N/A	DE-NA000439, 10-0308	127,618	
Houston Advanced Research Center	USU	* N/A	VARIOUS	21,285	
Montana State University	USU	* N/A	VARIOUS	15,314	
New Mexico Institute of Mining & Tech	USU	* N/A	DDCK10	104,955	
Ohio State University Research Foundation	USU	* N/A	VARIOUS	68,435	
University of Wyoming	USU	* N/A	1000597	249,924	
Virginia Tech Polytechnic Institute / SU	USU	* N/A	429305-19138/DE-FE0005476	114,915	
Petrological & Petrophysical Characterization-					
Indiana University	U OF U	81.089	BL4043400UTAH	42,601	
Waste Isolation Pilot Plant —					
Western Governors Association	Environmental Q.	81.106	30-312-08	115,515	
Nuclear Forensics Education Award Prgm —					
Medical Univ of South Carolina	U OF U	N/A	MUSC12107	94,837	
Subtotal – Department of Energy				31,766,414	7,113,893

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>ENVIRONMENTAL PROTECTION AGENCY</u>					
ARRA-Brownfields Assessment	Environmental Q.	66.818	2B97882401	21,641	
(R&D) Evaluating The Flux From Sediments	U OF U	* 66.461	96814401	23,579	
(R&D) Quantitative Assess Mercury Influx GSI	U OF U	* 66.517	AE83458301	5,723	
(R&D) Environmental Protection	USU	* N/A	VARIOUS	38,364	
(R&D) Environmental Protection	USU	* N/A	RD-83418601 (080915)	347,896	
Urban Air Toxics Monitoring 103	Environmental Q.	66.034	XA96807501	26,179	
Air Monitoring Network PM 2.5	Environmental Q.	66.034	PM96813201	450,262	
Surveys, Studies, Research, Investigations	Weber State	66.034	XA-83523201	82,327	
National Utah Clean School Bus	Environmental Q.	66.039	DE97868101	288,811	
Diesel School Bus Retrofit	Environmental Q.	66.040	DS97867201	252,262	
Diesel School Bus Retrofit	Environmental Q.	66.040	DS96800101	91,868	
Infrastructure 3 percent Setaside	Environmental Q.	66.202	XP988760-01	15,441	
EPA FFY 12 Underground Injection Control	Natural Resources	66.433	G008426-12	18,361	
EPA FFY 13 Underground Injection Control	Natural Resources	66.433	G008426-13	61,242	
Water Quality Management Planning	Environmental Q.	66.454	C6008567-12	47,259	
Clean Water Capitalization Grant SRF	Environmental Q.	66.458	CS490001-11	283,543	
Clean Water Capitalization Grant SRF	Environmental Q.	66.458	CS490001-12	858,781	786,220
NPS Projects 07	Environmental Q.	66.460	C9998187-07	211,677	207,488
NPS Projects 08	Environmental Q.	66.460	C9998187-08	66,237	66,237
NPS Projects 09	Environmental Q.	66.460	C9998187-09	38,479	38,179
NPS Projects 10	Environmental Q.	66.460	C9998187-10	124,556	108,510
NPS Projects 11	Environmental Q.	66.460	C9998187-11	270,319	182,346
NPS Projects 12	Environmental Q.	66.460	C9998187-12	163,348	140,001
GSL Wetlands	Environmental Q.	66.461	CD96811401	127,821	
GSL Wetlands	Environmental Q.	66.461	CD96812201	149,077	
EPA Wetland Prgm Develop FFY 10 (UW10)	Natural Resources	66.461	CD-96811101-0	14,999	
EPA Wetland Prgm Develop FFY 11 (UW11)	Natural Resources	66.461	CD-96811901-0	88,539	45,049
EPA Wetland Prgm Develop FFY 12 (UW12)	Natural Resources	66.461	CD-96812601-0	10,838	13,275
West Desert Wetlands (UWDW)	Natural Resources	66.461	CD-97847201-2	24,082	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-09	2,094	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-10	209,877	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-11	2,071,940	743,000
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-12	1,003,889	63,000
Water Protection Coordination	Environmental Q.	66.474	WP978654-01	13,667	
Nat'l Student Design Competition--Sustainabi	U OF U	66.516	VARIOUS	26,759	
Performance Partnership Grant	Environmental Q.	* 66.605	BG99847511	6,711,759	
Exchange Network	Environmental Q.	66.608	OS834345-01	134,222	
Environmental Protection Exchange Network	Health	66.608	83465001	40,080	
EPA Certification	Agriculture	66.700	BG998582-99	35,858	
EPA Pesticide Initiative	Agriculture	66.700	BG998582-99	59,215	
EPA Enforcement	Agriculture	66.700	BG998582-99	241,493	
Five Points NPL Site	Environmental Q.	66.802	V97824401	303,152	
Jacob Smelters OU2	Environmental Q.	66.802	V98804001-0	54,225	
Sharon Steel OU1 O/M	Environmental Q.	66.802	V988224-01-1	26,414	
Superfund Block	Environmental Q.	66.802	V97840601	1,001,973	
Eureka Mills NPL	Environmental Q.	66.802	V97843801	29,600	
Midvale Slag Ground Water	Environmental Q.	66.802	V97844201	68,813	
Flagstaff/Davenport Remedial Action	Environmental Q.	66.802	V97844001	58,936	
Portland Cement O&M	Environmental Q.	66.802	V96807401	30,852	
UST Program	Environmental Q.	66.804	L97883202	386,093	
LUST Supplemental	Environmental Q.	66.805	LS978712-01	53,331	
LUST Trust Cooperative Agreement	Environmental Q.	66.805	LS97883301	910,775	
Solid Waste Management Assistance Grant	Environmental Q.	66.808	X196802701	31,408	31,408
Brownfield State Response Program	Environmental Q.	66.817	RP98899302	544,557	
Environmental Protection	USU	N/A	96810501 (120435)	200,000	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Pass-Through From Non-State Entities:					
(R&D) SCERP —					
University Of Texas At El Paso	U OF U	* 66.202	2630082264	99,810	
(R&D) Framework Context-Sensitive Spatially					
N Carolina State University	U OF U	* 66.509	2009231301	11,876	
(R&D) Werf Bmp: To5B —					
Colorado State University	U OF U	* 66.511	G59083	4,505	
(R&D) Environmental Protection —					
Dept of Conservation & Natural Resources	USU	* N/A	VARIOUS	(2)	
Luna Innovations, Inc.	USU	* N/A	VARIOUS	14,861	
Michigan State University	USU	* N/A	VARIOUS	33,388	
Missouri Univ of Science and Technology	USU	* N/A	VARIOUS	5,000	
Univ of Kansas Center for Research (KUCR)	USU	* N/A	VARIOUS	13,840	
Utah Society of Environmental Education	USU	* N/A	VARIOUS	634	
Environmental Protection —					
Colorado State University	USU	N/A	VARIOUS	15,412	
Subtotal – Environmental Protection Agency				18,653,817	2,424,713
<u>EQUAL EMPLOYMENT OPPORTUNITY COMMISSION</u>					
EEOC Anti-Discrimination Contract	Labor Comm.	30.001	2113FPSP0084	407,500	
EEOC Anti-Discrimination Contract	Labor Comm.	30.001	2113FPSP0084	120,000	
Subtotal – Equal Employment Opportunity Commission				527,500	0
<u>EXECUTIVE OFFICE OF THE PRESIDENT</u>					
High Intensity Drug Trafficking Areas Prgm	Public Safety	95.001	HIDTA	653,842	
Subtotal – Executive Office of the President				653,842	0
<u>FEDERAL COMMUNICATIONS COMMISSION</u>					
National Deaf-Blind Equipment Distribution	Public Service Comm	N/A	VARIOUS	7,195	
Subtotal – Federal Communications Commission				7,195	0
<u>GENERAL SERVICES ADMINISTRATION</u>					
Federal Property Assistance	Surplus Property	39.003	VARIOUS	88,425	
Subtotal – General Services Administration				88,425	0
<u>HEALTH AND HUMAN SERVICES, DEPARTMENT OF</u>					
(AGE) Title III B Supportive Services	Human Services	93.044	12AAUTT3SP	1,502,777	1,424,777
(AGE) Title III B Supportive Services	Human Services	93.044	13AAUTT3SP	358,038	327,907
(AGE) Title III C1 Congregate Meals	Human Services	93.045	11AAUTT3SP	154,411	154,411
(AGE) Title III C1 Congregate Meals	Human Services	93.045	12AAUTT3SP	2,233,915	1,853,388
(AGE) Title III C2 Home-Delivered Meals	Human Services	93.045	12AAUTT3SP	877,836	814,430
(AGE) Title III C2 Home-Delivered Meals	Human Services	93.045	13AAUTT3SP	291,933	291,933
(AGE) Nutrition Services Incentive Program	Human Services	93.053	11AAUTNSIP	761,764	761,764
(AGE) Nutrition Services Incentive Program	Human Services	93.053	12AAUTNSIP	913,731	913,731
ARRA-Trans-NIH Recovery Act R S	U OF U	93.701	VARIOUS	862,939	8,230
ARRA-Extramural Facilities Construction	U OF U	93.702	1C06RR022056-01	3,008,504	
ARRA-State Advisory	Health	93.708	90SC0046/01	644,717	
ARRA-Enhanced Interop Electronic Hth Recor	Health	93.712	1U66IP000432-01	143,765	
ARRA-Statewide Clinical Hlth Info Exchange (I	Health	93.719	90HT0026-01	958,609	
ARRA-Collab Chron Dis, Hlth Prom & Surveil	Health	93.723	3U58DP001993-01S3	43,644	11,587
ARRA-Systolic Blood Pressure Intervention St	U OF U	N/A	HHSN268200900046C	(83)	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
ARRA-(MED) HIT Infor Tech Planning Docum	Health	* 93.778	05-1205UTIMPL	90,539	
ARRA-(MED) HIT Incentive Payments	Health	* 93.778	05-1205UTINCT	1,209,368	
ARRA-(R&D) Trans-NIH Recovery Act R S	U OF U	* 93.701	VARIOUS	1,508,762	187,678
ARRA-(R&D) Primary Care Practice Redesign	U OF U	* 93.715	1R18HS02010601	1,284,341	
ARRA-(R&D) NIH National Heart Lung & Blood	U OF U	* N/A	VARIOUS	47,681	25,912
ARRA-(R&D) NIH National Library of Medicine	U OF U	* N/A	VARIOUS	12,513	
ARRA-(R&D) Ins Diabetes, Digestive, & Kidne	USU	* N/A	VARIOUS	27	
ARRA-(R&D) Institute on Aging	USU	* N/A	VARIOUS	80,640	
ARRA-(R&D) Institute on Drug Abuse	USU	* N/A	VARIOUS	5,181	
ARRA-(R&D) National Institute of Health	USU	* N/A	VARIOUS	117,229	99,067
ARRA-(R&D) National Institute of Health	USU	* N/A	2R01AG021136 (090011ARR)	175,583	
(CC) Child Care Develop – Discretionary	Workforce Services	* 93.575	G-/11/12/13 01UTCCDF	29,541,604	1,320,126
(CC) Child Care Develop – Mandatory/Match	Workforce Services	* 93.596	G-/11/12/13 01UTCCDF	19,219,908	
(MED) Medicaid Fraud Unit	Attorney General	* 93.775	01-1201-UT5050	339,146	
(MED) Medicaid Fraud Unit	Attorney General	* 93.775	01-1301-UT5050	1,007,891	
(MED) LTC Title 19 Cert	Health	* 93.777	051305UT5000	908,323	
(MED) HIB Title 18 Cert	Health	* 93.777	051305UT5000	2,066,700	
(MED) HIT Administrative Implementation Pay	Health	* 93.778	05-1305UTIMPL	437,235	
(MED) HIT Incentive Payments	Health	* 93.778	05-1305UTINCT	14,738,606	
(MED) Title 19 Administration	Health	* 93.778	5-1305UT5ADM	72,165,122	8,117,367
(MED) Title 19 Medical Assistance Payments	Health	* 93.778	5-1305UT5MAP	1,346,781,025	970,383
(R&D) Rocky Mountain Ctr Translation Resear	U OF U	* 93.065	5P01HK000069-02	128,228	23,110
(R&D) Food and Drug Administration - Resh	U OF U	* 93.103	5U18FD004034-02	398,681	85,480
(R&D) Environmental Health	U OF U	* 93.113	VARIOUS	1,117,927	
(R&D) Oral Diseases and Disorders Research	U OF U	* 93.121	5R01DE19050-05	(9,274)	
(R&D) Human Genome Research	U OF U	* 93.136	U01CE002188	206,696	11,071
(R&D) Human Genome Research	U OF U	* 93.172	VARIOUS	1,252,822	227,260
(R&D) Research Related to Deafness and Communication Disorders	U OF U	* 93.173	VARIOUS	3,911,236	295,516
(R&D) Pneumonia In Children	U OF U	* 93.185	VARIOUS	381,263	43,474
(R&D) Complementary & Alternative Medicine	U OF U	* 93.213	1R01AT006548-01	180,328	
(R&D) Healthcare Cost, Quality & Outcomes	U OF U	* 93.226	VARIOUS	1,227,725	86,089
(R&D) Neuro-Immune Mechanisms	U OF U	* 93.233	5R01HL086508-04	161,606	
(R&D) Mental Health Research Grants	U OF U	* 93.242	VARIOUS	2,999,958	36,570
(R&D) Factors Associated With Improved Inpa	U OF U	* 93.266	5R18HS018166-03	354,238	74,991
(R&D) Drug Abuse Research Programs	U OF U	* 93.279	VARIOUS	2,653,232	148,682
(R&D) Investigations and Technical Asst.	U OF U	* 93.283	VARIOUS	1,365,958	45,603
(R&D) Technolog. Innovations to Improve Hlth	U OF U	* 93.286	VARIOUS	1,478,303	35,353
(R&D) Trans-NIH Research Support	U OF U	* 93.310	VARIOUS	3,294,806	
(R&D) Research Infrastructure Programs	U OF U	* 93.351	VARIOUS	244,136	
(R&D) Research Infrastructure	U OF U	* 93.389	VARIOUS	5,363,551	245,841
(R&D) Cancer Cause and Prevention Res.	U OF U	* 93.393	VARIOUS	10,749,287	736,115
(R&D) Cancer Detection and Diagnosis	U OF U	* 93.394	VARIOUS	2,020,938	
(R&D) Cancer Treatment Research	U OF U	* 93.395	VARIOUS	3,207,615	116,946
(R&D) Cancer Biology Research	U OF U	* 93.396	VARIOUS	1,725,832	146,700
(R&D) Cancer Centers Support Grants	U OF U	* 93.397	5P30CA042014-24	1,556,532	
(R&D) Inhibition Of Metastasis-Initiating Cells	U OF U	* 93.398	7K99CA153929-03	23,190	
(R&D) Telephone Linked Care: Enabled Sys	U OF U	* 93.399	5R01CA120558-06	980,623	104,717
(R&D) Heart and Vascular Diseases Res.	U OF U	* 93.837	VARIOUS	14,686,177	1,118,640
(R&D) Lung Diseases Research	U OF U	* 93.838	VARIOUS	818,276	
(R&D) Blood Diseases and Resources	U OF U	* 93.839	VARIOUS	916,723	582,301
(R&D) Arthritis, Musculoskeletal and Skin Diseases Research	U OF U	* 93.846	VARIOUS	1,391,936	98,250
(R&D) Diabetes, Endocrinology & Metabolism	U OF U	* 93.847	VARIOUS	10,206,055	1,398,390
(R&D) Kidney Diseases, Urology, Hematology	U OF U	* 93.849	VARIOUS	515,569	
(R&D) Neurosciences and Neurological Dis.	U OF U	* 93.853	VARIOUS	5,022,532	627,765

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Allergy, Immunology, and Transplant	U OF U	* 93.855	VARIOUS	4,608,529	146,126
(R&D) Biomedical Research & Research Trn	U OF U	* 93.859	VARIOUS	20,351,213	3,226,832
(R&D) Child Health & Human Development	U OF U	* 93.865	VARIOUS	8,938,023	1,813,387
(R&D) Aging Research	U OF U	* 93.866	VARIOUS	2,640,408	230,536
(R&D) Vision Research	U OF U	* 93.867	VARIOUS	5,635,825	165,673
(R&D) Medical Library Assistance	U OF U	* 93.879	VARIOUS	825,952	
(R&D) Effect Intention In Behavior & Creightor	U OF U	* 93.974	5FPRPA006035-03-00	3,291	
(R&D) International Research	U OF U	* 93.989	VARIOUS	931,173	186,367
(R&D) NIH Department of Health & Human Sv	U OF U	* N/A	VARIOUS	16,253,234	6,569,167
(R&D) CDC Department of Health & Human S	U OF U	* N/A	VARIOUS	794,409	71,699
(R&D) Admin. on Children and Families	USU	* N/A	VARIOUS	1,797	
(R&D) Centers Disease Control & Prevent.	USU	* N/A	1U01DD000698-01 (100838)	329,235	
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	VARIOUS	155,003	107,135
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	HHSN272201000039I (090584)	2,480,475	
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	HHSN272201100019I (100690)	383,799	
(R&D) Institute of Child Health & Human D.	USU	* N/A	2 R01 GM059087 (035284)	230,955	
(R&D) Institute of Dental and Craniofacial Res	USU	* N/A	VARIOUS	53,636	
(R&D) Institute of Environmental Health Sci.	USU	* N/A	VARIOUS	48,794	
(R&D) Institute on Aging	USU	* N/A	VARIOUS	68,803	
(R&D) Institute on Deafness Comm. Disorders	USU	* N/A	1R03DC011604 (111310)	109,783	
(R&D) Institute on Drug Abuse	USU	* N/A	1R01DA029608 (101141)	213,322	
(R&D) National Institute of Health	USU	* N/A	VARIOUS	333,392	146,253
(R&D) National Institute of Health	USU	* N/A	1R01DA029100-01A1 (090919)	258,908	
(R&D) National Institute of Health	USU	* N/A	1R01HD055502-01A2 (080469)	156,504	
(R&D) National Institute of Health	USU	* N/A	1R21AI101464-01 (120364)	126,823	
(R&D) National Institute of Health	USU	* N/A	1R21AI101483-01 (120368)	194,344	
(R&D) National Institute of Health	USU	* N/A	5R01AA016786-05 (061729)	218,259	
(R&D) National Institute of Health	USU	* N/A	7 R01 MH 065561-10 (130050)	111,345	
(SFA) PCL FCC - Allopathic Medicine	U OF U	* 93.342	1E4CHP24435-01	10,937	
(SFA) Nursing Student Loans	U OF U	* 93.364	1E4DHP24452-01	3,011	
(TANF) Temporary Assist for Needy Families	Workforce Services	* 93.558	1102UTTANF,1202UTTANF	52,336,409	1,432,507
Title VII Elder Abuse Prevention	Human Services	93.041	11AAUTT7SP	30,293	21,832
Title VII Elder Abuse Prevention	Human Services	93.041	12AAUTT7SP	4,121	
Title VII Ombudsman Services	Human Services	93.042	12AAUTT7SP	80,515	59,915
Title VII Ombudsman Services	Human Services	93.042	13AAUTT7SP	3,600	
Title III D Preventive Health	Human Services	93.043	11AAUTT3SP	14,669	14,669
Title III D Preventive Health	Human Services	93.043	12AAUTT3SP	90,387	90,387
Utah Senior Medicare Patrol Program	Human Services	93.048	90MP000803	117,530	110,029
Utah Senior Medicare Patrol Program	Human Services	93.048	90MP017001	150,108	116,392
UT Senior Medicare Patrol-HCFAC-Expansion	Human Services	93.048	90SP004301	58,315	58,315
Utah SMP Capacity Building	Human Services	93.048	90MP013801	24,194	24,194
Utah Aging And Disability Resource Center	U OF U	93.048	90DR0029	227,570	123,653
Alzheimer's NYUCI Caregiver Intervention	Human Services	93.051	90AE034302	73,903	73,903
Alzheimer's NYUCI Caregiver Intervention	Human Services	93.051	90AE034303	85,460	85,010
Alzheimer's NYU Creating Caregiver Champs	Human Services	93.051	90AI004502	38,064	38,064
Title III E National Family Caregiver Support	Human Services	93.052	12AAUTT3SP	843,051	843,051
Preparedness and Response for Bioterrorism	Health	* 93.069	1U90TP000555-01	6,837,282	4,305,541
Addressing Asthma Public Health Perspective	Health	93.070	5U59EH000489-04	375,344	39,246
Lifespan Respite	Human Services	93.072	90LR002101	83,262	83,262
Bioterrorism Hospital Preparedness Program	Health	93.074	1U90TP000555-01	3,350,821	2,074,412
Utah ESAR-VHP System Enhancement Projec	Health	93.089	6ESREP100012-03-03	168,482	
Utah Personal Responsibility Education Progr	Health	93.092	1201UTPREP	494,486	220,860
Manufactured Food Regulatory Program	Agriculture	93.103	1U18FD004712-01	141,764	
System of Care Planning Grant	Human Services	93.104	12SM60641A	286,603	65,872
Utah Model AHEC Program	U OF U	93.107	U77HP23076	401,272	341,192
Autism System Development Federal	Health	93.110	4H6MMC11061-03-02	26,067	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
State Systems Development Initiative SSDI	Health	93.110	6H18MC00049-15-02	80,586	
Newborn Screen Clinic Health Info Exchange	Health	93.110	4U22MC16508-03-01	100,623	
State Early Childhood Comprehensive System	Health	93.110	4H25MC00268-08-06	158,394	
Genetic Services Project	Health	93.110	1H46MC24061-01-00	300,046	
Lend Neurodevelopmental Disabilities	U OF U	93.110	5T73MC00054-11	815,693	514,335
TB Elimination	Health	93.116	5U52PS807865-22	328,986	169,840
Preventive Medicine Residencies	U OF U	93.117	5D33HP19040-03	308,964	
State Partnership Grants (EMSC)	Health	93.127	6H33MC06680-07-03	151,709	112,298
Emergency Medical Services for Children	U OF U	93.127	VARIOUS	2,789,332	
State Primary Care Grant Award	Health	93.130	5U68HP11436-04-00	144,841	
Evaluat. UT Clinical Guidelines Prescrib Opioid	Health	93.136	5R21CE001612-02	76,906	
Implementation of Nat Violent Death Report S	Health	93.136	5U17CE001697-04	170,060	
Sexual Violence Prevention and Education	Health	93.136	1VF1CE002269-01	261,211	
Core Violence and Injury Prevention Program	Health	93.136	5U17CE001996-02	368,306	35,577
Mental Health - Homeless (PATH)	Human Services	93.150	12SM016045	527,000	243,150
Ryan White Program Part D	U OF U	93.153	VARIOUS	411,914	
Deafness and Communication Disorders	U OF U	93.173	VARIOUS	81,858	
Patient Navigator Outreach & Chronic Disease	U OF U	93.191	4H4MHP20314-02	228,459	125,404
Surveillance of Hazardous Substance	Health	93.204	5U61TS000131-03	65,849	
Telehealth Network Grant Program	U OF U	93.211	4H2ARH20160-03	292,065	56,988
Bone Development & the Igf1 Axis In Preterm	U OF U	93.213	5F32AT005568-03	29,439	
Healthcare Costs, Quality and Outcomes	U OF U	93.226	VARIOUS	518,310	
Traumatic Brain Injury	Health	93.234	5H21MC17233-04	251,575	
Abstinence Education Grant	Health	93.235	1201UTAEGP	397,789	159,267
Promote Efforts to Reduce Environ Exposure	Health	93.240	5U61TS000154-02	226,859	
Evaluation & Implementation Second Tier Test	U OF U	93.240	5U01EH000453-02	(3,485)	
Rural Hospital Flexibility	Health	93.241	6H54RH00050-12-03	375,534	123,176
Longitudinal Characterization of Connectivity	U OF U	93.242	5K08MH092697-03	166,895	
Mental Health Data Quality Improvement	Human Services	93.243	10SM60338A	139,413	
Strategic Prevention Framework Enhancement	Human Services	93.243	10SP18625A	427,221	295,551
Strategic Prevention Framework-Incentive	Human Services	93.243	U9SP13929A	263,514	195,094
PASSAGE	Human Services	93.243	H9SM59444A	436,443	252,385
Suicide Prevention Grant	Snow College	93.243	5U79SM060491-02	32,463	
Region Eight Addiction Tech Transfer Center	U OF U	93.243	1UR1TI024228-01	265,101	100,707
Advanced Educational Nursing	U OF U	93.247	VARIOUS	355,581	
Geriatric Academic Career Awards	U OF U	93.250	K01HP20498/K01HP20487	154,155	
Universal Newborn Hearing Screening	Health	93.251	6H61MC00042-12-01	328,658	
Poison Control	U OF U	93.253	VARIOUS	151,567	
ERC Training Grant	U OF U	93.262	VARIOUS	1,570,448	2,650
Comprehensive Geriatric Education Program	U OF U	93.265	1D62HP24193-01	187,523	3,191
Immunization and Vaccines for Children Grant	Health	* 93.268	VARIOUS	2,375,175	831,942
Vaccine Distributions	Health	* 93.268	VARIOUS	23,866,645	23,866,645
Adult Viral Hepatitis	Health	93.270	1U51PS004041-01	100,914	
Utah - Access to Recovery	Human Services	93.275	10TI23135A	2,336,812	1,848,959
Drug Abuse and Addiction Research Program:	U OF U	93.279	VARIOUS	1,097,754	
Resting-State Connectivity In Bipolar Disorder	U OF U	93.281	5K23MH087831-03	182,660	
Mental Health National Research Svc Awards	U OF U	93.282	VARIOUS	42,574	
Chronic Disease, Hlth Promotion, Surveillance	Health	93.283	5U58DP001993-03	11,642	
BioSense 2.0	Health	93.283	1U50OE000035-01	85,165	31,469
Early Hearing Detection & Intervention Trackin	Health	93.283	5UR3DD000821-02	145,636	
Demo Capacity of Comprehensive Cancer Ctrl	Health	93.283	5U55DP003047-03	168,240	88,750
Behavioral Risk Factor Surveillance	Health	93.283	5U58SO000058-02	457,702	
Epidemiology & Lab Capacity for Infectious Di	Health	93.283	5U50CK000243-02	599,064	
Birth Defects Research and Prevention	Health	93.283	5U01DD000490-05	630,913	
Heart Disease & Stroke Prevention Program	Health	93.283	3U50DP000743-05S1	908,744	
Diabetes Prevention amd Control Programs	Health	93.283	5U58DP001993-05	909,279	147,561

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Colorectal Screening	Health	93.283	5U58DP002049-04	1,054,869	
Utah Wise Woman Program	Health	93.283	5U58DP001407-05	1,091,801	517,872
Core Cap Tobacco Prevention	Health	93.283	5U58DP001993-04	1,148,656	541,016
National Cancer Prevention and Control Pgrm	Health	93.283	1U58DP003910-01	2,768,128	447,488
Enhancing Capacity Utah Registry of Autism	U OF U	93.283	5UR3DD000685-04	371,415	
Discovery & Applied Resh Tech Innovations	U OF U	93.286	VARIOUS	80,637	
Coalition Healthier Community	U OF U	93.290	VARIOUS	280,430	71,141
Partnership Program Improve Minority Health	Health	93.296	5STTMP101069-02	127,951	
Small Rural Hospital Improvement	Health	93.301	6H3HRH00012-10-04	195,632	142,257
Native American Summer Internship M/C	U OF U	93.307	5R25MD006781-02	260,479	
The Platelet Metabolome In Obesity	U OF U	93.310	5K01GM103806-02	85,229	
Evaluation Text Messaging Intervention For Tf	U OF U	93.361	5F31NR012614-02	34,304	
National Center for Research Resources	U OF U	93.389	VARIOUS	619,131	
Mutagenesis Decipher Osteosarcomagenesis	U OF U	93.393	5K08CA138764-05	205,893	
Cancer Research Manpower	U OF U	93.398	VARIOUS	472,723	
Bovine Spongiform Encephalophy	Agriculture	93.449	HHSF223201210104C	19,600	
Bovine Spongiform Encephalophy	Agriculture	93.449	HHSF223200740110P	795	
Affordable Care Act Home Visiting Program	Health	93.505	6X02MC19417-01-03	446,631	16,376
Home Visitation Projects Federal	Health	93.505	1X02MC23133-01	1,068,117	468,554
National Background Check Program	Health	93.506	CMS-1A1-10-001	704,945	
Strengthening Public Health Infrastructure	Health	93.507	5U58CD001314-03	289,328	
Health Insurance Premium Review-Cycle I	Insurance	93.511	1 IPRPR100028-01-00	274,182	
Health Insurance Rate Review-Cycle II	Insurance	93.511	PRPPR0028A	714,234	
Advanced Nursing	U OF U	93.513	1T57HP20586-01-00	451,440	
Expansion of Physician Assistant Training P	U OF U	93.514	1T88HP20920-01-00	176,000	
Collaborative Chronic Disease & Surveillance	Health	93.520	3U58DP001993-02S2	26,762	
Collaborative Chronic Disease & Surveillance	Health	93.520	3U58DP001993-03W1	43,000	
Affordable Care Act Building Epidemiology Lat	Health	93.521	3U50CI000866-02S1	12,813	
Affordable Care Act Building Epidemiology Lat	Health	93.521	3U50CI000866-02S4	137,140	
Epidemiology & Lab Capacity Infectious Disea	Health	93.521	3U50CI000866-02S3	1,350,002	267,078
Utah Health Exchange Planning Grant	Governor's Office	93.525	HBEIE100015-01-00	413,794	
Community Transformation Capacity Building	Health	93.531	5U58DP003540-02	596,949	489,583
Public Health Tracking	Health	93.538	5U38EH000954-02	1,017,077	
Building Assist Strengthen Public Hlth Immuni	Health	93.539	1H23IP000567-01	217,016	
Capacity Building Assist to Strengthen Immun	Health	93.539	3H23IP000567-01S1	1,682,846	1,452,474
Collaborative Chronic Disease and Surveillanc	Health	93.544	3U58DP001993-04S2	199,198	5,000
Utah Nutrition, Physical Activity and Obesity	Health	93.548	5U58DP001470-05	442,302	7,000
Promoting Safe & Stable Families	Human Services	93.556	1201UTFPSS	1,947,794	
Promoting Safe & Stable Families	Human Services	93.556	1301UTFPSS	5,081	
Promoting Safe & Stable Families Caseworker	Human Services	93.556	1211UTFP CV	123,052	
Promoting Safe & Stable Families Caseworker	Human Services	93.556	1311UTFP CV	831	
IV-D Child Support	Human Services	93.563	0904UT4004	1,820,500	
IV-D Child Support	Human Services	93.563	1204UT4005	1,559,601	
IV-D Child Support	Human Services	93.563	1304UT4005	12,071,152	
IV-D Child Support Incentives	Human Services	93.563	1004UT4004	890,062	
IV-D Child Support Incentives	Human Services	93.563	1104UT4004	2,132,738	
Refugee Social Services	Workforce Services	93.566	G-09AAUT8115, G-10TAUT1000	958,947	
Refugee Cash Medical Assistance	Workforce Services	93.566	P-09AAUT8100, P-10-, P-11, P-12	6,695,407	
LIHEAP Weatherization	Workforce Services	* 93.568	G-10B1UTLIEA	38,903	38,903
Low Income Home Energy Assistance Prograr	Workforce Services	* 93.568	G-10B1UTLIEA	22,184	22,184
LIHEAP Weatherization	Workforce Services	* 93.568	G-11B1UTLIEA	3,664,890	3,663,992
Low Income Home Energy Assistance Prograr	Workforce Services	* 93.568	G-11B1UTLIEA	69,557	69,557
LIHEAP Weatherization	Workforce Services	* 93.568	G-12B1UTLIEA	1,568,855	1,568,855
Low Income Home Energy Assistance Prograr	Workforce Services	* 93.568	G-12B1UTLIEA	5,532,303	5,165,155
LIHEAP Weatherization	Workforce Services	* 93.568	G-13B1UTLIEA	19,648	19,648
Low Income Home Energy Assistance Prograr	Workforce Services	* 93.568	G-13B1UTLIEA	12,894,861	12,894,861

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Community Services Block Grant	Workforce Services	93.569	G11B1UTCOSR	9,135	
Community Services Block Grant	Workforce Services	93.569	G12B1UTCOSR	1,741,582	1,651,290
Community Services Block Grant	Workforce Services	93.569	G13B1UTCOSR	1,613,485	1,491,147
Refugee Preventive Health Discretionary Grant	Health	93.576	90RX0199-02	108,901	
Refugee Older Refugee Grant	Workforce Services	93.576	G-90RL013104	24,319	
Refugee Pre-Literacy Grant	Workforce Services	93.576	G-90RT016101	111,372	
Refugee SCHOOL Impact Award	Workforce Services	93.576	G-90ZE011801,-802	264,976	
Refugee Targeted Assistance	Workforce Services	93.584	G-09AUT1000, G-10AUT1000	617,006	
State Court Improvement Program	Courts	93.586	G-0401UTSCIP	429,981	
Community-Based Child Abuse Prevention	Human Services	93.590	1001UTFRPG	105,389	
Community-Based Child Abuse Prevention	Human Services	93.590	1101UTFRPG	365,299	
State Access and Visitation Program	Courts	93.597	1101UTSAVP	100,000	
Educational and Training Vouchers	Human Services	93.599	1201UTCETV	314,609	
Headstart Collaboration Grant	Workforce Services	93.600	08CD0023/02	77,175	
Head Start Program	SUU	93.600	VARIOUS	3,378,024	
Adoption Incentive Payment Program	Human Services	93.603	1001UTAIPP	155,596	
Adoption Incentive Payment Program	Human Services	93.603	1101UTAIPP	736,448	
Election Assist. Individuals with Disabilities	Governor's Office	93.617	HHSELEC2003	16,709	
Runaway & Homeless Youth--Basic Center	Human Services	93.623	90CY262201	100,000	
Runaway & Homeless Youth--Basic Center	Human Services	93.623	90CY262202	150,000	
State Innovation Models: Funding for Model D	Health	93.624	1G1CMS331173-01-00	44,320	
Developmental Disabilities Basic Support	Human Services	93.630	1001UTBS45	45,637	
Developmental Disabilities Basic Support	Human Services	93.630	1101UTBS45	549,858	
Developmental Disabilities Basic Support	Human Services	93.630	1201UTBS45	21,501	
Developmental Disabilities Basic Support	Human Services	93.630	1301UTBSDD	82,067	
Childrens Justice Act	Attorney General	93.643	G-1001UTCJA1	41,021	
Childrens Justice Act	Attorney General	93.643	G-1101UTCJA1	168,116	
Childrens Justice Act	Attorney General	93.643	G-1201UTCJA1	4,815	
Title IV-B Child Welfare Social Services	Human Services	93.645	1101UT1400	127,532	
Title IV-B Child Welfare Social Services	Human Services	93.645	1201UT1400	3,394,168	
CASA Volunteers for Children in Foster Care	Human Services	93.652	90CO107401	178,942	
Title IV-E Foster Care	Human Services	* 93.658	1201UT1401	3,677,204	
Title IV-E Foster Care	Human Services	* 93.658	1301UT1401	18,589,560	
Title IV-E Foster Care	Human Services	* 93.658	1401UT1401	485,317	
Title IV-E Adoption Assistance	Human Services	93.659	1201UT1407	1,303,506	
Title IV-E Adoption Assistance	Human Services	93.659	1301UT1407	6,333,422	
Title IV-E Adoption Assistance	Human Services	93.659	1401UT1407	(5,872)	
Title XX-Social Svcs Block-Basic	Human Services	93.667	G-1101UTSOSR	1,148,942	
Title XX-Social Svcs Block-Basic	Human Services	93.667	G-1201UTSOSR	13,916,793	1,106,100
Title XX-Social Svcs Block-Basic	Human Services	93.667	G-1301UTSOSR	1,046,003	
Title XX-Social Svcs Block-Discretionary	Human Services	93.667	G-1201UTSOSR	1,201,553	1,201,553
Title XX-Transfer of TANF for SSBG	Human Services	93.667	TANF TRANSFER	7,607,000	
Title XX Social Services Block Grant--Basic	Workforce Services	93.667	G-11UTSOSR	15,000	
Child Abuse Prevention and Treatment	Human Services	93.669	1201UTCA01	308,509	
Family Violence Prevention/Services	Human Services	93.671	1201UTFVPS	1,121,522	
Independent Living	Human Services	93.674	1201UT1420	943,521	
Independent Living	Human Services	93.674	1301UT1420	6,226	
Immunization Capacity Building Assist for Pub	Health	93.733	1H23IP000704-01	75,557	
Empowering Adults with Disabilities CDSME S	Health	93.734	90CS0031/01	145,283	13,000
PPHF 12 State Public Health Approaches for C	Health	93.735	1U58DP004039-01	90,520	
Echo Supplement	U OF U	93.736	1U51PS003830-01	158,600	
Cancer Control Program	Health	93.744	1U58DP004123-01	43,664	13,020
CHIPRA CHIP	Health	* 93.767	1Z0CMS030547-01-05	2,022,518	
Children's Health Insurance Program	Health	* 93.767	VARIOUS	54,599,549	
Medicaid Infrastructure	Health	93.768	1QACMS030319-03-01	2,438	
State Health Ins Assistance Program (SHIP)	Human Services	93.779	0000020223	313,478	212,908

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
MIPPA—Medicare Improve. Patients & Provide	Human Services	93.779	IX0330794A	69,386	57,600
High Risk Pool Operational Loss Grant	CHIP	93.780	1G0CMS300045/02-04	1,610,691	
Survey & Certification for Long-term Care Faci	Health	93.796	05-1305-UT-5001	4,561	
Health Careers Opportunity Program	Weber State	93.822	D18HP13631	182,981	
Heart and Vascular Diseases Research	U OF U	93.837	VARIOUS	1,226,270	
Lung Disease	U OF U	93.838	VARIOUS	354,175	
17Th Annual Meeting Panamerican Society	U OF U	93.846	1R13AR063595-01	24,502	
National Research Service Awards	U OF U	93.847	VARIOUS	959,872	
Training Program-Nephrology	U OF U	93.849	5T32DK070557-4	34,916	
Neurosciences and Neurological Disorders	U OF U	93.853	VARIOUS	503,439	
Allergy, Immunology and Transplantation	U OF U	93.855	VARIOUS	755,367	3,660
Biomedical Research and Training	U OF U	93.859	VARIOUS	544,597	1,790
Child Health and Human Development	U OF U	93.865	VARIOUS	2,557,969	605,922
Aging Research	U OF U	93.866	VARIOUS	517,252	
Role of Nephrocystin-5 in Retinal Degeneratio	U OF U	93.867	1F31EY021972-01	32,776	
Medical Library Assistance	U OF U	93.879	VARIOUS	879,385	
Physician Assistant Training	U OF U	93.884	5D57HP23253-02	92,760	
Health Care and Other Facilities	Health	93.887	1C76HF19772-01-00	167,492	
Rural Health Outreach Special Initiative	Health	93.888	1D1ARH20095-01-00	1,193	
Congressionally-Mandated Health Information	U OF U	93.888	1D1BRH20425-01-00	333,530	45,224
Specially Selected Health Projects	Weber State	93.888	D1DHP20348-01-01	120,562	
Family and Community Violence Prevention	U OF U	93.910	VARIOUS	301,373	
Rural Health Network Development Planning	SUU	93.912	P10RH23479-01-00	85,001	
State Office Rural Health	Health	93.913	5H95RH00129-22-00	179,257	
Ryan White/HIV	Health	93.917	2X07HA00032-22-00	4,184,607	69,637
ADAP Shortfall Relief	Health	93.917	2X09HA20256-02-00	1,216,756	
Ryan White Part C: HIV Early Intervention Svc	U OF U	93.918	6H76HA00470-16	993,855	
Ryan White HIV/AIDS Dental Reimbursement	U OF U	93.924	IT22HA24928-01	9,301	
Ryan White T IV WIC Use & Affected Family M	Health	93.928	1H97HA22673-01	22,640	
Youth Risk Behavior Survey - Federal	Health	93.938	3U87DP001187-05S1	47,602	
Aids Prevention	Health	93.940	5U62PS003662-02	1,359,547	412,382
HIV/AIDS Surveillance	Health	93.944	3U62PS001028-05W1	70,389	11,000
Aids Surveillance	Health	93.944	1U62PS004023-01	160,860	
Utah Public Health Approaches to Arthritis	Health	93.945	1U58DP003996-01	397,067	83,000
Pregnancy Risk Assessment	Health	93.946	5U01DP003139-02	113,623	
Community Mental Health Svcs. Block	Human Services	93.958	C-11B1UTCMHS	82,042	54,544
Community Mental Health Svcs. Block	Human Services	93.958	C-12B1UTCMHS	3,163,029	2,408,268
Community Mental Health Svcs. Block	Human Services	93.958	C-13B1UTCMHS	254,226	171,487
Substance Abuse Prevention/Treatment	Human Services	93.959	C-11B1UTSAPT	197,973	198,074
Substance Abuse Prevention/Treatment	Human Services	93.959	C-12B1UTSAPT	10,243,391	8,996,400
Substance Abuse Prevention/Treatment	Human Services	93.959	C-13B1UTSAPT	6,599,807	4,663,923
Sexually Transmitted Diseases	Health	93.977	5H25PS001347-05	472,353	39,750
Preventive Health Services Block	Health	93.991	3B01DP009054-12	575,901	423,366
Maternal and Child Health Services Block Gra	Health	93.994	6B04MC21424-01-06	6,038,652	1,742,670
Adult Blood Lead	Health	N/A	211-2009-M-30941	7,489	
CLIA	Health	N/A	5-1305-UT-5002	113,138	
VSCP Health Statistics	Health	N/A	200-2012-50844	250,865	
State Tobacco Retail Inspections	Health	N/A	HHSF223201110108C	193,129	100,974
CDC Department of Health and Human Svcs	U OF U	N/A	VARIOUS	53,507	
NIH Department of Health and Human Svcs	U OF U	N/A	VARIOUS	2,211,734	858,741
Admin. on Developmental Disabilities	USU	N/A	VARIOUS	55,547	
Admin. on Developmental Disabilities	USU	N/A	90-DD-0712 (120795)	555,602	
Administration for Children and Families	USU	N/A	VARIOUS	315,885	284,461
Administration for Children and Families	USU	N/A	90FM0001;90FM900101 (120112)	620,084	
Department of Health and Human Sevices	USU	N/A	1 IDSEP100006-01-00 (110133)	538,433	
Indian Health Services	USU	N/A	VARIOUS	812,494	812,494

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Indian Health Services	USU	N/A	HHSP233201000011C (100249)	157,578	
Maternal and Child Health Bureau	USU	N/A	VARIOUS	100,000	100,000
Maternal and Child Health Bureau	USU	N/A	T8MC09652 (080561)	135,335	
Maternal and Child Health Bureau	USU	N/A	U52MC04391 (051322)	1,402,804	
Pass-Through From Non-State Entities:					
ARRA-Randomized Trial Of Sentinal Lyme — John Wyne Cncr Inst@St Jhn'S H	U OF U	93.395	CA29605	126	
ARRA-Randomized Trial Of Surg — John Wyne Cncr Inst@St Jhn'S H	U OF U	93.701	CA012582	5,072	
ARRA-(R&D) Trans-NIH Recovery Act R S — Cincinnati Childrens Hospl Med Ctr	U OF U	* 93.701	105792	18,483	
Cornell University	U OF U	* 93.701	110405443	8,725	
Duke Clinical Research Institute	U OF U	* 93.701	165191 SITE 558	1,165	
Fred Hutchinson Cancer Research	U OF U	* 93.701	0000691045	9,899	
Johns Hopkins University	U OF U	* 93.701	2001152586	106,499	
National Childhood Cancer Foundat	U OF U	* 93.701	5927-1011	(1,675)	
Northern California Inst Resh & Ed	U OF U	* 93.701	1459	(3,324)	
Stanford University	U OF U	* 93.701	24522260-21272-J	(2,737)	
University Of Pittsburgh	U OF U	* 93.701	0008749	(2,629)	
ARRA-(R&D) Comparative Effectiveness Resr University Of Arizona	U OF U	* 93.715	Y561924	14,737	
U of California San Francisco	U OF U	* 93.715	6974SC	80,045	
Univ of Colorado At Denver	U OF U	* 93.715	FY11560007	60,998	
Vanderbilt University	U OF U	* 93.715	VUMC38277	101,259	
ARRA-(R&D) Resident Handoff Practices — Childrens Hospital Boston	U OF U	* 93.726	77543	25,923	
ARRA-(R&D) Beacon Community Grant — Healthinsight	U OF U	* 93.727	FO-240.01/05	373,320	
ARRA-(R&D) SHARP — University of California San Diego	U OF U	* 93.728	30766948SUB	(9,771)	
ARRA-(R&D) Vision Research — St. Luke's-Roosevelt Hospital Center	U OF U	* 93.867	NORDIC IIHTT	36,056	
ARRA-(R&D) National Institute of Health — Montana State University	USU	* N/A	VARIOUS	6,728	
ARRA-(R&D) Insight Toolkit For Research — GE Global Research	U OF U	* N/A	P040006524310017489	395	
(R&D) Impact of Mc1R Functional Variants — University Of Cincinnati	U OF U	* 93.113	C0EUS6413	50,877	
(R&D) EMS for Children — University Of Michigan	U OF U	* 93.127	3001656022	11,558	
(R&D) Injury Prevention & Control Research — University of Pennsylvania	U OF U	* 93.136	551270	(965)	
(R&D) Human Genome Research — Case Western Reserve University	U OF U	* 93.172	RES506542	34,220	
Johns Hopkins University	U OF U	* 93.172	2001504179	39,343	
University Of Southern California	U OF U	* 93.172	139311 / 34270576	168,719	
(R&D) Deafness & Communication Dis — Brigham Young University	U OF U	* 93.173	090280	151,522	
Duke University	U OF U	* 93.173	2039395	6,105	
Univ Texas Southwestern Medical Ctr	U OF U	* 93.173	GM0100305	40,891	
University Of Maryland	U OF U	* 93.173	SR00001588DC10915	62,284	
University Mississippi Medical Center	U OF U	* 93.173	66100530413	49,848	
University Of Washington	U OF U	* 93.173	738206	7,910	
University Of Wisconsin-Madison	U OF U	* 93.173	VARIOUS	61,870	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) R & T Complementary & Alternative —					
Massachusetts General Hospital	U OF U	* 93.213	VARIOUS	13,629	
University Of Pennsylvania	U OF U	* 93.213	560251	13,526	
(R&D) Healthcare Costs, Quality & Outcomes					
Childrens Hospital Boston	U OF U	* 93.226	80563	9,798	
Childrens Hospital Philadelph	U OF U	* 93.226	VARIOUS	671,410	
Univer of California Davis	U OF U	* 93.226	20101339502	56,040	
(R&D) Natl Ctr on Sleep Disorders Research					
Northwestern University	U OF U	* 93.233	60029273UT	77,258	8,253
U of California San Francisco	U OF U	* 93.233	5291SC	92,992	
(R&D) Precarious Plans —					
Stanford University	U OF U	* 93.239	2945143104354C	2,904	
(R&D) Mental Health Research Grants —					
Boston University	U OF U	* 93.242	5P50MH07170204GC208262NGE	(135)	
Psyctech Ltd	U OF U	* 93.242	201202	10,483	
Univ North Carolina At Chapel Hill	U OF U	* 93.242	551136/530748	44,633	
Univ Of California San Francisco	U OF U	* 93.242	6852SC	16,270	
(R&D) PH Training Centers Grant —					
University of California Los Angles	U OF U	* 93.249	1920GNA287	54,112	
(R&D) Occupational Safety and Health —					
Colorado State University	U OF U	* 93.262	G45902	37,611	
University Of Alaska Fairbanks	U OF U	* 93.262	UAF100038P0FP00692	819	
Univ of California San Francisco	U OF U	* 93.262	6276sc44487823991	24,501	
(R&D) Teen Alcohol Screening In Pediatric —					
Rhode Island Hospital	U OF U	* 93.273	70154751UT	49,131	
(R&D) Drug Abuse and Addiction —					
Barrow Neurological Institute	U OF U	* 93.279	1031216	8,518	
Univ of North Carolina At Chapel Hill	U OF U	* 93.279	551257	41,918	
(R&D) Tech Innovations to Improve Human —					
Brigham & Womens Hospital	U OF U	* 93.286	VARIOUS	587,622	
Cleveland Clinic Foundation	U OF U	* 93.286	4639216	49,621	
University Of Pennsylvania	U OF U	* 93.286	10031143557849	169,104	
(R&D) Enhancer Therapy —					
University Of California San Diego	U OF U	* 93.310	S9000094	85,846	
(R&D) Bone Loss Prevention —					
Nanomedic Inc	U OF U	* 93.350	10023596	2,318	
(R&D) Nursing Research —					
Qcmetrix Inc	U OF U	* 93.361	VARIOUS	9,492	
(R&D) National Ctr for Research Resources —					
Northern CA Inst Resh & Educ	U OF U	* 93.389	1441005	52,512	
Cornell University	U OF U	* 93.389	0804048611111925	19,011	
University Of Washington	U OF U	* 93.389	728865	7,678	
(R&D) Cancer Cause & Prevention Res —					
Cancer Prevention Inst Of California	U OF U	* 93.393	1UM1CA16492001A1UT	105,294	
Columbia University	U OF U	* 93.393	5ACCT530177P0G02453	95,962	
Fox Chase Cancer Center	U OF U	* 93.393	VARIOUS	228,722	
Fred Hutchinson Cancer Research Ctr	U OF U	* 93.393	VARIOUS	42,822	
Harvard School Of Public Health	U OF U	* 93.393	23570114080	33,416	
Kaiser Permanente	U OF U	* 93.393	115-9383-01M2	64,782	
Mayo Clinic Rochester	U OF U	* 93.393	2U01CA118444-06	38,709	
University Of Illinois At Chicago	U OF U	* 93.393	2009026710200	305,472	
(R&D) Breast Cancer Classification —					
Brigham & Womens Hospital	U OF U	* 93.394	PS105582	(749)	
University Of Massachusetts	U OF U	* 93.394	6121965RFS900263	4,855	
University Of Washington	U OF U	* 93.394	742697	14,120	
Vestan Inc	U OF U	* 93.394	10022455	147,342	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Cancer Treatment Research —					
Childrens Oncology Group	U OF U	* 93.395	VARIOUS	320,673	
MD Anderson Cancer Center	U OF U	* 93.395	2986798015413	281,136	
Mount Sinai School Of Medicine	U OF U	* 93.395	025473754605/4609	338,816	
National Childhood Cancer Foun	U OF U	* 93.395	021095	(832)	
(R&D) Cancer Biology Research —					
University Of Nebraska Medical Ctr	U OF U	* 93.396	2462300153006	3,815	
University Of Southern California	U OF U	* 93.396	H50997	42,561	
(R&D) Cancer Centers Support Grants —					
Mayo Clinic Rochester	U OF U	* 93.397	2P50CA11620106A1	47,282	
Sarcoma Alliance - Rsch Through Coll	U OF U	* 93.397	DRP1	5,884	
University Of Texas At Houston	U OF U	* 93.397	3325698115636	62,191	
(R&D) Prostate Cancer Susceptibility —					
Johns Hopkins Hospital	U OF U	* 93.399	2000368388	69,915	
(R&D) Pecarn Traumatic Brain Injury Predict —					
Columbia University	U OF U	* 93.418	9GG005663	147,027	
(R&D) Search and Identification —					
Florida State University	U OF U	* 93.564	R01480	54,801	
(R&D) Trans-NIH Recovery Act Research —					
Nat'l Childhood Cancer Foundation	U OF U	* 93.701	5927-1011	23,834	
University Of Pittsburgh	U OF U	* 93.701	0008749	47,961	
(R&D) Ultrasound Versus Cat Scan —					
University Of California San Francisco	U OF U	* 93.715	6974SC	131,423	
(R&D) Resident Handoff Practices —					
Childrens Hospital Boston	U OF U	* 93.726	77543	120,809	
(R&D) Cardiovascular Diseases Research —					
Angel Medical Systems	U OF U	* 93.837	VARIOUS	(1,338)	
Brigham & Womens Hospital	U OF U	* 93.837	103036/102762	21,969	
Cedars Sinai Medical Center	U OF U	* 93.837	P00000813774	171,113	
Childrens Hospital Philadelphia	U OF U	* 93.837	3209530102	25,479	
Duke Clinical Research Institute	U OF U	* 93.837	177494/135872	234,010	15,870
Georgia Health Sciences Univ	U OF U	* 93.837	240393	458,404	
Massachusetts General Hospital	U OF U	* 93.837	222499	6,526	
Medical College Of Wisconsin	U OF U	* 93.837	1U01HL10743701	146,917	
New England Research Inc	U OF U	* 93.837	VARIOUS	21,680	
New England Research Institutes	U OF U	* 93.837	VARIOUS	545,458	
University Of California Davis	U OF U	* 93.837	SUB07001197	(83)	
Univ of California Los Angeles	U OF U	* 93.837	1553GQD0960	10,297	
University Of Iowa	U OF U	* 93.837	W000358462/250499	27,424	
University Of Miami	U OF U	* 93.837	6692ST/660204	4,103	
University Of North Texas	U OF U	* 93.837	GF26512	86,189	
(R&D) Lung Diseases Research —					
University Of California Los Angeles	U OF U	* 93.838	1460GNA245	24,762	
University Of California San Diego	U OF U	* 93.838	10294118	411,662	
University Of Colorado At Denver	U OF U	* 93.838	FY11102005	16,126	7,085
University Of Illinois At Chicago	U OF U	* 93.838	201202813	26,579	
(R&D) Blood Diseases and Resources —					
Medical College Of Wisconsin	U OF U	* 93.839	1R01HL10342701A1	28,329	
National Childhood Cancer Foundation	U OF U	* 93.839	VARIOUS	16,607	
National Marrow Donor Program	U OF U	* 93.839	0801	12,097	
University Of Vermont	U OF U	* 93.839	20560	4,794	
Virginia Commonwealth University	U OF U	* 93.839	PT105889SC102422	1,252,992	
Washington University In St Louis	U OF U	* 93.839	WU12126WU13261	28,213	
(R&D) Arthritis, Musculoskeletal & Skin Dis—					
Duke University	U OF U	* 93.846	WBSE2039510	9,989	
Emory University	U OF U	* 93.846	S741370	71,512	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Hospital For Special Surgery	U OF U	* 93.846	VARIOUS	39,612	
Mound Laser & Photonics Ctr	U OF U	* 93.846	10028600	24,148	
Univ of Alabama At Birmingham	U OF U	* 93.846	000422109001SP004	36,673	
(R&D) Diabetes, Digestive, & Kidney Dis —					
Beth Israel Deaconess Med Ctr	U OF U	* 93.847	01023452DK084202	22,927	
Lajolla Inst Allergy & Immunology	U OF U	* 93.847	2257139334	78,428	
Mount Sinai School Of Medicine	U OF U	* 93.847	025538334609/025585614609	206,968	
New England Research Institutes	U OF U	* 93.847	VARIOUS	40,640	
Stanford University	U OF U	* 93.847	6012001551126A	21,657	
Tufts University Total	U OF U	* 93.847	5007389SERV	10,024	
Univ of N Carolina Chapel Hill	U OF U	* 93.847	531725	27,398	
Univ of California Los Angeles	U OF U	* 93.847	1556GQB730	6,383	
University Of Colorado At Denver	U OF U	* 93.847	FY10269001	60,931	
University Of Minnesota	U OF U	* 93.847	N002355404	6,334	
University Of Virginia	U OF U	* 93.847	GC12032137564	38,267	
University Of Washington	U OF U	* 93.847	746901	6,668	
University Of Wisconsin-Madison	U OF U	* 93.847	368K056	13,136	
Vanderbilt University	U OF U	* 93.847	VUMC35049	128,777	
Wake Forest University	U OF U	* 93.847	WFUHS11671/10461	119,766	
Washington Univ In St Louis	U OF U	* 93.847	VARIOUS	24,550	
(R&D) Frequent Dialysis Study —					
Cleveland Clinic Foundation	U OF U	* 93.849	155SUB	151,948	
(R&D) Extramural Nuerosciences Disorders—					
Blackrock Microsystems	U OF U	* 93.853	10031685	52,040	
Johns Hopkins University	U OF U	* 93.853	2000795133/2001353761	334,672	
Massachusetts General Hospital	U OF U	* 93.853	208248/217672	35,256	
Mount Sinai School Of Medicine	U OF U	* 93.853	COMBIRX69244P0SM9154389	22,013	
Rehabilitation Institute-Chicago	U OF U	* 93.853	1R01NS05236901A2	33,247	
Univ N Carolina At Chapel Hill	U OF U	* 93.853	550577	(47,624)	
Univ of California San Diego	U OF U	* 93.853	P010323246	183,669	
Univ of California San Francisco	U OF U	* 93.853	VARIOUS	175,636	
University Of Minnesota	U OF U	* 93.853	N001257101	809	
University Of Virginia	U OF U	* 93.853	GC12107138989	98,136	
University Of Washington	U OF U	* 93.853	718823	21,764	
Yale University School of Med	U OF U	* 93.853	A07868M10A10462	16,929	70
(R&D) Allergy, Immunology & Transplant —					
Albert Einstein Medical Center	U OF U	* 93.855	U19AI076980/952635030	417,257	
Brigham & Womens Hospital	U OF U	* 93.855	VARIOUS	68,566	
Colorado State University	U OF U	* 93.855	G78342	152,540	
Duke University	U OF U	* 93.855	VARIOUS	107,457	
Keck Graduate Institute	U OF U	* 93.855	5R01AI07624702	10,489	
Univ Of N Carolina At Chapel Hill	U OF U	* 93.855	531540	312,909	
(R&D) Microbiology and Infectious Diseases —					
Colorado State University	U OF U	* 93.856	VARIOUS	361,911	
Imquest Biosciences Inc	U OF U	* 93.856	5U19AI07728905	137,371	
(R&D) Biomedical Research —					
Children'S National Medical Center	U OF U	* 93.859	300002021202	1,713	
Louisiana St Univ Health Science Center	U OF U	* 93.859	1205002	9,474	
Oregon Health & Science University	U OF U	* 93.859	1002249UTA	30,435	
University Of California Davis Total	U OF U	* 93.859	06002869UT	12,089	
University Of California San Diego	U OF U	* 93.859	10316875	(7,753)	
University Of Il At Urbana-Champaign	U OF U	* 93.859	20100036506	282,494	
University Of Pittsburgh Total	U OF U	* 93.859	9000613PROJECT1164831	3,722	
University Of Texas At Houston	U OF U	* 93.859	0009106A	46,465	
Virginia Polytechnic Institute & St Univ	U OF U	* 93.859	43169819122	54,981	
Washington University In St Louis	U OF U	* 93.859	WU1272	149,633	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Child Health & Human Development —					
Children's Hospital - Los Angeles	U OF U	* 93.865	4988020RGP00636800	24,715	
Children's National Medical Ctr	U OF U	* 93.865	300014981301	11,989	
Children's Research Institute	U OF U	* 93.865	03781103	47,430	
Columbia University	U OF U	* 93.865	8GG0062828ACCT530279	12,728	
Rti International	U OF U	* 93.865	5U10HD053124PRIME	26,364	16,110
Univ of N Carolina At Chapel Hill	U OF U	* 93.865	533192/550298	179,684	
University Of California Davis	U OF U	* 93.865	090014809	74,236	30
Univ of California San Francisco	U OF U	* 93.865	6785SC44493133446	140,166	
University Of New Mexico	U OF U	* 93.865	3R95Y02	30,445	
University Of Rochester	U OF U	* 93.865	415265G	(54,601)	
Wayne State University	U OF U	* 93.865	WSU11048	23,093	
Stanford University	U OF U	* 93.865	60119547105015C	23,885	
(R&D) Aging Research —					
Duke Clinical Research Institute	U OF U	* 93.866	2039362/159178180021	202,555	
Northern California Inst Resh & Educ	U OF U	* 93.866	1574	50,648	
Pams Inc	U OF U	* 93.866	VARIOUS	15,075	
University Of Louisville	U OF U	* 93.866	I0IC051523Z19A	4,444	
University Of Nebraska Medical Center	U OF U	* 93.866	3653602141001	1,550	
University Of Wisconsin-Madison	U OF U	* 93.866	X279403	8,699	
(R&D) Vision Research —					
Brigham & Womens Hospital	U OF U	* 93.867	103324	14,156	
Childrens Hospital Philadelphia	U OF U	* 93.867	32088614/3209850813	63,642	
Columbia University	U OF U	* 93.867	2GG005625	56,685	
Jaeb Center For Health Research	U OF U	* 93.867	1288910	75	
Johns Hopkins University	U OF U	* 93.867	2000011274M0D3	598	
Nyu Langone Medical Center	U OF U	* 93.867	1201177100944	(558)	
Univ Of North Carolina At Chapel Hill Total	U OF U	* 93.867	531154	26,682	
University Of Minnesota	U OF U	* 93.867	S4856207101	123,517	52,926
(R&D) Evaluation of HIV Intervention —					
University of California San Fr	U OF U	* 93.941	6888SC	7,069	
(R&D) Symbiotic Associ of Bacteria/Tropical —					
Oregon Health & Science Univ	U OF U	* 93.989	GEBSN0062UTAH	206,818	
(R&D) National Institute on Health —					
Adamas Pharmaceuticals	USU	* N/A	VARIOUS	4,036	
Colorado State University	USU	* N/A	VARIOUS	18,126	
Colorado State University	USU	* N/A	G-7820-1	280,192	
Colorado State University	USU	* N/A	G-7822-1	297,753	
Institute for Systems Biology	USU	* N/A	VARIOUS	45,918	
Johns Hopkins University	USU	* N/A	VARIOUS	40,630	
The University of Iowa	USU	* N/A	VARIOUS	58,570	
University of California, Davis	USU	* N/A	VARIOUS	(1,981)	
University of California, Irvine	USU	* N/A	VARIOUS	16,129	
University of Missouri, Columbia	USU	* N/A	VARIOUS	67,240	
University of New Hampshire	USU	* N/A	VARIOUS	53,799	
University of Texas, Austin	USU	* N/A	VARIOUS	1,314	
(R&D) Administration on Aging —					
Alzheimer's Association Utah Chapter	USU	* N/A	VARIOUS	36,779	
(R&D) Centers Disease Control & Prevent —					
High Plains Intermountain Ctr Ag	USU	* N/A	VARIOUS	7,571	
(R&D) Inst Deafness Comm. Disorders —					
Ohio University	USU	* N/A	VARIOUS	90,145	
(R&D) Natl Ctr Minority Hlth & Hlth Disparities					
Univ of Pennsylvania	USU	* N/A	VARIOUS	88,730	
(R&D) Institute on Aging —					
Brigham Young University	USU	* N/A	VARIOUS	20,710	

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Admin for Children and Families —					
Ogden-Weber Community Action Part.	USU	* N/A	VARIOUS	(11,226)	
(R&D) Health and Human Services —					
Agri Analysis Inc	U OF U	* N/A	VARIOUS	53,678	
Biofire Diagnostics Inc	U OF U	* N/A	VARIOUS	423,058	6,893
Blackrock Microsystems	U OF U	* N/A	10029775	71,992	
Children'S National Medical Center	U OF U	* N/A	40821103	3,519	
Christopher & Dana Reeve Foundation	U OF U	* N/A	U10CCU2203679	3,824	
Cincinnati Childrens Hospital Med Ctr	U OF U	* N/A	VARIOUS	16,762	
Cleveland Clinic Foundation	U OF U	* N/A	4435229	2,815	
Echelon Biosciences Inc	U OF U	* N/A	R43HD066993	11,681	
Electronic Bioscience Inc	U OF U	* N/A	VARIOUS	13,110	
Emmes Corp	U OF U	* N/A	HHSN260200500007C	3,276	
Epitel	U OF U	* N/A	10026255	28,646	
Evas Therapeutics	U OF U	* N/A	VARIOUS	227,326	
Fox Chase Cancer Center	U OF U	* N/A	FCCC1802001P0201200107	9,050	
George Washington University	U OF U	* N/A	VARIOUS	2,102,029	1,381,032
Gvd Corp Total	U OF U	* N/A	10020388	86,084	
Jaeb Center For Health Research	U OF U	* N/A	VARIOUS	4,826	
Johns Hopkins University Total	U OF U	* N/A	VARIOUS	24,051	
Kineta Inc	U OF U	* N/A	PHASEII10016246	53,140	
Kitware Total	U OF U	* N/A	K000432S01	23,788	
Louisiana St Univ Health Science Center	U OF U	* N/A	0990010A3MH085387	4,230	
Massachusetts General Hospital	U OF U	* N/A	VARIOUS	3,469	
National Childhood Cancer Foundation	U OF U	* N/A	VARIOUS	(87,974)	
Navigen	U OF U	* N/A	VARIOUS	151,113	
New England Research Institutes	U OF U	* N/A	VARIOUS	74,817	
Novo Contour	U OF U	* N/A	10020321	46,700	
Omicia Inc	U OF U	* N/A	1002715/10015231	156,596	
Radiological Society Of North Amer Inc	U OF U	* N/A	HHSN268200800020C	38,064	
Reaction Engineering Intl	U OF U	* N/A	81961MATCH	200,462	
Rti International	U OF U	* N/A	VARIOUS	1,231,646	616,740
Salk Institute For Biological Studies	U OF U	* N/A	0052363P0052377HD033113	263,054	
Thera Target Inc	U OF U	* N/A	10025202/10018419	73,295	
University Of California Irvine	U OF U	* N/A	20061709	3,471	
University Of Pennsylvania	U OF U	* N/A	VARIOUS	16,459	
University Of South Florida	U OF U	* N/A	6119114400E	(4,737)	
University Of Tennessee	U OF U	* N/A	R073297068	4,250	
University Of Toledo	U OF U	* N/A	N201143	(7,869)	
Wasatch Microfluidics Inc	U OF U	* N/A	10026151	138,470	94,000
Washington University In St Louis	U OF U	* N/A	WU11208	158,530	
Westat	U OF U	* N/A	8906S08	20,029	
(R&D) DHHS Research and Development —					
Lehigh University	USU	* N/A	VARIOUS	17,909	
Marshfield Clinic	USU	* N/A	VARIOUS	1,327	
Microbiotix, Inc.	USU	* N/A	VARIOUS	23,033	
Ventria Bioscience	USU	* N/A	VARIOUS	31,920	
(R&D) Institute of Allergy & Infectious Dis —					
Colorado State University	USU	* N/A	G-7835-5/5U54 AI065357	146,891	
(R&D) Institute of Child Health & Human D—					
IRIS Educational Media	USU	* N/A	VARIOUS	23,382	
(R&D) NIH Health and Human Services —					
Seattle Children's Research Inst	U OF U	* N/A	41339154S132P010379SUB	11,857	
University Of Pittsburgh	U OF U	* N/A	00323911225391	29,211	
Virginia Polytechnic Inst & St Univ	U OF U	* N/A	43175219122	1,327	
Childrens Hospital Philadelphia	U OF U	* N/A	VARIOUS	22,273	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Mayo Clinic Rochester	U OF U	* N/A	5U10CA14995002	5,748	
Arterioocyte Inc	U OF U	* N/A	10029687	49,446	
Nanoshell Llc	U OF U	* N/A	10030645	43,866	
Icf Incorporated	U OF U	* N/A	13EDP00007	31,781	
University Of Alabama At Birmingham	U OF U	* N/A	VARIOUS	(21,327)	
Seattle Children'S Hospital	U OF U	* N/A	10501SUB	3,417	
EMS For Children Network Development —					
University Of California Davis	U OF U	93.127	SUB0800089	156,295	
Hazardous Materials Training —					
University of Texas at Houston	U OF U	93.142	0007901A	143,818	
Natl AIDS Education & Training Center —					
University of Colorado at Denver	U OF U	93.145	FY11163006	174,200	
Substance Abuse & Mental Hlth Projects —					
The Children's Center	U OF U	93.243	PID10017058	10,230	4,170
The Road Home	U OF U	93.243	T123471	51,549	
University Of Nevada Reno	U OF U	93.243	UNR1240	1,576	
Public Health Training Centers Program —					
Univ of California Los Angeles	U OF U	93.249	1920GNA287	135,572	
Rivastigmine & Huperzine Potential Treat —					
Baylor College Of Medicine	U OF U	93.279	101248984	50,173	
CDC Investigations & Technical Assist —					
Assoc of American Medical Colleges	U OF U	93.283	MM10790909	(1,560)	
Salt Lake Valley Health Department	U OF U	93.283	HE12393	15,468	
Utah Cancer Action Network	U OF U	93.283	VARIOUS	11,060	
CDC Investigations & Technical Assistance —					
Weber-Morgan Health Department	Weber State	93.283	VARIOUS	875	
Cancer Treatment Research —					
John Wyne Cncr Inst@St Jhn'S Hlth Cr Ctr	U OF U	93.395	CA29605	66,218	
Natl Surgcl Adjuvant Breast & Bowel Proj	U OF U	93.395	TFED37295	13,786	
Southwest Oncology Group	U OF U	93.395	F018920	222,976	
Cancer Control —					
Natl Surgcl Adjuvant Breast & Bowel Proj	U OF U	93.399	PFED26UTA01	5,042	
Southwest Oncology Group	U OF U	93.399	CA37429SW0GS0437	1,652	
Cardiovascular Diseases Research —					
Brigham & Womens Hospital	U OF U	93.837	VARIOUS	1,327	
University Of Michigan	U OF U	93.837	3001212819	55,786	
Lung Diseases Research —					
Duke Clinical Research Institu	U OF U	93.838	00003745	1,820	
Duke University	U OF U	93.838	00003745	6,144	
Blood Diseases and Resources Research —					
Duke Clinical Research Institu	U OF U	93.839	1U01HL087229	566	
National Marrow Donor Program	U OF U	93.839	577PRDSAFE	6,002	
Endocrine Society's Leaders In Endocrinolog -					
The Endocrine Society	U OF U	93.847	10003	18,397	
Neurosciences and Neurological Disorders —					
Cincinnati Childrens Hosp Med C	U OF U	93.853	107759	27,423	
Yale University School Of Med	U OF U	93.853	A08580M10A10572	24,028	
Aging Research —					
Duke Clinical Research Institute	U OF U	93.866	2039362/2039158	(635)	
University Of California San Diego	U OF U	93.866	VARIOUS	37,881	
Depart. of Health and Human Services —					
Kaiser Foundation Research Institut	USU	N/A	VARIOUS	23,311	
Utah County	USU	N/A	VARIOUS	250	
Substance Abuse and Mental Health —					
The Children's Center	USU	N/A	VARIOUS	48,258	
Utah County	USU	N/A	VARIOUS	17,000	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Health and Human Services —					
Oklahoma Medical Research Foundation	U OF U	N/A	OKN007	18,255	
John Snow Inc	U OF U	N/A	VARIOUS	1,542	
Natl Surgcl Adjuvant Breast & Bowel Proj	U OF U	N/A	NCIU10CA37377	13,460	
Brigham & Womens Hospital	U OF U	N/A	CIRT	4,029	
American College Of Radiology	U OF U	N/A	8201	120,619	
American College Of Srgns Oncology Grp	U OF U	N/A	SITE449	6,182	
Axon Medical Inc	U OF U	N/A	10014935	1,345	
Brigham & Womens Hospital	U OF U	N/A	VARIOUS	79	
Cleveland Clinic Foundation	U OF U	N/A	267038480301	2,238	
Emmes Corp	U OF U	N/A	VARIOUS	227,951	
Glycomira Llc	U OF U	N/A	VARIOUS	19,753	
Gynecologic Oncology Group	U OF U	N/A	NONE	28,710	
Intl Society Of Travel Medicine	U OF U	N/A	PID2312124	50	
Iq Solutions	U OF U	N/A	NIDA INVEST FELLOWSHIP - YOON	18,113	
Jaeb Center For Health Research	U OF U	N/A	PRIMEU10EY12358	464	
John Snow Inc	U OF U	N/A	NONE	8,982	
Mount Sinai School Of Medicine	U OF U	N/A	R01HL07198801A1	1,627	
National Neurovision Research Institute	U OF U	N/A	NNSPCTEC01090034UUTNER	36,953	
Natl Environmental Education Fndtn	U OF U	N/A	VARIOUS	9,711	
New England Research Inc	U OF U	N/A	HL68270	107,026	
New England Research Institutes	U OF U	N/A	N01HC45207	6,295	
Pgxl Laboratories	U OF U	N/A	VARIOUS	40,913	
Salt Lake City Bioscience	U OF U	N/A	44R44GM08460302	15	
Seattle Children'S Research Institute	U OF U	N/A	10201SUB	531	
University Of California Los Angeles	U OF U	N/A	1550PPB889/1551PNB138	41,713	
University Of Nebraska Medical Center	U OF U	N/A	3420051001001CA157167	445	
University Of South Florida	U OF U	N/A	6119114400E	97,426	
University Of Texas At Houston	U OF U	N/A	VARIOUS	1,101	
Vestan Inc	U OF U	N/A	VARIOUS	6,132	
Centers for Disease Control and Prevention —					
Marshfield Clinic	USU	N/A	VARIOUS	9,143	
Subtotal – Department of Health and Human Services				2,047,258,062	133,568,533
<u>HOMELAND SECURITY, DEPARTMENT OF</u>					
(R&D) Research On Fluorescent Sensing	U OF U	* 97.108	2009ST108LR0005	441,825	
(R&D) Department of Homeland Security	USU	* N/A	NBCHC060088 (06S060)	285,673	
Boating Safety	Natural Resources	97.012	3311FAS110149	1,313,170	
Community Assistance Progm (CAP-SSSE)	Public Safety	97.023	VARIOUS	166,900	
Emergency Food and Shelter	Workforce Services	97.024	851000	579	
Disaster Grants–Public Assistance	Public Safety	* 97.036	VARIOUS	4,324,431	4,244,959
Hazard Mitigation Grant	Public Safety	97.039	1955DRUTP5,4011DRUTP5	165,009	149,954
Chemical Stockpile Emergency Preparedness	Public Safety	97.040	EMD2010GR1048/2011GR1148	635,207	439,338
National Dam Safety Program	Natural Resources	97.041	DHS-11-MT-041-000-02	32,414	
Emergency Management Performance Grants	Public Safety	97.042	EMW2011EP00066/2012EP00025	4,416,645	1,676,340
NFA State Fire Training Program	UVU	97.043	EMW-2011-GR-00053-S01	2,617	
NFA State Fire Training Program	UVU	97.043	EMW-2012-GR-00031-S01	11,471	
Cooperating Technical Partners	Public Safety	97.045	VARIOUS	447,561	26,744
PKT Fire Hazard Reduction Work	SUU	97.046	VARIOUS	11,996	
Pre-Disaster Mitigation	Public Safety	97.047	VARIOUS	4,371,608	4,097,293
Interoperable Emergency Communications	Public Safety	97.055	2009IPT90048, 2010IPT00027	152,366	152,366
Homeland Security Grant Program	Public Safety	* 97.067	VARIOUS	6,710,915	5,721,658
Earthquake Consortium	Public Safety	97.082	EMD2011GR1142/2012GR1242	50,940	31,280
Driver's License Security Grant Program	Public Safety	97.089	VARIOUS	1,344,769	
National Incident Management System (NIMS)	Public Safety	97.107	12SA0901858	26,009	26,009

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Pass-Through From Non-State Entities:					
(R&D) Department of Homeland Security —					
Electronic Bioscience Inc	U OF U	* N/A	018HS1CP02257	1,196	
Northeastern University	U OF U	* N/A	504996	49,069	
(R&D) Department of Homeland Security —					
Norwich Univ Applied Research Inst	USU	* N/A	PO 2013-016 / FA8750-08-C-0262	474,185	
Subtotal – Department of Homeland Security				25,436,555	16,565,941
HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF					
(SCDBG) Community Development Blk G	Workforce Services	14.228	B-09-DC-49-0001	117,766	73,159
(SCDBG) Community Development Blk G	Workforce Services	14.228	B-10-DC-49-0001	626,299	476,783
(SCDBG) Community Development Blk G	Workforce Services	14.228	B-11-DC-49-0001	1,229,781	1,229,781
(SCDBG) Community Development Blk G	Workforce Services	14.228	B-12-DC-49-0001	2,718,809	2,718,809
(SCDBG) Neighborhood Stabiliz. P–NSP1	Workforce Services	14.228	B-08-DN-49-0001	16,696	
(SCDBG) Neighborhood Stabiliz. P–NSP3	Workforce Services	14.228	B-11-DN-49-0001	2,754,775	2,722,756
Emergency Shelter	Workforce Services	14.231	E-11-DC-49-0001	97,033	62,980
Emergency Shelter	Workforce Services	14.231	E-12-DC-49-0001	619,662	596,656
Homeless Assistance Competitive	Workforce Services	14.235	UT0001B8T001003	40,581	
Homeless Assistance Competitive	Workforce Services	14.235	UT0011B8T031003	7,734	
Homeless Assistance Competitive	Workforce Services	14.235	UT0020B8T041003	6,205	
Homeless Assistance Competitive	Workforce Services	14.235	UT0066B8T031000	50,741	
Homeless Assistance Competitive	Workforce Services	14.235	UT0061B8T001000	128,047	
Homeless Assistance Competitive	Workforce Services	14.235	UT0081B8T031100	28,896	28,896
Homeless Assistance Competitive	Workforce Services	14.235	UT0082B8T031100	95,677	95,677
Shelter Plus Care	Workforce Services	14.238	UT0015C8T031104	28,421	28,421
Home Investment Partnership	Workforce Services	14.239	M-00-SG-49-0100	150,178	
Home Investment Partnership	Workforce Services	14.239	M-04-SF-49-0100	110,906	
Home Investment Partnership	Workforce Services	14.239	M-12-SF-49-0100	304,721	148,471
HOPWA Block Grants	Workforce Services	14.241	UT06H99-F999	45,284	45,284
HOPWA Block Grants	Workforce Services	14.241	UTH00-F999	93,789	93,789
HOPWA Block Grants	Workforce Services	14.241	UTH08-F999	10,580	10,580
HOPWA Block Grants	Workforce Services	14.241	UTH09-F999	50,567	50,309
HOPWA Block Grants	Workforce Services	14.241	UTH10-F999	110,653	107,102
HOPWA Block Grants	Workforce Services	14.241	UTH11-F999	424	424
HOPWA Block Grants	Workforce Services	14.241	UTH12-F999	2,955	
Fair Housing Assistance Program	Labor Comm.	14.401	FF208K118002	215,449	
Fair Housing Assistance Program	Labor Comm.	14.401	FF208K108003	80,000	
Utah Shakespeare Festival Centre Campaign	SUU	N/A	B-05-SP-UT-0316	452,800	
Dept. of Housing and Urban Development	U OF U	N/A	VARIOUS	297,952	
Housing and Urban Development	USU	N/A	VARIOUS	25,970	
Pass-Through From Non-State Entities:					
(R&D) Depart Housing & Urban Develop —					
Salt Lake County UT	U OF U	* N/A	BV11255C	144,500	
Dept. of Housing and Urban Develop —					
Salt Lake City Corporation	U OF U	N/A	VARIOUS	792	
Salt Lake County Ut	U OF U	N/A	BV11254C	484,849	
Subtotal – Department of Housing and Urban Development				11,149,492	8,489,877
INTERIOR, DEPARTMENT OF THE					
(FW) Sport Fish Restoration Program	Natural Resources	15.605	VARIOUS	4,684,255	
(FW) Wildlife Restoration Program	Natural Resources	15.611	VARIOUS	4,922,290	
(R&D) Cultural Resource Management	U OF U	* 15.224	VARIOUS	(32,622)	
(R&D) Bureau of Land Management	USU	* 15.224	VARIOUS	2,451	
(R&D) Fish, Wildlife and Plant Conservation	U OF U	* 15.231	VARIOUS	60,220	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Bureau of Land Management	USU	* 15.231	VARIOUS	337,892	
(R&D) Bureau of Land Management	USU	* 15.231	L09AC15164 (080889)	248,870	
(R&D) Bureau of Land Management	USU	* 15.231	L12AC20049 (130064)	140,641	
(R&D) Bureau of Land Management	USU	* 15.231	L12AC20626 (130141)	146,187	
(R&D) Mojave Flora Checklist	UVU	* 15.231	L12AC20568	3,557	
(R&D) Verification of Spot Fire Weather Forecast	U OF U	* 15.232	L12AC20624	54,242	2,952
(R&D) Bureau of Land Management	USU	* 15.232	L11AC20275 (110374)	117,315	
(R&D) Environmental Quality & Protection Research	U OF U	* 15.236	VARIOUS	27,009	
(R&D) Bureau of Land Management	USU	* 15.236	VARIOUS	82,861	
(R&D) Bureau of Land Management	USU	* 15.237	VARIOUS	111,552	
(R&D) Demo & Pollination Monitoring Penstemon	U OF U	* 15.238	L08AC14473JSA071002	(1,810)	
(R&D) Bureau of Land Management	USU	* 15.238	VARIOUS	63,796	
(R&D) Poppy Populations	UVU	* 15.238	L10AC20099	2,767	
(R&D) Curatorial Services	U OF U	* 15.511	R08AP402746	24,986	
(R&D) Migratory Bird Monitoring, Conservation	Weber State	* 15.655	601819J301	11,154	
(R&D) Graham's Penstemon Survey 2011	U OF U	* 15.657	F11AC00384/60181BJ606	32,732	
(R&D) Endangered Species Conservation	Weber State	* 15.657	F12AC006559	4,278	
(R&D) Faults Submerged Beneath Utah Lake	U OF U	* 15.807	G08AP0001608HQGR0097	23,501	
(R&D) Lidar Identification Of Hydrothermal	U OF U	* 15.816	G11AP20050	102	
(R&D) Liquefaction Maps for Weber CO	U OF U	* 15.817	G12AP20074	17,657	
(R&D) Subsistence Research - Denali	U OF U	* 15.945	P13AC00318	6,534	
(R&D) NPS Department of Interior	U OF U	* N/A	VARIOUS	20,858	
(R&D) FWS Department of Interior	U OF U	* N/A	VARIOUS	1,491	
(R&D) USGS Department of Interior	U OF U	* N/A	VARIOUS	(917)	
(R&D) UT Reclamation Mitigation & Conservation	USU	* N/A	VARIOUS	20,832	
(R&D) Bureau of Reclamation	USU	* N/A	VARIOUS	42,473	42,473
(R&D) Bureau of Reclamation	USU	* N/A	08-CS-40-8210 (080574)	1,823	
(R&D) Bureau of Reclamation	USU	* N/A	08-FC-40-2794/R08AC40794 (080992)	38,699	
(R&D) Bureau of Reclamation	USU	* N/A	08FC602344 (090071)	3,711	
(R&D) Bureau of Reclamation	USU	* N/A	09-FC-40-2888/R09AC4088 (090619)	755	
(R&D) Bureau of Reclamation	USU	* N/A	R10AC35280001 (101042)	28	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC40005 (110370)	126,050	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC40021 (110915)	31,138	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC80350 (110775)	1,930	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC81456 (111043)	94,252	
(R&D) Bureau of Reclamation	USU	* N/A	R11AP40011 (110553)	43,459	
(R&D) U.S. Fish and Wildlife Service	USU	* N/A	VARIOUS	194,572	17,042
(R&D) US Geological Survey	USU	* N/A	VARIOUS	538,700	
(R&D) National Park Service	USU	* N/A	VARIOUS	460,651	3,096
(R&D) CESU TASKS	Weber State	* N/A	J1330-100027/110030	15,024	
(R&D) Forest Service	Weber State	* N/A	10-CS-11041000-022	470	
Fuels Intern & Color Country Fire Intern	SUU	15.151	H69443/92120	13,359	
Vernal Field Office Cooperative Agreement	DHA	15.224	L08AC13889	46,023	
GIS Cooperative Agreement	DHA	15.224	L10AC20533	25,000	
Manti Springs Study	Natural Resources	15.224	12-CS-11041000-044	20,000	
Paleo Database (UDBP)	Natural Resources	15.224	L09AC15959-0008	9,974	
Paleo Database (UPDD)	Natural Resources	15.224	L09AC15959-0007	22,322	
IIC - BLM Career Internship Corps	SUU	15.225	L10AC20317	3,481	
Kanab Field Off - Hog Cnyn Rte Mgmt	SUU	15.225	L12AC20380	15,577	
Bureau of Land Management	USU	15.225	VARIOUS	59,915	
Wildland Urban Interface Community Assist.	Natural Resources	15.228	L08AC13293	212,247	
UCI Utah Wild Horse and Burro	Corrections	15.229	VARIOUS	2,094,580	
Bureau of Land Management	USU	15.230	VARIOUS	28,923	
Bat Database	Natural Resources	15.231	VARIOUS	121,255	
Bureau of Land Management	Natural Resources	15.231	VARIOUS	6,114,046	
UT Prairie Dog Recovery	SUU	15.231	L10AC20498	11,203	

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Bat Habitat Monitoring & Population Monitoring	SUU	15.231	L11AS00146	11,373	
Frisco Mountain Endemic Plant Study	SUU	15.231	L11AC20329	46,591	
Bureau of Land Management	USU	15.231	VARIOUS	10,986	
BLM Utah Air Quality Monitoring Project	Environmental Q.	15.236	L11AC20109	190,840	
Statewide Reclamation Agreement	Natural Resources	15.236	L07AC13568	7,022	
Statewide Reclamation Agreement	Natural Resources	15.236	L12AC20558	52,477	
BLM Sage Grouse Initiative	Agriculture	15.237	L12AC20544	40,000	
NUIFC Building Project	Natural Resources	15.239	L10AC20198	40,821	
Ready Reserve Program	Natural Resources	15.242	L08AC13016	29,278	
UT FY12 Regulatory Grant	Natural Resources	15.250	S12AB20000	1,940,872	
2010 AML Simplified Grant	Natural Resources	15.252	S10AF20007	1,641,936	
2011 AML Simplified Grant	Natural Resources	15.252	S11AF20026	1,095,168	
2012 AML Simplified Grant	Natural Resources	15.252	S12AF20011	1,474,694	
Olsen Neihart	Environmental Q.	15.503	09PG490017	367	
Upper Colorado River Recovery	Natural Resources	15.504	R09AP40846	6	
Upper Colorado River Recovery	Natural Resources	15.504	R09AP40904	605	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2845	1,528	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2908	1,747	
Diamond Fork Mitigation Project	Natural Resources	15.504	11-FC-UT-1810	10,065	
Provo River Restoration PRRP	Natural Resources	15.504	10-FC-UT-1670	10,357	
Utah Lake Wetland Preserve/Juab Co	Natural Resources	15.504	10-FC-UT-1650	11,176	
Upper Colorado River Recovery	Natural Resources	15.504	R12AP40032	17,361	
Montes Creek WMA	Natural Resources	15.504	10-FC-UT-1780	36,762	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2847	37,100	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2902	48,508	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2871	57,155	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2848	67,323	
San Juan Razorback Sucker	Natural Resources	15.504	R11AP40004	72,280	
Stewart Lake WMA	Natural Resources	15.504	07-FC-40-2628	75,058	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2849	99,849	
Provo River Corridor	Natural Resources	15.504	07-FC-UT-1490	104,760	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2870	125,432	
June Sucker Interim Hatchery	Natural Resources	15.504	11-FC-CU-DW010	143,860	
June Sucker Interim Hatchery	Natural Resources	15.504	10-FC-CU-DW010	143,860	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2850	184,917	
Kamas Hatchery	Natural Resources	15.504	03-FC-CU-DW020	186,623	
Native Cutthroat Trout Restoration/Cons.	Natural Resources	15.504	10-FC-UT-1710	198,686	
Whiterocks Hatchery	Natural Resources	15.504	09-FC-CU-DW010	205,013	
Fountain Green Hatchery	Natural Resources	15.504	09-FC-CU-DW010	239,362	
Habitat Enhancement	Agriculture	15.509	F13AC00137	8,059	
Colorado River Basin Rangeland	Agriculture	15.509	R09AP4002	9,072	6,238
Colorado River Basin Salinity	Agriculture	15.509	04FC-40-2157	225,182	194,997
Basin States-Salinity	Agriculture	15.509	R12AC40019	773,082	631,902
San Juan Non-native Lower San Juan	Natural Resources	15.529	08-FG-40-2722	112,174	
Local Endangered Species Act	Natural Resources	15.615	VARIOUS	100,413	
UPDRIP 2 - BLM UT Prairie Dog Recovery	SUU	15.615	60181AJ509	30,223	
Landowner Incentive	Natural Resources	15.633	VARIOUS	107,417	
State Wildlife Grants	Natural Resources	15.634	VARIOUS	703,699	
USFWS Cooperative Agreements	Natural Resources	15.642	VARIOUS	1,142,681	
USGS NEHRP Nephi Segment (UNPI)	Natural Resources	15.807	G12AP20076-0001	12,489	
Earthquake Studies (UEQ8)	Natural Resources	15.807	G10AC00058-0003	2,042	
Earthquake Studies (UEQ9)	Natural Resources	15.807	G13AC00007-0001	22,915	
USGS NEHRP Probabilities (UEQP)	Natural Resources	15.807	G11AP20004-1	16,026	
Earthquake Hazards Reduction Program	U OF U	15.807	VARIOUS	840,367	
U.S.G.S. – Research and Data Collection	U OF U	15.808	VARIOUS	131,812	
Statemap 2012 (UST2)	Natural Resources	15.810	G11AC20249-0001	48,492	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Statemap 2013 (UST3)	Natural Resources	15.810	G12AC20226-0001	136,393	28,000
USGS Data Preservation Year 4 (UDP4)	Natural Resources	15.814	G12AP20129-0001	44,748	
Earthquake Information System at Yellowstone	U OF U	15.818	G10AC00124	158,050	
NCRDS - 2010 (UNC0)	Natural Resources	15.819	G10AC00455	15,000	
Historic Preservation	DHA	15.904	49-11-31951	287,053	60,272
Historic Preservation	DHA	15.904	49-12-41951	351,091	55,017
Historic Preservation	DHA	15.904	P13AF00160	7,264	
Save Utah's Treasures	U OF U	15.904	ST0308002308	15,795	
Great Salt Lake Danger Cave	Natural Resources	15.916	PX1230-7-0113	155	
CEBR Hazardous Tree Project	SUU	15.945	J1360110060/SUU-29	(162)	
IIC - CEBR Project CIC Trails and Fence	SUU	15.945	P11AT10390	17	
Bryce Canyon Memories	SUU	15.945	J1330110014/SUU-28	1,169	
Cedar Breaks Night Sky Project	SUU	15.945	J1360100174	2,048	
Bat Monitoring Pipe Springs NM	SUU	15.945	J15101000370/SUU-25	2,319	
Cedar Breaks Wayside Project	SUU	15.945	J1360110051/SUU-27	18,340	
GIS Supp for Under the Rim Archaeology	SUU	15.945	J1330100049/SUU-26	21,359	
Cedar Breaks Recreation and Repair	SUU	15.945	VARIOUS	29,302	
IIC - YIP Career Internship Corp	SUU	15.945	P11AT00221/SUU-32	36,629	
NPS Youth Conservation Corps	SUU	15.945	VARIOUS	40,558	
Forest Service Internship Program	SUU	15.945	12-CS-11040700-011	79,268	
Youth Partnership - IIC	SUU	15.945	J1360120015/SUU-37	361,341	
Taylor Grazing	Agriculture	N/A	VARIOUS	142,478	142,478
Utah Field House BLM	Natural Resources	N/A	VARIOUS	8,299	
Coral Pink BLM coop agreement	Natural Resources	N/A	L08AC13866	1,125	
EOTC Repository and Curation	Natural Resources	N/A	VARIOUS	3,732	
Stravation BOR Project	Natural Resources	N/A	R10AC40003	890,025	
Oil and Gas Leases	Tax Commission	N/A	M10AC20016	475,247	
Herbarium Imaging Project	SUU	N/A	P11AT10843/SUU-35	2,846	
Wetland Microbial Comm at Bryce	SUU	N/A	P11AT00185/SUU-33	3,961	
BLM - CCFO Youth Dev Internship	SUU	N/A	L12AC20607	4,959	
Taylor Creek Cabin Stabilization	SUU	N/A	P12AC10849	7,122	
Bryce Canyon - Under the Rim Archaeology	SUU	N/A	J1330100050/SUU-24	9,161	
Cedar Mountain Extreme	SUU	N/A	VARIOUS	20,999	
BLM Department of the Interior	U OF U	N/A	VARIOUS	22,253	
NPS Department of the Interior	U OF U	N/A	VARIOUS	15,425	
USGS Department of the Interior	U OF U	N/A	VARIOUS	8,755	
Bureau of Indian Affairs	USU	N/A	VARIOUS	21,764	
Bureau of Land Management	USU	N/A	VARIOUS	(3,681)	
U.S. Fish and Wildlife Service	USU	N/A	VARIOUS	93,995	
National Park Service	USU	N/A	VARIOUS	167,450	
ARRA-Bureau of Land Management	USU	N/A	VARIOUS	13,276	
ARRA-(R&D) Bureau of Land Management	USU	* N/A	VARIOUS	21,920	
Pass-Through From Non-State Entities:					
(R&D) Fish, Wildlife and Plant Conserv —					
The Nature Conservancy	Weber State	* 15.231	VARIOUS	6,002	
(R&D) Nellis Dunes Recreational Area —					
University Of Nevada Las Vegas	U OF U	* 15.235	11716P00	11,809	
(R&D) Retrofit Impacts Of Oxy-Coal —					
University Of Wyoming	U OF U	* 15.250	1001030	59,878	
(R&D) AMLR Program —					
University Of Wyoming	U OF U	* 15.252	VARIOUS	52,542	
(R&D) Migratory Bird Monitoring, Assess —					
Ogden City	Weber State	* 15.655	VARIOUS	25,512	
(R&D) UCSB Fews —					
University of California Santa	U OF U	* 15.805	KK1326	17,652	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Paleofaulting Investigation S Andreas Fault — Univ of So California	UVU	* 15.808	G12AC20038	10,844	
(R&D) Cooperative Research Units Pgm — The Regents of New Mexico State	Weber State	* 15.812	G12AC20094	2,282	
(R&D) Cooperative Research Units Pgm — South Dakota State University	Weber State	* 15.812	3TB627	21,938	
(R&D) Department of Interior — (R&D) Bureau of Land Management — World Wildlife Fund	USU	* N/A	VARIOUS	94,465	
Idaho Department of Fish and Game	USU	* N/A	VARIOUS	22,907	
America View	USU	* N/A	VARIOUS	12,855	
(R&D) Department of the Interior — Center For Plant Conservation	U OF U	* N/A	VARIOUS	41,477	
Hughes Research Laboratories	U OF U	* N/A	VARIOUS	337,094	
(R&D) Bureau of Reclamation — North Wind, Inc.	USU	* N/A	20004S.11	3,760	
University of Nevada, Reno	USU	* N/A	2010-0059-201, UNR-12-5	14,581	
Weber Basin Water Conservancy District	USU	* N/A	2011WCFS-WBWCD	6,764	
(R&D) U.S. Fish and Wildlife Service Division of Parks and Outdoor Rec	USU	* N/A	VARIOUS	12,765	
Ducks Unlimited, Inc.	USU	* N/A	VARIOUS	7,357	
Nevada Division of Forestry	USU	* N/A	VARIOUS	7,827	
Pheasants Forever, Inc.	USU	* N/A	VARIOUS	58,335	
(R&D) US Geological Survey — University of Southern California	USU	* N/A	VARIOUS	9,996	
Sage Grouse Initiative — Pheasants Forever	Agriculture	15.637	60181BJ653	21,112	
Subtotal – Department of the Interior				38,872,886	1,184,467
<u>JUSTICE, DEPARTMENT OF</u>					
ARRA-Stop Violence Against Women	Governor's Office	16.588	2009EFS60004	58,371	58,371
ARRA-Internet Crimes Against Children	Attorney General	16.800	2009SNB9K045	10,759	
ARRA-Victim Assistance Recovery	Governor's Office	16.801	2009SGB90146	39,617	39,617
ARRA-(JAG) Justice Assistance Grant	Governor's Office	16.803	2009SUB90045	340,964	199,841
(JAG) 2012 Justice Assistance—Supplement	Governor's Office	16.738	2012DSBX0010	73,830	
(JAG) 2009 Justice Assistance Grant	Governor's Office	16.738	2009JJBX0657	187,965	
(JAG) 2011 Justice Assistance Grant	Governor's Office	16.738	2011DJJBX2082	420,364	172,285
(JAG) 2012 Justice Assistance Grant	Governor's Office	16.738	2012DJJBX0370	589,675	544,472
(JAG) 2010 Justice Assistance Grant	Governor's Office	16.738	2010DJJBX0318	766,285	181,670
Law Enforcement Assistance	National Guard	16.001	DEA-P-031	4,527,869	
Law Enforcement Assistance	National Guard	16.001	DEA-P-004	765,610	
Sexual Assault Services Program	Governor's Office	16.017	2012KFAX0037	36,228	36,228
Sexual Assault Services Program	Governor's Office	16.017	2011KFAX0014	83,265	82,997
Sexual Assault Services Program	Governor's Office	16.017	2010KFAX0023	91,656	84,448
2010 Juvenile Accountability Block Grants	Governor's Office	16.523	2010JJBFX0099	187,595	
2009 Juvenile Accountability Block Grants	Governor's Office	16.523	2009JJBFX0048	376,483	
Internet Crimes Against Children Task Force	Attorney General	16.540	2009MCCXK053	278,239	
2011 DMC Assessment Grant	Governor's Office	16.540	2011JFFX0163	10,446	
2009 JJDP Juvenile Justice	Governor's Office	16.540	2009JFFX0030	55,667	7,450
2010 JJDP Juvenile Justice	Governor's Office	16.540	2010JFFX0027	100,977	36,111
2011 JJDP Juvenile Justice	Governor's Office	16.540	2011JFFX0021	123,223	98,083
2012 JJDP Juvenile Justice	Governor's Office	16.540	2012JFFX0031	178,189	45,356
2009 Title V Grant	Governor's Office	16.548	2009JPFX0041	801	
2010 Title V Grant	Governor's Office	16.548	2010JPFX0052	1,262	
2009 JSTAT Program	Governor's Office	16.550	2009BJCXK25	15,028	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
2011 National Criminal History Improvement	Governor's Office	16.550	2011RUBXK084	20,340	
2012 JSTAT Program	Governor's Office	16.550	2012BJCXK035	26,497	
2010 National Criminal History Improvement	Governor's Office	16.550	2010RUBXK026	42,215	
2012 National Criminal History Improvement	Governor's Office	16.550	201RUBXK084	133,000	
2009 Victims Assistance	Governor's Office	16.575	2009VAGX0043	258,684	225,682
2010 Victims Assistance	Governor's Office	16.575	2010VAGX0103	1,587,744	1,389,562
2011 Victims Assistance	Governor's Office	16.575	2011VAGX0063	2,056,579	2,056,579
2010 Victims Compensation	Governor's Office	16.576	2010VCGX0018	77,254	
2012 Victims Compensation	Governor's Office	16.576	2012VCGX0055	1,767,950	
Drug Court Discretionary Grant Program	Human Services	16.585	2010-DC-BX-0122	12,700	12,700
Stop Violence Against Women	Governor's Office	16.588	2010WFAX0030	285,256	140,710
Stop Violence Against Women	Governor's Office	16.588	2011WFAX0054	599,572	570,499
Stop Violence Against Women	Governor's Office	16.588	2012WFAX0046	631,694	586,422
2011 Sexual Violence Response Improvement	Governor's Office	16.590	2011WEAX0051	241,589	241,071
2010 Res. Substance Abuse Treatment	Governor's Office	16.593	2010RTBX0060	43,318	43,318
2011 Res. Substance Abuse Treatment	Governor's Office	16.593	2011RTBX0038	123,979	118,293
State Criminal Alien Assistance Program	Corrections	16.606	2008-AP-BX-0218	459,480	
Bullet Proof Vest Program	Governor's Office	16.607	VARIOUS	18,860	
Enforcing Underage Drinking Laws Program	Public Safety	16.727	2010AHFX0066, 2011AHFX0044	170,387	130,477
Justice Assistance Grant	Snow College	16.738	2012-DJ-BX-0370	4,774	
2009 SAVIN	Governor's Office	16.740	2009VNCX0008	170,042	
DNA Backlog Reduction Program	Public Safety	16.741	VARIOUS	526,253	
Paul Coverdell Forensic Science Improv. G.	Public Safety	16.742	VARIOUS	143,998	
Support for Adam Walsh Act Implementation	Corrections	16.750	2010-AW-BX-0038	78,148	
Harold Rogers Prescription Drug Monitoring P	Commerce	16.754	2009-PM-BX-0012	57,775	
John R. Justice Prosecutors and Defenders	Attorney General	16.816	2010-RJ-BX-0003	121,220	
Intermtn West Reg. Computer Forensic Lab	Attorney General	N/A	VARIOUS	12,010	
Joint Criminal Apprehension Team	Attorney General	N/A	VARIOUS	2,364	
Asset Forfeiture Pgm Equitable Sharing	Insurance	N/A	UT018015Y	48,320	
Department of Justice	USU	N/A	VARIOUS	50,869	
Office of Juvenile Justice & Delinquency Prev	USU	N/A	2012-JU-FX-0022 (120897)	205,086	
ARRA-(R&D) Department of Justice	USU	* N/A	VARIOUS	22,658	
(R&D) Predict Drug Interactions w Methadone	U OF U	* N/A	2011DNBXX532	275,360	
(R&D) Department of Justice	U OF U	* N/A	VARIOUS	88,548	
(R&D) Department of Justice	USU	* N/A	VARIOUS	20,288	
(R&D) Juvenile Justice and Delinquency Prev	USU	* N/A	VARIOUS	58,092	15,575
Pass-Through From Non-State Entities:					
ARRA-Department of Justice —					
CAPSA	USU	N/A	VARIOUS	11,185	
PSN - Project Advance —					
West Valley City	U OF U	16.544	VARIOUS	7,406	
Office of Juvenile Justice & Delinquency P—					
National 4-H Council	USU	N/A	VARIOUS	190,555	
National 4-H Council	USU	N/A	2011-MU-MU-0026	100,697	
Chapter Grant —					
National Children's Alliance	Attorney General	N/A	43-SALT-UT CH09	147,725	
Training Grant —					
Wetern Regional Advocacy Center	Attorney General	N/A	1112010	7,500	
Subtotal – Department of Justice				20,228,339	7,117,817
LABOR, DEPARTMENT OF					
ARRA-Emergency Unemp Comp (EEUC)	Workforce Services	* 17.225	EUCA (EUC08)	56,621,918	
ARRA-Additional Unemp Comp (FAUC)	Workforce Services	* 17.225	FAUC Additional UC (FAUC)	(264,311)	
ARRA-UC Modernization Incentive & Admin	Workforce Services	* 17.225	UI MODERN INCENTIVE	1,233,317	
ARRA-State Energy Sector Grant	Workforce Services	17.275	GJ199135R0	1,728,533	
ARRA-Health Ins Tax Credit Oper Grant	Workforce Services	17.276	EM211926C2	23,185	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(ES) Wagner/Peyser Employment Services	Workforce Services	* 17.207	VARIOUS	11,247,373	
(ES) One Stop LMI State Admin.	Workforce Services	* 17.207	ES22093GC1, ES23027KJ	539,790	
(ES) One Stop Other LMI State Admin.	Workforce Services	* 17.207	ES22093GW1	34,698	
(ES) ES Retirement (Trust)	Workforce Services	* 17.207	ES22093EP0	742,891	
(ES) Wagner/Peyser Employment Services	Workforce Services	* 17.207	REED ACT	7,713,100	
(ES) Disabled Veterans Outreach	Workforce Services	* 17.801	T-DV19652D15, T-DV19652D25	1,005,772	
(ES) Local Veterans Employment	Workforce Services	* 17.804	T-DV19652L05/L15, T-DV19652L25	85,935	
(WIA) Workforce Invest. Act Adult Program	Workforce Services	* 17.258	VARIOUS	3,882,111	
(WIA) Workforce Invest. Act Youth Program	Workforce Services	* 17.259	AA21425EZ0,AA22965JP0	4,600,302	
(WIA) WIA Dislocated Worker Formula Grants	Workforce Services	* 17.278	VARIOUS	4,790,159	
Bureau of Labor Statistics – CES	Workforce Services	17.002	91212, 91213	78,306	
Bureau of Labor Statistics – LAUS	Workforce Services	17.002	91222, 91223	107,212	
Bureau of Labor Statistics – OES	Workforce Services	17.002	91232, 91233	294,619	
ES 202	Workforce Services	17.002	91242, 91243	362,177	
Bureau of Labor Statistics – MLS	Workforce Services	17.002	91252, 91253	56,996	
ES 202 AAMC	Workforce Services	17.002	91312, 91363	2,228,084	
BLS Survey Grant	Labor Comm.	17.005	OS-20362-12-75-J-49	44,806	
BLS Survey Grant	Labor Comm.	17.005	OS-20362-13-75-J-49	43,503	
Extended Benefits	Workforce Services	* 17.225	EUCA (EB)	53,044	
Emergency Unemp Comp (EEUC)	Workforce Services	* 17.225	EUCA (EUC08)	16,066,577	
Temporary Extended Unemployment Comp	Workforce Services	* 17.225	EUCA TEUC (Contributory)	(22,901)	
Unemploy Ins Re-Employment Assessment	Workforce Services	* 17.225	UI22343IB, UI21129DW	937,756	
Unemployment Insurance Operations	Workforce Services	* 17.225	VARIOUS	31,369,608	
Trade Readjustment Allowance Benefits	Workforce Services	* 17.225	VARIOUS	1,127,505	
Unemployment Ins Mandatory Re-Employment	Workforce Services	* 17.225	UI22343LO0, UI23921OK1	698,602	
Unemployment Insurance Extended Benefits	Workforce Services	* 17.225	UI21129DV	2,355,510	
State Funded Unemployment Expenditures UI	Workforce Services	* 17.225	VARIOUS	233,861,812	
Title V Senior Community Svc Employment	Human Services	17.235	AD-22909KR0	580,173	543,361
Trade TAA Program	Workforce Services	17.245	VARIOUS	1,941,216	
Alternative TAA Program	Workforce Services	17.245	UI23921NQ	67,324	
Renewable Energy Business Development	SUU	17.261	EA-21889-11-60-A-49	176,872	
Community Based Job Training Grants	SLCC	17.269	CB-20613-10-60-A-49	756,335	
Work Opportunity Tax Credit Program	Workforce Services	17.271	ES22093HG0,ES23027MCO	138,843	
ALCATS Project Reporting	Workforce Services	17.273	T- MI186234Z	65,107	
Alien Labor Certification Non Ag	Workforce Services	17.273	ES22093IP0,ES23027NR0	2,842	
Alien Labor Certification AG	Workforce Services	17.273	ES22093IP0,ES23027NR0	72,990	
Youth Build Grant	Ogden/Weber ATC	17.274	YB-21310-11-60-A-49	421,804	
Utah ATK NEG Grant	Workforce Services	17.277	EM20422HJ	177,554	
Community-Based Job Training Grant	Davis ATC	17.282	TC-22549-11-60-A-49	41,906	
Trade Adjustment Assist Community College	SLCC	17.282	VARIOUS	599,650	
TAACCCT Grant	Snow College	17.282	TC-22549-11-60-A-49	231,627	
Employment and Training Administration	UVU	17.282	TC-23788-12-60-A-49	96,883	
Workforce Innovation Fund (GENLEX)	Workforce Services	17.283	IF23255GY1	322,917	71,968
OSHA 23G Compliance	Labor Comm.	17.503	SP17752SP3	840,355	
OSHA 23G Compliance	Labor Comm.	17.503	SP19428SP2	651,676	
OSHA 21D Data Collection	Labor Comm.	17.504	DC18112DC3	2,600	
OSHA 21D Data Collection	Labor Comm.	17.504	DC18112DC2	10,262	
OSHA 21D Consultation	Labor Comm.	17.504	CS19399CS002	140,000	
OSHA 21D Consultation	Labor Comm.	17.504	CS19399CS003	302,426	
Veterans Temporary Assistance	Workforce Services	17.807	T-DV19652T25	7,729	
Mine Safety and Health Administration	USU	N/A	VARIOUS	85,164	
Subtotal – Department of Labor				391,382,244	615,329
NATIONAL COUNCIL ON DISABILITY					
Pass-Through From Non-State Entities:					
Reforming SSA Disability Benefits –					
National Disability Institute	U OF U	N/A	VARIOUS	16,412	
Subtotal – National Council on Disability				16,412	0

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
OTHER GOVERNMENT AGENCIES					
(R&D) Other Government Agencies	USU	* N/A	VARIOUS	10,716	
Pass-Through From Non-State Entities:					
(EDC) FHI Development 360 — Open World	UVU	N/A	VARIOUS	24,085	
(R&D) Other Government Agencies — Information Technology & Innov Fndn	U OF U	* N/A	2011002	393	
Texas A&M University	U OF U	* N/A	S08003710007950	220,802	
(R&D) Other Government Agencies — Exelis, Inc.	USU	* N/A	VARIOUS	8,801	
Evaluation of a Benefit Offset Nation — ABT Associates Inc	U OF U	N/A	GOBONUOFUTAH1659025202	70,108	
Subtotal – Other Government Agencies				334,905	0
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION					
(R&D) Science	U OF U	* 43.001	VARIOUS	517,531	
(R&D) Performance In Nonlinear Management	U OF U	* 43.003	NNX11AR71A	45,444	
(R&D) Nanosatellite Constellations	U OF U	* 43.008	NNX13AD39A	11,542	11,542
(R&D) GUVI Extended Mission	Dixie College	* N/A	NNX11AH76G	65,376	49,841
(R&D) Nasa-Epscor Of Utah Research Infrastr	U OF U	* N/A	NNX09A073A	207,462	154,929
(R&D) National Aeronautics and Space Admin	U OF U	* N/A	VARIOUS	653,280	
(R&D) Goddard Institute for Space Studies	USU	* N/A	VARIOUS	24,419	
(R&D) Goddard Space Flight Center	USU	* N/A	VARIOUS	107,750	
(R&D) Jet Propulsion Laboratory	USU	* N/A	VARIOUS	41,330	
(R&D) Marshall Space Flight Center	USU	* N/A	VARIOUS	27,285	
(R&D) National Aeronautic & Space Admin	USU	* N/A	VARIOUS	131,884	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NAS1-00071 (00S010)	105,575	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNG11CR02C (S00000761)	462,819	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNX11AE23G (S00000534)	503,338	
(R&D) Stennis Space Center	USU	* N/A	VARIOUS	150,145	
Aerospace Education Services Program	U OF U	43.001	VARIOUS	138,112	5,248
Education	U OF U	43.008	VARIOUS	65,285	
NASA GISS Project	Environmental Q.	N/A	VARIOUS	49	
Rocky Mtn NASA Space Grant	Snow College	N/A	NNX10AJ77H	17,586	
National Aeronautics and Space Admin.	U OF U	N/A	VARIOUS	696,597	453,555
National Aeronautics and Space Administration	USU	N/A	VARIOUS	52,892	
Pass-Through From Non-State Entities:					
ARRA-(R&D) Testing Software Components — Carnegie Mellon University	U OF U	* N/A	1990036296171	50,527	
(R&D) Aerospace Education Services Pgm — University of Washington	Weber State	* 43.001	462967, 748853	47,000	
(R&D) Science — University Of California Davis	U OF U	* 43.001	201014168UTAH01	55,505	
University Ofcalifornia Santa Barbara	U OF U	* 43.001	KK1313	2,159	
Yale University	U OF U	* 43.001	C12N11372N00192	(4,430)	
(R&D) Nat'l. Aeronautics & Space Admin — Space Telescope Science Institute	U OF U	* N/A	HSTAR1283305A	1,639	
University Of Georgia	U OF U	* N/A	RR1752254693408	30,454	
University Of Kansas	U OF U	* N/A	FY2011049	33,790	
Aribex Inc	U OF U	* N/A	10022345	40,770	
Assoc For Institutional Research	U OF U	* N/A	RG1156	(640)	
Remote Sensing Systems	U OF U	* N/A	6501	9,454	
Space Telescope Science Institute	U OF U	* N/A	HSTG01261101A	191,384	
Univ of California Santa Barbara	U OF U	* N/A	KK9102	(422)	
Wyle Integrated Science & Eng Grp	U OF U	* N/A	T72162	36,620	

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Goddard Space Flight Center — Hampton University	USU	* N/A	VARIOUS	76,749	
(R&D) GUVI Extended Mission The Aerospace Corporation	Dixie College	* N/A	NNX11AD71G	18,680	
(R&D) Nat'l. Aeronautic & Space Admin — Analytical Mechanics Assoc, Inc.	USU	* N/A	VARIOUS	11,569	
Appalachian State University	USU	* N/A	VARIOUS	3,874	
ATK Alliant Systems	USU	* N/A	VARIOUS	2,929	
Design Net Engineering, LLC	USU	* N/A	3651/NNA11AA03B	114,308	
Exelis, Inc.	USU	* N/A	VARIOUS	27,797	
GATS, Inc.	USU	* N/A	VARIOUS	27,884	
Johns Hopkins University	USU	* N/A	VARIOUS	25,354	
L-3 Communications	USU	* N/A	VARIOUS	3,600	
MEI Technologies, Inc.	USU	* N/A	VARIOUS	29,608	
Northrop Grumman Systems Corp	USU	* N/A	VARIOUS	14,794	
Northrop Grumman Systems Corp	USU	* N/A	7600010530/NNA11AA03B	111,689	
Science Systems & Applications Inc	USU	* N/A	VARIOUS	45,159	
Science Systems & Applications Inc	USU	* N/A	21101-12-002/NNL11AA00B TO A-004	284,016	
SGT, Inc.	USU	* N/A	VARIOUS	69,645	
Sigma Space Corporation	USU	* N/A	VARIOUS	(1,385)	
Space Environment Technologies	USU	* N/A	VARIOUS	38,316	
UAV Collaborative	USU	* N/A	VARIOUS	1,417	
Univ Corp for Atmospheric R (UCAR)	USU	* N/A	VARIOUS	31,417	
University of Arizona	USU	* N/A	PO 39356/NNG12FD67C	3,789,516	
University of Colorado	USU	* N/A	VARIOUS	36,148	
Rasc-AI Robo-Ops Planetary Rover Compet— National Institute Of Aerospac	U OF U	N/A	RASCAL201017808CH14274	10,573	
Subtotal – National Aeronautics and Space Administration				9,293,168	675,115
<u>NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES</u>					
(R&D) Imagery Lenses For Visualizing Text	U OF U	* 45.169	HJ5009912	93,425	
(R&D) Digital Humanities	Weber State	* 45.169	HD-51258-11	5,727	
National Endowment For The Art	U OF U	45.024	VARIOUS	12,567	
NEA–Utah Arts Program	DHA	45.025	11-6100-2017	470,037	470,037
NEA–Utah Arts Program	DHA	45.025	12-6100-2014	205,638	205,638
The Navajo Basket Renaissance	U OF U	45.164	GE5026710	13,171	
Conservation Project Support Invertebrates	U OF U	45.303	IC0510029310	(6,424)	
IMLS–State Library Program	DHA	45.310	LS-00-10-0045-11	1,019,491	7,000
IMLS–State Library Program	DHA	45.310	LS-00-11-0045-12	1,332,069	562,300
National Leadership Grants	U OF U	45.312	VARIOUS	26,438	
Utah Shakespeare Festival	SUU	N/A	VARIOUS	25,000	
Institute of Museum and Library Services	USU	N/A	VARIOUS	22,470	
Pass-Through From Non-State Entities:					
Natl Endowment for the Humanities — Utah Humanities Council	USU	N/A	VARIOUS	1,869	
Natl Foundn on the Arts & Humanities — NYU Langone Medical Center	U OF U	N/A	F661401	31,552	
Arizona State University	U OF U	N/A	VARIOUS	81,543	
Open Knowledge Commons	U OF U	N/A	VARIOUS	14,139	
Utah Humanities Council	U OF U	N/A	QG1223	1,500	
Subtotal – National Foundation on the Arts and Humanities				3,350,212	1,244,975

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>NATIONAL SCIENCE FOUNDATION</u>					
ARRA-(R&D) Trans-NSF Recovery Act R S	U OF U	* 47.082	VARIOUS	2,462,330	705,220
ARRA-(R&D) Directorate for Biological Sci.	USU	* N/A	MCB-0920776 (090469ARR)	215,248	
ARRA-(R&D) Directorate for Geosciences	USU	* N/A	VARIOUS	22,192	
ARRA-(R&D) Directorate Math & Physical Sci.	USU	* N/A	CHE-0923654 (090317ARR)	188,039	
ARRA-(R&D) Directorate Social Behavioral Ec	USU	* N/A	VARIOUS	90,602	
ARRA-(R&D) National Science Foundation	USU	* N/A	VARIOUS	110,110	68,076
(R&D) Engineering Grants	U OF U	* 47.041	VARIOUS	2,419,049	
(R&D) Functoriality Of Gromov-Witten Theory	U OF U	* 47.047	DMS0901098	56,902	
(R&D) Mathematical and Physical Sciences	U OF U	* 47.049	VARIOUS	9,536,169	119,362
(R&D) Methane Imaging	UVU	* 47.049	AST-1009776	12,669	
(R&D) Geosciences	U OF U	* 47.050	VARIOUS	1,355,222	135,055
(R&D) CEDAR Postdoc	UVU	* 47.050	AGS-1315193	23,028	
(R&D) Geosciences	Weber State	* 47.050	VARIOUS	52,190	
(R&D) Computer and Information — Science and Engineering	U OF U	* 47.070	VARIOUS	4,652,733	
(R&D) Biological Sciences	U OF U	* 47.074	VARIOUS	4,244,783	615,240
(R&D) Social, Behavioral, and Economic Sciences	U OF U	* 47.075	VARIOUS	321,435	
(R&D) Colonialism Etan Peru	UVU	* 47.075	BCS-1026169	6,427	
(R&D) Education and Human Resources	U OF U	* 47.076	VARIOUS	263,459	
(R&D) Polar Programs	U OF U	* 47.078	VARIOUS	263,968	
(R&D) ARTCTIC	UVU	* 47.078	ARC-1314323	58,647	25,095
(R&D) International Science and Engineering	U OF U	* 47.079	VARIOUS	713	
(R&D) Office of Cyberinfrastructure	U OF U	* 47.080	VARIOUS	379,893	
(R&D) Directorate for Biological Sciences	USU	* N/A	VARIOUS	48,440	
(R&D) Directorate for Biological Sciences	USU	* N/A	DEB-1019613 (100606)	100,023	
(R&D) Directorate for Computer/Info Sci/Eng	USU	* N/A	VARIOUS	158,330	
(R&D) Directorate for Education and HR	USU	* N/A	VARIOUS	201,161	43,962
(R&D) Directorate for Education and HR	USU	* N/A	DRL-0426421/ESI-0426421 (041447)	118,939	
(R&D) Directorate for Education and HR	USU	* N/A	DUE-1122654 (110594)	103,905	
(R&D) Directorate for Engineering	USU	* N/A	VARIOUS	141,012	
(R&D) Directorate for Geosciences	USU	* N/A	VARIOUS	349,578	
(R&D) Directorate for Math & Physical Scien.	USU	* N/A	VARIOUS	75,655	
(R&D) Directorate for Math & Physical Scien.	USU	* N/A	CHE-1026826 (100697)	109,372	
(R&D) Directorate for Math & Physical Scien.	USU	* N/A	CHE-1057746 (110104)	113,902	
(R&D) Directorate for Social, Behavioral, Econ	USU	* N/A	VARIOUS	39,864	(523)
(R&D) National Science Foundation	USU	* N/A	VARIOUS	2,906,771	525,526
(R&D) National Science Foundation	USU	* N/A	1135882 (110856)	242,436	
(R&D) National Science Foundation	USU	* N/A	AGS-1011921 (100528)	132,424	
(R&D) National Science Foundation	USU	* N/A	AGS-1061892 (100633)	226,111	
(R&D) National Science Foundation	USU	* N/A	AGS-1068104 (110299)	158,132	
(R&D) National Science Foundation	USU	* N/A	DBI-1228874 (120578)	313,156	
(R&D) National Science Foundation	USU	* N/A	DEB-0953694 (100066)	111,596	
(R&D) National Science Foundation	USU	* N/A	DEB-1054040 (110046)	143,575	
(R&D) National Science Foundation	USU	* N/A	DRL-0918621 (090455)	113,012	
(R&D) National Science Foundation	USU	* N/A	MCB-0952920 (100067)	157,955	
(R&D) National Science Foundation	USU	* N/A	OCI-1148453 (120082)	136,271	
(R&D) National Science Foundation	USU	* N/A	OIA-1208732 (120376)	1,779,427	
CI Meeting Support	U OF U	47.041	1265611	7,350	
Mathematical and Physical Sciences	U OF U	47.049	VARIOUS	320,940	
Earthcube (Workshop)	U OF U	47.050	1321479	54,356	12
Computer & Info Science and Engineering	U OF U	47.070	VARIOUS	(626)	
Biological Sciences	U OF U	47.074	VARIOUS	703,673	53,241
Sociophonetic Methodology 2011 Linguistics	U OF U	47.075	1058778	16,983	
Education and Human Resources	SLCC	47.076	DUE-0856770/DUE-1003292	626,839	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
S-STEM at SUU	SUU	47.076	DUE-1154423	106,431	
Education and Human Resources	U OF U	47.076	VARIOUS	1,798,853	137,840
Noyce Professional Engagement	UVU	47.076	DUE-0934942	177,442	
Pro STEM	UVU	47.076	DUE-1154621	406	
Nanobiosensors, Nanomaterials, & Microfluidic	U OF U	47.079	DGE0903715	541,641	
Native American Regional IT Workforce Dev	UVU	47.080	OCI-1041410	107,235	5,896
National Science Foundation	U OF U	N/A	VARIOUS	245,201	
National Science Foundation	USU	N/A	VARIOUS	98,820	
National Science Foundation	USU	N/A	DGE-1147384 (120214)	150,356	
Pass-Through From Non-State Entities:					
ARRA-(R&D) Trans-NSF Recovery Act R S —					
BBN Technologies Corporation	U OF U	* 47.082	VARIOUS	83,429	
Ohio State Univer Research Fndtn	U OF U	* 47.082	60023884	71,596	
Purdue University	U OF U	* 47.082	410152645	23,520	
University Of Michigan	U OF U	* 47.082	3001341070	8,193	
University Of Texas At Austin	U OF U	* 47.082	VARIOUS	496,601	
Univer of California Santa Barbara	U OF U	* 47.082	KK1011	67,520	
ARRA-(R&D) National Science Foundation —					
University of Minnesota	USU	* N/A	VARIOUS	84,131	
University of Montana	USU	* N/A	VARIOUS	44,154	
(R&D) Engineering Grants —					
University Of Maryland	U OF U	* 47.041	Z376501	13,991	
University Of Maryland Baltimore	U OF U	* 47.041	00003316POSR00002571	7,497	
University Of Southern California	U OF U	* 47.041	H44550	36,138	
Veristride Inc	U OF U	* 47.041	10031216	18,919	
(R&D) Mathematical & Physical Sciences —					
Colorado School Of Mines	U OF U	* 47.049	400361P0120847	95,857	
Emory University	U OF U	* 47.049	S880679	61,104	
University Of Chicago	U OF U	* 47.049	417528	520	
University Of Colorado At Boulder	U OF U	* 47.049	0000067989	31,930	
Wayne State University	U OF U	* 47.049	WSU07080	32,485	
(R&D) Geosciences —					
Colorado State University	U OF U	* 47.050	G36242	131,912	
Oregon Health and Science University	U OF U	* 47.050	GSTCN001A7UTAH	(1,046)	
(R&D) Dry Lake Valley Paleoseismic Site —					
Univ of So California	UVU	* 47.050	38448074	14,674	
(R&D) Computer, Info Science & Engineering					
New Mexico Consortium	U OF U	* 47.070	NMC006	94,036	
Northeastern University	U OF U	* 47.070	501847P1002650	605	
(R&D) Biological Sciences —					
Stanford University	U OF U	* 47.074	60131861105769	59,110	
University Of Arizona	U OF U	* 47.074	Y551697	273,561	
University Of Kansas	U OF U	* 47.074	DEB0743491FY2009008	21,935	
University Of Southern California	U OF U	* 47.074	137255DBI0849977	49,171	
(R&D) Social, Behavioral, & Econ. Sciences -					
Vanderbilt University	U OF U	* 47.075	21204S1	(734)	
(R&D) Magnetotelluric —					
US Civilian Research & Develop	U OF U	* 47.079	RUG17026M011	1,720	
(R&D) Prac Hierarchical Molecular Dynamics -					
U of Il At Urbana-Champaign	U OF U	* 47.080	20070107735A4179	29,531	
(R&D) Lattice QCD on Blue Waters —					
University Of Il At Urbana-Champaign	U OF U	* 47.082	20070107713	73,808	
(R&D) National Science Foundation —					
Bbn Technologies Corporation	U OF U	* N/A	9500009499	38,886	33,072
Brigham Young University	U OF U	* N/A	110324	490,031	
Echelon Biosciences Inc	U OF U	* N/A	10022703	26,902	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Global Environment For Network Innov	U OF U	* N/A	1855/PO#9500011077	175,702	
Hewlett Packard Company	U OF U	* N/A	IILRG01	101,940	
Raytheon Company	U OF U	* N/A	9500011232	73,243	
University Of California Irvine	U OF U	* N/A	20102529	147,771	
Wasatch Molecular Inc	U OF U	* N/A	10030238	35,987	
(R&D) National Science Foundation —					
CUAHSI	USU	* N/A	VARIOUS	28,764	
Brigham Young University	USU	* N/A	11-0325/EPS-1135482	366,393	
Marine Biological Lab-Wood Hold, Mass	USU	* N/A	VARIOUS	29,124	
Natl Ecological Observatory Network	USU	* N/A	VARIOUS	45,780	
University of Massachusetts	USU	* N/A	14376	251,038	
University of New Mexico	USU	* N/A	VARIOUS	16,401	
University of Notre Dame	USU	* N/A	VARIOUS	61,625	
University of Puerto Rico	USU	* N/A	VARIOUS	3,692	
University of Virginia	USU	* N/A	VARIOUS	31,916	
Engineering Grants —					
Inscent, Inc	SLCC	47.041	1058580	12,651	
Engineering Grants —					
Echelon Biosciences, Inc	SLCC	47.041	IIP-1127467	3,569	
Education and Human Resources —					
Lorain County Community College	Weber State	47.076	LCCC1-0000100293	6,512	
National Science Foundation —					
Amer Indian Science And Engineering Soc	U OF U	N/A	1108310	4,373	
Arizona State University	U OF U	N/A	FKMS00512243SUB	(20)	
Heavystone Laboratory Llc	U OF U	N/A	10018547	1,950	
Nise Network	U OF U	N/A	9215708465	(96)	
University Of Guam	U OF U	N/A	NONE	19,391	
				<u>43,572,148</u>	<u>2,467,074</u>
Subtotal – National Science Foundation					
<u>NUCLEAR REGULATORY COMMISSION</u>					
(R&D) Junior Faculty Development at Universi	U OF U	* 77.008	NRC3810934	123,127	
(R&D) US Nuclear Regulatory Commission	USU	* N/A	VARIOUS	88,256	
NRC Scholarship and Fellowship Program	U OF U	77.008	VARIOUS	59,713	
US Nuclear Regulatory Commission	USU	N/A	VARIOUS	36,659	
				<u>307,755</u>	<u>0</u>
Subtotal – Nuclear Regulatory Commission					
<u>SMALL BUSINESS ADMINISTRATION</u>					
Small Business Development Centers	SLCC	59.037	VARIOUS	675,183	
Small Business Development Center	Snow College	59.037	VARIOUS	81,428	
State Trade & Export Promotion G. (STEP)	Governor's Office	59.061	SBABQ-12-IT-0058	173,918	14,219
State Trade & Export Promotion G. (STEP)	Governor's Office	59.061	SBABQ-11-IT-0039	219,361	201,414
Small Business Jobs Act	SLCC	N/A	1-603001-Z-0156	173,479	
				<u>1,323,369</u>	<u>215,633</u>
Subtotal – Small Business Administration					
<u>SMITHSONIAN INSTITUTION</u>					
(R&D) Smithsonian Institution	USU	* N/A	VARIOUS	48,067	
				<u>48,067</u>	<u>0</u>
Subtotal – Smithsonian Institution					

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>SOCIAL SECURITY ADMINISTRATION</u>					
(SSI) Disability Determination	Education	* 96.001	VARIOUS	12,109,949	
Social Security Reimbursement	Education	N/A	VARIOUS	<u>1,437,780</u>	
Subtotal – Social Security Administration				<u>13,547,729</u>	<u>0</u>
<u>STATE, DEPARTMENT OF</u>					
American Cultural Center in China	SUU	19.015	S-CH500-12-GR243	26,321	
Bureau of Educational and Cultural Affairs	USU	N/A	FY11-TEA-USU-01 (120692)	176,724	
<u>Pass-Through From Non-State Entities:</u>					
(R&D) Department of State —					
Conrad	U OF U	* N/A	VARIOUS	512,634	
(R&D) Educational & Cultural Affairs —					
Council Intl Exchange of Scholars	USU	* N/A	VARIOUS	12,609	
(R&D) Department of State —					
Virginia Tech Polytechnic Inst & State Univ	USU	* N/A	VARIOUS	<u>504</u>	
Subtotal – Department of State				<u>728,792</u>	<u>0</u>
<u>TRANSPORTATION, DEPARTMENT OF</u>					
ARRA-Formula Grants Other Than Urbanized	UDOT	20.509	UT-86-X001	156,135	155,000
ARRA-(HPC) Highway Planning & Const.	UDOT	* 20.205	ARRA	386,986	
(HPC) Highway Planning & Construction	UDOT	* 20.205	VARIOUS	391,258,152	13,924,259
(HPC) Recreational Trails Program	Natural Resources	* 20.219	Recreational Trails	819,563	688,992
(HS) State and Community Highway Safety	Public Safety	20.600	18X9204020UT12/13	1,964,357	737,965
(HS) State and Community Highway Safety	UDOT	20.600	NHTSA Grants Sfty Blts Trf	71,967	
(HS) Alcohol Impaired Driving Countermeasur	Public Safety	20.601	18X9204100UT12	1,160,917	212,157
(HS) Occupant Protection Incentive	Public Safety	20.602	18X9204050UT12	165,355	67,441
(HS) Safety Belt Performance Grant	Public Safety	20.609	18X9204060UT08	8,557	
(HS) St Traffic Safety Info System Improve.	Public Safety	20.610	18X9204080UT12	343,504	177,706
(HS) Incentive Prgm to Prohibit Racial Profiling	Public Safety	20.611	18X5209060UT08	422,435	65,000
(HS) Incentive Program to Incr. Motorcyclist Si	Public Safety	20.612	18X9202100UT10	20,794	(5,365)
(HS) Child Safety and Child Booster Seats	Public Safety	20.613	18X9202110UT10	143,742	88,544
(R&D) Develop Eval Of Fracture Mechanics Tr	U OF U	* 20.109	CAMUU	156,360	
(R&D) Department of Transportation	U OF U	* N/A	VARIOUS	46,471	11,668
(R&D) Federal Highway Administration	USU	* N/A	VARIOUS	32,312	
(R&D) Research & Special Programs Admin.	USU	* N/A	VARIOUS	56,924	
(TSP) Capital Asst. Elderly with Disabilities	UDOT	20.513	UT-16-X004	515,990	131,351
(TSP) Capital Asst. Elderly with Disabilities	UDOT	20.513	UT-16-X005	48,686	44,267
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X001	29,805	42,464
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X002	204,015	111,514
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X003	1,028,420	614,056
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X004	10,877	10,877
(TSP) New Freedom Program	UDOT	20.521	UT-57-X001	14,330	11,150
(TSP) New Freedom Program	UDOT	20.521	UT-57-X002	78,469	74,162
(TSP) New Freedom Program	UDOT	20.521	UT-57-X003	464,954	263,060
Airport Improvement Program	UDOT	20.106	3-49-0000-09/DOT-FA09NM-1033	3,555	
Airport Improvement Program	UDOT	20.106	3-49-0000-11/DOT-FA10NM-1041	2,502	
National Motor Carrier Safety	UDOT	20.218	MN-11-49-1	169	
National Motor Carrier Safety	UDOT	20.218	MN-12-49-1	289,547	
National Motor Carrier Safety	UDOT	20.218	MC-12-49-1	763,276	
National Motor Carrier Safety	UDOT	20.218	MC-13-49-1	1,115,030	
National Motor Carrier Safety	UDOT	20.218	MH-13-49-1	9,280	
Commercial Driver's License Improvement	Public Safety	20.232	VARIOUS	182,633	
Safety Data Improvement Program	UDOT	20.234	SAD-12-01	18,480	
Commercial Vehicle Info Systems & Networks	UDOT	20.237	IT-09-49-1	822,559	

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Commercial Vehicle Info Systems & Networks	UDOT	20.237	CVN-12-01	98,000	
Formula Grants Other Than Urbanized Areas	UDOT	20.509	UT-18-X027	130,865	130,864
Formula Grants Other Than Urbanized Areas	UDOT	20.509	UT-18-X028	495,174	213,282
Formula Grants Other Than Urbanized Areas	UDOT	20.509	UT-18-X029	282,655	79,511
Formula Grants Other Than Urbanized Areas	UDOT	20.509	UT-18-X030	853,224	714,852
Public Transportation Research	UDOT	20.514	UT-26-5001	9,063	
NHTSA Discretionary Safety Grants	Public Safety	20.614	DTNH2212H00144	107,616	
Crash Outcome Data Evaluation System	U OF U	20.614	DTNH2208H00304	312,418	
Gas Pipeline Safety Program	Commerce	20.700	DOT-GB-90041	175,468	
Hazardous Materials Planning & Training	Public Safety	20.703	HMP0261110100/0283120100	214,838	124,945
Odometer Fraud	Tax Commission	N/A	DTNH22-05-H-01403	9,347	
Department of Transportation	U OF U	N/A	VARIOUS	1,504,277	98,702
Pass-Through From Non-State Entities:					
(R&D) University Transportation Centers Pgm-					
North Dakota State University	U OF U	* 20.701	FAR0019953MPC	224,764	
Portland State University	U OF U	* 20.701	VARIOUS	170,413	
(R&D) Department of Transportation —					
(R&D) Federal Highway Administration —					
North Dakota State University	USU	* N/A	20-701, FAR00, DTRT12-G-UTC08	182,242	
Department of Transportation	USU	* N/A	VARIOUS	57,620	
Oregon State University	USU	* N/A	VARIOUS	129,145	
Multimedia Data Services Corp	USU	* N/A	VARIOUS	32,304	
Rutgers The State University of NJ	USU	* N/A	00004646	197,978	
Rutgers The State University of NJ	USU	* N/A	VARIOUS	131,001	
(R&D) Department of Transportation —					
North Carolina State University	U OF U	* N/A	2009038804	2,077	
CFA Consultants	U OF U	* N/A	P12001	74,896	
ICF Incorporated	U OF U	* N/A	IIVV000157	143,729	
Kittelson & Associates Inc	U OF U	* N/A	VARIOUS	67,347	
Kis Engineering	U OF U	* N/A	DFTH6110C000572	50,649	
North Dakota State University	U OF U	* N/A	DTRS99G0008	(7,032)	
Northwestern University	U OF U	* N/A	SP0004315PROJ0001492	88,816	
(R&D) Federal Transit Admin —					
Wireless Advanced Vehicle Electrification	USU	* N/A	VARIOUS	121,753	
University Transportation Centers Program —					
Portland State University	U OF U	20.701	VARIOUS	77,589	
UTA/UU Blended Learning Leadership Pgrm—					
Utah Transit Authority	U OF U	N/A	UT12007JH	146,593	
Subtotal – Department of Transportation				408,901,937	18,788,424
<u>TREASURY, DEPARTMENT OF THE</u>					
Low Income Taxpayer Clinic 2012	U OF U	21.008	LITC	49,238	
Subtotal – Department of the Treasury				49,238	0
<u>VETERANS AFFAIRS, DEPARTMENT OF</u>					
(R&D) Department of Veterans Affairs	U OF U	* N/A	VARIOUS	1,008,397	
(R&D) Department of Veterans Affairs	USU	* N/A	VARIOUS	20,019	
Remodel Project—Salt Lake Veterans Home	Veteran's Affairs	* 64.005	FAI-49-006	27,470	
Ivins—Construction of Veterans State Nursing Home	Veteran's Affairs	* 64.005	FAI-49-004	9,512,507	
Payson—Construction of Veterans State Nursing Home	Veteran's Affairs	* 64.005	FAI-49-005	9,782,486	
Veterans Prescription Service	Veteran's Affairs	64.012	VARIOUS	82,302	
Veterans State Nursing Home Care	Veteran's Affairs	* 64.015	VARIOUS	7,532,898	
Burial Expenses Allowances for Veterans	Veteran's Affairs	64.101	VARIOUS	162,096	
Veterans Cemetery Master Project	Veteran's Affairs	64.203	FAI-UT-10-03	502,109	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2013

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Veterans Administrative Office	Veteran's Affairs	N/A	VARIOUS	11,821	
State Approving Agency	Veteran's Affairs	N/A	V101 (223C) P-5059	113,745	
Veteran's Administration Allowance	Dixie College	N/A	VARIOUS	1,699	
Veterans Reporting	SUU	N/A	VARIOUS	1,560	
Department of Veterans Affairs	U OF U	N/A	VARIOUS	6,822,418	
Department of Veterans Affairs	USU	N/A	VARIOUS	7,173	
Pass-Through From Non-State Entities:					
Department of Veterans Affairs —					
Vietnam Education Foundation	U OF U	N/A	VARIOUS	26,464	
United States Olympic Committee	U OF U	N/A	VARIOUS	36,508	
Subtotal – Department of Veterans Affairs				<u>35,651,672</u>	<u>0</u>
TOTAL FEDERAL EXPENDITURES				<u>\$ 4,510,270,470</u>	<u>\$ 679,401,190</u>
Summary of Endowments, Loan Guarantees, and Loans Outstanding Reported in Notes to the Schedule of Expenditures of Federal Awards				<u>\$ 2,226,145,841</u>	
TOTAL				<u>\$ 6,736,416,311</u>	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

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SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS
By State Agency

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
PRIMARY GOVERNMENT					
AGRICULTURE AND FOOD, DEPARTMENT OF					
Japanese Beetle	USDA,APHIS	10.025	12-8549-0587-CA	\$ 5,786	\$
Honey Bee Survey Farm Bill	USDA,APHIS	10.025	12-8549-1456-CA	15,000	
Chinese Long Horned Beetle (WoodBorer)	USDA,APHIS	10.025	12-8549-1601-CA	18,547	
Imported Fire Ant	USDA,APHIS	10.025	12-8549-0587-CA	7,908	
Asian Defoliator Farm Bill	USDA,APHIS	10.025	12-8549-1601-CA	9,995	
Suzukii Education Farm Bill	USDA,APHIS	10.025	12-8549-1601-CA	5,862	
Orchard Pest Survey Farm Bill	USDA,APHIS	10.025	11-8549-1454-CA	31,349	
Pine Shoot Beetle	USDA,APHIS	10.025	13-8549-0587-CA	1,353	
CAPS Woodborer Farm Bill	USDA,APHIS	10.025	13-8549-0587-CA	4,607	
Avian Influenza Game Birds	USDA,APHIS	10.025	12-9749-2168-CA	11,578	
Avian Influenza Commercial	USDA,APHIS	10.025	12-9749-2168-CA	40,982	
Scrapie Genotyping	USDA,APHIS	10.025	11-9749-2079-CA	7,732	
Cereal Leaf Beetle	USDA,APHIS	10.025	12-8549-0587-CA	8,797	
Alfalfa Pest Survey	USDA,APHIS	10.025	12-8549-0587-CA	13,122	
Assessing Weed Bio	USDA,APHIS	10.025	12-8549-0587-CA	6,840	
UWSA Weed Bio Control	USDA,APHIS	10.025	12-8549-0587-CA	17,000	17,000
Emerald Ash Borer	USDA,APHIS	10.025	12-8549-0587-CA	8,135	
Emerald Ash Borer	USDA,APHIS	10.025	13-8549-0587-CA	738	
Siberian Moth (Asian Defoliator)	USDA,APHIS	10.025	12-8549-0587-CA	7,364	
Siberian Moth (Asian Defoliator)	USDA,APHIS	10.025	13-8549-0587-CA	246	
Gypsy Moth, APHIS	USDA,APHIS	10.025	13-8549-0587-CA	1,874	
Gypsy Moth, APHIS	USDA,APHIS	10.025	12-8549-0587-CA	10,340	
Animal Disease Traceability	USDA,APHIS	10.025	11-9749-2039-CA	105,000	
Animal Disease Traceability	USDA,APHIS	10.025	10-9749-2039-CA	(486)	
Core Infrastructure	USDA,APHIS	10.025	12-8549-0587-CA	87,289	
Grasshopper/Mormon Cricket	USDA,APHIS	10.025	04-8588-0819-GR	267,435	
UDSA School Lunch	USDA,AMS	10.162	12-25-A-3316	2,987	
USDA Egg Surveillance	USDA,AMS	10.162	12-25-A-2423	8,475	
Bovine Spongiform Encephalopathy	DHHS,FDA	93.449	HHSF223201210104C	19,600	
Bovine Spongiform Encephalopathy	DHHS,FDA	93.449	HHSF223200740110P	795	
COOL Training	USDA,AMS	10.162	12-25-A-5449	18,000	
USDA Egg Product	USDA,AMS	10.162	12-37-A-507	83,403	
Specialty Crop Block Grant Prgrm - Farm Bill	USDA,AMS	10.170	12-25-B-1099	151,726	
Specialty Crop Block Grant Prgrm - Farm Bill	USDA,AMS	10.170	12-25-B-1492	59,744	
Specialty Crop Block Grant Prgrm - Farm Bill	USDA,AMS	10.170	12-25-B-1259	59,243	
USDA Dairy Grading	USDA,FSA	10.435	12-25-A-3176	14,042	
Agriculture Mediation	USDA,FSA	10.435	5200-3087600-0545	12,113	
USDA Meat Cooperative	USDA,FSIS	10.477	12-37-A-446	137,951	
USDA Meat Cooperative	USDA,FSIS	10.477	12-37-A-317	1,226,400	
Gypsy Moth, Forest Service	USDA,FS	10.664	09-CA-11046000-611	12,280	
Fuel for Schools	USDA,FS	10.672	10-DG-11046000-604	99,341	
GIP Grazing Project in Rich & Cache Co	USDA,NRCS	10.902	68-8D43-10-12	70,436	
Colorado Salinity Technical Assistance	USDA,NRCS	10.902	68-8D43-12-01	72,320	60,926
BLM Sage Grouse Initiative	DOI,BLM	15.237	L12AC20544	40,000	
Habitat Enhancement	DOI,BOR	15.509	F13AC00137	8,059	
Colorado River Basin Rangeland	DOI,BOR	15.509	R09AP4002	9,072	6,238
Colorado River Basin Salinity	DOI,BOR	15.509	04FC-40-2157	225,182	194,997
Basin States-Salinity	DOI,BOR	15.509	R12AC40019	773,082	631,902
EPA Certification	EPA.OECA	66.700	BG998582-99	35,858	
EPA Pesticide Initiative	EPA.OECA	66.700	BG998582-99	59,215	
EPA Enforcement	EPA.OECA	66.700	BG998582-99	241,493	
Manufactured Food Regulatory Program	DHHS,FDA	93.103	1U18FD004712-01	141,764	
Taylor Grazing	DOI,BLM	N/A	VARIOUS	142,478	142,478

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Pass-Through From Non-State Entities:					
Sage Grouse Initiative — Pheasants Forever	DOI,FWS	15.637	60181BJ653	21,112	
Subtotal – Department of Agriculture and Food				4,440,564	1,053,541
ATTORNEY GENERAL					
Internet Crimes Against Children Task Force	DOJ,OJJDP	16.540	2009MCCXK053	278,239	
John R. Justice Prosecutors and Defenders	DOJ,BJA	16.816	2010-RJ-BX-0003	121,220	
ARRA-Internet Crimes Against Children	DOJ	16.800	2009SNB9K045	10,759	
Childrens Justice Act	DHHS,ACF	93.643	G-1001UTCJA1	41,021	
Childrens Justice Act	DHHS,ACF	93.643	G-1101UTCJA1	168,116	
Childrens Justice Act	DHHS,ACF	93.643	G-1201UTCJA1	4,815	
(MED) Medicaid Fraud Unit	DHHS,OS	* 93.775	01-1201-UT5050	339,146	
(MED) Medicaid Fraud Unit	DHHS,OS	* 93.775	01-1301-UT5050	1,007,891	
Intermtn West Reg. Computer Forensic Lab	DOJ,FBI	N/A	VARIOUS	12,010	
Joint Criminal Apprehension Team	DOJ,FBI	N/A	VARIOUS	2,364	
Pass-Through From Non-State Entities:					
Chapter Grant — National Children's Alliance	DOJ,OJJDP	N/A	43-SALT-UT CH09	147,725	
Training Grant — Western Regional Advocacy Center	DOJ,OJJDP	N/A	1112010	7,500	
Subtotal – Attorney General				2,140,806	0
COMMERCE, DEPARTMENT OF					
Harold Rogers Prescription Drug Monitoring P	DOJ,BJA	16.754	2009-PM-BX-0012	57,775	
Gas Pipeline Safety Program	DOT,PHMSA	20.700	DOT-GB-90041	175,468	
Subtotal – Department of Commerce				233,243	0
CORRECTIONS, DEPARTMENT OF					
UCI Utah Wild Horse and Burro	DOI,BLM	15.229	VARIOUS	2,094,580	
State Criminal Alien Assistance Program	DOJ,BJA	16.606	2008-AP-BX-0218	459,480	
Support for Adam Walsh Act Implementation	DOJ,OSOSMART	16.750	2010-AW-BX-0038	78,148	
Subtotal – Department of Corrections				2,632,208	0
COURTS					
State Court Improvement Program	DHHS,ACF	93.586	G-0401UTSCIP	429,981	
State Access and Visitation Program	DHHS,ACF	93.597	1101UTSAVP	100,000	
Subtotal – Courts				529,981	0
EDUCATION, OFFICE OF					
(NUT) Nutr. Act School Breakfast Program	USDA,FNS	10.553	VARIOUS	18,331,803	17,980,430
(NUT) Natl School Lunch Pgm – Commodities	USDA,FNS	10.555	VARIOUS	13,059,000	13,059,000
(NUT) Natl School Lunch Pgm – Cash Assist	USDA,FNS	10.555	VARIOUS	92,527,622	91,857,816
(NUT) Nutr. Act Special Milk Program	USDA,FNS	10.556	VARIOUS	96,068	92,030
Child and Adult Care Food Program	USDA,FNS	* 10.558	VARIOUS	27,779,210	26,578,158
(NUT) Nutrition Act Summer Food	USDA,FNS	10.559	VARIOUS	4,363,723	4,296,654
Nutrition Act State Administration	USDA,FNS	10.560	VARIOUS	2,202,334	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(FDC) Emerg Food Assist Pgm-Admin Costs	USDA,FNS	10.568	VARIOUS	583,256	580,599
(FDC) Emerg Food Assist Pgm-Commodities	USDA,FNS	10.569	VARIOUS	2,188,170	2,188,170
Child Nutrition Direct Certification Performance	USDA,FNS	10.579	12108UT000L4303	97,500	
ARRA-State Child Nutrition Programs	USDA,FNS	10.579	VARIOUS	294,775	
Fresh Fruit and Vegetable Program	USDA,FNS	10.582	VARIOUS	207,636	207,636
Star Talk	DOD,NSA	12.901	VARIOUS	274,826	274,826
Adult Education-Basic Grants to States	ED,VAE	84.002	V002A10/11/120044	3,050,774	2,683,162
(TIPA) Title I Grants to Local Ed Agencies	ED,ESA	* 84.010	S010A10/11/120044	91,341,681	90,580,178
Title I Migrant Education	ED,ESA	84.011	S011A10/11/120045	1,815,810	1,626,761
Title I Neglected & Delinquent Children	ED,ESA	84.013	S013A10/11/120044	674,042	607,527
(SE) IDEA Special Education	ED,SERS	* 84.027	H027A10/11/120059	117,417,727	111,714,243
Career and Technical Education	ED,VAE	* 84.048	V048A10/11/120044	13,427,092	7,345,008
Career & Technical Education-Natl Pgrms	ED,VAE	84.051	V051C100005	235,204	117,699
Vocational Rehab. Basic Title 1 Section	ED,SERS	* 84.126	H126A11/12/130066	46,974,078	100,420
Migrant Education Coordination	ED,ESE	84.144	S144F06/080045	50,006	37,319
Independent Living Services	ED,SERS	84.169	H169A10/11/120065	341,603	341,603
(SE) Preschool Grant	ED,SERS	* 84.173	H173A10/11/120092	3,502,214	3,463,913
Independent Living Older Blind Persons	ED,SERS	84.177	H177B10/11/12100044	221,828	209,614
Title VI Supported Employment	ED,SERS	84.187	H187A10/11/120067	482,492	
Homeless Child Education	ED,ESE	84.196	S196A10/11/120046	432,300	363,510
Vocational Education Tech Preparation	ED,VAE	84.243	V243A10/11/120044	418,846	159,457
Vocational Rehab. In-Service Training	ED,SERS	84.265	H265A05/100029	47,322	
After School Learning 21st Century	ED,ERI	84.287	S287C10/11/120045	5,902,373	5,767,448
(ETSG) Technology Literacy Challenge	ED,ESE	84.318	S318X10/11/120044	469,069	459,682
State Improvement Grant IDEA	ED,SERS	84.323	H323A070016	439,483	439,483
Advanced Placement Program Test Fees	ED,ESE	84.330	S330B100045/110008	160,480	
Training Incarcerated Youth	ED,VAE	84.331	Q331A10/11/120046	3,001	
English Language Acquisition	ED,ELA	84.365	T365A10/11/120044	5,229,768	4,982,945
Math and Science Partnership	ED,ESE	84.366	S366B10/11/120045	1,497,343	1,120,267
Improving Teacher Quality	ED,ESE	84.367	S367A10/11/120042	17,652,187	17,132,795
Discretionary Assessment-Math, Disabilities	ED,ESE	84.368	S368A090019	6,180	
State Assessments	ED,ESE	84.369	S369A10/11/120046	4,807,270	
(SIG) School Improvement Grants	ED,ESE	84.377	S377A10/11/120045	2,883,157	2,684,075
ARRA-(SDS) Utah Data Alliance	ED,IES	84.384	R384A100056	4,014,595	
ARRA-(SIG) School Improvement Grants	ED	84.388	S388A090045	4,486,523	4,374,927
ARRA-(SE) Special Ed Grants to States	ED,SERS	* 84.391	H391A090059	2,882,373	2,882,373
Service Learning	CNCS	94.004	06KSPUT001	600	
(SSI) Disability Determination	SSA	* 96.001	VARIOUS	12,109,949	
Education Statistics System	ED,NCES	N/A	VARIOUS	240,188	
Social Security Reimbursement	SSA	N/A	VARIOUS	1,437,780	
Subtotal - Office of Education				506,661,261	416,309,728

ENVIRONMENTAL QUALITY, DEPARTMENT OF

DSMOA	DOD,DOA,OTCE	12.113	W912DY1220248	424,873	
BLM Utah Air Quality Monitoring Project	DOI,BLM	15.236	L11AC20109	190,840	
Olsen Neihart	DOI,BOR	15.503	09PG490017	367	
Urban Air Toxics Monitoring 103	EPA,OAR	66.034	XA96807501	26,179	
Air Monitoring Network PM 2.5	EPA,OAR	66.034	PM96813201	450,262	
National Utah Clean School Bus	EPA,OAR	66.039	DE97868101	288,811	
Diesel School Bus Retrofit	EPA,OAR	66.040	DS97867201	252,262	
Diesel School Bus Retrofit	EPA,OAR	66.040	DS96800101	91,868	
Infrastructure 3 percent Setaside	EPA,OCFO	66.202	XP988760-01	15,441	
Water Quality Management Planning	EPA,Water	66.454	C6008567-12	47,259	
Clean Water Capitalization Grant SRF	EPA,Water	66.458	CS490001-11	283,543	
Clean Water Capitalization Grant SRF	EPA,Water	66.458	CS490001-12	858,781	786,220

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
NPS Projects 07	EPA,Water	66.460	C9998187-07	211,677	207,488
NPS Projects 08	EPA,Water	66.460	C9998187-08	66,237	66,237
NPS Projects 09	EPA,Water	66.460	C9998187-09	38,479	38,179
NPS Projects 10	EPA,Water	66.460	C9998187-10	124,556	108,510
NPS Projects 11	EPA,Water	66.460	C9998187-11	270,319	182,346
NPS Projects 12	EPA,Water	66.460	C9998187-12	163,348	140,001
GSL Wetlands	EPA,Water	66.461	CD96811401	127,821	
GSL Wetlands	EPA,Water	66.461	CD96812201	149,077	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-09	2,094	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-10	209,877	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-11	2,071,940	743,000
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-12	1,003,889	63,000
Water Protection Coordination	EPA,Water	66.474	WP978654-01	13,667	
Performance Partnership Grant	EPA,OA	* 66.605	BG99847511	6,711,759	
Exchange Network	EPA,OEI	66.608	OS834345-01	134,222	
Five Points NPL Site	EPA,OSWER	66.802	V97824401	303,152	
Jacob Smelters OU2	EPA,OSWER	66.802	V98804001-0	54,225	
Sharon Steel OU1 O/M	EPA,OSWER	66.802	V988224-01-1	26,414	
Superfund Block	EPA,OSWER	66.802	V97840601	1,001,973	
Eureka Mills NPL	EPA,OSWER	66.802	V97843801	29,600	
Midvale Slag Ground Water	EPA,OSWER	66.802	V97844201	68,813	
Flagstaff/Davenport Remedial Action	EPA,OSWER	66.802	V97844001	58,936	
Portland Cement O&M	EPA,OSWER	66.802	V96807401	30,852	
UST Program	EPA,OSWER	66.804	L97883202	386,093	
LUST Supplemental	EPA,OSWER	66.805	LS978712-01	53,331	
LUST Trust Cooperative Agreement	EPA,OSWER	66.805	LS97883301	910,775	
Solid Waste Management Assistance Grant	EPA,OSWER	66.808	X196802701	31,408	31,408
Brownfield State Response Program	EPA,OSWER	66.817	RP98899302	544,557	
ARRA-Brownfields Assessment	EPA,OSWER	66.818	2B97882401	21,641	
VITRO	Dept. of Energy	81.104	DE-FC01-99GJ79483	648	
Green River DOE	Dept. of Energy	81.104	DE-FC01-99GJ79483	1,052	
Monticello DOE	Dept. of Energy	81.104	DE-FG01-07LM00113	14,724	
Ogden Defense Depot	DOD	N/A	9210020922	2,852	
Hill Air Force Base	DOD	N/A	CerclaSctn120	120,266	
NASA GISS Project	NASA	N/A	VARIOUS	49	
Pass-Through From Non-State Entities:					
Formerly Used Defense Sites —					
EM Assist	DOD	12.113	USACE - FUDS	972	
Waste Isolation Pilot Plant —					
Western Governors Association	Dept. of Energy	81.106	30-312-08	115,515	
Subtotal – Department of Environmental Quality				18,007,296	2,366,389
GOVERNOR'S OFFICE					
Defense Logistics Agency	DOD,DLA	12.002	SP4800-12-2-1239	290,325	
Defense Human Resources Activity	DOD,FVAP	12.217	H98210-12-0016	112,999	
Sexual Assault Services Program	DOJ,VAWO	16.017	2012KFAX0037	36,228	36,228
Sexual Assault Services Program	DOJ,VAWO	16.017	2011KFAX0014	83,265	82,997
Sexual Assault Services Program	DOJ,VAWO	16.017	2010KFAX0023	91,656	84,448
2010 Juvenile Accountability Block Grants	DOJ,OJJDP	16.523	2010JBFX0099	187,595	
2009 Juvenile Accountability Block Grants	DOJ,OJJDP	16.523	2009JBFX0048	376,483	
2011 DMC Assessment Grant	DOJ,OJJDP	16.540	2011JFFX0163	10,446	
2009 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2009JFFX0030	55,667	7,450
2010 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2010JFFX0027	100,977	36,111
2011 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2011JFFX0021	123,223	98,083
2012 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2012JFFX0031	178,189	45,356

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
2009 Title V Grant	DOJ,OJJDP	16.548	2009JPF0041	801	
2010 Title V Grant	DOJ,OJJDP	16.548	2010JPF0052	1,262	
2009 JSTAT Program	DOJ,BJS	16.550	2009BJCXK25	15,028	
2011 National Criminal History Improvement	DOJ,BJS	16.550	2011RUBXK084	20,340	
2012 JSTAT Program	DOJ,BJS	16.550	2012BJCXK035	26,497	
2010 National Criminal History Improvement	DOJ,BJS	16.550	2010RUBXK026	42,215	
2012 National Criminal History Improvement	DOJ,BJS	16.550	201RUBXK084	133,000	
2009 Victims Assistance	DOJ,OVC	16.575	2009VAGX0043	258,684	225,682
2010 Victims Assistance	DOJ,OVC	16.575	2010VAGX0103	1,587,744	1,389,562
2011 Victims Assistance	DOJ,OVC	16.575	2011VAGX0063	2,056,579	2,056,579
2010 Victims Compensation	DOJ,OVC	16.576	2010VCGX0018	77,254	
2012 Victims Compensation	DOJ,OVC	16.576	2012VCGX0055	1,767,950	
ARRA-Stop Violence Against Women	DOJ,VAWO	16.588	2009EFS60004	58,371	58,371
Stop Violence Against Women	DOJ,VAWO	16.588	2010WFAX0030	285,256	140,710
Stop Violence Against Women	DOJ,VAWO	16.588	2011WFAX0054	599,572	570,499
Stop Violence Against Women	DOJ,VAWO	16.588	2012WFAX0046	631,694	586,422
2011 Sexual Violence Response Improvement	DOJ,VAWO	16.590	2011WEAX0051	241,589	241,071
2010 Res. Substance Abuse Treatment	DOJ,BJA	16.593	2010RTBX0060	43,318	43,318
2011 Res. Substance Abuse Treatment	DOJ,BJA	16.593	2011RTBX0038	123,979	118,293
Bullet Proof Vest Program	DOJ,BJA	16.607	VARIOUS	18,860	
(JAG) 2012 Justice Assistance—Supplement	DOJ,BJA	16.738	2012DSBX0010	73,830	
(JAG) 2009 Justice Assistance Grant	DOJ,BJA	16.738	2009DJBX0657	187,965	
(JAG) 2011 Justice Assistance Grant	DOJ,BJA	16.738	2011DJBX2082	420,364	172,285
(JAG) 2012 Justice Assistance Grant	DOJ,BJA	16.738	2012DJBX0370	589,675	544,472
(JAG) 2010 Justice Assistance Grant	DOJ,BJA	16.738	2010DJBX0318	766,285	181,670
2009 SAVIN	DOJ,BJA	16.740	2009VNCX0008	170,042	
ARRA-Victim Assistance Recovery	DOJ,OVC	16.801	2009SGB90146	39,617	39,617
ARRA-(JAG) Justice Assistance Grant	DOJ	16.803	2009SUB90045	340,964	199,841
State Trade & Export Promotion G. (STEP)	SBA	59.061	SBAHQ-12-IT-0058	173,918	14,219
State Trade & Export Promotion G. (STEP)	SBA	59.061	SBAHQ-11-IT-0039	219,361	201,414
State Energy Program	Dept. of Energy	* 81.041	DE-FG26-07NT43206	127,567	
ARRA- State Energy Program	Dept. of Energy	* 81.041	DE-EE0000083	2,737,294	1,176,987
ARRA-Energy Assurance Planning	Dept. of Energy	81.122	DE-EE0000067	102,649	
ARRA-Energy Efficiency and Conservation	Dept. of Energy	81.128	DE-EE0000887	626,898	560,362
ARRA-Education Jobs Fund	ED	84.410	S410A100045	2,945,330	2,943,252
Help America Vote Act Requirements Pmts.	EAC	90.401	VARIOUS	595,607	
Utah Health Exchange Planning Grant	DHHS,CMS,CCIIO	93.525	HBEIE100015-01-00	413,794	
Election Assist. Individuals with Disabilities	DHHS,ACF	93.617	HHSELEC2003	16,709	
Subtotal – Governor's Office				20,184,915	11,855,299

HEALTH, DEPARTMENT OF

USDA WIC Food/Admin	USDA,FNS	10.557	3UT700709	44,497,449	41,399,111
USDA WIC Breastfeeding Peer Counseling	USDA,FNS	10.557	3UT700809	548,008	552,426
(FDC) Commodity Supplemental Food Progra	USDA,FNS	10.565	3UT810819	166,370	
WIC National Breastfeeding Award	USDA,FNS	10.578	2011IW500543	131,921	68,115
Environmental Protection Exchange Network	EPA,OEI	66.608	83465001	40,080	
Infants and Toddlers with Disabilities	ED,SERS	84.181	H181A120111	5,922,291	3,603,861
Addressing Asthma Public Health Perspective	DHHS,CDC	93.070	5U59EH000489-04	375,344	39,246
Bioterrorism Hospital Preparedness Program	DHHS,CDC	93.074	1U90TP000555-01	3,350,821	2,074,412
Preparedness and Response for Bioterrorism	DHHS,CDC	* 93.069	1U90TP000555-01	6,837,282	4,305,541
Utah ESAR-VHP System Enhancement Proje	DHHS,OS	93.089	6ESREP100012-03-03	168,482	
Utah Personal Responsibility Education Progr	DHHS,ACF	93.092	1201UTPREP	494,486	220,860
Autism System Development Federal	DHHS,HRSA	93.110	4H6MMC11061-03-02	26,067	
State Systems Development Initiative SSDI	DHHS,HRSA	93.110	6H18MC00049-15-02	80,586	
Newborn Screen Clinic Health Info Exchange	DHHS,HRSA	93.110	4U22MC16508-03-01	100,623	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
State Early Childhood Comprehensive System	DHHS,HRSA	93.110	4H25MC00268-08-06	158,394	
Genetic Services Project	DHHS,HRSA	93.110	1H46MC24061-01-00	300,046	
TB Elimination	DHHS,CDC	93.116	5U52PS807865-22	328,986	169,840
State Partnership Grants (EMSC)	DHHS,HRSA	93.127	6H33MC06680-07-03	151,709	112,298
State Primary Care Grant Award	DHHS,HRSA	93.130	5U68HP11436-04-00	144,841	
Evaluat. UT Clinical Guidelines Prescrib	DHHS,CDC	93.136	5R21CE001612-02	76,906	
Implementation of Nat Violent Death Report S	DHHS,CDC	93.136	5U17CE001697-04	170,060	
Sexual Violence Prevention and Education	DHHS,CDC	93.136	1VF1CE002269-01	261,211	
Core Violence and Injury Prevention Program	DHHS,CDC	93.136	5U17CE001996-02	368,306	35,577
Surveillance of Hazardous Substance	DHHS,TSDR	93.204	5U61TS000131-03	65,849	
Tramatic Brain Injury	DHHS,HRSA	93.234	5H21MC17233-04	251,575	
Abstinence Education Grant	DHHS,ACF	93.235	1201UTAEGP	397,789	159,267
Promote Efforts to Reduce Environ Exposure	DHHS,TSDR	93.240	5U61TS000154-02	226,859	
Rural Hospital Flexibility	DHHS,HRSA	93.241	6H54RH00050-12-03	375,534	123,176
Universal Newborn Hearing Screening	DHHS,HRSA	93.251	6H61MC00042-12-01	328,658	
Immunization and Vaccines for Children Gran	DHHS,CDC	* 93.268	VARIOUS	2,375,175	831,942
Vaccine Distributions	DHHS,CDC	* 93.268	VARIOUS	23,866,645	23,866,645
Adult Viral Hepatitis	DHHS,CDC	93.270	1U51PS004041-01	100,914	
Chronic Disease, Hlth Promotion, Surveillance	DHHS,CDC	93.283	5U58DP001993-03	11,642	
BioSense 2.0	DHHS,CDC	93.283	1U50OE000035-01	85,165	31,469
Early Hearing Detection & Intervention Trackir	DHHS,CDC	93.283	5UR3DD000821-02	145,636	
Demo Capacity of Comprehensive Cancer Ctr	DHHS,CDC	93.283	5U55DP003047-03	168,240	88,750
Behavioral Risk Factor Surveillance	DHHS,CDC	93.283	5U58SO000058-02	457,702	
Epidemiology & Lab Capacity for Infectious Di	DHHS,CDC	93.283	5U50CK000243-02	599,064	
Birth Defects Research and Prevention	DHHS,CDC	93.283	5U01DD000490-05	630,913	
Heart Disease & Stroke Prevention Program	DHHS,CDC	93.283	3U50DP000743-05S1	908,744	
Diabetes Prevention amd Control Programs	DHHS,CDC	93.283	5U58DP001993-05	909,279	147,561
Colorectal Screening	DHHS,CDC	93.283	5U58DP002049-04	1,054,869	
Utah Wise Woman Program	DHHS,CDC	93.283	5U58DP001407-05	1,091,801	517,872
Core Cap Tobacco Prevention	DHHS,CDC	93.283	5U58DP001993-04	1,148,656	541,016
National Cancer Prevention and Control Pgrm	DHHS,CDC	93.283	1U58DP003910-01	2,768,128	447,488
Partnership Program Improve Minority Health	DHHS,OS	93.296	5STTMP101069-02	127,951	
Small Rural Hospital Improvement	DHHS,HRSA	93.301	6H3HRH00012-10-04	195,632	142,257
Affordable Care Act Home Visiting Program	DHHS,HRSA	93.505	6X02MC19417-01-03	446,631	16,376
Home Visitation Projects Federal	DHHS,HRSA	93.505	1X02MC23133-01	1,068,117	468,554
National Background Check Program	DHHS,CMS	93.506	CMS-1A-1-10-001	704,945	
Strengthening Public Health Infrastructure	DHHS,CDC	93.507	5U58CD001314-03	289,328	
Collaborative Chronic Disease & Surveillance	DHHS,CDC	93.520	3U58DP001993-02S2	26,762	
Collaborative Chronic Disease & Surveillance	DHHS,CDC	93.520	3U58DP001993-03W1	43,000	
Affordable Care Act Building Epidemiology La	DHHS,CDC	93.521	3U50CI000866-02S1	12,813	
Affordable Care Act Building Epidemiology La	DHHS,CDC	93.521	3U50CI000866-02S4	137,140	
Epidemiology & Lab Capacity Infectious Disea	DHHS,CDC	93.521	3U50CI000866-02S3	1,350,002	267,078
Community Transformation Capacity Building	DHHS,CDC	93.531	5U58DP003540-02	596,949	489,583
Public Health Tracking	DHHS,CDC	93.538	5U38EH000954-02	1,017,077	
Building Assist Strengthen Public Hlth Immuni	DHHS,CDC	93.539	1H23IP000567-01	217,016	
Capacity Building Assist to Strengthen Immun	DHHS,CDC	93.539	3H23IP000567-01S1	1,682,846	1,452,474
Collaborative Chronic Disease and Surveillanc	DHHS,CDC	93.544	3U58DP001993-04S2	199,198	5,000
Utah Nutrition, Physical Activity and Obesity	DHHS,CDC	93.548	5U58DP001470-05	442,302	7,000
Refugee Preventive Health Discretionary Grar	DHHS,ACF	93.576	90RX0199-02	108,901	
State Innovation Models: Funding for Model D	DHHS,CMS	93.624	1G1CMS331173-01-00	44,320	
ARRA-State Advisory	DHHS,ACF	93.708	90SC0046/01	644,717	
ARRA-Enhanced Interop Electronic Hth Reco	DHHS,CDC	93.712	1U66IP000432-01	143,765	
ARRA-Statewide Clinical Hlth Info Exchange (DHHS,OS	93.719	90HT0026-01	958,609	
ARRA-Collab Chron Dis, Hlth Prom & Surveil	DHHS,CDC	93.723	3U58DP001993-01S3	43,644	11,587
Immunization Capacity Building Assist for Pub	DHHS,CDC	93.733	1H23IP000704-01	75,557	
Empowering Adults with Disabilities CDSME	DHHS,ACL	93.734	90CS0031/01	145,283	13,000
PPHF 12 State Public Health Approaches for	DHHS,CDC	93.735	1U58DP004039-01	90,520	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Cancer Control Program	DHHS,CDC	93.744	1U58DP004123-01	43,664	13,020
CHIPRA CHIP	DHHS,CMS	* 93.767	1Z0CMS030547-01-05	2,022,518	
Children's Health Insurance Program	DHHS,CMS	* 93.767	VARIOUS	54,599,549	
Medicaid Infrastructure	DHHS,CMS	93.768	1QACMS030319-03-01	2,438	
(MED) LTC Title 19 Cert	DHHS,CMS	* 93.777	051305UT5000	908,323	
(MED) HIB Title 18 Cert	DHHS,CMS	* 93.777	051305UT5000	2,066,700	
ARRA-(MED) HIT Infor Tech Planning Docum	DHHS,CMS	* 93.778	05-1205UTIMPL	90,539	
(MED) HIT Administrative Implementation Pay	DHHS,CMS	* 93.778	05-1305UTIMPL	437,235	
ARRA-(MED) HIT Incentive Payments	DHHS,CMS	* 93.778	05-1205UTINCT	1,209,368	
(MED) HIT Incentive Payments	DHHS,CMS	* 93.778	05-1305UTINCT	14,738,606	
(MED) Title 19 Administration	DHHS,CMS	* 93.778	5-1305UT5ADM	72,165,122	8,117,367
(MED) Title 19 Medical Assistance Payments	DHHS,CMS	* 93.778	5-1305UT5MAP	1,346,781,025	970,383
Survey & Certification for Long-term Care Fac	DHHS,CMS	93.796	05-1305-UT-5001	4,561	
Health Care and Other Facilities	DHHS,HRSA	93.887	1C76HF19772-01-00	167,492	
Rural Health Outreach Special Initiative	DHHS,HRSA	93.888	1D1ARH20095-01-00	1,193	
State Office Rural Health	DHHS,HRSA	93.913	5H95RH00129-22-00	179,257	
Ryan White/HIV	DHHS,HRSA	93.917	2X07HA00032-22-00	4,184,607	69,637
ADAP Shortfall Relief	DHHS,HRSA	93.917	2X09HA20256-02-00	1,216,756	
Ryan White T IV WIC Use & Affected Family M	DHHS,HRSA	93.928	1H97HA22673-01	22,640	
Youth Risk Behavior Survey - Federal	DHHS,CDC	93.938	3U87DP001187-05S1	47,602	
Aids Prevention	DHHS,CDC	93.940	5U62PS003662-02	1,359,547	412,382
HIV/AIDS Surveillance	DHHS,CDC	93.944	3U62PS001028-05W1	70,389	11,000
Aids Surveillance	DHHS,CDC	93.944	1U62PS004023-01	160,860	
Utah Public Health Approaches to Arthritis	DHHS,CDC	93.945	1U58DP003996-01	397,067	83,000
Pregnancy Risk Assessment	DHHS,CDC	93.946	5U01DP003139-02	113,623	
Sexually Transmitted Diseases	DHHS,CDC	93.977	5H25PS001347-05	472,353	39,750
Preventive Health Services Block	DHHS,CDC	93.991	3B01DP009054-12	575,901	423,366
Maternal and Child Health Services Block Gra	DHHS,HRSA	93.994	6B04MC21424-01-06	6,038,652	1,742,670
Adult Blood Lead	DHHS,CDC	N/A	211-2009-M-30941	7,489	
CLIA	DHHS,CMS	N/A	5-1305-UT-5002	113,138	
VSCP Health Statistics	DHHS,CDC	N/A	200-2012-50844	250,865	
State Tobacco Retail Inspections	DHHS,FDA	N/A	HHSF223201110108C	193,129	100,974
Subtotal – Department of Health				1,624,124,339	94,192,931

HERITAGE AND ARTS, DEPARTMENT OF

USDA NRCS	USDA,FSA	10.069	68-8D43-8-28	10,000	
Vernal Field Office Cooperative Agreement	DOI,BLM	15.224	L08AC13889	46,023	
GIS Cooperative Agreement	DOI,BLM	15.224	L10AC20533	25,000	
Historic Preservation	DOI,NPS	15.904	49-11-31951	287,053	60,272
Historic Preservation	DOI,NPS	15.904	49-12-41951	351,091	55,017
Historic Preservation	DOI,NPS	15.904	P13AF00160	7,264	
NEA–Utah Arts Program	NFAH,NEA	45.025	11-6100-2017	470,037	470,037
NEA–Utah Arts Program	NFAH,NEA	45.025	12-6100-2014	205,638	205,638
IMLS–State Library Program	NFAH,IMLS	45.310	LS-00-10-0045-11	1,019,491	7,000
IMLS–State Library Program	NFAH,IMLS	45.310	LS-00-11-0045-12	1,332,069	562,300
NCS Americorps Subgrantees	CNCS	94.003	10CAHUT0001	144,609	
NCS Americorps Subgrantees	CNCS	* 94.006	09ACHUT001	187,229	187,229
NCS Americorps Subgrantees	CNCS	* 94.006	10FXHUT001	66,329	66,329
NCS Americorps Subgrantees	CNCS	* 94.006	11AFHUT001	1,298,360	1,298,360
NCS Americorps Subgrantees	CNCS	94.007	11CDHUT001	34,072	
NCS Americorps Subgrantees	CNCS	94.009	11PTHUT001	27,717	
NCS Americorps Subgrantees	CNCS	94.021	10VGHUT001	65,886	
Subtotal – Department of Heritage and Arts				5,577,868	2,912,182

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
HUMAN SERVICES, DEPARTMENT OF					
Drug Court Discretionary Grant Program	DOJ,BJA	16.585	2010-DC-BX-0122	12,700	12,700
Title V Senior Community Svc Employment	DOL,ETA	17.235	AD-22909KR0	580,173	543,361
Title VII Elder Abuse Prevention	DHHS,ACL	93.041	11AAUTT7SP	30,293	21,832
Title VII Elder Abuse Prevention	DHHS,ACL	93.041	12AAUTT7SP	4,121	
Title VII Ombudsman Services	DHHS,ACL	93.042	12AAUTT7SP	80,515	59,915
Title VII Ombudsman Services	DHHS,ACL	93.042	13AAUTT7SP	3,600	
Title III D Preventive Health	DHHS,ACL	93.043	11AAUTT3SP	14,669	14,669
Title III D Preventive Health	DHHS,ACL	93.043	12AAUTT3SP	90,387	90,387
(AGE) Title III B Supportive Services	DHHS,ACL	93.044	12AAUTT3SP	1,502,777	1,424,777
(AGE) Title III B Supportive Services	DHHS,ACL	93.044	13AAUTT3SP	358,038	327,907
(AGE) Title III C1 Congregate Meals	DHHS,ACL	93.045	11AAUTT3SP	154,411	154,411
(AGE) Title III C1 Congregate Meals	DHHS,ACL	93.045	12AAUTT3SP	2,233,915	1,853,388
(AGE) Title III C2 Home-Delivered Meals	DHHS,ACL	93.045	12AAUTT3SP	877,836	814,430
(AGE) Title III C2 Home-Delivered Meals	DHHS,ACL	93.045	13AAUTT3SP	291,933	291,933
Utah Senior Medicare Patrol Program	DHHS,ACL	93.048	90MP000803	117,530	110,029
Utah Senior Medicare Patrol Program	DHHS,ACL	93.048	90MP017001	150,108	116,392
UT Senior Medicare Patrol-HCFAC-Expansior	DHHS,ACL	93.048	90SP004301	58,315	58,315
Utah SMP Capacity Building	DHHS,ACL	93.048	90MP013801	24,194	24,194
Alzheimer's NYUCI Caregiver Intervention	DHHS,ACL	93.051	90AE034302	73,903	73,903
Alzheimer's NYUCI Caregiver Intervention	DHHS,ACL	93.051	90AE034303	85,460	85,010
Alzheimer's NYU Creating Caregiver Champs	DHHS,ACL	93.051	90AI004502	38,064	38,064
Title III E National Family Caregiver Support	DHHS,ACL	93.052	12AAUTT3SP	843,051	843,051
(AGE) Nutrition Services Incentive Program	DHHS,ACL	93.053	11AAUTNSIP	761,764	761,764
(AGE) Nutrition Services Incentive Program	DHHS,ACL	93.053	12AAUTNSIP	913,731	913,731
Lifespan Respite	DHHS,ACL	93.072	90LR002101	83,262	83,262
System of Care Planning Grant	DHHS,SAMHSA	93.104	12SM60641A	286,603	65,872
Mental Health - Homeless (PATH)	DHHS,SAMHSA	93.150	12SM016045	527,000	243,150
Mental Health Data Quality Improvement	DHHS,SAMHSA	93.243	10SM60338A	139,413	
Strategic Prevention Framework Enhancemer	DHHS,SAMHSA	93.243	10SP18625A	427,221	295,551
Strategic Prevention Framework-Incentive	DHHS,SAMHSA	93.243	U9SP13929A	263,514	195,094
PASSAGE	DHHS,SAMHSA	93.243	H9SM59444A	436,443	252,385
Utah - Access to Recovery	DHHS,SAMHSA	93.275	10TI23135A	2,336,812	1,848,959
Promoting Safe & Stable Families	DHHS,ACF	93.556	1201UTFPSS	1,947,794	
Promoting Safe & Stable Families	DHHS,ACF	93.556	1301UTFPSS	5,081	
Promoting Safe & Stable Families Caseworke	DHHS,ACF	93.556	1211UTFPCV	123,052	
Promoting Safe & Stable Families Caseworke	DHHS,ACF	93.556	1311UTFPCV	831	
IV-D Child Support	DHHS,ACF	93.563	0904UT4004	1,820,500	
IV-D Child Support	DHHS,ACF	93.563	1204UT4005	1,559,601	
IV-D Child Support	DHHS,ACF	93.563	1304UT4005	12,071,152	
IV-D Child Support Incentives	DHHS,ACF	93.563	1004UT4004	890,062	
IV-D Child Support Incentives	DHHS,ACF	93.563	1104UT4004	2,132,738	
Community-Based Child Abuse Prevention	DHHS,ACF	93.590	1001UTFRPG	105,389	
Community-Based Child Abuse Prevention	DHHS,ACF	93.590	1101UTFRPG	365,299	
Educational and Training Vouchers	DHHS,ACF	93.599	1201UTCETV	314,609	
Adoption Incentive Payment Program	DHHS,ACF	93.603	1001UTAIPP	155,596	
Adoption Incentive Payment Program	DHHS,ACF	93.603	1101UTAIPP	736,448	
Runaway & Homeless Youth-Basic Center	DHHS,ACF	93.623	90CY262201	100,000	
Runaway & Homeless Youth-Basic Center	DHHS,ACF	93.623	90CY262202	150,000	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1001UTBS45	45,637	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1101UTBS45	549,858	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1201UTBS45	21,501	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1301UTBSDD	82,067	
Title IV-B Child Welfare Social Services	DHHS,ACF	93.645	1101UT1400	127,532	
Title IV-B Child Welfare Social Services	DHHS,ACF	93.645	1201UT1400	3,394,168	
CASA Volunteers for Children in Foster Care	DHHS,ACF	93.652	90CO107401	178,942	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Title IV-E Foster Care	DHHS,ACF	* 93.658	1201UT1401	3,677,204	
Title IV-E Foster Care	DHHS,ACF	* 93.658	1301UT1401	18,589,560	
Title IV-E Foster Care	DHHS,ACF	* 93.658	1401UT1401	485,317	
Title IV-E Adoption Assistance	DHHS,ACF	93.659	1201UT1407	1,303,506	
Title IV-E Adoption Assistance	DHHS,ACF	93.659	1301UT1407	6,333,422	
Title IV-E Adoption Assistance	DHHS,ACF	93.659	1401UT1407	(5,872)	
Title XX-Social Svcs Block-Basic	DHHS,ACF	93.667	G-1101UTSOSR	1,148,942	
Title XX-Social Svcs Block-Basic	DHHS,ACF	93.667	G-1201UTSOSR	13,916,793	1,106,100
Title XX-Social Svcs Block-Basic	DHHS,ACF	93.667	G-1301UTSOSR	1,046,003	
Title XX-Social Svcs Block-Discretionary	DHHS,ACF	93.667	G-1201UTSOSR	1,201,553	1,201,553
Title XX-Transfer of TANF for SSBG	DHHS,ACF	93.667	TANF TRANSFER	7,607,000	
Child Abuse Prevention and Treatment	DHHS,ACF	93.669	1201UTCA01	308,509	
Family Violence Prevention/Services	DHHS,ACF	93.671	1201UTFVPS	1,121,522	
Independent Living	DHHS,ACF	93.674	1201UT1420	943,521	
Independent Living	DHHS,ACF	93.674	1301UT1420	6,226	
State Health Ins Assistance Program (SHIP)	DHHS,CMS	93.779	0000020223	313,478	212,908
MIPPA-Medicare Improve. Patients & Provide	DHHS,CMS	93.779	IX0330794A	69,386	57,600
Community Mental Health Svcs. Block	DHHS,SAMHSA	93.958	C-11B1UTCMSHS	82,042	54,544
Community Mental Health Svcs. Block	DHHS,SAMHSA	93.958	C-12B1UTCMSHS	3,163,029	2,408,268
Community Mental Health Svcs. Block	DHHS,SAMHSA	93.958	C-13B1UTCMSHS	254,226	171,487
Substance Abuse Prevention/Treatment	DHHS,SAMHSA	93.959	C-11B1UTSAPT	197,973	198,074
Substance Abuse Prevention/Treatment	DHHS,SAMHSA	93.959	C-12B1UTSAPT	10,243,391	8,996,400
Substance Abuse Prevention/Treatment	DHHS,SAMHSA	93.959	C-13B1UTSAPT	6,599,807	4,663,923
Subtotal – Department of Human Services				119,286,151	30,689,293
<u>INSURANCE, DEPARTMENT OF</u>					
Health Insurance Premium Review-Cycle I	DHHS,CMS	93.511	1 IPRPR100028-01-00	274,182	
Health Insurance Rate Review-Cycle II	DHHS,CMS	93.511	PRPPR0028A	714,234	
Asset Forfeiture Pgm Equitable Sharing	DOJ	N/A	UT018015Y	48,320	
Subtotal – Department of Insurance				1,036,736	0
<u>LABOR COMMISSION</u>					
Fair Housing Assistance Program	HUD,FHEO	14.401	FF208K118002	215,449	
Fair Housing Assistance Program	HUD,FHEO	14.401	FF208K108003	80,000	
BLS Survey Grant	DOL,BLS	17.005	OS-20362-12-75-J-49	44,806	
BLS Survey Grant	DOL,BLS	17.005	OS-20362-13-75-J-49	43,503	
OSHA 23G Compliance	DOL,OSHA	17.503	SP17752SP3	840,355	
OSHA 23G Compliance	DOL,OSHA	17.503	SP19428SP2	651,676	
OSHA 21D Data Collection	DOL,OSHA	17.504	DC18112DC3	2,600	
OSHA 21D Data Collection	DOL,OSHA	17.504	DC18112DC2	10,262	
OSHA 21D Consultation	DOL,OSHA	17.504	CS19399CS002	140,000	
OSHA 21D Consultation	DOL,OSHA	17.504	CS19399CS003	302,426	
EEOC Anti-Discrimination Contract	EEOC	30.001	2113FPSP0084	407,500	
EEOC Anti-Discrimination Contract	EEOC	30.001	2113FPSP0084	120,000	
Subtotal – Labor Commission				2,858,577	0
<u>NATIONAL GUARD</u>					
Military Construction	DOD,NGB	12.400	W911YP-2001	856,236	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1001A	21,276,815	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1001D	1,237,572	
Environmental Resource Management	DOD,NGB	12.401	W911YP-1002	2,973,007	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1003	1,298,588	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1004	384,778	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1005	4,510,147	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1007	520,201	
Anti Terrorism Program Activities	DOD,NGB	12.401	W911YP-1010	117,968	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1015	698,479	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1021	1,958,355	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1023	604,523	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1024	1,792,440	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1040	238,218	
State Family Program Activities	DOD,NGB	12.401	W911YP-1041	873,663	
Law Enforcement Assistance	DOJ,DEA	16.001	DEA-P-031	4,527,869	
Law Enforcement Assistance	DOJ,DEA	16.001	DEA-P-004	765,610	
Subtotal-National Guard				44,634,469	0

NATURAL RESOURCES, DEPARTMENT OF

Division of Geological Survey

Manti Springs Study	DOI,BLM	15.224	12-CS-11041000-044	20,000	
Paleo Database (UDBP)	DOI,BLM	15.224	L09AC15959-0008	9,974	
Paleo Database (UPDD)	DOI,BLM	15.224	L09AC15959-0007	22,322	
USGS NEHRP Nephi Segment (UNPI)	DOI,GS	15.807	G12AP20076-0001	12,489	
Earthquake Studies (UEQ8)	DOI,GS	15.807	G10AC00058-0003	2,042	
Earthquake Studies (UEQ9)	DOI,GS	15.807	G13AC00007-0001	22,915	
USGS NEHRP Probabilities (UEQP)	DOI,GS	15.807	G11AP20004-1	16,026	
Statemap 2012 (UST2)	DOI,GS	15.810	G11AC20249-0001	48,492	
Statemap 2013 (UST3)	DOI,GS	15.810	G12AC20226-0001	136,393	28,000
USGS Data Preservation Year 4 (UDP4)	DOI,GS	15.814	G12AP20129-0001	44,748	
NCRDS - 2010 (UNC0)	DOI,GS	15.819	G10AC00455	15,000	
EPA Wetland Prgm Develop FFY 10 (UW10)	EPA,Water	66.461	CD-96811101-0	14,999	
EPA Wetland Prgm Develop FFY 11 (UW11)	EPA,Water	66.461	CD-96811901-0	88,539	45,049
EPA Wetland Prgm Develop FFY 12 (UW12)	EPA,Water	66.461	CD-96812601-0	10,838	13,275
West Desert Wetlands (UWDDW)	EPA,Water	66.461	CD-97847201-2	24,082	
Shale Oil Reservoir (USHO)	Dept. of Energy	81.089	DE-FE0010667	64,041	
Wasatch Plateau Landslides (USF4)	USDA,FS	N/A	10-CS-11041000-033	75,708	
Subtotal – Division of Geological Survey				628,608	

Division of Forestry, Fire, and State Lands

Consolidated Grant - All Programs	USDA,FS	10.664	08-DG-11046000-611	503,183	21,452
Consolidated Grant - All Programs	USDA,FS	10.664	09-DG-11046000-606	406,048	3,000
Consolidated Grant - All Programs	USDA,FS	10.664	10-DG-11046000-611	267,504	1,500
Consolidated Grant - All Programs	USDA,FS	10.664	11-DG-11046000-606	205,280	63,524
Consolidated Grant - All Programs	USDA,FS	10.664	12-DG-11046000-604	356,162	190,197
Community Protection	USDA,FS	10.664	10-DG-11046000-630	8,892	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	08-DG-11046000-619	165,996	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	09-DG-11046000-612	19,346	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	10-DG-11046000-624	159,319	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	11-DG-11046000-615	6,034	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	12-DG-11046000-613	2,918	
Competitive Redesign Grant	USDA,FS	10.664	09-DG-11046000-617	20,260	
Competitive Redesign Grant	USDA,FS	10.664	10-DG-11046000-615	176,056	
Competitive Redesign Grant	USDA,FS	10.664	11-DG-11046000-608	14,024	
Competitive Redesign Grant	USDA,FS	10.664	12-DG-11046000-616	103,220	

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Disaster Assistance	USDA,FS	10.664	09-DG-11046000-602	36,834	
iTree Training	USDA,FS	10.675	07-DG-11046000-625	6,650	
Forest Legacy - Administration	USDA,FS	10.676	08-DG-11046000-603	19,831	
Forest Legacy - Green Canyon	USDA,FS	10.676	12-DG-11046000-606	4,000,000	
Forest Health Protection	USDA,FS	10.680	11-DG-11046000-610	5,545	
Forest Health Protection	USDA,FS	10.680	12-DG-11046000-611	10,717	
ARRA-Bumblebee	USDA,FS	10.688	10-DG-110482B1-006	5,979	
ARRA-Mountain Center	USDA,FS	10.688	10-DG-110482B1-009	5,563	
ARRA-North Elk	USDA,FS	10.688	10-DG-110482B1-008	86,880	
ARRA-Parowan Front	USDA,FS	10.688	10-DG-110482B1-010	20,203	
ARRA-Duck Creek	USDA,FS	10.688	10-DG-110482B1-070	10,047	
Good Neighbor Authority - Upper Santa Clara	USDA,FS	10.691	09-CA-11040700-046	31,474	
Good Neighbor Authority - Prescribed Fire Prc	USDA,FS	10.691	09-CA-11040700-047	6,320	
Good Neighbor Authority - Timber Sale Prep	USDA,FS	10.691	12-CA-11040700-024	6,844	
Good Neighbor Authority - Duck Creek Pile Bt	USDA,FS	10.691	08-CA-11040700-025	11,816	
Good Neighbor Authority - Birch Creek	USDA,FS	10.691	12-CA-11040700-032	22,196	
Challenge Cost Share - Mammoth Creek	USDA,FS	10.691	12-CS-11040700-044	1,125	
Wildland Urban Interface Community Assist.	DOI,BLM	15.228	L08AC13293	212,247	
NUIFC Building Project	DOI,BLM	15.239	L10AC20198	40,821	
Ready Reserve Program	DOI,BLM	15.242	L08AC13016	29,278	
Subtotal – Division of Sovereign Lands and Forestry				6,984,612	
<u>Division of Oil, Gas and Mining</u>					
Statewide Reclamation Agreement	DOI,BLM	15.236	L07AC13568	7,022	
Statewide Reclamation Agreement	DOI,BLM	15.236	L12AC20558	52,477	
UT FY12 Regulatory Grant	DOI,OSM	15.250	S12AB20000	1,940,872	
2010 AML Simplified Grant	DOI,OSM	15.252	S10AF20007	1,641,936	
2011 AML Simplified Grant	DOI,OSM	15.252	S11AF20026	1,095,168	
2012 AML Simplified Grant	DOI,OSM	15.252	S12AF20011	1,474,694	
EPA FFY 12 Underground Injection Control	EPA,Water	66.433	G008426-12	18,361	
EPA FFY 13 Underground Injection Control	EPA,Water	66.433	G008426-13	61,242	
Subtotal – Division of Oil, Gas and Mining				6,291,772	
<u>Division of Parks and Recreation</u>					
Great Salt Lake Danger Cave	DOI,NPS	15.916	PX1230-7-0113	155	
(HPC) Recreational Trails Program	DOT,FHWA	* 20.219	Recreational Trails	819,563	688,992
Boating Safety	DHS	97.012	3311FAS110149	1,313,170	
Wasatch Mountain - Forest Service	USDA,FS	N/A	VARIOUS	4,512	
EOTC Curation Services	USDA,FS	N/A	VARIOUS	19,210	
Utah Field House BLM	DOI,BLM	N/A	VARIOUS	8,299	
Coral Pink BLM coop agreement	DOI,BLM	N/A	L08AC13866	1,125	
EOTC Repository and Curation	DOI,BLM	N/A	VARIOUS	3,732	
Stravation BOR Project	DOI,BOR	N/A	R10AC40003	890,025	
Subtotal – Division of Parks and Recreation				3,059,791	
<u>Division of Water Resources</u>					
San Rafael River/Muddy Creek Watershed	USDA,NRCS	10.916	68-8D43-10-22	5,222	
Engineering & Planning Dam Rehabilitation	USDA,NRCS	10.916	68-8D43-9-24	287,223	
Subtotal – Division of Water Resources				292,445	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>Division of Water Rights</u>					
National Dam Safety Program	DHS	97.041	DHS-11-MT-041-000-02	32,414	
Subtotal – Division of Water Rights				32,414	
<u>Division of Wildlife Resources</u>					
Chronic Wasting Disease	USDA,APHIS	10.028	10-9749-1396-CA	111,308	
FEMA FBWMA	USDA,FNS	10.590	CD98870603	22,432	
Cooperative Forestry Assistance	USDA,FS	10.664	VARIOUS	398,629	
Farm Bill Biologists	USDA,NRCS	10.912	VARIOUS	94,991	
Restoration of San Rafael River	USDA,NRCS	10.912	728D430818Q	307,347	
Bat Database	DOI,BLM	15.231	VARIOUS	121,255	
Bureau of Land Management	DOI,BLM	15.231	VARIOUS	6,114,046	
Upper Colorado River Recovery	DOI,BOR	15.504	R09AP40846	6	
Upper Colorado River Recovery	DOI,BOR	15.504	R09AP40904	605	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2845	1,528	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2908	1,747	
Diamond Fork Mitiagation Project	DOI,BOR	15.504	11-FC-UT-1810	10,065	
Provo River Restoration PRRP	DOI,BOR	15.504	10-FC-UT-1670	10,357	
Utah Lake Wetland Preserve/Juab Co	DOI,BOR	15.504	10-FC-UT-1650	11,176	
Upper Colorado River Recovery	DOI,BOR	15.504	R12AP40032	17,361	
Montes Creek WMA	DOI,BOR	15.504	10-FC-UT-1780	36,762	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2847	37,100	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2902	48,508	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2871	57,155	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2848	67,323	
San Juan Razorback Sucker	DOI,BOR	15.504	R11AP40004	72,280	
Stewart Lake WMA	DOI,BOR	15.504	07-FC-40-2628	75,058	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2849	99,849	
Provo River Corridor	DOI,BOR	15.504	07-FC-UT-1490	104,760	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2870	125,432	
June Sucker Interim Hatchery	DOI,BOR	15.504	11-FC-CU-DW010	143,860	
June Sucker Interim Hatchery	DOI,BOR	15.504	10-FC-CU-DW010	143,860	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2850	184,917	
Kamas Hatchery	DOI,BOR	15.504	03-FC-CU-DW020	186,623	
Native Cutthroat Trout Restoration/Cons.	DOI,BOR	15.504	10-FC-UT-1710	198,686	
Whiterocks Hatchery	DOI,BOR	15.504	09-FC-CU-DW010	205,013	
Fountain Green Hatchery	DOI,BOR	15.504	09-FC-CU-DW010	239,362	
San Juan Non-native Lower San Juan	DOI,BOR	15.529	08-FG-40-2722	112,174	
(FW) Sport Fish Restoration Program	DOI,FWS	15.605	VARIOUS	4,684,255	
(FW) Wildlife Restoration Program	DOI,FWS	15.611	VARIOUS	4,922,290	
Local Endangered Species Act	DOI,FWS	15.615	VARIOUS	100,413	
Landowner Incentive	DOI,FWS	15.633	VARIOUS	107,417	
State Wildlife Grants	DOI,FWS	15.634	VARIOUS	703,699	
USFWS Cooperative Agreements	DOI,FWS	15.642	VARIOUS	1,142,681	
Subtotal – Division of Wildlife Resources				21,022,330	
Subtotal – Department of Natural Resources				38,311,972	1,054,989
<u>PUBLIC SAFETY, DEPARTMENT OF</u>					
Public Safety Interoperable Communication	DOC,NTIA	11.555	2007GSH70026	470,091	470,091
Enforcing Underage Drinking Laws Program	DOJ,OJJDP	16.727	2010AHFX0066, 2011AHFX0044	170,387	130,477
DNA Backlog Reduction Program	DOJ,NIJ	16.741	VARIOUS	526,253	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Paul Coverdell Forensic Science Improv. G.	DOJ,NIJ	16.742	VARIOUS	143,998	
Commercial Driver's License Improvement	DOT,FMCSA	20.232	VARIOUS	182,633	
(HS) State and Community Highway Safety	DOT, NHTSA	20.600	18X9204020UT12/13	1,964,357	737,965
(HS) Alcohol Impaired Driving Countermeasur	DOT, NHTSA	20.601	18X9204100UT12	1,160,917	212,157
(HS) Occupant Protection Incentive	DOT, NHTSA	20.602	18X9204050UT12	165,355	67,441
(HS) Safety Belt Performance Grant	DOT, NHTSA	20.609	18X9204060UT08	8,557	
(HS) St Traffic Safety Info System Improve.	DOT, NHTSA	20.610	18X9204080UT12	343,504	177,706
(HS) Incentive Prgm to Prohibit Racial Profilin	DOT, NHTSA	20.611	18X5209060UT08	422,435	65,000
(HS) Incentive Program to Incr. Motorcyclist S	DOT, NHTSA	20.612	18X9202100UT10	20,794	(5,365)
(HS) Child Safety and Child Booster Seats	DOT, NHTSA	20.613	18X9202110UT10	143,742	88,544
NHTSA Discretionary Safety Grants	DOT, NHTSA	20.614	DTNH2212H00144	107,616	
Hazardous Materials Planning & Training	DOT,PHMSA	20.703	HMP0261110100/0283120100	214,838	124,945
High Intensity Drug Trafficking Areas Prgm	EOP	95.001	HIDTA	653,842	
Community Assistance Prgm (CAP-SSSE)	DHS	97.023	VARIOUS	166,900	
Disaster Grants--Public Assistance	DHS	* 97.036	VARIOUS	4,324,431	4,244,959
Hazard Mitigation Grant	DHS	97.039	1955DRUTP5,4011DRUTP5	165,009	149,954
Chemical Stockpile Emergency Preparedness	DHS	97.040	EMD2010GR1048/2011GR1148	635,207	439,338
Emergency Management Performance Grants	DHS	97.042	EMW2011EP00066/2012EP00025	4,416,645	1,676,340
Cooperating Technical Partners	DHS	97.045	VARIOUS	447,561	26,744
Pre-Disaster Mitigation	DHS	97.047	VARIOUS	4,371,608	4,097,293
Interoperable Emergency Communications	DHS	97.055	2009IPT90048, 2010IPT00027	152,366	152,366
Homeland Security Grant Program	DHS	* 97.067	VARIOUS	6,710,915	5,721,658
Earthquake Consortium	DHS	97.082	EMD2011GR1142/2012GR1242	50,940	31,280
Driver's License Security Grant Program	DHS	97.089	VARIOUS	1,344,769	
National Incident Management System (NIMS)	DHS	97.107	12SA0901858	26,009	26,009
Subtotal – Department of Public Safety				29,511,679	18,634,902
<u>PUBLIC SERVICE COMMISSION</u>					
ARRA-State Broadband Data & Development	DOC,NTIA	11.558	49-50-M09054	1,422,451	
National Deaf-Blind Equipment Distribution	FCC	N/A	VARIOUS	7,195	
ARRA-Electricity Regulators Assistance	Dept. of Energy	81.122	DE-OE0000131	124,346	
Subtotal – Public Service Commission				1,553,992	0
<u>REGENTS, BOARD OF</u>					
<u>Administration</u>					
No Child Left Behind	ED,ESE	84.367	S367B080039A / 090039	455,232	
College Access Challenge Grant Program	ED,PE	84.378	P378A090024 / A100024	1,467,409	
Subtotal – Administration				1,922,641	
<u>Student Loan Purchase Program</u>					
Special Allowance Payments	ED,SFAP	* 84.032	VARIOUS	(19,997,698)	
Federal Interest Subsidy Payments	ED,SFAP	* 84.032	VARIOUS	8,322,962	
Subtotal – Student Loan Purchase Program				(11,674,736)	
<u>Student Loan Guarantee Program – Utah Higher Education Assistance Authority</u>					
Federal Reinsurance Received on Defaulted Loan Claims Paid				40,467,318	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Less Collection on Defaulted Loans Remitted to Department of Education Net Federal Reinsurance Received on Defaulted Loan Claims Paid				(6,099,381)	
Default Adversion Fee	ED,SFAP	* 84.032	VARIOUS	34,367,937	
Account Maintenance Fee	ED,SFAP	* 84.032	VARIOUS	191,756	
	ED,SFAP	* 84.032	VARIOUS	1,088,800	
Subtotal – Student Loan Guarantee Program – Utah Higher Education Assistance Authority				35,648,493	
Subtotal – Board of Regents				25,896,398	0
<u>SURPLUS PROPERTY, DIVISION OF</u>					
Federal Property Assistance	GSA	39.003	VARIOUS	88,425	
Subtotal – Division of Surplus Property				88,425	0
<u>TAX COMMISSION</u>					
Oil and Gas Leases	DOI,MMS	N/A	M10AC20016	475,247	
Odometer Fraud	DOT,NHTSA	N/A	DTNH22-05-H-01403	9,347	
Subtotal – Tax Commission				484,594	0
<u>TRANSPORTATION, DEPARTMENT OF</u>					
Airport Improvement Program	DOT,FAA	20.106	3-49-0000-09/DOT-FA09NM-1033	3,555	
Airport Improvement Program	DOT,FAA	20.106	3-49-0000-11/DOT-FA10NM-1041	2,502	
ARRA-(HPC) Highway Planning & Const.	DOT,FHWA	* 20.205	ARRA	386,986	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Addl CA FR STS w/Ind Res	1,549,036	1,548,268
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Bridge	11,277,259	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Congestion/Air Quality	10,767,120	3,556,198
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Emergency Relief	5,753,379	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Equity Bonus Exempt Lim	5,707,613	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Equity Bonus SP Limitation	6,968,249	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Extension of Alloc Programs	9,790,492	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Ferry Boat & Term Constr	35,415	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Freight Intermodal Grant Prg	42,020	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	FTA Hwy Proj Tsf FHWA	739,870	738,271
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Grants Sup Plan Sec 117	40,623	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	High Priority Projects	2,974,635	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	High Priority Projects 1702	12,373,262	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	High Risk Rural Road	1,395,384	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Bridge Pgrm (Plus)	26,288	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Demo Project	991,876	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Infrastructure	1,995,364	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Plan and Research	4,962,462	65,446
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	HSIP Limiting Flexible	12,268,427	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Interstate Maint Disc	2,079,552	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Interstate Maintenance	110,293,830	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	ITS Deployment-Metropol	22,722	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	ITS STDS Rsch OP Tests	242,803	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Metropolitan Planning	2,428,752	2,372,022
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Minimum Guarantee	35,506	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	National Highway Perf Plan	39,353,687	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	National Highway System	62,052,730	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Public Lands	4,774,195	

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Rail-Hwy Crossing Haz Eli	1,089,972	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Redistributed Certain Auth	782,505	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Safe Routes to School	2,127,066	46,802
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Scenic Byways	808,106	539,365
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Sec 115 Unobl Bal Transf	34,140	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Sec 164 Penalties for HSIP	266,246	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Special Projects/Studies	17,325	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	STP Transferability	2,181,937	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Supportive Services	28,637	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Surface Trans Priorities	1,153,867	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Surface Trans Research	248,159	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Surface Transport. Program	66,278,798	3,427,387
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Surface Transport. Project	879,759	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Trans Com Sys Pres Pilot	1,707,435	1,630,500
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Transp Alternative Prog	616,763	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Transp Assist Program	105,546	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Transportation Imp Proj	1,086,904	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Truck Parking Facilities	893,746	
(HPC) Highway Planning & Construction	DOT, FHWA	* 20.205	Urban Access & Mobility	8,690	
National Motor Carrier Safety	DOT, FMCSA	20.218	MN-11-49-1	169	
National Motor Carrier Safety	DOT, FMCSA	20.218	MN-12-49-1	289,547	
National Motor Carrier Safety	DOT, FMCSA	20.218	MC-12-49-1	763,276	
National Motor Carrier Safety	DOT, FMCSA	20.218	MC-13-49-1	1,115,030	
National Motor Carrier Safety	DOT, FMCSA	20.218	MH-13-49-1	9,280	
Safety Data Improvement Program	DOT, FMCSA	20.234	SAD-12-01	18,480	
Commercial Vehicle Info Systems & Networks	DOT, FMCSA	20.237	IT-09-49-1	822,559	
Commercial Vehicle Info Systems & Networks	DOT, FMCSA	20.237	CVN-12-01	98,000	
ARRA-Formula Grants Other Than Urbanized	DOT, FTA	20.509	UT-86-X001	156,135	155,000
Formula Grants Other Than Urbanized Areas	DOT, FTA	20.509	UT-18-X027	130,865	130,864
Formula Grants Other Than Urbanized Areas	DOT, FTA	20.509	UT-18-X028	495,174	213,282
Formula Grants Other Than Urbanized Areas	DOT, FTA	20.509	UT-18-X029	282,655	79,511
Formula Grants Other Than Urbanized Areas	DOT, FTA	20.509	UT-18-X030	853,224	714,852
(TSP) Capital Asst. Elderly with Disabilities	DOT, FTA	20.513	UT-16-X004	515,990	131,351
(TSP) Capital Asst. Elderly with Disabilities	DOT, FTA	20.513	UT-16-X005	48,686	44,267
(TSP) Job Access Reverse Commute	DOT, FTA	20.516	UT-37-X001	29,805	42,464
(TSP) Job Access Reverse Commute	DOT, FTA	20.516	UT-37-X002	204,015	111,514
(TSP) Job Access Reverse Commute	DOT, FTA	20.516	UT-37-X003	1,028,420	614,056
(TSP) Job Access Reverse Commute	DOT, FTA	20.516	UT-37-X004	10,877	10,877
Public Transportation Research	DOT, FTA	20.514	UT-26-5001	9,063	
(TSP) New Freedom Program	DOT, FTA	20.521	UT-57-X001	14,330	11,150
(TSP) New Freedom Program	DOT, FTA	20.521	UT-57-X002	78,469	74,162
(TSP) New Freedom Program	DOT, FTA	20.521	UT-57-X003	464,954	263,060
(HS) State and Community Highway Safety	DOT, NHTSA	20.600	NHTSA Grants Sfty Blts Trf	71,967	
Subtotal – Department of Transportation				399,162,165	16,520,669

VETERAN'S AFFAIRS. DEPARTMENT OF

Remodel Project–Salt Lake Veterans Home	VA, VAHAC	* 64.005	FAI-49-006	27,470	
Ivins–Construction of Veterans State Nursing	VA, VAHAC	* 64.005	FAI-49-004	9,512,507	
Payson–Construction of Veterans State Nursii	VA, VAHAC	* 64.005	FAI-49-005	9,782,486	
Veterans Prescription Service	VA, VAHAC	64.012	VARIOUS	82,302	
Veterans State Nursing Home Care	VA, VAHAC	* 64.015	VARIOUS	7,532,898	
Burial Expenses Allowances for Veterans	VA, VBA	64.101	VARIOUS	162,096	
Veterans Cemetery Master Project	VA, NCS	64.203	FAI-UT-10-03	502,109	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Veterans Administrative Office	VA	N/A	VARIOUS	11,821	
State Approving Agency	VA,VAHAC	N/A	V101 (223C) P-5059	113,745	
Subtotal – Department of Veteran's Affairs				27,727,434	0
WORKFORCE SERVICES, DEPARTMENT OF					
ARRA-(SNAP) SNAP – SSI Cash Out	USDA,FNS	* 10.551	2012 / 2013 ID281143	148,299	
(SNAP) SNAP – SSI Cash Out	USDA,FNS	* 10.551	2012 /2013 IS601843	2,443,230	
(SNAP) SNAP – Benefits	USDA,FNS	* 10.551	8016499S6008	385,117,086	
(SNAP) SNAP – Nutrition Education	USDA,FNS	* 10.561	2013IS750343	814,703	
(SNAP) SNAP – Administration	USDA,FNS	* 10.561	2012/2013 IS251443	18,324,859	
(SNAP) SNAP – ABAWD	USDA,FNS	* 10.561	2013IS252243	1,079,692	
(SNAP) SNAP – Emp/Train 50%	USDA,FNS	* 10.561	2012/2013 IS251943	918,510	
(SNAP) SNAP – Emp/Train 50% Partici.	USDA,FNS	* 10.561	2012/2013 IS252043	145,209	
(SNAP) SNAP – Interview Research Grant	USDA,FNS	* 10.561	2011IS807313	78,193	
(SNAP) SNAP – Emp/Train 100%	USDA,FNS	* 10.561	2012/2013 IE251843	845,774	
(SNAP) SNAP – State Exchange	USDA,FNS	* 10.561	2012/2013 IS802643/3643	863	
(SCDBG) Community Development Blk G	HUD,CPD	14.228	B-09-DC-49-0001	117,766	73,159
(SCDBG) Community Development Blk G	HUD,CPD	14.228	B-10-DC-49-0001	626,299	476,783
(SCDBG) Community Development Blk G	HUD,CPD	14.228	B-11-DC-49-0001	1,229,781	1,229,781
(SCDBG) Community Development Blk G	HUD,CPD	14.228	B-12-DC-49-0001	2,718,809	2,718,809
(SCDBG) Neighborhood Stabiliz. P–NSP1	HUD,CPD	14.228	B-08-DN-49-0001	16,696	
(SCDBG) Neighborhood Stabiliz. P–NSP3	HUD,CPD	14.228	B-11-DN-49-0001	2,754,775	2,722,756
Emergency Shelter	HUD,CPD	14.231	E-11-DC-49-0001	97,033	62,980
Emergency Shelter	HUD,CPD	14.231	E-12-DC-49-0001	619,662	596,656
Homeless Assistance Competitive	HUD,CPD	14.235	UT0001B8T001003	40,581	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0011B8T031003	7,734	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0020B8T041003	6,205	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0066B8T031000	50,741	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0061B8T001000	128,047	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0081B8T031100	28,896	28,896
Homeless Assistance Competitive	HUD,CPD	14.235	UT0082B8T031100	95,677	95,677
Shelter Plus Care	HUD,CPD	14.238	UT0015C8T031104	28,421	28,421
Home Investment Partnership	HUD,CPD	14.239	M-00-SG-49-0100	150,178	
Home Investment Partnership	HUD,CPD	14.239	M-04-SF-49-0100	110,906	
Home Investment Partnership	HUD,CPD	14.239	M-12-SF-49-0100	304,721	148,471
HOPWA Block Grants	HUD,CPD	14.241	UT06H99-F999	45,284	45,284
HOPWA Block Grants	HUD,CPD	14.241	UTH00-F999	93,789	93,789
HOPWA Block Grants	HUD,CPD	14.241	UTH08-F999	10,580	10,580
HOPWA Block Grants	HUD,CPD	14.241	UTH09-F999	50,567	50,309
HOPWA Block Grants	HUD,CPD	14.241	UTH10-F999	110,653	107,102
HOPWA Block Grants	HUD,CPD	14.241	UTH11-F999	424	424
HOPWA Block Grants	HUD,CPD	14.241	UTH12-F999	2,955	
Bureau of Labor Statistics – CES	DOL,BLS	17.002	91212, 91213	78,306	
Bureau of Labor Statistics – LAUS	DOL,BLS	17.002	91222, 91223	107,212	
Bureau of Labor Statistics – OES	DOL,BLS	17.002	91232, 91233	294,619	
ES 202	DOL,BLS	17.002	91242, 91243	362,177	
Bureau of Labor Statistics – MLS	DOL,BLS	17.002	91252, 91253	56,996	
ES 202 AAMC	DOL,BLS	17.002	91312, 91363	2,228,084	
(ES) Wagner/Peyser Employment Services	DOL,ETA	* 17.207	VARIOUS	11,247,373	
(ES) One Stop LMI State Admin.	DOL,ETA	* 17.207	ES22093GC1, ES23027KJ	539,790	
(ES) One Stop Other LMI State Admin.	DOL,ETA	* 17.207	ES22093GW1	34,698	
(ES) ES Retirement (Trust)	DOL,ETA	* 17.207	ES22093EP0	742,891	
(ES) Wagner/Peyser Employment Services	DOL,ETA	* 17.207	REED ACT	7,713,100	
ALCATS Project Reporting	DOL,ETA	17.273	T- MI186234Z	65,107	
Extended Benefits	DOL,ETA	* 17.225	EUCA (EB)	53,044	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
ARRA-Emergency Unemp Comp (EEUC)	DOL,ETA	* 17.225	EUCA (EUC08)	56,621,918	
Emergency Unemp Comp (EEUC)	DOL,ETA	* 17.225	EUCA (EUC08)	16,066,577	
Temporary Extended Unemployment Comp	DOL,ETA	* 17.225	EUCA TEUC (Contributory)	(22,901)	
ARRA-Additional Unemp Comp (FAUC)	DOL,ETA	* 17.225	FAUC Additional UC (FAUC)	(264,311)	
ARRA-UC Modernization Incentive & Admin	DOL,ETA	* 17.225	UI MODERN INCENTIVE	1,233,317	
Unemploy Ins Re-Employment Assessment	DOL,ETA	* 17.225	UI22343IB, UI21129DW	937,756	
Unemployment Insurance Operations	DOL,ETA	* 17.225	VARIOUS	31,369,608	
Trade Readjustment Allowance Benefits	DOL,ETA	* 17.225	VARIOUS	1,127,505	
Unemployment Ins Mandatory Re-Employer	DOL,ETA	* 17.225	UI22343LO0, UI23921OK1	698,602	
Unemployment Insurance Extended Benefits	DOL,ETA	* 17.225	UI21129DV	2,355,510	
State Funded Unemployment Expenditures UI	DOL,ETA	* 17.225	VARIOUS	233,861,812	
Trade TAA Program	DOL,ETA	17.245	VARIOUS	1,941,216	
Alternative TAA Program	DOL,ETA	17.245	UI23921NQ	67,324	
(WIA) Workforce Invest. Act Adult Program	DOL,ETA	* 17.258	VARIOUS	3,882,111	
(WIA) Workforce Invest. Act Youth Program	DOL,ETA	* 17.259	AA21425EZ0,AA22965JP0	4,600,302	
Work Opportunity Tax Credit Program	DOL,ETA	17.271	ES22093HG0,ES23027MC0	138,843	
Alien Labor Certification Non Ag	DOL,ETA	17.273	ES22093IP0,ES23027NR0	2,842	
Alien Labor Certification AG	DOL,ETA	17.273	ES22093IP0,ES23027NR0	72,990	
ARRA-State Energy Sector Grant	DOL,ETA	17.275	GJ199135R0	1,728,533	
ARRA-Health Ins Tax Credit Oper Grant	DOL,ETA	17.276	EM211926C2	23,185	
Utah ATK NEG Grant	DOL,ETA	17.277	EM20422HJ	177,554	
(WIA) WIA Dislocated Worker Formula Grants	DOL,ETA	* 17.278	VARIOUS	4,790,159	
Workforce Innovation Fund (GENLEX)	DOL,ETA	17.283	IF23255GY1	322,917	71,968
(ES) Disabled Veterans Outreach	DOL.VETS	* 17.801	T-DV19652D15, T-DV19652D25	1,005,772	
(ES) Local Veterans Employment	DOL.VETS	* 17.804	T-DV19652L05/L15, T-DV19652L25	85,935	
Veterans Temporary Assistance	DOL.VETS	17.807	T-DV19652T25	7,729	
ARRA-Weatherization Low-Income Persons	Dept. of Energy	81.042	DE-EE0000080-001	85,838	
Weatherization Assist Low-Income Persons	Dept. of Energy	81.042	DE-EE0000148	2,947,118	2,589,763
ARRA-Weatherization Low-Income Persons	Dept. of Energy	81.042	DE-EE0003869	99,157	
(TANF) Temporary Assist for Needy Families	DHHS,ACF	* 93.558	1102UTTANF,1202UTTANF	52,336,409	1,432,507
Refugee Social Services	DHHS,ACF	93.566	G-09AAUT8115, G-10TAUT1000	958,947	
Refugee Cash Medical Assistance	DHHS,ACF	93.566	P-09AAUT8100, P-10-, P-11, P-12	6,695,407	
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-10B1UTLIEA	38,903	38,903
Low Income Home Energy Assistance Progra	DHHS,ACF	* 93.568	G-10B1UTLIEA	22,184	22,184
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-11B1UTLIEA	3,664,890	3,663,992
Low Income Home Energy Assistance Progra	DHHS,ACF	* 93.568	G-11B1UTLIEA	69,557	69,557
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-12B1UTLIEA	1,568,855	1,568,855
Low Income Home Energy Assistance Progra	DHHS,ACF	* 93.568	G-12B1UTLIEA	5,532,303	5,165,155
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-13B1UTLIEA	19,648	19,648
Low Income Home Energy Assistance Progra	DHHS,ACF	* 93.568	G-13B1UTLIEA	12,894,861	12,894,861
Community Services Block Grant	DHHS,ACF	93.569	G11B1UTCOSR	9,135	
Community Services Block Grant	DHHS,ACF	93.569	G12B1UTCOSR	1,741,582	1,651,290
Community Services Block Grant	DHHS,ACF	93.569	G13B1UTCOSR	1,613,485	1,491,147
(CC) Child Care Develop – Discretionary	DHHS,ACF	* 93.575	G-/11/12/13 01UTCDF	29,541,604	1,320,126
Refugee Older Refugee Grant	DHHS,ACF	93.576	G-90RL013104	24,319	
Refugee Pre-Literacy Grant	DHHS,ACF	93.576	G-90RT016101	111,372	
Refugee SCHOOL Impact Award	DHHS,ACF	93.576	G-90ZE011801,-802	264,976	
Refugee Targeted Assistance	DHHS,ACF	93.584	G-09AUT1000, G-10AUT1000	617,006	
(CC) Child Care Develop – Mandatory/Match	DHHS,ACF	* 93.596	G-/11/12/13 01UTCDF	19,219,908	
Headstart Collaboration Grant	DHHS,ACF	93.600	08CD0023/02	77,175	
Title XX Social Services Block Grant–Basic	DHHS,ACF	93.667	G-11UTSOSR	15,000	
Refugee Vista Grant	CNCS	94.013	G-11VSPUT001	15,539	
Emergency Food and Shelter	DHS	97.024	851000	579	
Subtotal – Department of Workforce Services				945,905,557	40,489,833
Subtotal – Primary Government				3,820,990,630	636,079,756

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
COMPONENT UNITS - COLLEGE AND UNIVERSITY					
UTAH COLLEGE OF APPLIED TECHNOLOGY					
<u>Bridgerland Applied Technology College</u>					
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P123761	1,029,602	
Rural Business Enterprise Grant	USDA	N/A	52-003-870294483	37,565	
Subtotal – Bridgerland Applied Technology College				1,067,167	
<u>Davis Applied Technology College</u>					
Community-Based Job Training Grant	DOL,ETA	17.282	TC-22549-11-60-A-49	41,906	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A127112	35,686	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A127112	21,641	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P123839	1,095,218	
Subtotal – Davis Applied Technology College				1,194,451	
<u>Dixie Applied Technology College</u>					
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P126204	306,449	
Subtotal – Dixie Applied Technology College				306,449	
<u>Mountainland Applied Technology College</u>					
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A125818	5,976	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P125818	621,378	
Subtotal – Mountainland Applied Technology College				627,354	
<u>Ogden-Weber Applied Technology College</u>					
Youth Build Grant	DOL,ETA	17.274	YB-21310-11-60-A-49	421,804	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A126314	65,539	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A126314	48,196	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P124239	1,790,104	
Subtotal – Ogden-Weber Applied Technology College				2,325,643	
<u>Southwest Applied Technology College</u>					
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P125928	213,688	
Subtotal – Uintah Basin Applied Technology College				213,688	
<u>Uintah Basin Applied Technology College</u>					
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P123427	152,224	
Subtotal – Uintah Basin Applied Technology College				152,224	
Subtotal – Utah College of Applied Technology				5,886,976	0

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>DIXIE STATE UNIVERSITY</u>					
Non-Research Grants					
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124194	173,036	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A124194	193,286	
(TRIO) Student Support Services	ED,PE	84.042	P042A10100174	300,600	
(TRIO) Talent Search	ED,PE	84.044	P044A070343	389,716	
(TRIO) Upward Bound	ED,PE	84.047	P047A080310	343,792	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	17,742,068	
Forest Service Website Development	USDA,FS	N/A	VARIOUS	1,191	
Veteran's Administration Allowance	VA	N/A	VARIOUS	1,699	
Research Grants					
(R&D) GUVI Extended Mission	NASA	* N/A	NNX11AH76G	65,376	49,841
Pass-Through From Non-State Entities:					
(R&D) GUVI Extended Mission The Aerospace Corporation	NASA	* N/A	NNX11AD71G	18,680	
Subtotal – Dixie State University				19,229,444	49,841
<u>SALT LAKE COMMUNITY COLLEGE</u>					
Community Based Job Training Grants	DOL,ETA	17.269	CB-20613-10-60-A-49	756,335	
Trade Adjustment Assist Community College	DOL,ETA	17.282	VARIOUS	599,650	
Education and Human Resources	NSF	47.076	DUE-0856770/DUE-1003292	626,839	
Small Business Development Centers	SBA	59.037	VARIOUS	675,183	
Rocky Mtn Solar Instructor Training Providers	Dept. of Energy	81.087	DE-EE0005677	378,378	245,705
ARRA-Energy Efficiency & Renewable Info	Dept. of Energy	81.117	EDE-EE002090	19,921	
ARRA-Electricity Delivery & Energy Reliability	Dept. of Energy	81.122	DE-OE0000457	111,285	
ARRA-Electricity Delivery & Energy Reliability	Dept. of Energy	81.122	DE-OE0000458	625,835	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124220	307,202	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A124220	415,357	
(TRIO) Student Support Services	ED,PE	84.042	P042A100798	272,566	
(TRIO) Talent Search	ED,PE	84.044	P044A110418	209,346	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	41,352,821	
Child Care Access Means Parents in School	ED,PE	84.335	P335A090046	98,528	
Planning and Program Development	CNCS	94.007	VARIOUS	103,786	
Small Business Jobs Act	SBA	N/A	1-603001-Z-0156	173,479	
Pass-Through From Non-State Entities:					
Fund Improvement of Postsecondary Ed — LaGuardia Community College	ED,PE	84.116	P116B100295	4,166	
Engineering Grants — Inscent, Inc	NSF	47.041	1058580	12,651	
Engineering Grants — Echelon Biosciences, Inc	NSF	47.041	IIP-1127467	3,569	
Subtotal – Salt Lake Community College				46,746,897	245,705
<u>SNOW COLLEGE</u>					
NFM Benchmarking Center	USDA,NIFA	10.319	VARIOUS	54,975	
Farm Ranch Management	USDA,NIFA	10.500	VARIOUS	13,302	
Justice Assistance Grant	DOJ,BJA	16.738	2012-DJ-BX-0370	4,774	
TAACCCT Grant	DOL,ETA	17.282	TC-22549-11-60-A-49	231,627	
Small Business Development Center	SBA	59.037	VARIOUS	81,428	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	VARIOUS	78,999	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A124212	69,608	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(TRIO) Student Support Services	ED,PE	84.042	VARIOUS	328,194	
(TRIO) Upward Bound	ED,PE	84.047	VARIOUS	324,144	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	5,804,611	
College Access Challenge Grant Program	ED,PE	84.378	VARIOUS	37,475	
Suicide Prevention Grant	DHHS,SAMHSA	93.243	5U79SM060491-02	32,463	
Rocky Mtn NASA Space Grant	NASA	N/A	NNX10AJ77H	17,586	
Subtotal – Snow College				7,079,186	0

SOUTHERN UTAH UNIVERSITY

Utah Rural Econ Gard Demo Project	USDA,RB-CS	10.769	52-011-876000481	26,901	
Fuels Intern & Color Country Fire Intern	DOI,BIE	15.151	H69443/92120	13,359	
IIC - BLM Career Internship Corps	DOI,BLM	15.225	L10AC20317	3,481	
Kanab Field Off - Hog Cnyn Rte Mgmt	DOI,BLM	15.225	L12AC20380	15,577	
UT Prairie Dog Recovery	DOI,BLM	15.231	L10AC20498	11,203	
Bat Habitat Monitoring & Population Monitorin	DOI,BLM	15.231	L11AS00146	11,373	
Frisco Mountain Endemic Plant Study	DOI,BLM	15.231	L11AC20329	46,591	
UPDRIP 2 - BLM UT Prairie Dog Recovery	DOI,FWS	15.615	60181AJ509	30,223	
CEBR Hazardous Tree Project	DOI,NPS	15.945	J1360110060/SUU-29	(162)	
IIC - CEBR Project CIC Trails and Fence	DOI,NPS	15.945	P11AT10390	17	
Bryce Canyon Memories	DOI,NPS	15.945	J1330110014/SUU-28	1,169	
Cedar Breaks Night Sky Project	DOI,NPS	15.945	J1360100174	2,048	
Bat Monitoring Pipe Springs NM	DOI,NPS	15.945	J15101000370/SUU-25	2,319	
Cedar Breaks Wayside Project	DOI,NPS	15.945	J1360110051/SUU-27	18,340	
GIS Supp for Under the Rim Archaeology	DOI,NPS	15.945	J1330100049/SUU-26	21,359	
Cedar Breaks Recreation and Repair	DOI,NPS	15.945	VARIOUS	29,302	
IIC - YIP Career Internship Corp	DOI,NPS	15.945	P11AT00221/SUU-32	36,629	
NPS Youth Conservation Corps	DOI,NPS	15.945	VARIOUS	40,558	
Forest Service Internship Program	DOI,NPS	15.945	12-CS-11040700-011	79,268	
Youth Partnership - IIC	DOI,NPS	15.945	J1360120015/SUU-37	361,341	
Renewable Energy Business Development	DOL,ETA	17.261	EA-21889-11-60-A-49	176,872	
American Cultural Center in China	Dept. of State	19.015	S-CH500-12-GR243	26,321	
S-STEM at SUU	NSF	47.076	DUE-1154423	106,431	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124213	156,441	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A124213	239,054	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A094213	17,166	
(TRIO) Student Support Services	ED,PE	84.042	P042A060878	270,987	
(TRIO) Talent Search	ED,PE	84.044	P044A060385	513,928	
(TRIO) Upward Bound Program	ED,PE	84.047	P047A070318	254,921	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P122352	12,832,942	
Gear Up	ED,PE	84.334	VARIOUS	27,750	
Child Care Access Means Parents in School	ED,PE	84.335	P335A090204-10	66,139	
Election Assistance Commission	EAC	90.400	E4064552E	9,626	
Head Start Program	DHHS,ACF	93.600	VARIOUS	3,378,024	
Rural Health Network Development Planning	DHHS,HRSA	93.912	P10RH23479-01-00	85,001	
PKT Fire Hazard Reduction Work	DHS	97.046	VARIOUS	11,996	
Kalbab YCC	USDA,FS	N/A	12-PA-11030703-019	3,000	
Dixie National Forest Part Agreement	USDA,FS	N/A	11-PA-11040700-010	13,593	
NSF Broad Internship	USDA,FS	N/A	13-PA-11040700-032	22,492	
Initiative Native Plant and Habitat	USDA,FS	N/A	12-CS-11040700-017	34,330	
Initiative Native Plant Project	USDA,FS	N/A	10-PA-11040700-038	143,298	
Utah Shakespeare Festival Centre Campaign	HUD	N/A	B-05-SP-UT-0316	452,800	
Herbarium Imaging Project	DOI,NPS	N/A	P11AT10843/SUU-35	2,846	
Wetland Microbial Comm at Bryce	DOI,NPS	N/A	P11AT00185/SUU-33	3,961	
BLM - CCFO Youth Dev Internship	DOI,BLM	N/A	L12AC20607	4,959	
Taylor Creek Cabin Stabilization	DOI,NPS	N/A	P12AC10849	7,122	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Bryce Canyon - Under the Rim Archaeology	DOI,NPS	N/A	J1330100050/SUU-24	9,161	
Cedar Mountain Extreme	DOI,NPS	N/A	VARIOUS	20,999	
Utah Shakespeare Festival	NFAH,NEA	N/A	VARIOUS	25,000	
Veterans Reporting	VA	N/A	VARIOUS	1,560	
Subtotal – Southern Utah University				19,669,616	0

UTAH, UNIVERSITY OF

Research Grants

(R&D) Utilization Of A Skin Carotenoid Detect	USDA,ARS	* 10.001	5854501355	11,893	
(R&D) Grants for Ag Research–Competitive	USDA,NIFA	* 10.206	VARIOUS	63,880	
(R&D) Advancing Analysis, Forecast & Warnin	DOC,NOAA	* 11.468	NA10NWS4680005	89,639	
(R&D) Measurement & Engineering R & S	DOC,NIST	* 11.609	60NANB10D225	2,672	
(R&D) Basic and Applied Scientific Res.	DOD,DON,OCNF	* 12.300	VARIOUS	1,370,157	196,445
(R&D) Military Medical Research & Develop.	DOD,AMedicalC	* 12.420	VARIOUS	4,957,132	570,523
(R&D) Basic Scientific Research	DOD,AMaterielC	* 12.431	VARIOUS	387,052	12,676
(R&D) Basic, Applied, and Advanced Res.	DOD,OSD	* 12.630	VARIOUS	2,221,115	1,309,701
(R&D) Air Force Defense Research Sci.	DOD,DAF,MC	* 12.800	VARIOUS	2,555,934	1,467,033
(R&D) Research and Technology Developmer	DOD,ARPA	* 12.910	VARIOUS	683,127	
(R&D) Cultural Resource Management	DOI,BLM	* 15.224	VARIOUS	(32,622)	
(R&D) Fish, Wildlife and Plant Conservation	DOI,BLM	* 15.231	VARIOUS	60,220	
(R&D) Verification of Spot Fire Weather Forec	DOI,BLM	* 15.232	L12AC20624	54,242	2,952
(R&D) Environmental Quality & Protection R N	DOI,BLM	* 15.236	VARIOUS	27,009	
(R&D) Demo & Pollination Monitoring Penster	DOI,BLM	* 15.238	L08AC14473JSA071002	(1,810)	
(R&D) Curatorial Services	DOI,BLM	* 15.511	R08AP402746	24,986	
(R&D) Graham's Penstemon Survey 2011	DOI,FWS	* 15.657	F11AC00384/60181BJ606	32,732	
(R&D) Faults Submerged Beneath Utah Lake	DOI,GS	* 15.807	G08AP0001608HQGR0097	23,501	
(R&D) Lidar Identification Of Hydrothermal	DOI,GS	* 15.816	G11AP20050	102	
(R&D) Liquefaction Maps for Weber CO	DOI,GS	* 15.817	G12AP20074	17,657	
(R&D) Subsistence Research ~ Denali	DOI,NPS	* 15.945	P13AC00318	6,534	
(R&D) Develop Eval Of Fracture Mechanics T	DOT,FAA	* 20.109	CAMUU	156,360	
(R&D) Science	NASA	* 43.001	VARIOUS	517,531	
(R&D) Performance In Nonlinear Managemen	NASA	* 43.003	NNX11AR71A	45,444	
(R&D) Nanosatellite Constellations	NASA	* 43.008	NNX13AD39A	11,542	11,542
(R&D) Imagery Lenses For Visualizing Text	NFAH,NEH	* 45.169	HJ5009912	93,425	
(R&D) Engineering Grants	NSF	* 47.041	VARIOUS	2,419,049	
(R&D) Functoriality Of Gromov-Witten Theory	NSF	* 47.047	DMS0901098	56,902	
(R&D) Mathematical and Physical Sciences	NSF	* 47.049	VARIOUS	9,536,169	119,362
(R&D) Geosciences	NSF	* 47.050	VARIOUS	1,355,222	135,055
(R&D) Computer and Information —					
Science and Engineering	NSF	* 47.070	VARIOUS	4,652,733	
(R&D) Biological Sciences	NSF	* 47.074	VARIOUS	4,244,783	615,240
(R&D) Social, Behavioral, and					
Economic Sciences	NSF	* 47.075	VARIOUS	321,435	
(R&D) Education and Human Resources	NSF	* 47.076	VARIOUS	263,459	
(R&D) Polar Programs	NSF	* 47.078	VARIOUS	263,968	
(R&D) International Science and Engineering	NSF	* 47.079	VARIOUS	713	
(R&D) Office of Cyberinfrastructure	NSF	* 47.080	VARIOUS	379,893	
ARRA-(R&D) Trans-NSF Recovery Act R S	NSF	* 47.082	VARIOUS	2,462,330	705,220
(R&D) Evaluating The Flux From Sediments	EPA,Water	* 66.461	96814401	23,579	
(R&D) Quantitative Assess Mercury Influx GS	EPA,ORD	* 66.517	AE83458301	5,723	
(R&D) Junior Faculty Development at Univers	NRC	* 77.008	NRC3810934	123,127	
(R&D) Innovation Ecosystem Develop Initiativ	Dept. of Energy	* 81.036	DESC0005480	347,281	
(R&D) Office of Science Financial Assist	Dept. of Energy	* 81.049	VARIOUS	5,018,196	521,542
(R&D) Conservation Research and Devlp	Dept. of Energy	* 81.086	VARIOUS	1,872,167	
ARRA-(R&D) Renewable Energy R&D	Dept. of Energy	* 81.087	VARIOUS	821,609	184,248

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Renewable Energy Research & D	Dept. of Energy	* 81.087	VARIOUS	1,489,647	436,553
(R&D) Fossil Energy Research and Develop	Dept. of Energy	* 81.089	VARIOUS	2,230,511	92,112
(R&D) Nuclear Energy Resh, Develop & Dem	Dept. of Energy	* 81.121	VARIOUS	107,851	
(R&D) Accelerating Deployment of Co2 Captu	Dept. of Energy	* 81.124	DENA0000740	1,082,005	98,054
ARRA-(R&D) Site Char Geo Formations	Dept. of Energy	* 81.134	DE-FE0001812@2003030	1,039,938	657,751
(R&D) Advanced Research & Projects Agency	Dept. of Energy	* 81.135	VARIOUS	1,142,395	284,806
(R&D) Rocky Mountain Ctr Translation Resea	DHHS,CDC	* 93.065	5P01HK000069-02	128,228	23,110
(R&D) Food and Drug Administration - Resh	DHHS,FDA	* 93.103	5U18FD004034-02	398,681	85,480
(R&D) Environmental Health	DHHS,NIH	* 93.113	VARIOUS	1,117,927	
(R&D) Oral Diseases and Disorders Research	DHHS,NIH	* 93.121	5R01DE19050-05	(9,274)	
(R&D) Human Genome Research	DHHS,CDC	* 93.136	U01CE002188	206,696	11,071
(R&D) Human Genome Research	DHHS,NIH	* 93.172	VARIOUS	1,252,822	227,260
(R&D) Research Related to Deafness and Communication Disorders	DHHS,NIH	* 93.173	VARIOUS	3,911,236	295,516
(R&D) Pneumonia In Children	DHHS,CDC	* 93.185	VARIOUS	381,263	43,474
(R&D) Complementary & Alternative Medicine	DHHS,NIH	* 93.213	1R01AT006548-01	180,328	
(R&D) Healthcare Cost, Quality & Outcomes	DHHS,HRQ	* 93.226	VARIOUS	1,227,725	86,089
(R&D) Neuro-Immune Mechanisms	DHHS,NIH	* 93.233	5R01HL086508-04	161,606	
(R&D) Mental Health Research Grants	DHHS,NIH	* 93.242	VARIOUS	2,999,958	36,570
(R&D) Factors Associated With Improved Imp	DHHS,HRSA	* 93.266	5R18HS018166-03	354,238	74,991
(R&D) Drug Abuse Research Programs	DHHS,NIH	* 93.279	VARIOUS	2,653,232	148,682
(R&D) Investigations and Technical Asst.	DHHS,CDC	* 93.283	VARIOUS	1,365,958	45,603
(R&D) Technolog. Innovations to Improve Hlth	DHHS,NIH	* 93.286	VARIOUS	1,478,303	35,353
(R&D) Trans-NIH Research Support	DHHS,NIH	* 93.310	VARIOUS	3,294,806	
(R&D) Research Infrastructure Programs	DHHS,NIH	* 93.351	VARIOUS	244,136	
(R&D) Research Infrastructure	DHHS,NIH	* 93.389	VARIOUS	5,363,551	245,841
(R&D) Cancer Cause and Prevention Res.	DHHS,NIH	* 93.393	VARIOUS	10,749,287	736,115
(R&D) Cancer Detection and Diagnosis	DHHS,NIH	* 93.394	VARIOUS	2,020,938	
(R&D) Cancer Treatment Research	DHHS,NIH	* 93.395	VARIOUS	3,207,615	116,946
(R&D) Cancer Biology Research	DHHS,NIH	* 93.396	VARIOUS	1,725,832	146,700
(R&D) Cancer Centers Support Grants	DHHS,NIH	* 93.397	5P30CA042014-24	1,556,532	
(R&D) Inhibition Of Metastasis-Initiating Cells	DHHS,NIH	* 93.398	7K99CA153929-03	23,190	
(R&D) Telephone Linked Care: Enabled Sys	DHHS,NIH	* 93.399	5R01CA120558-06	980,623	104,717
ARRA-(R&D) Trans-NIH Recovery Act R S	DHHS,NIH	* 93.701	VARIOUS	1,508,762	187,678
ARRA-(R&D) Primary Care Practice Redesig	DHHS,AHRQ	* 93.715	1R18HS02010601	1,284,341	
(R&D) Heart and Vascular Diseases Res.	DHHS,NIH	* 93.837	VARIOUS	14,686,177	1,118,640
(R&D) Lung Diseases Research	DHHS,NIH	* 93.838	VARIOUS	818,276	
(R&D) Blood Diseases and Resources	DHHS,NIH	* 93.839	VARIOUS	916,723	582,301
(R&D) Arthritis, Musculoskeletal and Skin Diseases Research	DHHS,NIH	* 93.846	VARIOUS	1,391,936	98,250
(R&D) Diabetes, Endocrinology & Metabolism	DHHS,NIH	* 93.847	VARIOUS	10,206,055	1,398,390
(R&D) Kidney Diseases, Urology, Hematology	DHHS,NIH	* 93.849	VARIOUS	515,569	
(R&D) Neurosciences and Neurological Dis.	DHHS,NIH	* 93.853	VARIOUS	5,022,532	627,765
(R&D) Allergy, Immunology, and Transplant	DHHS,NIH	* 93.855	VARIOUS	4,608,529	146,126
(R&D) Biomedical Research & Research Trn	DHHS,NIH	* 93.859	VARIOUS	20,351,213	3,226,832
(R&D) Child Health & Human Development	DHHS,NIH	* 93.865	VARIOUS	8,938,023	1,813,387
(R&D) Aging Research	DHHS,NIH	* 93.866	VARIOUS	2,640,408	230,536
(R&D) Vision Research	DHHS,NIH	* 93.867	VARIOUS	5,635,825	165,673
(R&D) Medical Library Assistance	DHHS,NIH	* 93.879	VARIOUS	825,952	
(R&D) Effect Intention In Behavior & Creightor	DHHS,OPA	* 93.974	5FPRPA006035-03-00	3,291	
(R&D) International Research	DHHS,NIH	* 93.989	VARIOUS	931,173	186,367
(R&D) Research On Fluorescent Sensing	DHS	* 97.108	2009ST108LR0005	441,825	
(R&D) Center for Novel Biomarkers of Respor	Dept. of Energy	* N/A	52828	2,567	
(R&D) Department of Energy	Dept. of Energy	* N/A	VARIOUS	1,394,584	47,897
ARRA-(R&D) NIH National Heart Lung & Bloo	DHHS,NIH	* N/A	VARIOUS	47,681	25,912
ARRA-(R&D) NIH National Library of Medicin	DHHS,NIH	* N/A	VARIOUS	12,513	
(R&D) NIH Department of Health & Human S\	DHHS,NIH	* N/A	VARIOUS	16,253,234	6,569,167

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

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(R&D) CDC Department of Health & Human S	DHHS,CDC	* N/A	VARIOUS	794,409	71,699
(R&D) Department of Defense	DOD	* N/A	VARIOUS	1,642,213	149
(R&D) NPS Department of Interior	DOI,NPS	* N/A	VARIOUS	20,858	
(R&D) FWS Department of Interior	DOI,FWS	* N/A	VARIOUS	1,491	
(R&D) USGS Department of Interior	DOI,GS	* N/A	VARIOUS	(917)	
(R&D) Predict Drug Interactions w Methadone	DOJ	* N/A	2011DNBXK532	275,360	
(R&D) Department of Justice	DOJ	* N/A	VARIOUS	88,548	
(R&D) Department of Transportation	DOT	* N/A	VARIOUS	46,471	11,668
(R&D) Nasa-Epscor Of Utah Research Infrac	NASA	* N/A	NNX09A073A	207,462	154,929
(R&D) National Aeronautics and Space Admir	NASA	* N/A	VARIOUS	653,280	
(R&D) Department of Agriculture	USDA	* N/A	VARIOUS	51,991	
(R&D) Department of Veterans Affairs	VA	* N/A	VARIOUS	1,008,397	
Non-Research Grants					
UU & Northland Pioneer College Distance	USDA,RUS	10.855	706C16	19,480	
KUER	DOC,NTIA	11.550	4902N08209/4901N10057	81,703	
ARRA-Utah Anchors Community Broadband	DOC,NTIA	11.557	NT10BIX5570024	5,641,230	119,045
MSP Signaling In Metastasis Of Breast Canc	DOD,AMC	12.420	W81XWH1010366	34,034	
Earthquake Hazards Reduction Program	DOI,GS	15.807	VARIOUS	840,367	
U.S.G.S. – Research and Data Collection	DOI,GS	15.808	VARIOUS	131,812	
Earthquake Information System at Yellowston	DOI,GS	15.818	G10AC00124	158,050	
Save Utah's Treasures	DOI,NPS	15.904	ST0308002308	15,795	
Crash Outcome Data Evaluation System	DOT, NHTSA	20.614	DTNH2208H00304	312,418	
Low Income Taxpayer Clinic 2012	Treasury/IRS	21.008	LITC	49,238	
Aerospace Education Services Program	NASA	43.001	VARIOUS	138,112	5,248
Education	NASA	43.008	VARIOUS	65,285	
National Endowment For The Art	NFAH,NEA	45.024	VARIOUS	12,567	
The Navajo Basket Renaissance	NFAH,NEH	45.164	GE5026710	13,171	
Conservation Project Support Invertebrates	NFAH,IMLS	45.303	IC0510029310	(6,424)	
National Leadership Grants	NFAH,IMLS	45.312	VARIOUS	26,438	
CI Meeting Support	NSF	47.041	1265611	7,350	
Mathematical and Physical Sciences	NSF	47.049	VARIOUS	320,940	
Earthcube (Workshop)	NSF	47.050	1321479	54,356	12
Computer & Info Science and Engineering	NSF	47.070	VARIOUS	(626)	
Biological Sciences	NSF	47.074	VARIOUS	703,673	53,241
Sociophonetic Methodology 2011 Linguistics	NSF	47.075	1058778	16,983	
Education and Human Resources	NSF	47.076	VARIOUS	1,798,853	137,840
Nanobiosensors, Nanomaterials, & Microfluidi	NSF	47.079	DGE0903715	541,641	
Natl Student Design Competition–Sustainab	EPA,ORD	66.516	VARIOUS	26,759	
NRC Scholarship and Fellowship Program	NRC	77.008	VARIOUS	59,713	
Nuclear Engineering Prgm Scholarships & F	Dept. of Energy	81.121	DENE0000563	5,000	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124217	534,335	
Title VI National Resource Center And FLAS	ED,PE	84.015	P015A/P015B100198	731,322	286,507
Enhancing Latin Am Studies, Cultures, & Lanç	ED,PE	84.016	P016A120004	62,061	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	VARIOUS	1,338,823	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A124217	328,135	
(TRIO) Student Support Services	ED,PE	84.042	P042A100856	331,082	
(TRIO) Upward Bound	ED,PE	84.047	P047A07/P047A120645	385,703	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	32,850,263	
Spec. Ed–Personnel Children w Disabilities	ED,SERS	84.325	VARIOUS	917,745	
Child Care Access Means Parents in School	ED,PE	84.335	P335A100149	138,979	
Utah Aging And Disability Resource Center	DHHS,ACL	93.048	90DR0029	227,570	123,653
Utah Model AHEC Program	DHHS,HRSA	93.107	U77HP23076	401,272	341,192
Lend Neurodevelopmental Disabilities	DHHS,HRSA	93.110	5T73MC00054-11	815,693	514,335
Preventive Medicine Residencies	DHHS,HRSA	93.117	5D33HP19040-03	308,964	
Emergency Medical Services for Children	DHHS,HRSA	93.127	VARIOUS	2,789,332	
Ryan White Program Part D	DHHS,HRSA	93.153	VARIOUS	411,914	
Deafness and Communication Disorders	DHHS,NIH	93.173	VARIOUS	81,858	

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State of Utah
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Patient Navigator Outreach & Chronic Disease	DHHS,HRSA	93.191	4H4MHP20314-02	228,459	125,404
Telehealth Network Grant Program	DHHS,HRSA	93.211	4H2ARH20160-03	292,065	56,988
Bone Development & the Igf1 Axis In Preterm	DHHS,NIH	93.213	5F32AT005568-03	29,439	
Healthcare Costs, Quality and Outcomes	DHHS,HRQ	93.226	VARIOUS	518,310	
Evaluation & Implementation Second Tier Te	DHHS,TSDR	93.240	5U01EH000453-02	(3,485)	
Longitudinal Characterization of Connectivity	DHHS,NIH	93.242	5K08MH092697-03	166,895	
Region Eight Addiction Tech Transfer Center	DHHS,SAMHSA	93.243	1UR1T1024228-01	265,101	100,707
Advanced Educational Nursing	DHHS,HRSA	93.247	VARIOUS	355,581	
Geriatric Academic Career Awards	DHHS,HRSA	93.250	K01HP20498/K01HP20487	154,155	
Poison Control	DHHS,HRSA	93.253	VARIOUS	151,567	
ERC Training Grant	DHHS,CDC	93.262	VARIOUS	1,570,448	2,650
Comprehensive Geriatric Education Program	DHHS,HRSA	93.265	1D62HP24193-01	187,523	3,191
Drug Abuse and Addiction Research Program	DHHS,NIH	93.279	VARIOUS	1,097,754	
Resting-State Connectivity In Bipolar Disorder	DHHS,NIH	93.281	5K23MH087831-03	182,660	
Mental Health National Research Svc Awards	DHHS,NIH	93.282	VARIOUS	42,574	
Enhancing Capacity Utah Registry of Autism	DHHS,CDC	93.283	5UR3DD000685-04	371,415	
Discovery & Applied Resh Tech Innovations	DHHS,NIH	93.286	VARIOUS	80,637	
Coalition Healthier Community	DHHS,OS	93.290	VARIOUS	280,430	71,141
Native American Summer Internship M/C	DHHS,NIH	93.307	5R25MD006781-02	260,479	
The Platelet Metabolome In Obesity	DHHS,NIH	93.310	5K01GM103806-02	85,229	
(SFA) PCL FCC - Allopathic Medicine	DHHS,HRSA	* 93.342	1E4CHP24435-01	10,937	
Evaluation Text Messaging Intervention For T	DHHS,NIH	93.361	5F31NR012614-02	34,304	
(SFA) Nursing Student Loans	DHHS,HRSA	* 93.364	1E4DHP24452-01	3,011	
National Center for Research Resources	DHHS,NIH	93.389	VARIOUS	619,131	
Mutagenesis Decipher Osteosarcomagenesis	DHHS,NIH	93.393	5K08CA138764-05	205,893	
Cancer Research Manpower	DHHS,NIH	93.398	VARIOUS	472,723	
Advanced Nursing	DHHS,HRSA	93.513	1T57HP20586-01-00	451,440	
Expansion of Physician Assistant Training P	DHHS,HRSA	93.514	1T88HP20920-01-00	176,000	
ARRA-Trans-NIH Recovery Act R S	DHHS,NIH	93.701	VARIOUS	862,939	8,230
ARRA-Extramural Facilities Construction	DHHS,NIH	93.702	1C06RR022056-01	3,008,504	
Echo Supplement	DHHS,CDC	93.736	1U51PS003830-01	158,600	
Heart and Vascular Diseases Research	DHHS,NIH	93.837	VARIOUS	1,226,270	
Lung Disease	DHHS,NIH	93.838	VARIOUS	354,175	
17Th Annual Meeting Panamerican Society	DHHS,NIH	93.846	1R13AR063595-01	24,502	
National Research Service Awards	DHHS,NIH	93.847	VARIOUS	959,872	
Training Program-Nephrology	DHHS,NIH	93.849	5T32DK070557-4	34,916	
Neurosciences and Neurological Disorders	DHHS,NIH	93.853	VARIOUS	503,439	
Allergy, Immunology and Transplantation	DHHS,NIH	93.855	VARIOUS	755,367	3,660
Biomedical Research and Training	DHHS,NIH	93.859	VARIOUS	544,597	1,790
Child Health and Human Development	DHHS,NIH	93.865	VARIOUS	2,557,969	605,922
Aging Research	DHHS,NIH	93.866	VARIOUS	517,252	
Role of Nephrocystin-5 in Retinal Degeneratio	DHHS,NIH	93.867	1F31EY021972-01	32,776	
Medical Library Assistance	DHHS,NIH	93.879	VARIOUS	879,385	
Physician Assistant Training	DHHS,HRSA	93.884	5D57HP23253-02	92,760	
Congessionally-Mandated Health Information	DHHS,HRSA	93.888	1D1BRH20425-01-00	333,530	45,224
Family and Community Violence Prevention	DHHS,MH	93.910	VARIOUS	301,373	
Ryan White Part C: HIV Early Intervention Svc	DHHS,HRSA	93.918	6H76HA00470-16	993,855	
Ryan White HIV/AIDS Dental Reimbursement	DHHS,HRSA	93.924	IT22HA24928-01	9,301	
Utah Campus Compact VISTA Project	CNCS	94.013	10VSPUT006	8,976	
Distance Learning & Telemedicine Grant	USDA	N/A	UTAH706B16	246,375	
Department of Agriculture	USDA	N/A	VARIOUS	2,634	
Department of Energy	Dept. of Energy	N/A	VARIOUS	20,260	
2nd Year NLM Assoc Fellowship Program	Dept. of Energy	N/A	VARIOUS	3,239	
ARRA-Systolic Blood Pressure Intervention Si	DHHS,NIH	N/A	HHSN268200900046C	(83)	
CDC Department of Health and Human Svcs	DHHS,CDC	N/A	VARIOUS	53,507	
NIH Department of Health and Human Svcs	DHHS,NIH	N/A	VARIOUS	2,211,734	858,741
BLM Department of the Interior	DOI,BLM	N/A	VARIOUS	22,253	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
NPS Department of the Interior	DOI,NPS	N/A	VARIOUS	15,425	
USGS Department of the Interior	DOI,GS	N/A	VARIOUS	8,755	
Department of Education	ED	N/A	VARIOUS	196,728	
Department of Transportation	DOT	N/A	VARIOUS	1,504,277	98,702
Dept. of Housing and Urban Development	HUD	N/A	VARIOUS	297,952	
National Aeronautics and Space Admin.	NASA	N/A	VARIOUS	696,597	453,555
National Science Foundation	NSF	N/A	VARIOUS	245,201	
Department of Veterans Affairs	VA	N/A	VARIOUS	6,822,418	
Pass-Through From Non-State Entities: Research Grants					
(R&D) Corn Rootworm Beetle Genome — University Of Illinois At Chicago	USDA,NIFA	* 10.310	20100718801	7,297	
(R&D) Strontium Isotope Analysis — University Of Alaska Fairbanks	DOC,NOAA	* 11.417	UAF120077FP30061	28,294	
(R&D) Drivers' Awareness & Response— University Corp- Atmospheric Research	DOC,NOAA	* 11.467	Z1083388	9,577	
(R&D) Basic and Applied Scientific Resh — Northeastern University	DOD,DON,OCNF	* 12.300	504314P1104878	327,606	
University of CO At Boulder	DOD,DON,OCNF	* 12.300	1548378	223,938	
University Of Notre Dame	DOD,DON,OCNF	* 12.300	201839	616,839	
(R&D) Military Medical Research & Devel — Florida State University	DOD,AMedicalC	* 12.420	R01481	135,827	
The Geneva Foundation	DOD,AMedicalC	* 12.420	S123001	23,374	
Univ. of Alabama At Birmingham	DOD,AMedicalC	* 12.420	VARIOUS	25,736	
(R&D) Basic Scientific Reserach — Duke University	DOD,AMaterielC	* 12.431	11AR01066	84,991	
University Of California Davis	DOD,AMaterielC	* 12.431	0700159	78,662	
University Of Kentucky	DOD,AMaterielC	* 12.431	304810995913116	16,417	
(R&D) Prediction & Validation of Water — Purdue University	DOD,OSD	* 12.630	410451084	35,625	
(R&D) 3-D ENZYMATIc — Columbia University	DOD,DAF,MC	* 12.800	1ACCT522395POG03171	136,191	
(R&D) Research and Technology Develop — Case Western Reserve University	DOD,ARPA	* 12.910	RES503663	155,347	
George Mason University	DOD,ARPA	* 12.910	E2025234	4,799	
University Of Michigan	DOD,ARPA	* 12.910	F0235423001571504	44,003	
(R&D) Nellis Dunes Recreational Area — University Of Nevada Las Vegas	DOI,BLM	* 15.235	11716P00	11,809	
(R&D) Retrofit Impacts Of Oxy-Coal — University Of Wyoming	DOI,OSM	* 15.250	1001030	59,878	
(R&D) AMLR Program — University Of Wyoming	DOI,OSM	* 15.252	VARIOUS	52,542	
(R&D) UCSB Fews — University of California Santa	DOI,GS	* 15.805	KK1326	17,652	
(R&D) University Transportation Centers Pgm North Dakota State University	DOT,RITA	* 20.701	FAR0019953MPC	224,764	
Portland State University	DOT,RITA	* 20.701	VARIOUS	170,413	
(R&D) Science — University Of California Davis	NASA	* 43.001	201014168UTAH01	55,505	
University Ofcalifornia Santa Barbara	NASA	* 43.001	KK1313	2,159	
Yale University	NASA	* 43.001	C12N11372N00192	(4,430)	
(R&D) Engineering Grants — University Of Maryland	NSF	* 47.041	Z376501	13,991	
University Of Maryland Baltimore	NSF	* 47.041	00003316POSR00002571	7,497	
University Of Southern California	NSF	* 47.041	H44550	36,138	
Veristride Inc	NSF	* 47.041	10031216	18,919	

* Major Programs, see Note 2.A.

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State of Utah
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(R&D) Mathematical & Physical Sciences —					
Colorado School Of Mines	NSF	* 47.049	400361P0120847	95,857	
Emory University	NSF	* 47.049	S880679	61,104	
University Of Chicago	NSF	* 47.049	417528	520	
University Of Colorado At Boulder	NSF	* 47.049	0000067989	31,930	
Wayne State University	NSF	* 47.049	WSU07080	32,485	
(R&D) Geosciences —					
Colorado State University	NSF	* 47.050	G36242	131,912	
Oregon Health and Science University	NSF	* 47.050	GSTCN001A7UTAH	(1,046)	
(R&D) Computer, Info Science & Engineering					
New Mexico Consortium	NSF	* 47.070	NMC006	94,036	
Northeastern University	NSF	* 47.070	501847P1002650	605	
(R&D) Biological Sciences —					
Stanford University	NSF	* 47.074	60131861105769	59,110	
University Of Arizona	NSF	* 47.074	Y551697	273,561	
University Of Kansas	NSF	* 47.074	DEB0743491FY2009008	21,935	
University Of Southern California	NSF	* 47.074	137255DBI0849977	49,171	
(R&D) Social, Behavioral, & Econ. Sciences -					
Vanderbilt University	NSF	* 47.075	21204S1	(734)	
(R&D) Magnetotelluric —					
US Civilian Research & Develop	NSF	* 47.079	RUG17026M011	1,720	
(R&D) Prac Hierarchical Molecular Dynamics					
U of Il At Urbana-Champaign	NSF	* 47.080	20070107735A4179	29,531	
(R&D) Lattice QCD on Blue Waters —					
University Of Il At Urbana-Champaign	NSF	* 47.082	20070107713	73,808	
ARRA-(R&D) Trans-NSF Recovery Act R S —					
BBN Technologies Corporation	NSF	* 47.082	VARIOUS	83,429	
Ohio State Univer Research Frndtn	NSF	* 47.082	60023884	71,596	
Purdue University	NSF	* 47.082	410152645	23,520	
University Of Michigan	NSF	* 47.082	3001341070	8,193	
University Of Texas At Austin	NSF	* 47.082	VARIOUS	496,601	
Univer of California Santa Barbara	NSF	* 47.082	KK1011	67,520	
(R&D) SCERP —					
University Of Texas At El Paso	EPA,OCFO	* 66.202	2630082264	99,810	
(R&D) Framework Context-Sensitive Spatially					
N Carolina State University	EPA,ORD	* 66.509	2009231301	11,876	
(R&D) Werf Bmp: To5B —					
Colorado State University	EPA,ORD	* 66.511	G59083	4,505	
ARRA-(R&D) Performance Engineering R —					
University of South Carolina	Dept. of Energy	* 81.049	146097	12,604	
(R&D) Office of Science Fin Assistance —					
Polytechnic Inst Of New York Univ	Dept. of Energy	* 81.049	4006671DESC0006872	24,761	
Reaction Engineering Intl	Dept. of Energy	* 81.049	82181	219,641	
University Of Colorado At Boulder	Dept. of Energy	* 81.049	1547650	106,727	
University Of South Carolina	Dept. of Energy	* 81.049	101725	238,595	
ARRA-(R&D) Renewable Energy R&D —					
Altarock Energy	Dept. of Energy	* 81.087	VARIOUS	326,691	39,825
Boise State University	Dept. of Energy	* 81.087	014G106215B	122,695	
Ruby Mountain Inc	Dept. of Energy	* 81.087	10015028	32,563	
(R&D) Create, Review & Teach Course —					
Stanford University	Dept. of Energy	* 81.087	DEEE0004946	10,765	
University Of Nevada Reno	Dept. of Energy	* 81.087	UNR1114	47,868	
(R&D) Fossil Energy Research & Develop. —					
New Mexico Institute Of Mining	Dept. of Energy	* 81.089	DSWT20	284,553	15,272
Stanford University	Dept. of Energy	* 81.089	2428829046112A	16,898	
University of Kentucky	Dept. of Energy	* 81.089	46900105504	23,754	
Virginia Polytechnic Institute	Dept. of Energy	* 81.089	41638719122	(1,132)	

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Initiative For Nuclear — University of Nevada Reno	Dept. of Energy	* 81.104	UNR1188	368,179	
(R&D) Nuclear Materials — University of Nevada Reno	Dept. of Energy	* 81.121	UNR1164	(51)	
ARRA-(R&D) Reg Seq Tech Training — New Mexico Institute Of Mining & Tech	Dept. of Energy	* 81.133	DRSE10	49,017	
(R&D) Ntl Gas To Chem Reactive Sep — Ceramatec Inc	Dept. of Energy	* 81.135	10029446	17,586	
(R&D) WY Assessment of Rehab Needs 2012 State Of Wyoming	ED,SERS	* 84.126	VARIOUS	14,654	
(R&D) Impact of Mc1R Functional Variants — University Of Cincinnati	DHHS,NIH	* 93.113	C0EUS6413	50,877	
(R&D) EMS for Children — University Of Michigan	DHHS,HRSA	* 93.127	3001656022	11,558	
(R&D) Injury Prevention & Control Research — University of Pennsylvania	DHHS,CDC	* 93.136	551270	(965)	
(R&D) Human Genome Research — Case Western Reserve University	DHHS,NIH	* 93.172	RES506542	34,220	
Johns Hopkins University	DHHS,NIH	* 93.172	2001504179	39,343	
University Of Southern California	DHHS,NIH	* 93.172	139311 / 34270576	168,719	
(R&D) Deafness & Communication Dis — Brigham Young University	DHHS,NIH	* 93.173	090280	151,522	
Duke University	DHHS,NIH	* 93.173	2039395	6,105	
Univ Texas Southwestern Medical Ctr	DHHS,NIH	* 93.173	GM0100305	40,891	
University Of Maryland	DHHS,NIH	* 93.173	SR00001588DC10915	62,284	
University Mississippi Medical Center	DHHS,NIH	* 93.173	66100530413	49,848	
University Of Washington	DHHS,NIH	* 93.173	738206	7,910	
University Of Wisconsin-Madison	DHHS,NIH	* 93.173	VARIOUS	61,870	
(R&D) R & T Complementary & Alternative — Massachusetts General Hospital	DHHS,NIH	* 93.213	VARIOUS	13,629	
University Of Pennsylvania	DHHS,NIH	* 93.213	560251	13,526	
(R&D) Healthcare Costs, Quality & Outcomes Childrens Hospital Boston	DHHS,AHRQ	* 93.226	80563	9,798	
Childrens Hospital Philadelph	DHHS,AHRQ	* 93.226	VARIOUS	671,410	
Univer of California Davis	DHHS,AHRQ	* 93.226	20101339502	56,040	
(R&D) Natl Ctr on Sleep Disorders Research Northwestern University	DHHS,NIH	* 93.233	60029273UT	77,258	8,253
U of California San Francisco	DHHS,NIH	* 93.233	5291SC	92,992	
(R&D) Precarious Plans — Stanford University	DHHS,OS	* 93.239	2945143104354C	2,904	
(R&D) Mental Health Research Grants — Boston University	DHHS,NIH	* 93.242	5P50MH07170204GC208262NGE	(135)	
Psyctech Ltd	DHHS,NIH	* 93.242	201202	10,483	
Univ North Carolina At Chapel Hill	DHHS,NIH	* 93.242	551136/530748	44,633	
Univ Of California San Francisco	DHHS,NIH	* 93.242	6852SC	16,270	
(R&D) PH Training Centers Grant — University of California Los Angles	DHHS,HRSA	* 93.249	1920GNA287	54,112	
(R&D) Occupational Safety and Health — Colorado State University	DHHS,CDC	* 93.262	G45902	37,611	
University Of Alaska Fairbanks	DHHS,CDC	* 93.262	UAF100038P0FP00692	819	
Univ of California San Francisco	DHHS,CDC	* 93.262	6276sc44487823991	24,501	
(R&D) Teen Alcohol Screening In Pediatric — Rhode Island Hospital	DHHS,NIH	* 93.273	70154751UT	49,131	
(R&D) Drug Abuse and Addiction — Barrow Neurological Institute	DHHS,NIH	* 93.279	1031216	8,518	
Univ of North Carolina At Chapel Hill	DHHS,NIH	* 93.279	551257	41,918	

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Tech Innovations to Improve Human —					
Brigham & Womens Hospital	DHHS,NIH	* 93.286	VARIOUS	587,622	
Cleveland Clinic Foundation	DHHS,NIH	* 93.286	4639216	49,621	
University Of Pennsylvania	DHHS,NIH	* 93.286	10031143557849	169,104	
(R&D) Enhancer Therapy —					
University Of California San Diego	DHHS,NIH	* 93.310	S9000094	85,846	
(R&D) Bone Loss Prevention —					
Nanomedic Inc	DHHS,NIH	* 93.350	10023596	2,318	
(R&D) Nursing Research —					
Qcmetrix Inc	DHHS,NIH	* 93.361	VARIOUS	9,492	
(R&D) National Ctr for Research Resources —					
Northern CA Inst Resh & Educ	DHHS,NIH	* 93.389	1441005	52,512	
Cornell University	DHHS,NIH	* 93.389	0804048611111925	19,011	
University Of Washington	DHHS,NIH	* 93.389	728865	7,678	
(R&D) Cancer Cause & Prevention Res —					
Cancer Prevention Inst Of California	DHHS,NIH	* 93.393	1UM1CA16492001A1UT	105,294	
Columbia University	DHHS,NIH	* 93.393	5ACCT530177POG02453	95,962	
Fox Chase Cancer Center	DHHS,NIH	* 93.393	VARIOUS	228,722	
Fred Hutchinson Cancer Research Ctr	DHHS,NIH	* 93.393	VARIOUS	42,822	
Harvard School Of Public Health	DHHS,NIH	* 93.393	23570114080	33,416	
Kaiser Permanente	DHHS,NIH	* 93.393	115-9383-01M2	64,782	
Mayo Clinic Rochester	DHHS,NIH	* 93.393	2U01CA118444-06	38,709	
University Of Illinois At Chicago	DHHS,NIH	* 93.393	2009026710200	305,472	
(R&D) Breast Cancer Classification —					
Brigham & Womens Hospital	DHHS,NIH	* 93.394	PS105582	(749)	
University Of Massachusetts	DHHS,NIH	* 93.394	6121965RFS900263	4,855	
University Of Washington	DHHS,NIH	* 93.394	742697	14,120	
Vestan Inc	DHHS,NIH	* 93.394	10022455	147,342	
(R&D) Cancer Treatment Research —					
Childrens Oncology Group	DHHS,NIH	* 93.395	VARIOUS	320,673	
MD Anderson Cancer Center	DHHS,NIH	* 93.395	2986798015413	281,136	
Mount Sinai School Of Medicine	DHHS,NIH	* 93.395	025473754605/4609	338,816	
National Childhood Cancer Foun	DHHS,NIH	* 93.395	021095	(832)	
(R&D) Cancer Biology Research —					
University Of Nebraska Medical Ctr	DHHS,NIH	* 93.396	2462300153006	3,815	
University Of Southern California	DHHS,NIH	* 93.396	H50997	42,561	
(R&D) Cancer Centers Support Grants —					
Mayo Clinic Rochester	DHHS,NIH	* 93.397	2P50CA11620106A1	47,282	
Sarcoma Alliance - Rsch Through Coll	DHHS,NIH	* 93.397	DRP1	5,884	
University Of Texas At Houston	DHHS,NIH	* 93.397	3325698115636	62,191	
(R&D) Prostate Cancer Susceptibility —					
Johns Hopkins Hospital	DHHS,NIH	* 93.399	2000368388	69,915	
(R&D) Pecarn Traumatic Brain Injury Predict -					
Columbia University	DHHS,HRSA	* 93.418	9GG005663	147,027	
(R&D) Search and Identification —					
Florida State University	DHHS,ACF	* 93.564	R01480	54,801	
(R&D) Trans-NIH Recovery Act Research —					
Nat'l Childhood Cancer Foundation	DHHS,NIH	* 93.701	5927-1011	23,834	
University Of Pittsburgh	DHHS,NIH	* 93.701	0008749	47,961	
ARRA-(R&D) Trans-NIH Recovery Act R S —					
Cincinnati Childrens Hospl Med Ctr	DHHS,NIH	* 93.701	105792	18,483	
Cornell University	DHHS,NIH	* 93.701	110405443	8,725	
Duke Clinical Research Institute	DHHS,NIH	* 93.701	165191 SITE 558	1,165	
Fred Hutchinson Cancer Research	DHHS,NIH	* 93.701	0000691045	9,899	
Johns Hopkins University	DHHS,NIH	* 93.701	2001152586	106,499	
National Childhood Cancer Foundat	DHHS,NIH	* 93.701	5927-1011	(1,675)	
Northern California Inst Resh & Ed	DHHS,NIH	* 93.701	1459	(3,324)	

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Stanford University	DHHS,NIH	* 93.701	24522260-21272-J	(2,737)	
University Of Pittsburgh	DHHS,NIH	* 93.701	0008749	(2,629)	
ARRA-(R&D) Comparative Effectiveness Resl					
University Of Arizona	DHHS,AHRQ	* 93.715	Y561924	14,737	
U of California San Francisco	DHHS,AHRQ	* 93.715	6974SC	80,045	
Univ of Colorado At Denver	DHHS,AHRQ	* 93.715	FY11560007	60,998	
Vanderbilt University	DHHS,AHRQ	* 93.715	VUMC38277	101,259	
(R&D) Ultrasound Versus Cat Scan —					
University Of California San Francisco	DHHS,AHRQ	* 93.715	6974SC	131,423	
ARRA-(R&D) Resident Handoff Practices —					
Childrens Hospital Boston	DHHS,OS	* 93.726	77543	25,923	
(R&D) Resident Handoff Practices —					
Childrens Hospital Boston	DHHS,OS	* 93.726	77543	120,809	
ARRA-(R&D) Beacon Community Grant —					
Healthinsight	DHHS,OS	* 93.727	FO-240.01/05	373,320	
ARRA-(R&D) SHARP —					
University of California San Diego	DHHS,OS	* 93.728	30766948SUB	(9,771)	
(R&D) Cardiovascular Diseases Research —					
Angel Medical Systems	DHHS,NIH	* 93.837	VARIOUS	(1,338)	
Brigham & Womens Hospital	DHHS,NIH	* 93.837	103036/102762	21,969	
Cedars Sinai Medical Center	DHHS,NIH	* 93.837	P00000813774	171,113	
Childrens Hospital Philadelphia	DHHS,NIH	* 93.837	3209530102	25,479	
Duke Clinical Research Institute	DHHS,NIH	* 93.837	177494/135872	234,010	15,870
Georgia Health Sciences Univ	DHHS,NIH	* 93.837	240393	458,404	
Massachusetts General Hospital	DHHS,NIH	* 93.837	222499	6,526	
Medical College Of Wisconsin	DHHS,NIH	* 93.837	1U01HL10743701	146,917	
New England Research Inc	DHHS,NIH	* 93.837	VARIOUS	21,680	
New England Research Institutes	DHHS,NIH	* 93.837	VARIOUS	545,458	
University Of California Davis	DHHS,NIH	* 93.837	SUB07001197	(83)	
Univ of California Los Angeles	DHHS,NIH	* 93.837	1553GQD0960	10,297	
University Of Iowa	DHHS,NIH	* 93.837	W000358462/250499	27,424	
University Of Miami	DHHS,NIH	* 93.837	6692ST/660204	4,103	
University Of North Texas	DHHS,NIH	* 93.837	GF26512	86,189	
(R&D) Lung Diseases Research —					
University Of California Los Angeles	DHHS,NIH	* 93.838	1460GNA245	24,762	
University Of California San Diego	DHHS,NIH	* 93.838	10294118	411,662	
University Of Colorado At Denver	DHHS,NIH	* 93.838	FY11102005	16,126	7,085
University Of Illinois At Chicago	DHHS,NIH	* 93.838	201202813	26,579	
(R&D) Blood Diseases and Resources —					
Medical College Of Wisconsin	DHHS,NIH	* 93.839	1R01HL10342701A1	28,329	
National Childhood Cancer Foundation	DHHS,NIH	* 93.839	VARIOUS	16,607	
National Marrow Donor Program	DHHS,NIH	* 93.839	0801	12,097	
University Of Vermont	DHHS,NIH	* 93.839	20560	4,794	
Virginia Commonwealth University	DHHS,NIH	* 93.839	PT105889SC102422	1,252,992	
Washington University In St Louis	DHHS,NIH	* 93.839	WU12126WU13261	28,213	
(R&D) Arthritis, Musculoskeletal & Skin Dis—					
Duke University	DHHS,NIH	* 93.846	WBSE2039510	9,989	
Emory University	DHHS,NIH	* 93.846	S741370	71,512	
Hospital For Special Surgery	DHHS,NIH	* 93.846	VARIOUS	39,612	
Mound Laser & Photonics Ctr	DHHS,NIH	* 93.846	10028600	24,148	
Univ of Alabama At Birmingham	DHHS,NIH	* 93.846	000422109001SP004	36,673	
(R&D) Diabetes, Digestive, & Kidney Dis —					
Beth Israel Deaconess Med Ctr	DHHS,NIH	* 93.847	01023452DK084202	22,927	
Lajolla Inst Allergy & Immunolgy	DHHS,NIH	* 93.847	2257139334	78,428	
Mount Sinai School Of Medicine	DHHS,NIH	* 93.847	025538334609/025585614609	206,968	
New England Research Institutes	DHHS,NIH	* 93.847	VARIOUS	40,640	
Stanford University	DHHS,NIH	* 93.847	6012001551126A	21,657	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Tufts University Total	DHHS,NIH	* 93.847	5007389SERV	10,024	
Univ of N Carolina Chapel Hill	DHHS,NIH	* 93.847	531725	27,398	
Univ of California Los Angeles	DHHS,NIH	* 93.847	1556GQB730	6,383	
University Of Colorado At Denver	DHHS,NIH	* 93.847	FY10269001	60,931	
University Of Minnesota	DHHS,NIH	* 93.847	N002355404	6,334	
University Of Virginia	DHHS,NIH	* 93.847	GC12032137564	38,267	
University Of Washington	DHHS,NIH	* 93.847	746901	6,668	
University Of Wisconsin-Madison	DHHS,NIH	* 93.847	368K056	13,136	
Vanderbilt University	DHHS,NIH	* 93.847	VUMC35049	128,777	
Wake Forest University	DHHS,NIH	* 93.847	WFUHS11671/10461	119,766	
Washington Univ In St Louis	DHHS,NIH	* 93.847	VARIOUS	24,550	
(R&D) Frequent Dialysis Study — Cleveland Clinic Foundation	DHHS,PHS	* 93.849	155SUB	151,948	
(R&D) Extramural Nuerosciences Disorders—					
Blackrock Microsystems	DHHS,NIH	* 93.853	10031685	52,040	
Johns Hopkins University	DHHS,NIH	* 93.853	2000795133/2001353761	334,672	
Massachusetts General Hospital	DHHS,NIH	* 93.853	208248/217672	35,256	
Mount Sinai School Of Medicine	DHHS,NIH	* 93.853	COMBIRX69244P0SM9154389	22,013	
Rehabilitation Institute-Chicago	DHHS,NIH	* 93.853	1R01NS05236901A2	33,247	
Univ N Carolina At Chapel Hill	DHHS,NIH	* 93.853	550577	(47,624)	
Univ of California San Diego	DHHS,NIH	* 93.853	P010323246	183,669	
Univ of California San Francisco	DHHS,NIH	* 93.853	VARIOUS	175,636	
University Of Minnesota	DHHS,NIH	* 93.853	N001257101	809	
University Of Virginia	DHHS,NIH	* 93.853	GC12107138989	98,136	
University Of Washington	DHHS,NIH	* 93.853	718823	21,764	
Yale University School of Med	DHHS,NIH	* 93.853	A07868M10A10462	16,929	70
(R&D) Allergy, Immunology & Transplant —					
Albert Einstein Medical Center	DHHS,NIH	* 93.855	U19AI076980/952635030	417,257	
Brigham & Womens Hospital	DHHS,NIH	* 93.855	VARIOUS	68,566	
Colorado State University	DHHS,NIH	* 93.855	G78342	152,540	
Duke University	DHHS,NIH	* 93.855	VARIOUS	107,457	
Keck Graduate Institute	DHHS,NIH	* 93.855	5R01AI07624702	10,489	
Univ Of N Carolina At Chapel Hill	DHHS,NIH	* 93.855	531540	312,909	
(R&D) Microbiology and Infectious Diseases —					
Colorado State University	DHHS,NIH	* 93.856	VARIOUS	361,911	
Imquest Biosciences Inc	DHHS,NIH	* 93.856	5U19AI07728905	137,371	
(R&D) Biomedical Research —					
Children'S National Medical Center	DHHS,NIH	* 93.859	300002021202	1,713	
Louisiana St Univ Health Science Center	DHHS,NIH	* 93.859	1205002	9,474	
Oregon Health & Science University	DHHS,NIH	* 93.859	1002249UTA	30,435	
University Of California Davis Total	DHHS,NIH	* 93.859	06002869UT	12,089	
University Of California San Diego	DHHS,NIH	* 93.859	10316875	(7,753)	
University Of Il At Urbana-Champaign	DHHS,NIH	* 93.859	20100036506	282,494	
University Of Pittsburgh Total	DHHS,NIH	* 93.859	9000613PROJECT1164831	3,722	
University Of Texas At Houston	DHHS,NIH	* 93.859	0009106A	46,465	
Virginia Polytechnic Institute & St Univ	DHHS,NIH	* 93.859	43169819122	54,981	
Washington University In St Louis	DHHS,NIH	* 93.859	WU1272	149,633	
(R&D) Child Health & Human Development —					
Children's Hospital - Los Angeles	DHHS,NIH	* 93.865	4988020RGP00636800	24,715	
Children's National Medical Ctr	DHHS,NIH	* 93.865	300014981301	11,989	
Children's Research Institute	DHHS,NIH	* 93.865	03781103	47,430	
Columbia University	DHHS,NIH	* 93.865	8GG0062828ACCT530279	12,728	
Rti International	DHHS,NIH	* 93.865	5U10HD053124PRIME	26,364	16,110
Univ of N Carolina At Chapel Hill	DHHS,NIH	* 93.865	533192/550298	179,684	
University Of California Davis	DHHS,NIH	* 93.865	090014809	74,236	30
Univ of California San Francisco	DHHS,NIH	* 93.865	6785SC44493133446	140,166	
University Of New Mexico	DHHS,NIH	* 93.865	3R95Y02	30,445	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University Of Rochester	DHHS,NIH	* 93.865	415265G	(54,601)	
Wayne State University	DHHS,NIH	* 93.865	WSU11048	23,093	
Stanford University	DHHS,NIH	* 93.865	60119547105015C	23,885	
(R&D) Aging Research —					
Duke Clinical Research Institute	DHHS,NIH	* 93.866	2039362/159178180021	202,555	
Northern California Inst Resh & Educ	DHHS,NIH	* 93.866	1574	50,648	
Pams Inc	DHHS,NIH	* 93.866	VARIOUS	15,075	
University Of Louisville	DHHS,NIH	* 93.866	I0IC051523Z19A	4,444	
University Of Nebraska Medical Center	DHHS,NIH	* 93.866	3653602141001	1,550	
University Of Wisconsin-Madison	DHHS,NIH	* 93.866	X279403	8,699	
ARRA-(R&D) Vision Research —					
St. Luke's-Roosevelt Hospital Center	DHHS,NIH	* 93.867	NORDIC IIHTT	36,056	
(R&D) Vision Research —					
Brigham & Womens Hospital	DHHS,NIH	* 93.867	103324	14,156	
Childrens Hospital Philadelphia	DHHS,NIH	* 93.867	32088614/3209850813	63,642	
Columbia University	DHHS,NIH	* 93.867	2GG005625	56,685	
Jaeb Center For Health Research	DHHS,NIH	* 93.867	1288910	75	
Johns Hopkins University	DHHS,NIH	* 93.867	2000011274M0D3	598	
Nyu Langone Medical Center	DHHS,NIH	* 93.867	1201177100944	(558)	
Univ Of North Carolina At Chapel Hill Total	DHHS,NIH	* 93.867	531154	26,682	
University Of Minnesota	DHHS,NIH	* 93.867	S4856207101	123,517	52,926
(R&D) Evaluation of HIV Intervention —					
University of California San Fr	DHHS,CDC	* 93.941	6888SC	7,069	
(R&D) Symbiotic Associ of Bacteria/Tropical —					
Oregon Health & Science Univ	DHHS,NIH	* 93.989	GEBSN0062UTAH	206,818	
(R&D) Department of Education —					
University Of Utah Research Foundation	ED	* N/A	VARIOUS	(8,951)	
Wested	ED	* N/A	S12174	24,199	
(R&D) Central Intelligence Agency —					
North Carolina State University	CIA	* N/A	2010231601	45,892	
ARRA-(R&D) Kiln Biomass Firing —					
Houghton Cascade Holdings LLC	Dept. of Energy	* N/A	10028621	81,268	
(R&D) Department of Energy —					
University Of Nevada Reno	Dept. of Energy	* N/A	UNR1143	257,147	
Battelle Energy Alliance, Llc	Dept. of Energy	* N/A	00130837/00100874	159,537	430
Battelle Memorial Institute	Dept. of Energy	* N/A	82888	9,884	
American Iron And Steel Institute	Dept. of Energy	* N/A	10029234 AISI	403,243	
Battelle Memorial Institute	Dept. of Energy	* N/A	198996	40,373	
Headwaters Inc	Dept. of Energy	* N/A	T01AMENDMENT2	116,398	30,869
Newpath Research Llc	Dept. of Energy	* N/A	VARIOUS	24,715	
Ormat Technologies Inc	Dept. of Energy	* N/A	10020514	1,092	
Praxair Inc	Dept. of Energy	* N/A	10001708	(3,119)	
Reaction Engineering Intl	Dept. of Energy	* N/A	82281	175,093	
Rti International	Dept. of Energy	* N/A	23400211955	16,939	
Savannah River Nuclear Solutions, Llc	Dept. of Energy	* N/A	AC723290	176	
Southern Methodist University	Dept. of Energy	* N/A	DEAC5209NA29325	17,661	
Spectral Sciences	Dept. of Energy	* N/A	9493	90,103	
University Of Wisconsin-Madison	Dept. of Energy	* N/A	354K760	98,309	
(R&D) Department of State —					
Conrad	Dept. of State	* N/A	VARIOUS	512,634	
ARRA-(R&D) Insight Toolkit For Research —					
GE Global Research	DHHS	* N/A	P040006524310017489	395	
(R&D) NIH Health and Human Services —					
Seattle Children's Research Inst	DHHS,NIH	* N/A	41339154S132P010379SUB	11,857	
University Of Pittsburgh	DHHS,NIH	* N/A	00323911225391	29,211	
Virginia Polytechnic Inst & St Univ	DHHS,NIH	* N/A	43175219122	1,327	
Childrens Hospital Philadelphia	DHHS,NIH	* N/A	VARIOUS	22,273	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Mayo Clinic Rochester	DHHS,NIH	* N/A	5U10CA14995002	5,748	
Arteriocyte Inc	DHHS,NIH	* N/A	10029687	49,446	
Nanoshell Llc	DHHS,NIH	* N/A	10030645	43,866	
Icf Incorporated	DHHS,NIH	* N/A	13EDP00007	31,781	
University Of Alabama At Birmingham	DHHS,NIH	* N/A	VARIOUS	(21,327)	
Seattle Children'S Hospital	DHHS,NIH	* N/A	10501SUB	3,417	
(R&D) Health and Human Services —					
Agri Analysis Inc	DHHS	* N/A	VARIOUS	53,678	
Biofire Diagnostics Inc	DHHS	* N/A	VARIOUS	423,058	6,893
Blackrock Microsystems	DHHS	* N/A	10029775	71,992	
Children'S National Medical Center	DHHS	* N/A	40821103	3,519	
Christopher & Dana Reeve Foundation	DHHS	* N/A	U10CCU2203679	3,824	
Cincinnati Childrens Hospital Med Ctr	DHHS	* N/A	VARIOUS	16,762	
Cleveland Clinic Foundation	DHHS	* N/A	4435229	2,815	
Echelon Biosciences Inc	DHHS	* N/A	R43HD066993	11,681	
Electronic Bioscience Inc	DHHS	* N/A	VARIOUS	13,110	
Emmes Corp	DHHS	* N/A	HHSN260200500007C	3,276	
Epitel	DHHS	* N/A	10026255	28,646	
Evas Therapeutics	DHHS	* N/A	VARIOUS	227,326	
Fox Chase Cancer Center	DHHS	* N/A	FCCC1802001P0201200107	9,050	
George Washington University	DHHS	* N/A	VARIOUS	2,102,029	1,381,032
Gvd Corp Total	DHHS	* N/A	10020388	86,084	
Jaeb Center For Health Research	DHHS	* N/A	VARIOUS	4,826	
Johns Hopkins University Total	DHHS	* N/A	VARIOUS	24,051	
Kineta Inc	DHHS	* N/A	PHASEII10016246	53,140	
Kitware Total	DHHS	* N/A	K000432S01	23,788	
Louisiana St Univ Health Science Center	DHHS	* N/A	0990010A3MH085387	4,230	
Massachusetts General Hospital	DHHS	* N/A	VARIOUS	3,469	
National Childhood Cancer Foundation	DHHS	* N/A	VARIOUS	(87,974)	
Navigen	DHHS	* N/A	VARIOUS	151,113	
New England Research Institutes	DHHS	* N/A	VARIOUS	74,817	
Novo Contour	DHHS	* N/A	10020321	46,700	
Omicia Inc	DHHS	* N/A	1002715/10015231	156,596	
Radiological Society Of North Amer Inc	DHHS	* N/A	HHSN268200800020C	38,064	
Reaction Engineering Intl	DHHS	* N/A	81961MATCH	200,462	
Rti International	DHHS	* N/A	VARIOUS	1,231,646	616,740
Salk Institute For Biological Studies	DHHS	* N/A	0052363P0052377HD033113	263,054	
Thera Target Inc	DHHS	* N/A	10025202/10018419	73,295	
University Of California Irvine	DHHS	* N/A	20061709	3,471	
University Of Pennsylvania	DHHS	* N/A	VARIOUS	16,459	
University Of South Florida	DHHS	* N/A	6119114400E	(4,737)	
University Of Tennessee	DHHS	* N/A	R073297068	4,250	
University Of Toledo	DHHS	* N/A	N201143	(7,869)	
Wasatch Microfluidics Inc	DHHS	* N/A	10026151	138,470	94,000
Washington University In St Louis	DHHS	* N/A	WU11208	158,530	
Westat	DHHS	* N/A	8906S08	20,029	
(R&D) Department of Homeland Security —					
Electronic Bioscience Inc	DHS	* N/A	018HS1CP02257	1,196	
Northeastern University	DHS	* N/A	504996	49,069	
(R&D) National Mesonet Expansion —					
Avani Inc	DOC	* N/A	SA12AVC01	316,951	
Global Science &Tech Innovation Lab	DOC	* N/A	SA11U0U01	532,668	
(R&D) Navy Department of Defense —					
Espira	DOD,NAVY	* N/A	VARIOUS	2,537	
(R&D) DARPA Department of Defense —					
Kitware	DOD,DARPA	* N/A	K00088600S05	62,539	
Nvidia Corporation	DOD,DARPA	* N/A	10028643	37,553	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Department of Defense —					
Center For Plant Conservation	DOD	* N/A	VARIOUS	150	
Electronic Bioscience Inc	DOD	* N/A	VARIOUS	(3,638)	
Fisk University	DOD	* N/A	FA95500910661PHASE2	43,949	
Giner Inc	DOD	* N/A	VARIOUS	39,999	
Ibm Center For The Business Of Govt	DOD	* N/A	4910001755	73,146	
Johns Hopkins University	DOD	* N/A	973604	375,529	42,774
Laredo Technical Services	DOD	* N/A	VARIOUS	36,888	
Lockheed Martin	DOD	* N/A	957667	16,438	
Lumarray Inc	DOD	* N/A	C0082102	41,318	
Materials And Systems Research Inc	DOD	* N/A	20101	77,006	
North Carolina State University	DOD	* N/A	210113B	53,864	
Nvidia Corporation	DOD	* N/A	54128854	118,265	
Raytheon Company	DOD	* N/A	950001046513919	243,197	
Reaction Engineering Intl	DOD	* N/A	82222	1,925	
University Of Michigan	DOD	* N/A	3002312549	70,965	
Wasatch Molecular Inc	DOD	* N/A	F2472510014622	12,728	
(R&D) Department of the Interior —					
Center For Plant Conservation	DOI	* N/A	VARIOUS	41,477	
Hughes Research Laboratories	DOI	* N/A	VARIOUS	337,094	
(R&D) Department of Transportation —					
North Carolina State University	DOT	* N/A	2009038804	2,077	
CFA Consultants	DOT	* N/A	P12001	74,896	
ICF Incorporated	DOT	* N/A	IIVV000157	143,729	
Kittelson & Associates Inc	DOT	* N/A	VARIOUS	67,347	
KIs Engineering	DOT	* N/A	DFTH6110C000572	50,649	
North Dakota State University	DOT	* N/A	DTRS99G0008	(7,032)	
Northwestern University	DOT	* N/A	SP0004315PROJ0001492	88,816	
(R&D) Depart Housing & Urban Develop —					
Salt Lake County UT	HUD	* N/A	BV11255C	144,500	
(R&D) Nat'l. Aeronautics & Space Admin —					
Space Telescope Science Institute	NASA	* N/A	HSTAR1283305A	1,639	
University Of Georgia	NASA	* N/A	RR1752254693408	30,454	
University Of Kansas	NASA	* N/A	FY2011049	33,790	
Aribex Inc	NASA	* N/A	10022345	40,770	
Assoc For Institutional Research	NASA	* N/A	RG1156	(640)	
Remote Sensing Systems	NASA	* N/A	6501	9,454	
Space Telescope Science Institute	NASA	* N/A	HSTG01261101A	191,384	
Univ of California Santa Barbara	NASA	* N/A	KK9102	(422)	
Wyle Integrated Science & Eng Grp	NASA	* N/A	T72162	36,620	
ARRA-(R&D) Testing Software Components -					
Carnegie Mellon University	NASA	* N/A	1990036296171	50,527	
(R&D) National Science Foundation —					
Bbn Technologies Corporation	NSF	* N/A	9500009499	38,886	33,072
Brigham Young University	NSF	* N/A	110324	490,031	
Echelon Biosciences Inc	NSF	* N/A	10022703	26,902	
Global Environment For Network Innov	NSF	* N/A	1855/PO#9500011077	175,702	
Hewlett Packard Company	NSF	* N/A	IILRG01	101,940	
Raytheon Company	NSF	* N/A	9500011232	73,243	
University Of California Irvine	NSF	* N/A	20102529	147,771	
Wasatch Molecular Inc	NSF	* N/A	10030238	35,987	
(R&D) Department of Agriculture —					
University of Florida	USDA	* N/A	UF11197	28,327	
(R&D) Other Government Agencies —					
Information Technology & Innov Fndn	OTHER	* N/A	2011002	393	
Texas A&M University	OTHER	* N/A	S08003710007950	220,802	

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Pass-Through From Non-State Entities:					
Non-Research Grants					
Trial of 177LU Radiolabeled Monoclo — Weill Cornell Medical College	DOD,AMC	12.420	0901004912070952	4,599	
Intermountain Jr. Science & Humanities Symp Academy Of Applied Science	DOD,OSD	12.630	VARIOUS	32,410	
PSN - Project Advance — West Valley City	DOJ,OJJDP	16.544	VARIOUS	7,406	
University Transportation Centers Program — Portland State University	DOT,RITA	20.701	VARIOUS	77,589	
Petrological & Petrophysical Characterization- Indiana University	Dept. of Energy	81.089	BL4043400UTAH	42,601	
ARRA-Workforce Training Electric Power — University Of Minnesota	Dept. of Energy	81.122	A000211567	10,223	
EMS For Children Network Development — University Of California Davis	DHHS,HRSA	93.127	SUB0800089	156,295	
Hazardous Materials Training — University of Texas at Houston	DHHS,NIH	93.142	0007901A	143,818	
Natl AIDS Education & Training Center — University of Colorado at Denver	DHHS,HRSA	93.145	FY11163006	174,200	
Substance Abuse & Mental Hlth Projects — The Children's Center	DHHS,SAMHSA	93.243	PID10017058	10,230	4,170
The Road Home	DHHS,SAMHSA	93.243	T123471	51,549	
University Of Nevada Reno	DHHS,SAMHSA	93.243	UNR1240	1,576	
Public Health Training Centers Program — Univ of California Los Angeles	DHHS,HRSA	93.249	1920GNA287	135,572	
Rivastigmine & Huperzine Potential Treat — Baylor College Of Medicine	DHHS,NIH	93.279	101248984	50,173	
CDC Investigations & Technical Assist — Assoc of American Medical Colleges	DHHS,CDC	93.283	MM10790909	(1,560)	
Salt Lake Valley Health Department	DHHS,CDC	93.283	HE12393	15,468	
Utah Cancer Action Network	DHHS,CDC	93.283	VARIOUS	11,060	
Cancer Treatment Research — John Wyne Cncr Inst@St Jhn'S Hlth Cr Ctr	DHHS,NIH	93.395	CA29605	66,218	
Natl Surgcl Adjuvant Breast & Bowel Proj	DHHS,NIH	93.395	TFED37295	13,786	
Southwest Oncology Group	DHHS,NIH	93.395	F018920	222,976	
ARRA-Randomized Trial Of Sentinal Lyme — John Wyne Cncr Inst@St Jhn'S H	DHHS,NIH	93.395	CA29605	126	
Cancer Control — Natl Surgcl Adjuvant Breast & Bowel Proj	DHHS,NIH	93.399	PFED26UTA01	5,042	
Southwest Oncology Group	DHHS,NIH	93.399	CA37429SW0GS0437	1,652	
ARRA-Randomized Trial Of Surg — John Wyne Cncr Inst@St Jhn'S H	DHHS,NIH	93.701	CA012582	5,072	
Cardiovascular Diseases Research — Brigham & Womens Hospital	DHHS,NIH	93.837	VARIOUS	1,327	
University Of Michigan	DHHS,NIH	93.837	3001212819	55,786	
Lung Diseases Research — Duke Clinical Research Institu	DHHS,NIH	93.838	00003745	1,820	
Duke University	DHHS,NIH	93.838	00003745	6,144	
Blood Diseases and Resources Research — Duke Clinical Research Institu	DHHS,NIH	93.839	1U01HL087229	566	
National Marrow Donor Program	DHHS,NIH	93.839	577PRDSAFE	6,002	
Endocrine Society's Leaders In Endocrinolog The Endocrine Society	DHHS,NIH	93.847	10003	18,397	
Neurosciences and Neurological Disorders — Cincinnati Childrens Hosp Med C	DHHS,NIH	93.853	107759	27,423	
Yale University School Of Med	DHHS,NIH	93.853	A08580M10A10572	24,028	

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Aging Research —					
Duke Clinical Research Institute	DHHS,NIH	93.866	2039362/2039158	(635)	
University Of California San Diego	DHHS,NIH	93.866	VARIOUS	37,881	
Health and Human Services —					
Oklahoma Medical Research Foundation	DHHS	N/A	OKN007	18,255	
John Snow Inc	DHHS	N/A	VARIOUS	1,542	
Natl Surgcl Adjuvant Breast & Bowel Proj	DHHS	N/A	NCIU10CA37377	13,460	
Brigham & Womens Hospital	DHHS	N/A	CIRT	4,029	
American College Of Radiology	DHHS	N/A	8201	120,619	
American College Of Srgns Oncology Grp	DHHS	N/A	SITE449	6,182	
Axon Medical Inc	DHHS	N/A	10014935	1,345	
Brigham & Womens Hospital	DHHS	N/A	VARIOUS	79	
Cleveland Clinic Foundation	DHHS	N/A	267038480301	2,238	
Emmes Corp	DHHS	N/A	VARIOUS	227,951	
Glycomira Llc	DHHS	N/A	VARIOUS	19,753	
Gynecologic Oncology Group	DHHS	N/A	NONE	28,710	
Intl Society Of Travel Medicine	DHHS	N/A	PID2312124	50	
Iq Solutions	DHHS	N/A	NIDA INVEST FELLOWSHIP - YOON	18,113	
Jaeb Center For Health Research	DHHS	N/A	PRIMEU10EY12358	464	
John Snow Inc	DHHS	N/A	NONE	8,982	
Mount Sinai School Of Medicine	DHHS	N/A	R01HL07198801A1	1,627	
National Neurovision Research Institute	DHHS	N/A	NNSPCTEC01090034UUTNER	36,953	
Natl Environmental Education Fndtn	DHHS	N/A	VARIOUS	9,711	
New England Research Inc	DHHS	N/A	HL68270	107,026	
New England Research Institutes	DHHS	N/A	N01HC45207	6,295	
Pgxl Laboratories	DHHS	N/A	VARIOUS	40,913	
Salt Lake City Bioscience	DHHS	N/A	44R44GM08460302	15	
Seattle Children'S Research Institute	DHHS	N/A	10201SUB	531	
University Of California Los Angeles	DHHS	N/A	1550PPB889/1551PNB138	41,713	
University Of Nebraska Medical Center	DHHS	N/A	3420051001001CA157167	445	
University Of South Florida	DHHS	N/A	6119114400E	97,426	
University Of Texas At Houston	DHHS	N/A	VARIOUS	1,101	
Vestan Inc	DHHS	N/A	VARIOUS	6,132	
Department of Defense —					
Rockwell Collins Inc	DOD	N/A	VARIOUS	49,115	
American Burn Association	DOD	N/A	VARIOUS	71,134	
Foundation Fighting Blindness	DOD	N/A	NNSPCTEC01090034UUTNER	(17,130)	
Institute Of International Education	DOD	N/A	HQ00340820024	1	
National Marrow Donor Program	DOD	N/A	577RITNP0201929	8,000	
Western Institute For Biomedical Resh	DOD	N/A	VARIOUS	56,174	
Natl Foundn on the Arts & Humanities —					
NYU Langone Medical Center	NFAH	N/A	F661401	31,552	
Arizona State University	NFAH	N/A	VARIOUS	81,543	
Open Knowledge Commons	NFAH	N/A	VARIOUS	14,139	
Utah Humanities Council	NFAH	N/A	QG1223	1,500	
Nuclear Forensics Education Award Prgm —					
Medical Univ of South Carolina	Dept. of Energy	N/A	MUSC12107	94,837	
UTA/UU Blended Learning Leadership Pgrm—					
Utah Transit Authority	DOT	N/A	UT12007JH	146,593	
Rasc-AI Robo-Ops Planetary Rover Compet—					
National Institute Of Aerospac	NASA	N/A	RASCAL201017808CH14274	10,573	
Dept. of Housing and Urban Develop —					
Salt Lake City Corporation	HUD	N/A	VARIOUS	792	
Salt Lake County Ut	HUD	N/A	BV11254C	484,849	
National Science Foundation —					
Amer Indian Science And Engineering Soc	NSF	N/A	1108310	4,373	
Arizona State University	NSF	N/A	FKMS00512243SUB	(20)	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Heavystone Laboratory Llc	NSF	N/A	10018547	1,950	
Nise Network	NSF	N/A	9215708465	(96)	
University Of Guam	NSF	N/A	NONE	19,391	
Reforming SSA Disability Benefits — National Disability Institute	NCD	N/A	VARIOUS	16,412	
Evaluation of a Benefit Offset Nation — ABT Associates Inc	OTHER	N/A	GOBONDUFUTAH1659025202	70,108	
Department of Veterans Affairs — Vietnam Education Foundation	VA	N/A	VARIOUS	26,464	
United States Olympic Committee	VA	N/A	VARIOUS	36,508	
Subtotal – University of Utah				331,554,753	32,930,098

UTAH STATE UNIVERSITY

Research Grants

(R&D)(SFA) Federal Work Study Program	ED,SFAP	* 84.033	VARIOUS	54,705	
(R&D)(TRIO) Student Support Services	ED,PE	* 84.042	VARIOUS	26,621	
(R&D) Improvement of Post-secondary Ed	ED,PE	* 84.116	P116Z100173	155,995	
(R&D) Rehabilitation Long-Term Training	ED,SERS	* 84.129	VARIOUS	51,590	
(R&D) Natl Inst Disability & Rehabilitation —	ED,SERS	* 84.133	H133G110242	284,285	
(R&D) State Grants for Assistive Technology	ED,SERS	* 84.224	VARIOUS	41,634	
(R&D) Rehab Training General Training	ED,SERS	* 84.275	VARIOUS	66,418	
(R&D) Research in Special Education	ED,IES	* 84.324	R324A100063 (090889)	441,036	
(R&D) Special Ed–Personnel Preparation	ED,SERS	* 84.325	H325K100501 (110045)	150,112	
(R&D) Special Ed–Personnel Preparation	ED,SERS	* 84.325	H325K120154 (121115)	162,221	
(R&D) Special Ed–Tech & Media Services	ED,SERS	* 84.327	VARIOUS	20,943	
(R&D) Bureau of Land Management	DOI,BLM	* 15.224	VARIOUS	2,451	
(R&D) Bureau of Land Management	DOI,BLM	* 15.231	VARIOUS	337,892	
(R&D) Bureau of Land Management	DOI,BLM	* 15.231	L09AC15164 (080889)	248,870	
(R&D) Bureau of Land Management	DOI,BLM	* 15.231	L12AC20049 (130064)	140,641	
(R&D) Bureau of Land Management	DOI,BLM	* 15.231	L12AC20626 (130141)	146,187	
(R&D) Bureau of Land Management	DOI,BLM	* 15.232	L11AC20275 (110374)	117,315	
(R&D) Bureau of Land Management	DOI,BLM	* 15.236	VARIOUS	82,861	
(R&D) Bureau of Land Management	DOI,BLM	* 15.237	VARIOUS	111,552	
(R&D) Bureau of Land Management	DOI,BLM	* 15.238	VARIOUS	63,796	
(R&D) Research and Development	USDA	* N/A	VARIOUS	96,476	
(R&D) Research and Development	USDA	* N/A	58-3625-9-743 (09S069)	485,564	
(R&D) Research and Development	USDA	* N/A	59-2501-12-036 (120501)	138,825	
(R&D) Research and Development	USDA	* N/A	59-5430-1-344 (09S072)	290,062	
(R&D) Animal & Plant Health Inspection Svc	USDA,APHIS	* N/A	VARIOUS	87,989	
(R&D) Animal & Plant Health Inspection Svc	USDA,APHIS	* N/A	12-7449-0042-CA (120912)	127,094	
(R&D) Agriculture Research Services	USDA,ARS	* N/A	VARIOUS	328,998	1,817
(R&D) Economic Research Service	USDA,ERS	* N/A	59-5000-0-0065 (100918)	100,891	
(R&D) Foreign Agriculture Service	USDA,FAS	* N/A	VARIOUS	314,448	235,750
(R&D) Forest Service	USDA,FS	* N/A	VARIOUS	547,209	16,162
(R&D) Forest Service	USDA,FS	* N/A	11-CR-11221638-011 (110325)	309,843	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-34613-20124 (090858)	129,248	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-38640-19711 (090758)	142,072	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-51300-05533 (090673)	122,393	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3110006049 (F121000)	333,203	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3120006049 (F121100)	420,883	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3110006049 (F131000)	905,275	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3120006049 (F131100)	674,477	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	321006049 (F132000)	184,341	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	VARIOUS	1,280,389	977,489

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	VARIOUS	2,523,113	2,005,482
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2010-38640-20947 (100893)	556,596	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2010-49400-21768 (100912)	228,961	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2010-51300-21283 (100759)	209,099	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2011-38415-31187 (120004)	104,360	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2011-67009-30043 (101146)	132,872	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2011-67015-3008 (100984)	121,173	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2011-67021-30161 (111027)	190,856	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2012-51150-19677 (120889)	231,336	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2012-67017-30231 (110973)	148,418	
(R&D) National Resources Conservation Svc	USDA,NRCS	* N/A	VARIOUS	59,308	
(R&D) National Institute of Standards Tech	DOC,NIST	* N/A	VARIOUS	69,519	30,514
(R&D) National Institute of Standards Tech	DOC,NIST	* N/A	70NANB12H233 (S00001388)	316,751	
(R&D) National Institute of Standards Tech	DOC,NIST	* N/A	70NANB9H9120 (09S068)	281,587	
(R&D) National Oceanic and Atmospheric	DOC,NOAA	* N/A	VARIOUS	125,415	
(R&D) National Oceanic and Atmospheric	DOC,NOAA	* N/A	DG-133E-12-NC-1333 / GS-23F-0046P (S00001249)	865,907	
(R&D) AF Research and Development	DOD	* N/A	VARIOUS	3,312	
(R&D) AF Research and Development	DOD	* N/A	FA8814-09-D-0001 TO001 (09S070001)	639,097	
(R&D) AF Research and Development	DOD	* N/A	FA9453-08-C-0244 (08S063)	194,437	
(R&D) AF Research and Development	DOD	* N/A	FA9550-11-1-0236 (110445)	130,912	
(R&D) AF Research and Development	DOD	* N/A	IPA Agreement with Air Force (S00000381)	294,302	
(R&D) AF Research and Development	DOD	* N/A	IPA Agreement with Air Force (S00001318)	142,596	
(R&D) AF Research and Development	DOD	* N/A	IPA Agreement with Air Force (S00001319)	223,778	
(R&D) Army Research and Development	DOD	* N/A	VARIOUS	56,320	
(R&D) Army Research and Development	DOD	* N/A	W911NF-12-1-0008 (111074)	145,789	
(R&D) Army Research and Development	DOD	* N/A	W911W6-06-C-0008 (06S035)	101,951	
(R&D) Department of Defense	DOD	* N/A	VARIOUS	197,830	149,465
(R&D) Missile Defense Agency	DOD	* N/A	VARIOUS	179,825	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0003 (S00005003)	725,532	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0004 (S00005004)	795,000	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0006 (S00005006)	6,501,425	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0007 (S00005007)	177,661	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0008 (S00005008)	255,462	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0009 (S00005009)	349,940	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0011 (S00005011)	276,649	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0012 (S00005012)	162,971	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0013 (S00005013)	1,076,129	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0015 (S00005015)	617,416	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0016 (S00005016)	3,264,686	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0017 (S00005017)	7,753,105	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0018 (S00005018)	852,142	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0019NNG12LN351 (S00005019)	412,972	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0020 (S00005020)	986,359	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0021 (S00005021)	303,261	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0022 (S00005022)	137,432	
(R&D) National Reconnaissance Office	DOD	* N/A	NRO000-09-C-0309 (09S099001)	178,833	
(R&D) Navy Research and Development	DOD	* N/A	VARIOUS	155,671	
(R&D) Navy Research and Development	DOD	* N/A	N00014-09-1-0292 (090193)	181,283	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0002 (08S044002)	934,476	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0003 (08S044003)	568,512	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0004 (08S044004)	336,344	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0005 (08S044005)	3,047,038	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0006 (08S044006)	4,478,658	336,315
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001003)	480,545	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (s12001004)	232,071	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001005)	290,201	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001006)	104,539	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001008)	423,885	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001009)	278,348	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001010)	785,694	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001012)	538,182	
(R&D) Navy Research and Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001013)	190,531	
(R&D) Navy Research and Development	DOD	* N/A	N68936-10-D-0017 TO0005 (S00063005)	281,982	
(R&D) Navy Research and Development	DOD	* N/A	N68936-10-D-0017 TO0006 (S00063006)	327,900	
(R&D) US Marines Research & Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001016)	556,815	
(R&D) US Marines Research & Development	DOD	* N/A	N00173-12-D-2004 0001 (S12001017)	879,686	
(R&D) UT Reclamation Mitigation & Conser C	DOI	* N/A	VARIOUS	20,832	
ARRA-(R&D) Bureau of Land Management	DOI,BLM	* N/A	VARIOUS	21,920	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	VARIOUS	42,473	42,473
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	08-CS-40-8210 (080574)	1,823	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	08-FC-40-2794/R08AC40794 (080992)	38,699	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	08FC602344 (090071)	3,711	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	09-FC-40-2888/R09AC4088 (090619)	755	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R10AC35280001 (101042)	28	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC40005 (110370)	126,050	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC40021 (110915)	31,138	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC80350 (110775)	1,930	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC81456 (111043)	94,252	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AP40011 (110553)	43,459	
(R&D) U.S. Fish and Wildlife Service	DOI,FWS	* N/A	VARIOUS	194,572	17,042
(R&D) US Geological Survey	DOI,GS	* N/A	VARIOUS	538,700	
(R&D) National Park Service	DOI,NPS	* N/A	VARIOUS	460,651	3,096
(R&D) Department of Justice	DOJ	* N/A	VARIOUS	20,288	
(R&D) Juvenile Justice and Delinquency Prev.	DOJ	* N/A	VARIOUS	58,092	15,575
ARRA-(R&D) Department of Justice	DOJ	* N/A	VARIOUS	22,658	
(R&D) Federal Highway Administration	DOT	* N/A	VARIOUS	32,312	
(R&D) Research & Special Programs Admin.	DOT	* N/A	VARIOUS	56,924	
(R&D) Goddard Institute for Space Studies	NASA	* N/A	VARIOUS	24,419	
(R&D) Goddard Space Flight Center	NASA	* N/A	VARIOUS	107,750	
(R&D) Jet Propulsion Laboratory	NASA	* N/A	VARIOUS	41,330	
(R&D) Marshall Space Flight Center	NASA	* N/A	VARIOUS	27,285	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	VARIOUS	131,884	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NAS1-00071 (00S010)	105,575	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNG11CR02C (S00000761)	462,819	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNX11AE23G (S00000534)	503,338	
(R&D) Stennis Space Center	NASA	* N/A	VARIOUS	150,145	
(R&D) Directorate for Biological Sciences	NSF	* N/A	VARIOUS	48,440	
(R&D) Directorate for Biological Sciences	NSF	* N/A	DEB-1019613 (100606)	100,023	
(R&D) Directorate for Computer/Info Sci/Eng	NSF	* N/A	VARIOUS	158,330	
(R&D) Directorate for Education and HR	NSF	* N/A	VARIOUS	201,161	43,962
(R&D) Directorate for Education and HR	NSF	* N/A	DRL-0426421/ESI-0426421 (041447)	118,939	
(R&D) Directorate for Education and HR	NSF	* N/A	DUE-1122654 (110594)	103,905	
(R&D) Directorate for Engineering	NSF	* N/A	VARIOUS	141,012	
(R&D) Directorate for Geosciences	NSF	* N/A	VARIOUS	349,578	
(R&D) Directorate for Math & Physical Scien.	NSF	* N/A	VARIOUS	75,655	
(R&D) Directorate for Math & Physical Scien.	NSF	* N/A	CHE-1026826 (100697)	109,372	
(R&D) Directorate for Math & Physical Scien.	NSF	* N/A	CHE-1057746 (110104)	113,902	
(R&D) Directorate for Social, Behavioral, Ecor	NSF	* N/A	VARIOUS	39,864	(523)
(R&D) National Science Foundation	NSF	* N/A	VARIOUS	2,906,771	525,526
(R&D) National Science Foundation	NSF	* N/A	1135882 (110856)	242,436	
(R&D) National Science Foundation	NSF	* N/A	AGS-1011921 (100528)	132,424	
(R&D) National Science Foundation	NSF	* N/A	AGS-1061892 (100633)	226,111	
(R&D) National Science Foundation	NSF	* N/A	AGS-1068104 (110299)	158,132	
(R&D) National Science Foundation	NSF	* N/A	DBI-1228874 (120578)	313,156	

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) National Science Foundation	NSF	* N/A	DEB-0953694 (100066)	111,596	
(R&D) National Science Foundation	NSF	* N/A	DEB-1054040 (110046)	143,575	
(R&D) National Science Foundation	NSF	* N/A	DRL-0918621 (090455)	113,012	
(R&D) National Science Foundation	NSF	* N/A	MCB-0952920 (100067)	157,955	
(R&D) National Science Foundation	NSF	* N/A	OCI-1148453 (120082)	136,271	
(R&D) National Science Foundation	NSF	* N/A	OIA-1208732 (120376)	1,779,427	
ARRA-(R&D) Directorate for Biological Sci.	NSF	* N/A	MCB-0920776 (090469ARR)	215,248	
ARRA-(R&D) Directorate for Geosciences	NSF	* N/A	VARIOUS	22,192	
ARRA-(R&D) Directorate Math & Physical Sci	NSF	* N/A	CHE-0923654 (090317ARR)	188,039	
ARRA-(R&D) Directorate Social Behavioral Ec	NSF	* N/A	VARIOUS	90,602	
ARRA-(R&D) National Science Foundation	NSF	* N/A	VARIOUS	110,110	68,076
(R&D) Smithsonian Institution	SI	* N/A	VARIOUS	48,067	
(R&D) Department of Veterans Affairs	VA	* N/A	VARIOUS	20,019	
(R&D) Environmental Protection	EPA	* N/A	VARIOUS	38,364	
(R&D) Environmental Protection	EPA	* N/A	RD-83418601 (080915)	347,896	
(R&D) US Nuclear Regulatory Commission	NRC	* N/A	VARIOUS	88,256	
(R&D) Argonne National Laboratory	Dept. of Energy	* N/A	VARIOUS	8,277	
(R&D) Department of Energy	Dept. of Energy	* N/A	VARIOUS	355,743	138,282
(R&D) Department of Energy	Dept. of Energy	* N/A	DE-EE0003114 (100859)	877,486	
(R&D) Department of Energy	Dept. of Energy	* N/A	DE-NA0001740 (120591)	108,365	
(R&D) Idaho National Laboratory	Dept. of Energy	* N/A	VARIOUS	(8)	
(R&D) Idaho National Laboratory	Dept. of Energy	* N/A	00131696 (120979)	117,534	
(R&D) Los Alamos National Security	Dept. of Energy	* N/A	VARIOUS	8,781	
(R&D) National Renewable Energy Lab	Dept. of Energy	* N/A	VARIOUS	24,859	
(R&D) Sandia National Laboratory	Dept. of Energy	* N/A	VARIOUS	46,492	
(R&D) Science	Dept. of Energy	* N/A	VARIOUS	2,183	(153)
(R&D) Science	Dept. of Energy	* N/A	DE-FC02-08ER54973 (080773)	101,140	
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	VARIOUS	43,497	(6,412)
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	DE-EE0002848 (100089ARR)	135,408	
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	DE-SC0004991 (090729ARR)	147,962	
ARRA-(R&D) What Works and Innovation Fur ED		* N/A	VARIOUS	2,322,915	2,322,915
ARRA-(R&D) What Works and Innovation Fur ED		* N/A	U396B100267 (101008ARR)	1,679,075	
(R&D) Admin. on Children and Families	DHHS	* N/A	VARIOUS	1,797	
(R&D) Centers Disease Control & Prevent.	DHHS	* N/A	1U01DD000698-01 (100838)	329,235	
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	VARIOUS	155,003	107,135
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	HHSN272201000039I (090584)	2,480,475	
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	HHSN272201100019I (100690)	383,799	
(R&D) Institute of Child Health & Human D.	DHHS	* N/A	2 R01 GM059087 (035284)	230,955	
(R&D) Institute of Dental and Craniofacial Res	DHHS	* N/A	VARIOUS	53,636	
(R&D) Institute of Environmental Health Sci.	DHHS	* N/A	VARIOUS	48,794	
(R&D) Institute on Aging	DHHS	* N/A	VARIOUS	68,803	
(R&D) Institute on Deafness Comm. Disorders	DHHS	* N/A	1R03DC011604 (111310)	109,783	
(R&D) Institute on Drug Abuse	DHHS	* N/A	1R01DA029608 (101141)	213,322	
ARRA-(R&D) Ins Diabetes, Digestive, & Kidne	DHHS	* N/A	VARIOUS	27	
ARRA-(R&D) Institute on Aging	DHHS	* N/A	VARIOUS	80,640	
ARRA-(R&D) Institute on Drug Abuse	DHHS	* N/A	VARIOUS	5,181	
(R&D) National Institute of Health	DHHS,NIH	* N/A	VARIOUS	333,392	146,253
(R&D) National Institute of Health	DHHS,NIH	* N/A	1R01DA029100-01A1 (090919)	258,908	
(R&D) National Institute of Health	DHHS,NIH	* N/A	1R01HD055502-01A2 (080469)	156,504	
(R&D) National Institute of Health	DHHS,NIH	* N/A	1R21AI101464-01 (120364)	126,823	
(R&D) National Institute of Health	DHHS,NIH	* N/A	1R21AI101483-01 (120368)	194,344	
(R&D) National Institute of Health	DHHS,NIH	* N/A	5R01AA016786-05 (061729)	218,259	
(R&D) National Institute of Health	DHHS,NIH	* N/A	7 R01 MH 065561-10 (130050)	111,345	
ARRA-(R&D) National Institute of Health	DHHS,NIH	* N/A	VARIOUS	117,229	99,067
ARRA-(R&D) National Institute of Health	DHHS,NIH	* N/A	2R01AG021136 (090011ARR)	175,583	
(R&D) Department of Homeland Security	DHS	* N/A	NBCHC060088 (06S060)	285,673	
(R&D) Agency for International Development	AID	* N/A	VARIOUS	25,061	25,061

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Other Government Agencies	OTHER	* N/A	VARIOUS	10,716	
Non-Research Grants					
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	VARIOUS	14,803	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124218	723,155	
Higher Education Institutional Aid	ED,PE	84.031	P031X00006-NASNTI (SJ)	417,979	
Endowment Challenge Grant	ED,PE	84.031	P0131G10013	283,007	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033S124218	673,379	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A124218	119,543	
(TRIO) Student Support Services	ED,PE	84.042	P042A110004	267,966	
(TRIO) Student Support Services	ED,PE	84.042	P042A110585	386,937	
(TRIO) Talent Search	ED,PE	84.044	VARIOUS	5,027	
(TRIO) Talent Search	ED,PE	84.044	P044A110528	359,338	
(TRIO) Upward Bound	ED,PE	84.047	P047A100228	463,887	
(TRIO) Upward Bound	ED,PE	84.047	P047A120065	185,541	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	37,764,180	
Improvement of Postsecondary Education	ED,PE	84.116	VARIOUS	75,096	22,800
Improvement of Postsecondary Education	ED,PE	84.116	P116B100152	175,525	
Rehabilitation Long-Term Training	ED,SERS	84.129	VARIOUS	124,611	
Rehabilitation Long-Term Training	ED,SERS	84.129	H129B090052	106,517	
Rehabilitation Long-Term Training	ED,SERS	84.129	H129W100002	100,221	
State Grants for Assistive Technology	ED,SERS	84.224	VARIOUS	7,814	
State Grants for Assistive Technology	ED,SERS	84.224	H224A120044	427,536	
Rehabilitation Training General Training	ED,SERS	84.275	H275A100001A	176,498	
Special Ed–Personnel Preparation	ED,SERS	84.325	VARIOUS	156,113	
Special Ed–Personnel Preparation	ED,SERS	84.325	H325D090053A (090225)	179,276	
Special Ed–Personnel Preparation	ED,SERS	84.325	H325N100038 (100373)	136,845	
Special Ed – Technical Assit. and Dissmin.	ED,SERS	84.326	H326R090003 (100114)	1,400,551	
Gaining Early Awareness & Readiness Under	ED,PE	84.334	VARIOUS	518,197	518,197
Gaining Early Awareness & Readiness Under	ED,PE	84.334	P334A120037 (120058)	655,550	
Rural Education	ED,ESE	84.358	VARIOUS	32,022	
(SFA) TEACH Grants	ED,PE	* 84.379	VARIOUS	10,500	
(SFA) TEACH Grants	ED,PE	* 84.379	F13 TEACH (F13TEACH)	386,233	
Bureau of Land Management	DOI,BLM	15.225	VARIOUS	59,915	
Bureau of Land Management	DOI,BLM	15.230	VARIOUS	28,923	
Bureau of Land Management	DOI,BLM	15.231	VARIOUS	10,986	
Agriculture Research and Development	USDA	N/A	VARIOUS	59,127	
Farm and Foreign Agricultural Services	USDA	N/A	VARIOUS	1,539	
Foreign Agriculture Service	USDA,FAS	N/A	VARIOUS	14,865	
Forest Service	USDA,FS	N/A	VARIOUS	237,964	
Cooperative State Research Service	USDA,NIFA	N/A	2013-41100/41200 (F134000)	1,352,150	
Cooperative State Research Service	USDA,NIFA	N/A	2013-41510 (F137000)	156,699	
Cooperative State Research Service	USDA,NIFA	N/A	41100/41200 (F124000)	462,428	
Cooperative State Research Service	USDA,NIFA	N/A	41510 (F127000)	289,497	
Cooperative State Research Service	USDA,NIFA	N/A	VARIOUS	183,797	16,602
National Institute of Food and Agriculture	USDA,NIFA	N/A	VARIOUS	465,805	429,141
National Institute of Food and Agriculture	USDA,NIFA	N/A	2010-41590-20709 (100547)	124,161	
National Institute of Food and Agriculture	USDA,NIFA	N/A	2012-38858-19937 (130007)	105,017	
National Resources Conservation Service	USDA,NRCS	N/A	VARIOUS	36,574	
Rural Development	USDA,RBCS	N/A	VARIOUS	33,714	33,714
Risk Management Agency	USDA,RMA	N/A	VARIOUS	74,887	
Risk Management Agency	USDA,RMA	N/A	11-IE-53300-003 (120077)	199,826	
Rural Utilities Service	USDA,RUS	N/A	VARIOUS	13,705	
AF Research and Development	DOD	N/A	VARIOUS	3,904	
Army Research and Development	DOD	N/A	VARIOUS	16,288	
Housing and Urban Development	HUD	N/A	VARIOUS	25,970	
Bureau of Indian Affairs	DOI,BIA	N/A	VARIOUS	21,764	
ARRA-Bureau of Land Management	DOI,BLM	N/A	VARIOUS	13,276	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Bureau of Land Management	DOI,BLM	N/A	VARIOUS	(3,681)	
U.S. Fish and Wildlife Service	DOI,FWS	N/A	VARIOUS	93,995	
National Park Service	DOI,NPS	N/A	VARIOUS	167,450	
Department of Justice	DOJ	N/A	VARIOUS	50,869	
Office of Juvenile Justice & Delinquency Prev	DOJ	N/A	2012-JU-FX-0022 (120897)	205,086	
Mine Safety and Health Administration	DOL	N/A	VARIOUS	85,164	
Bureau of Educational and Cultural Affairs	Dept. of State	N/A	FY11-TEA-USU-01 (120692)	176,724	
National Aeronautics and Space Administratio	NASA	N/A	VARIOUS	52,892	
Institute of Museum and Library Services	NFAH,IMLS	N/A	VARIOUS	22,470	
National Science Foundation	NSF	N/A	VARIOUS	98,820	
National Science Foundation	NSF	N/A	DGE-1147384 (120214)	150,356	
Department of Veterans Affairs	VA	N/A	VARIOUS	7,173	
Environmental Protection	EPA	N/A	96810501 (120435)	200,000	
US Nuclear Regulatory Commission	NRC	N/A	VARIOUS	36,659	
Department of Energy	Dept. of Energy	N/A	DE-NE0000368 (100887)	153,432	
Admin. on Developmental Disabilities	DHHS	N/A	VARIOUS	55,547	
Admin. on Developmental Disabilities	DHHS	N/A	90-DD-0712 (120795)	555,602	
Administration for Children and Families	DHHS	N/A	VARIOUS	315,885	284,461
Administration for Children and Families	DHHS	N/A	90FM0001;90FM900101 (120112)	620,084	
Department of Health and Human Services	DHHS	N/A	1 IDSEP100006-01-00 (110133)	538,433	
Indian Health Services	DHHS	N/A	VARIOUS	812,494	812,494
Indian Health Services	DHHS	N/A	HHSP233201000011C (100249)	157,578	
Maternal and Child Health Bureau	DHHS	N/A	VARIOUS	100,000	100,000
Maternal and Child Health Bureau	DHHS	N/A	T8MC09652 (080561)	135,335	
Maternal and Child Health Bureau	DHHS	N/A	U52MC04391 (051322)	1,402,804	
ARRA-Corp for National & Community Svc	CNCS	N/A	VARIOUS	(9,152)	
Corporation for National & Community Service	CNCS	N/A	VARIOUS	(10)	
Corporation for National & Community Service	CNCS	N/A	06VSPUT018 (110271)	199,534	
Corporation for National & Community Service	CNCS	N/A	DRCA (130366)	123,937	
Pass-Through From Non-State Entities:					
Research Grants					
(R&D) Nat'l Inst Disability & Rehabilitation — Penn State University	ED,SERS	* 84.133	VARIOUS	67,176	
(R&D) Ed Research, Develop, & Dissem — University of Minnesota	ED,IES	* 84.305	VARIOUS	110,721	
(R&D) Forest Service — The Nature Conservancy	USDA,FS	* N/A	VARIOUS	30,106	
(R&D) Research and Development — Brigham Young University	USDA	* N/A	VARIOUS	24,452	
Freedom Photonics, LLC	USDA	* N/A	VARIOUS	11,168	
Hansen Energy and Environ LLC (HEE)	USDA	* N/A	VARIOUS	13,147	
North Carolina State University	USDA	* N/A	VARIOUS	34,634	
Penn State University	USDA	* N/A	VARIOUS	34,624	
Renssaeler Polytechnic Institute	USDA	* N/A	VARIOUS	59,159	
University of California, Davis	USDA	* N/A	VARIOUS	50	
University of Idaho	USDA	* N/A	VARIOUS	8,300	
University of Illinois, Chicago	USDA	* N/A	VARIOUS	1,982	
University of Vermont	USDA	* N/A	VARIOUS	6,102	
University of Wyoming	USDA	* N/A	VARIOUS	3,668	
Washington State University	USDA	* N/A	VARIOUS	5,358	
(R&D) Nat'l Resources Conservation Svc — University of Montana	USDA,NRCS	* N/A	VARIOUS	4,637	
(R&D) National Institute of Food & Ag — Montana State University	USDA,NIFA	* N/A	VARIOUS	11,218	
New Mexico State University	USDA,NIFA	* N/A	VARIOUS	(961)	
Texas A&M University	USDA,NIFA	* N/A	VARIOUS	24,902	
University of California, Davis	USDA,NIFA	* N/A	VARIOUS	14,668	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of Hawaii	USDA,NIFA	* N/A	VARIOUS	17,866	
University of Nebraska	USDA,NIFA	* N/A	VARIOUS	1,464	
Washington State University	USDA,NIFA	* N/A	VARIOUS	12,344	
(R&D) Department of Commerce —					
University of Colorado, Boulder	DOC	* N/A	VARIOUS	(976)	
(R&D) AF Research and Development —					
Alliant Techsystems, Inc	DOD	* N/A	VARIOUS	35,151	
Applied Technology Assoc Aerospace	DOD	* N/A	VARIOUS	125,529	
ATA Aerospace	DOD	* N/A	SDL03-100 TO3	106,567	
Atmospheric & Space Tech R Assoc, LLC	DOD	* N/A	VARIOUS	90,716	
Box Elder Innovations, LLC	DOD	* N/A	VARIOUS	36,238	
Exelis, Inc.	DOD	* N/A	VARIOUS	2,747	
Georgia Institute of Technology	DOD	* N/A	BOA 580-08 TO D6342-S1/HC1047-05-D-4000	383,413	
L-3 Communications	DOD	* N/A	VARIOUS	793	
L-3 Communications	DOD	* N/A	PO 202033/FA8527-11-D-0011	140,435	
Lockheed Martin	DOD	* N/A	VARIOUS	64,669	
Lockheed Martin	DOD	* N/A	4100747863 / F09604-03-D-0031	288,574	
Northrop Grumman	DOD	* N/A	7500055856	612,020	
NorthWest Research Associates, Inc.	DOD	* N/A	VARIOUS	2	
Norwich Univ Applied Research Inst	DOD	* N/A	VARIOUS	723	
Science Applications Internatl Corp	DOD	* N/A	VARIOUS	43,475	
Sensing Strategies, Inc	DOD	* N/A	1077-08/FA8650-06-C-1077	347,487	
Sierra Nevada Corporation	DOD	* N/A	VARIOUS	150,890	
Sierra Nevada Corporation	DOD	* N/A	PO S12CNH171 / FA8750-11-C-0252	1,018,152	
Sierra Nevada Corporation	DOD	* N/A	PO S13CNH065 / FA8750-13-C-0057	160,498	
SRC, Inc.	DOD	* N/A	VARIOUS	22,448	
The Aerospace Corporation	DOD	* N/A	VARIOUS	11,858	
Universal Technology Corporation	DOD	* N/A	VARIOUS	59,414	
University of Wyoming	DOD	* N/A	VARIOUS	(30,572)	
UTC Aerospace Systems	DOD	* N/A	RCD-012-040	1,436,282	
Wavefront, LLC	DOD	* N/A	VARIOUS	17,705	
(R&D) US Marines Research & Development					
General Dynamics	DOD	* N/A	VARIOUS	3,353	
(R&D) Navy Research and Development —					
BAE Systems	DOD	* N/A	VARIOUS	142,783	
Exelis, Inc.	DOD	* N/A	VARIOUS	(1,617)	
Management Sciences, Inc.	DOD	* N/A	VARIOUS	(4,968)	
Raytheon	DOD	* N/A	VARIOUS	63,978	
Raytheon	DOD	* N/A	S00001450 / N00014-09-D-0726-0003	179,584	
Scientific Research Corporation	DOD	* N/A	VARIOUS	56,272	
Stanley Associates, Inc.	DOD	* N/A	VARIOUS	51,950	
Technology Service Corporation	DOD	* N/A	VARIOUS	35,000	
University of Colorado, Boulder	DOD	* N/A	VARIOUS	87,879	
(R&D) Department of Defense —					
Ball Aerospace	DOD	* N/A	VARIOUS	34,168	
Ball Aerospace	DOD	* N/A	11DLB30368	292,861	
Battelle Energy Alliance, LLC	DOD	* N/A	VARIOUS	2,437	
Fairchild Imaging, Inc.	DOD	* N/A	VARIOUS	22,202	
General Dynamics	DOD	* N/A	PO 27ESM500757 / GDAIS-IS-09-001/0001	255,414	
Inhibikase Therapeutics, Inc.	DOD	* N/A	VARIOUS	103,014	
ITT	DOD	* N/A	VARIOUS	3,726	
Johns Hopkins University	DOD	* N/A	VARIOUS	2,842	
Massachusetts Institute of Technology	DOD	* N/A	VARIOUS	9,558	
Optimus Prime	DOD	* N/A	2009-0730727-000	3,093,202	
Quantum Research International	DOD	* N/A	VARIOUS	11,053	
Raytheon	DOD	* N/A	VARIOUS	147,422	
Raytheon	DOD	* N/A	4200573755	118,710	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Raytheon	DOD	* N/A	4200575493	106,219	
Technology Service Corporation	DOD	* N/A	VARIOUS	16,531	
(R&D) Army Research and Development —					
Gannett Fleming, Inc.	DOD	* N/A	VARIOUS	98,764	
Georgia Institute of Technology	DOD	* N/A	VARIOUS	45,911	
L-3 Communications	DOD	* N/A	218394 / FA8527-11-D-0011	195,038	
ManTech International Corporation	DOD	* N/A	VARIOUS	5	
McMillen, LLC	DOD	* N/A	W91238-10-D-0001	157,958	
Science Applications Internatl Corp	DOD	* N/A	VARIOUS	88,620	
Sensing Strategies, Inc.	DOD	* N/A	VARIOUS	4,660	
SRC, Inc.	DOD	* N/A	VARIOUS	16,679	
Thermal Management Technologies, LLC	DOD	* N/A	VARIOUS	14,900	
(R&D) Department of Interior —					
World Wildlife Fund	DOI	* N/A	VARIOUS	94,465	
America View	DOI	* N/A	VARIOUS	12,855	
(R&D) US Geological Survey —					
University of Southern California	DOI,GS	* N/A	VARIOUS	9,996	
(R&D) Bureau of Land Management —					
Idaho Department of Fish and Game	DOI,BLM	* N/A	VARIOUS	22,907	
(R&D) Bureau of Reclamation —					
North Wind, Inc.	DOI,BOR	* N/A	20004S.11	3,760	
University of Nevada, Reno	DOI,BOR	* N/A	2010-0059-201, UNR-12-5	14,581	
Weber Basin Water Conservancy District	DOI,BOR	* N/A	2011WCFS-WBWCD	6,764	
(R&D) U.S. Fish and Wildlife Service					
Division of Parks and Outdoor Rec	DOI,FWS	* N/A	VARIOUS	12,765	
Ducks Unlimited, Inc.	DOI,FWS	* N/A	VARIOUS	7,357	
Nevada Division of Forestry	DOI,FWS	* N/A	VARIOUS	7,827	
Pheasants Forever, Inc.	DOI,FWS	* N/A	VARIOUS	58,335	
(R&D) Department of State —					
Virginia Tech Polytechnic Inst & State Univ	Dept. of State	* N/A	VARIOUS	504	
(R&D) Educational & Cultural Affairs —					
Council Intl Exchange of Scholars	Dept. of State	* N/A	VARIOUS	12,609	
(R&D) Department of Transportation —					
North Dakota State University	DOT	* N/A	20-701, FAR00, DTRT12-G-UTC08	182,242	
Oregon State University	DOT	* N/A	VARIOUS	129,145	
Rutgers The State University of NJ	DOT	* N/A	00004646	197,978	
(R&D) Federal Highway Administration —					
Department of Transportation	DOT	* N/A	VARIOUS	57,620	
Multimedia Data Services Corp	DOT	* N/A	VARIOUS	32,304	
Rutgers The State University of NJ	DOT	* N/A	VARIOUS	131,001	
(R&D) Federal Transit Admin —					
Wireless Advanced Vehicle Electrification	DOT	* N/A	VARIOUS	121,753	
(R&D) Goddard Space Flight Center —					
Hampton University	NASA	* N/A	VARIOUS	76,749	
(R&D) Nat'l. Aeronautic & Space Admin —					
Analytical Mechanics Assoc, Inc.	NASA	* N/A	VARIOUS	11,569	
Appalachian State University	NASA	* N/A	VARIOUS	3,874	
ATK Alliant Systems	NASA	* N/A	VARIOUS	2,929	
Design Net Engineering, LLC	NASA	* N/A	3651/NNA11AA03B	114,308	
Exelis, Inc.	NASA	* N/A	VARIOUS	27,797	
GATS, Inc.	NASA	* N/A	VARIOUS	27,884	
Johns Hopkins University	NASA	* N/A	VARIOUS	25,354	
L-3 Communications	NASA	* N/A	VARIOUS	3,600	
MEI Technologies, Inc.	NASA	* N/A	VARIOUS	29,608	
Northrop Grumman Systems Corp	NASA	* N/A	VARIOUS	14,794	
Northrop Grumman Systems Corp	NASA	* N/A	7600010530/NNA11AA03B	111,689	
Science Systems & Applications Inc	NASA	* N/A	VARIOUS	45,159	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Science Systems & Applications Inc	NASA	* N/A	21101-12-002/NNL11AA00B TO A-004	284,016	
SGT, Inc.	NASA	* N/A	VARIOUS	69,645	
Sigma Space Corporation	NASA	* N/A	VARIOUS	(1,385)	
Space Environment Technologies	NASA	* N/A	VARIOUS	38,316	
UAV Collaborative	NASA	* N/A	VARIOUS	1,417	
Univ Corp for Atmospheric R (UCAR)	NASA	* N/A	VARIOUS	31,417	
University of Arizona	NASA	* N/A	PO 39356/NNG12FD67C	3,789,516	
University of Colorado	NASA	* N/A	VARIOUS	36,148	
ARRA-(R&D) National Science Foundation —					
University of Minnesota	NSF	* N/A	VARIOUS	84,131	
University of Montana	NSF	* N/A	VARIOUS	44,154	
(R&D) National Science Foundation —					
CUAHSI	NSF	* N/A	VARIOUS	28,764	
Brigham Young University	NSF	* N/A	11-0325/EPS-1135482	366,393	
Marine Biological Lab-Wood Hold, Mass	NSF	* N/A	VARIOUS	29,124	
Natl Ecological Observatory Network	NSF	* N/A	VARIOUS	45,780	
University of Massachusetts	NSF	* N/A	14376	251,038	
University of New Mexico	NSF	* N/A	VARIOUS	16,401	
University of Notre Dame	NSF	* N/A	VARIOUS	61,625	
University of Puerto Rico	NSF	* N/A	VARIOUS	3,692	
University of Virginia	NSF	* N/A	VARIOUS	31,916	
(R&D) Environmental Protection —					
Dept of Conservation & Natural Resources	EPA	* N/A	VARIOUS	(2)	
Luna Innovations, Inc.	EPA	* N/A	VARIOUS	14,861	
Michigan State University	EPA	* N/A	VARIOUS	33,388	
Missouri Univ of Science and Technology	EPA	* N/A	VARIOUS	5,000	
Univ of Kansas Center for Research (KUCR)	EPA	* N/A	VARIOUS	13,840	
Utah Society of Environmental Education	EPA	* N/A	VARIOUS	634	
(R&D) Research and Development —					
Battelle	Dept. of Energy	* N/A	00091896	116,332	
Battelle Energy Alliance, LLC	Dept. of Energy	* N/A	VARIOUS	154,607	
Battelle Energy Alliance, LLC	Dept. of Energy	* N/A	00118627	159,142	
Battelle Energy Alliance, LLC	Dept. of Energy	* N/A	00128493	145,992	
Battelle Memorial Institute, PNWNL	Dept. of Energy	* N/A	VARIOUS	30,000	
Bechtel Marine Propulsion Corp / Bettis L	Dept. of Energy	* N/A	VARIOUS	7,976	
Brigham Young University	Dept. of Energy	* N/A	VARIOUS	21,058	
Brigham Young University	Dept. of Energy	* N/A	DE-NA000439, 10-0308	127,618	
Houston Advanced Research Center	Dept. of Energy	* N/A	VARIOUS	21,285	
Montana State University	Dept. of Energy	* N/A	VARIOUS	15,314	
New Mexico Institute of Mining & Tech	Dept. of Energy	* N/A	DDCK10	104,955	
Ohio State University Research Foundation	Dept. of Energy	* N/A	VARIOUS	68,435	
University of Wyoming	Dept. of Energy	* N/A	1000597	249,924	
Virginia Tech Polytechnic Institute / SU	Dept. of Energy	* N/A	429305-19138/DE-FE0005476	114,915	
(R&D) Administration on Aging —					
Alzheimer's Association Utah Chapter	DHHS	* N/A	VARIOUS	36,779	
(R&D) Natl Ctr Minority Hlth & Hlth Disparities					
University of Pennsylvania	DHHS	* N/A	VARIOUS	88,730	
(R&D) Centers Disease Control & Prevent —					
High Plains Intermountain Ctr Ag	DHHS	* N/A	VARIOUS	7,571	
(R&D) DHHS Research and Development —					
Lehigh University	DHHS	* N/A	VARIOUS	17,909	
Marshfield Clinic	DHHS	* N/A	VARIOUS	1,327	
Microbiotix, Inc.	DHHS	* N/A	VARIOUS	23,033	
Ventria Bioscience	DHHS	* N/A	VARIOUS	31,920	
(R&D) National Institute on Health —					
Adamas Pharmaceuticals	DHHS	* N/A	VARIOUS	4,036	
Colorado State University	DHHS	* N/A	VARIOUS	18,126	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Colorado State University	DHHS	* N/A	G-7820-1	280,192	
Colorado State University	DHHS	* N/A	G-7822-1	297,753	
Institute for Systems Biology	DHHS	* N/A	VARIOUS	45,918	
Johns Hopkins University	DHHS	* N/A	VARIOUS	40,630	
The University of Iowa	DHHS	* N/A	VARIOUS	58,570	
University of California, Davis	DHHS	* N/A	VARIOUS	(1,981)	
University of California, Irvine	DHHS	* N/A	VARIOUS	16,129	
University of Missouri, Columbia	DHHS	* N/A	VARIOUS	67,240	
University of New Hampshire	DHHS	* N/A	VARIOUS	53,799	
University of Texas, Austin	DHHS	* N/A	VARIOUS	1,314	
(R&D) Inst Deafness Comm. Disorders — Ohio University	DHHS	* N/A	VARIOUS	90,145	
(R&D) Institute of Allergy & Infectious Dis — Colorado State University	DHHS	* N/A	G-7835-5/5U54 AI065357	146,891	
(R&D) Institute of Child Health & Human D— IRIS Educational Media	DHHS	* N/A	VARIOUS	23,382	
ARRA-(R&D) National Institute of Health — Montana State University	DHHS,NIH	* N/A	VARIOUS	6,728	
(R&D) Institute on Aging — Brigham Young University	DHHS	* N/A	VARIOUS	20,710	
(R&D) Admin for Children and Families — Ogden-Weber Community Action Part.	DHHS	* N/A	VARIOUS	(11,226)	
(R&D) Department of Homeland Security — Norwich Univ Applied Research Inst	DHS	* N/A	PO 2013-016 / FA8750-08-C-0262	474,185	
(R&D) Agency for International Develop. — Colorado State University	AID	* N/A	VARIOUS	106,079	
Development Alternatives, Inc.	AID	* N/A	VARIOUS	28,646	
(R&D) Other Government Agencies — Exelis, Inc.	OTHER	* N/A	VARIOUS	8,801	
Pass-Through From Non-State Entities: Non-Research Grants					
(SE) Special Ed Grants to States — Iowa Department of Education	ED,SERS	* 84.027	VARIOUS	16,867	
Kansas State Board of Education	ED,SERS	* 84.027	21007	477,747	
State of Idaho Department of Education	ED,SERS	* 84.027	VARIOUS	15	
State of Wyoming Dept of Education	ED,SERS	* 84.027	VARIOUS	2,693	
(CIL) Centers for Independent Living — Independent Living Resh Utilization	ED,SERS	84.132	VARIOUS	55,815	
TIRR Memorial Herman	ED,SERS	84.132	VARIOUS	129,958	
Natl Inst Disability & Rehabilitation — Independent Living Rsch Utilizatio	ED,SERS	84.133	VARIOUS	9,941	
TIRR Memorial Herman	ED,SERS	84.133	VARIOUS	19,060	
Special Ed Grants for Infants and Families — Nebraska Dept of Ed	ED,SERS	84.181	VARIOUS	71,736	
Special Proj & Demonstrations for Providing — George Washington Univ	ED,SERS	84.235	VARIOUS	2,653	
Investing in Innovation (i3) Fund — State of Wyoming Department of Ed	ED,OII	84.411	VARIOUS	34	
Forest Service — Case, Lowe & Hart, Inc. (CLH)	USDA,FS	N/A	VARIOUS	68,268	
National Institute of Food & Agriculture — Colorado State University	USDA,NIFA	N/A	VARIOUS	4,727	
Kansas State University	USDA,NIFA	N/A	VARIOUS	19,856	
New Mexico State University	USDA,NIFA	N/A	VARIOUS	8,283	
University of California, Davis	USDA,NIFA	N/A	VARIOUS	11,454	
University of Idaho	USDA,NIFA	N/A	VARIOUS	26,868	
University of Wyoming	USDA,NIFA	N/A	VARIOUS	15,320	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Cooperative State Research Service — Colorado State University	USDA,NIFA	N/A	VARIOUS	55,546	
Agriculture Research and Development — Kansas State University	USDA	N/A	VARIOUS	96,894	
Risk Management Agency — University of Nevada, Reno	USDA,RMA	N/A	VARIOUS	80,158	
National Institute of Standards Tech — University of Colorado, Boulder	DOC,NIST	N/A	VARIOUS	18,619	
Navy Research and Development — Management Sciences, Inc.	DOD	N/A	VARIOUS	(13,073)	
Office of Juvenile Justice & Delinquency P— National 4-H Council	DOJ	N/A	VARIOUS	190,555	
National 4-H Council	DOJ	N/A	2011-MU-MU-0026	100,697	
ARRA-Department of Justice — CAPSA	DOJ	N/A	VARIOUS	11,185	
Natl Endowment for the Humanities — Utah Humanities Council	NFAH,NEA	N/A	VARIOUS	1,869	
Environmental Protection — Colorado State University	EPA	N/A	VARIOUS	15,412	
ARRA-Centers for Independent Living — TIRR Memorial Hermann	ED.SERS	N/A	VARIOUS	55,275	
Centers for Disease Control and Prevention — Marshfield Clinic	DHHS	N/A	VARIOUS	9,143	
Depart. of Health and Human Services — Kaiser Foundation Research Institut	DHHS	N/A	VARIOUS	23,311	
Utah County	DHHS	N/A	VARIOUS	250	
Substance Abuse and Mental Health — The Children's Center	DHHS	N/A	VARIOUS	48,258	
Utah County	DHHS	N/A	VARIOUS	17,000	
Corporation National & Community Svc — Colorado Campus Compact	CNCS	N/A	VARIOUS	766	
Oregon Campus Compact	CNCS	N/A	VARIOUS	197	
The Corps Network	CNCS	N/A	VARIOUS	46,903	
Subtotal – Utah State University				162,594,508	9,517,778

UTAH VALLEY UNIVERSITY

Research Grants

(R&D) Mojave Flora Checklist	DOI,BLM	* 15.231	L12AC20568	3,557	
(R&D) Poppy Polulations	DOI,BLM	* 15.238	L10AC20099	2,767	
(R&D) Methane Imaging	NSF	* 47.049	AST-1009776	12,669	
(R&D) CEDAR Postdoc	NSF	* 47.050	AGS-1315193	23,028	
(R&D) Colonialism Etan Peru	NSF	* 47.075	BCS-1026169	6,427	
(R&D) ARTCTIC	NSF	* 47.078	ARC-1314323	58,647	25,095

Non-Research Grants

Employment and Training Administration	DOL,ETA	17.282	TC-23788-12-60-A-49	96,883	
Noyce Professional Engagement	NSF	47.076	DUE-0934942	177,442	
Pro STEM	NSF	47.076	DUE-1154621	406	
Native American Regional IT Workforce Dev	NSF	47.080	OCI-1041410	107,235	5,896
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124219	476,572	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114219	26,284	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A124219	770,199	
(TRIO) Student Support Services	ED,PE	84.042	P042A101437	236,876	
(TRIO) Talent Search	ED,PE	84.044	P044A110955	275,437	
(TRIO) Upward Bound	ED,PE	84.047	P047A081130	42,090	
(TRIO) Upward Bound	ED,PE	84.047	P047A120673	289,129	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P112493	(31,798)	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P122493	52,186,619	
FIPSE - DESHM	ED,PE	84.116	P116N080008	4,148	
EU US Atlantis	ED,PE	84.116	P116J090088	12,440	
FIE - Boot Camp High Schl Entrepreneurs	ED,OII	84.215	U215K100137	43,766	
Gear-Up Scholarships	ED,PE	84.334	P334S050019	1,648,049	
Gear-Up	ED,PE	84.334	P334S110015	3,144,366	547,021
Child Care Access Means Parents in School	ED,PE	84.335	P335A090223	218,053	
NFA State Fire Training Program	DHS	97.043	EMW-2011-GR-00053-S01	2,617	
NFA State Fire Training Program	DHS	97.043	EMW-2012-GR-00031-S01	11,471	
AmeriCorps Student Awards	CNCS	N/A	VARIOUS	83,989	
Pass-Through From Non-State Entities:					
Research Grants					
(R&D) Paleofaulting Investigation S Andreas Fault - Univ of So California	DOI,GS	* 15.808	G12AC20038	10,844	
(R&D) Dry Lake Valley Paleoseismic Site — Univ of So California	NSF	* 47.050	38448074	14,674	
(R&D) Volume Charge Dist Measure Thin Dielectrics Box Elder Innovations	DOD,DAF	* N/A	FA9453-12-M-008	9,877	
Pass-Through From Non-State Entities:					
Non-Research Grants					
(EDC) FHI Development 360 — Open World	OTHER	N/A	VARIOUS	24,085	
Supporting Manufacturers in New Energy Eco WestCamp Inc.	DOC,NIST	11.611	70NANB10H319	313,381	
Subtotal – Utah Valley University				60,302,229	578,012

WEBER STATE UNIVERSITY

Research Grants

(R&D) AG Research – Basic and Applied	USDA,ARS	* 10.001	VARIOUS	6,144	
(R&D) Migratory Bird Monitoring, Conservation	DOI,FWS	* 15.655	601819J301	11,154	
(R&D) Endangered Species Conservation	DOI,FWS	* 15.657	F12AC006559	4,278	
(R&D) Digital Humanities	NFAH,NEH	* 45.169	HD-51258-11	5,727	
(R&D) Geosciences	NSF	* 47.050	VARIOUS	52,190	
(R&D) CESU TASKS	DOI,NPS	* N/A	J1330-100027/110030	15,024	
(R&D) Forest Service	DOI,FS	* N/A	10-CS-11041000-022	470	

Non-Research Grants

Research and Technical Assistance	DOD,OEA	12.615	VARIOUS	18,406	
Surveys, Studies, Research, Investigations	EPA,OAR	66.034	XA-83523201	82,327	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A124221	427,934	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114221/P033A124221	921,896	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A124221	66,759	
(TRIO) Student Support Services	ED,PE	84.042	P042A101501	388,292	
(TRIO) Talent Search	ED,PE	84.044	P044A110773	223,010	
(TRIO) Upward Bound	ED,PE	84.047	VARIOUS	657,145	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	30,927,180	
Fund for the Improvement of Postsecondary Education	ED,PE	84.116	P116Z080202	62,809	
Fund for the Improvement of Education	ED,II	84.215	U215K100083	2,506	
Health Careers Opportunity Program	DHHS,HRSA	93.822	D18HP13631	182,981	
Specially Selected Health Projects	DHHS,HRSA	93.888	D1DHP20348-01-01	120,562	
Participating Agreement	USDA	N/A	04-PA-11046000-044	3,709	

Pass-Through From Non-State Entities:

Research Grants

(R&D) Fish, Wildlife and Plant Conservation — The Nature Conservancy	DOI,BLM	* 15.231	VARIOUS	6,002	
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* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2013

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Migratory Bird Monitoring, Assess — Ogden City	DOI,FWS	* 15.655	VARIOUS	25,512	
(R&D) Cooperative Research Units Pgm — The Regents of New Mexico State	DOI,GS	* 15.812	G12AC20094	2,282	
(R&D) Cooperative Research Units Pgm — South Dakota State University	DOI,GS	* 15.812	3TB627	21,938	
(R&D) Aerospace Education Services Pgm — University of Washington	NASA	* 43.001	462967, 748853	47,000	
Pass-Through From Non-State Entities: Non-Research Grants					
Education and Human Resources — Lorain County Community College	NSF	47.076	LCCC1-0000100293	6,512	
Fund for the Improvement of Education — United Way of Northern Utah	ED,ERI	84.215	042012-002	4,200	
Fund for the Improvement of Education — Ogden City School District	ED,ERI	84.215	MOU PO#3790	17,637	
Gear Up — Ogden City School District	ED,PE	84.334	VARIOUS	179,906	
Supporting Effective Educator Develop. — National Writing Project Corp	ED,ESE	84.367	07-UT02-SEED2012	12,016	
CDC Investigations & Technical Assistance — Weber-Morgan Health Department	DHHS,CDC	93.283	VARIOUS	875	
Subtotal – Weber State University				<u>34,504,383</u>	<u>0</u>
Subtotal – Component Units – College and University				<u>687,567,992</u>	<u>43,321,434</u>
COMPONENT UNITS - OTHER					
<u>Comprehensive Health Insurance Pool</u>					
High Risk Pool Operational Loss Grant	DHHS,CMS	93.780	1G0CMS300045/02-04	1,610,691	
Subtotal – Comprehensive Health Insurance Pool				<u>1,610,691</u>	<u>0</u>
<u>Utah Schools For The Deaf And Blind</u>					
Deaf Blind Centers	ED,SERS	84.326	H326C030012	101,157	
Subtotal – Utah Schools for the Deaf and Blind				<u>101,157</u>	<u>0</u>
Subtotal – Component Units – Other				<u>1,711,848</u>	<u>0</u>
TOTAL FEDERAL EXPENDITURES				<u>\$ 4,510,270,470</u>	<u>\$ 679,401,190</u>
Summary of Endowments, Loan Guarantees, and Loans Outstanding Reported in Notes to the Schedule of Expenditures of Federal Awards				<u>\$ 2,226,145,841</u>	
TOTAL				<u>\$ 6,736,416,311</u>	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 1. **PURPOSE OF THE SCHEDULE**

The accompanying Schedule of Expenditures of Federal Awards (Schedule) is a supplementary schedule to the State's basic financial statements and is presented for purposes of additional analysis. The Schedule is required by Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

NOTE 2. **SIGNIFICANT ACCOUNTING POLICIES**

A. **Basis of Presentation** – The information in the Schedule is presented in accordance with OMB Circular A-133.

- Federal Financial Assistance – Pursuant to the Single Audit Act of 1984 (Public Law 98-502); the Single Audit Act Amendments of 1996 (Public Law 104-156); and OMB Circular A-133, federal financial assistance is defined as assistance provided by a federal agency, either directly or indirectly, in the form of grants, contracts, cooperative agreements, loans, loan guarantees, property, interest subsidies, insurance, endowments, or direct appropriations. Accordingly, nonmonetary federal assistance, including food stamps, food commodities, vaccines, food vouchers, and surplus property, is included in federal financial assistance and, therefore, is reported on the Schedule. Federal financial assistance does not include direct federal cash assistance to individuals. Solicited contracts between the State and Federal Government for which the Federal Government procures tangible goods or services are not considered to be federal financial assistance.
- Catalog of Federal Domestic Assistance – OMB Circular A-133 requires the Schedule to show the total expenditures for each of the State's federal financial assistance programs as identified in the Catalog of Federal Domestic Assistance (CFDA). The CFDA is a government-wide compendium of individual federal programs. Each program included in the CFDA is assigned a five-digit program identification number (CFDA number) which is reflected in the Schedule. Federal financial assistance programs and contracts which have not been assigned a CFDA number or, where management has been unable to determine the CFDA number, have been identified as N/A in the CFDA number column of the schedule.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- Cluster of Programs – Closely related programs with different CFDA numbers which share common compliance requirements are considered a cluster of programs. Clusters are defined by the OMB. Clustered programs presented in the Schedule are identified with a cluster prefix at the beginning of the grant name:
 - (AGE) Aging Cluster
 - (CC) CCDF Cluster (Child Care)
 - (CIL) Centers for Independent Living Cluster
 - (EDC) Economic Development Cluster
 - (ES) Employment Services Cluster
 - (ETSG) Educational Technology State Grants Cluster
 - (FDC) Food Distribution Cluster
 - (FW) Fish and Wildlife Cluster
 - (HPC) Highway Planning and Construction Cluster
 - (HS) Highway Safety Cluster
 - (JAG) JAG Program Cluster
 - (MED) Medicaid Cluster
 - (NUT) Child Nutrition Cluster
 - (R&D) Research and Development Programs
 - (SCDBG) CDBG – State-Administered CDBG Cluster
 - (SDS) Statewide Data Systems Cluster
 - (SE) Special Education (IDEA) Cluster
 - (SFA) Student Financial Assistance Programs
 - (SIG) School Improvement Grants Cluster
 - (SNAP) SNAP Cluster
 - (SSI) Disability Insurance/SSI Cluster
 - (TANF) TANF Cluster
 - (TIPA) Title I, Part A Cluster
 - (TRIO) TRIO Cluster
 - (TSP) Transit Services Programs Cluster
 - (WIA) WIA Cluster
- Type A and Type B Programs – The Single Audit Act of 1984 (as amended in 1996) and OMB Circular A-133 establish the levels to be used in defining Type A and Type B federal programs. Type A programs for the State of Utah are those programs which exceeded \$15,900,000 in federal awards expended for the fiscal year ended June 30, 2013. All other programs are classified as Type B by the State. For the year ended June 30, 2013, certain low-risk Type A programs were not audited and certain Type B programs were audited as required by OMB Circular A-133. All Type A and Type B programs that were audited as major programs are noted on the Schedule. A listing of these programs is in the “Schedule of Findings and Questioned Costs, Part I. Summary of Auditor’s Results.”

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- B. Reporting Entity – The State reporting entity is fully described in Footnote 1.A. of the State’s basic financial statements. The Schedule includes all federal financial assistance programs administered by the State for the year ended June 30, 2013.
- C. Basis of Accounting – Federal financial assistance programs included in the Schedule are primarily reported in the State’s basic financial statements as grants and contributions in the entity-wide Statement of Activities and as federal grants and contracts or federal reinsurance in the fund financial statements. The Schedule is presented using the same basis of accounting as that used in reporting the expenditures of the related funds in the State’s basic financial statements. The basis of accounting used for each fund is described in Footnote 1.C. of the State’s basic financial statements.
- Indirect Costs – The Schedule includes a portion of costs associated with general activities which are allocated to federal financial assistance programs under negotiated formulas commonly referred to as indirect cost rates.
 - Matching Costs – The Schedule does not include matching expenditures.
 - Nonmonetary Assistance – The Schedule contains values for several nonmonetary assistance programs. The Food Stamp program is presented at the dollar value of food stamp electronic benefit transfers authorized and used by recipients. The Commodities and Vaccine programs are presented at the federally assigned value of commodities disbursed by the State. The Surplus Property program is presented at the estimated fair value of the property distributed. The fair value was estimated to be 23.3% of the property’s original federal acquisition value.
 - Endowment, Loan, and Loan Guarantee Programs – Information on federal endowment, federal loan, and federal loan guarantee programs is included in the footnotes instead of on the Schedule.
 - Direct and Indirect (Pass-Through) Federal Financial Assistance – The majority of the State’s federal financial assistance is received directly from the granting federal agency (i.e., the State is the primary recipient). However, some federal financial assistance, as identified on the Schedule, is passed through a separate entity prior to receipt by the State (i.e., the State is a subrecipient). Although this type of assistance is included on the Schedule as “Pass-Through From Non-State Entities,” it is not reported as federal revenue on the State’s basic financial statements because it was not awarded directly from the Federal Government to the State.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- Pass-Through Expenditures – The Schedule includes a column for “Pass-Through” to identify the amount provided to subrecipients. The State makes subgrants to other entities to carry out the federal programs.
- Federal Transactions Between State Entities – Some state entities transfer (expend) federal assistance to other state entities (i.e., a pass-through of funds by the primary recipient state entity to a subrecipient state entity). In this case, the federal revenue and expenditures are only reported once within the same fund on the State’s basic financial statements in accordance with generally accepted accounting principles (GAAP) and reported once on the Schedule in the primary recipient state entity. This method avoids duplication and the overstatement of the aggregate level of federal financial assistance expended by the State. However, purchases of services between state entities using federal monies are reported in the basic financial statements as expenditures or expenses by the purchasing entity and as revenues for services rendered by the providing entity.
- Supplemental Nutrition Assistance Program (SNAP) – The reported expenditures for benefits under the Supplemental Nutrition Assistance Program (SNAP) (CFDA #10.551) are supported by both regularly appropriated funds and incremental funding made available under section 101 of the American Recovery and Reinvestment Act of 2009. The portion of total expenditures for SNAP benefits that is supported by Recovery Act funds varies according to fluctuations in the cost of the Thrifty Food Plan, and to changes in participating households’ income, deductions, and assets. This condition prevents USDA from obtaining the regular and Recovery Act components of SNAP benefits expenditures through normal program reporting processes. As an alternative, USDA has computed a weighted-average percentage to be applied to the national aggregate SNAP benefits provided to households in order to allocate an appropriate portion thereof to Recovery Act funds. This methodology generates valid results at the national aggregate level but not at the individual State level. Therefore, we cannot validly disaggregate the regular and Recovery Act components of our reported expenditures for SNAP benefits. At the national aggregate level, however, Recovery Act funds account for 10.95 percent of USDA’s total expenditures for SNAP benefits in the federal fiscal year ended September 30, 2012.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 3. RECONCILIATION OF EXPENDITURES TO FEDERAL REVENUES

Expenditures reported in the Schedule agree with the federal revenues reported in the State's basic financial statements with the following reconciling items:

Total Federal Expenditures from the Schedule	\$ 4,510,270,470
Reconciling items:	
A. Federal Capital Contribution for loan programs reported as federal revenue in the State's Governmental Fund and Proprietary Fund Financial Statements but not reported on the Schedule:	
HOME Investment Partnership Loans (14.239)	1,646,264
Clean Water Capitalization Grant State Revolving Fund Loans (66.458)	4,427,144
Drinking Water Capitalization Grant State Revolving Fund Loans (66.468)	8,946,290
B. Federal assistance reported on the Schedule under the Board of Regents' Student Loan Purchase Program and the Student Loan Guarantee Program – Utah Higher Education Assistance Authority which is reported in the Proprietary Fund Financial Statements as non-federal revenue.	16,694,243
C. Federal flow-through funds for colleges and universities which are not reported in the Component Unit Financial Statements as federal revenue.	(53,619,224)
D. State-funded unemployment insurance expenditures reported on the Schedule which are not reported as federal revenue in the Proprietary Fund Financial Statements.	(233,861,812)
E. Amounts not included and not reported in the single audit for Build America Bonds subsidy payments and the Temporary High Risk Insurance Pool Program.	51,302,982
F. Fund Financial Statements accrual of federal pass-through revenues to local school districts.	2,817,414
G. Reed Act money spent that was received in prior years.	(8,946,417)
H. Medicaid increased pharmacy rebate accrual for change to Accountable Care Organization service delivery model.	(6,203,257)
I. Other miscellaneous reconciling items, net.	(1,152,560)
Total federal revenue per the Fund Financial Statements	<u>\$ 4,292,321,537</u>
FEDERAL REVENUE PER THE FUND FINANCIAL STATEMENTS:	
Governmental Funds – Federal Grants and Contracts	\$ 3,489,515,000
Proprietary Funds – Federal Reinsurance and Allowances / Reimbursements	114,250,000
Proprietary Funds – Federal Grants	54,264,000
Component Units – Operating Grants and Contributions	<u>988,410,000</u>
Total	4,646,439,000
Less amounts included in the Component Unit revenues for:	
State, local, and other grants and contributions	(312,448,297)
College and university federal flow-through funds from State agencies	<u>(41,669,166)</u>
Total federal revenue per the Fund Financial Statements	<u>\$ 4,292,321,537</u>

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 4. NONMONETARY ASSISTANCE INVENTORY

As described previously in Note 2, nonmonetary assistance is reported in the Schedule based on the amount disbursed. As of June 30, 2013, the following inventories of nonmonetary assistance existed:

<u>Assistance</u>	<u>Inventory Balance June 30, 2013</u>
Food Commodities	\$ 1,056,698

NOTE 5. FEDERAL REINSURANCE ON DEFAULTED LOANS

The Utah Higher Education Assistance Authority has entered into agreements with the U.S. Department of Education which provide for federal reinsurance against defaulted acquired student loans according to the following schedule for loans disbursed:

<u>Annual Default Rate</u>	<u>Federal Reinsurance for Loans Disbursed Prior to October 1, 1993</u>	<u>Federal Reinsurance for Loans Disbursed On or After October 1, 1993 and Prior to October 1, 1998</u>
0% to less than 5%	100%	98%
5% to less than 9%	100% of claims up to 5% and 90% of claims greater than 5% but less than 9%	98% of claims up to 5% and 88% of of claims greater than 5% but less than 9%
9% or greater	100% of claims up to 5%, 90% of claims greater than 5% but less than 9%, and 80% of claims 9% or greater	98% of claims up to 5%, 88% of claims greater than 5% but less than 9%, and 78% of claims 9% or greater

Federal reinsurance is paid at a reduced rate for loans whose first disbursement was on or after October 1, 1998, as follows:

<u>Annual Default Rate</u>	<u>Federal Reinsurance for Loans Disbursed On or After October 1, 1998</u>
0% to less than 5%	95%
5% to less than 9%	95% of claims up to 5% and 85% of claims greater than 5% but less than 9%
9% or greater	95% of claims up to 5%, 85% of claims greater than 5% but less than 9%, and 75% of claims 9% or greater

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

As of June 30, 2013, the Utah Higher Education Assistance Authority had guaranteed student loans outstanding with a current principal and interest balance of approximately \$1.403 billion. Annual default rates for purposes of application of federal reinsurance are calculated on a federal fiscal year-end basis by dividing default claims filed for the year by the original guarantee amount of loans in repayment at the end of the preceding year. The Utah Higher Education Assistance Authority's annual default rate was less than 5% for the federal fiscal year ended September 30, 2012.

NOTE 6. FEDERAL LOANS OUTSTANDING AND NEW LOANS ISSUED

The State administers the following federal loan programs:

	<u>CFDA #</u>	<u>Beginning Balance at July 1, 2012</u>	<u>New Loans Issued During Fiscal Year 2013</u>	<u>Repayments/ Adjustments During Fiscal Year 2013</u>	<u>Outstanding Balance at June 30, 2013</u>
Rural and Economic Development Program	10.433	\$ 2,383,484	\$ 2,772,340	\$ 130,203	\$ 5,025,621
Rental Rehabilitation Program	14.230	23,884	255,000	1,674	277,210
HOME Investment Partnership	14.239	55,978,358	5,101,545	3,946,896	57,133,007
Highway Planning and Construction Program *	20.205	1,855,232	-	1,074,364	780,868
Clean Water Capitalization Grant State Revolving Fund ¹	66.458	192,497,302	11,104,000	17,797,367	185,803,935
Drinking Water Capitalization Grant State Revolving Fund ¹	66.468	100,548,833	14,401,000	15,379,215	99,570,618
State Energy Program Revolving Fund * ²	81.041	1,163,874	2,075,394	645,300	2,593,968
(SFA) Perkins Loan Program *	84.038	54,050,917	8,698,481	9,623,779	53,125,619
(SFA) Health Professions Student Loan Program *	93.342	2,769,235	588,966	361,332	2,996,869
(SFA) Nursing Student Loan Program *	93.364	469,127	69,626	122,397	416,356
		<u>\$ 411,740,246</u>	<u>\$ 45,066,352</u>	<u>\$ 49,082,527</u>	<u>\$ 407,724,071</u>

* Denotes a major program

¹ Funding sources for new loans issued in the Clean Water Capitalization Grant State Revolving Fund and the Drinking Water Capitalization Grant State Revolving Fund are a combination of federal capital contributions and existing fund equity.

² The source for new loans in the State Energy Program Revolving Fund is ARRA funding.

Only the administrative allowances expended under these programs during the year are included in the Schedule.

Some of the above loan programs require matching funds from the State. The HOME Loan Program requires a 25 percent match; the loans made with the match money are separate loans, accounted for separately, and are not included in the above numbers.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

Other loan programs above require a 0 to 25 percent match. The above numbers for these loan programs include the State match.

The colleges and universities also partially administer the Federal Direct Student Loans (Direct Loan) Program. The loans of the Direct Loan Program are made by the Secretary of Education, but the colleges and universities are responsible for completing portions of the loan applications, verifying student eligibility, filing Student Confirmation Reports, and disbursing funds to students. During the fiscal year ending June 30, 2013, the colleges and universities disbursed the following amount of new loans:

	<u>CFDA #</u>	<u>New Loans Disbursed During Fiscal Year 2013</u>
(SFA) Federal Direct Student Loans *	84.268	\$ 413,577,891

* Denotes a major program

NOTE 7. FEDERAL ENDOWMENT

The Utah Arts Council of the Utah Department of Heritage and Arts maintains an endowment from the U.S. National Endowment for the Arts. The endowment is a permanent fund used to provide support for the Utah Arts Endowment Fund and was created with \$600,000 of federal funds on September 26, 1991. Only the interest from the endowment is used to make grants to individual artists and ethnic art groups. The Utah Arts Endowment Fund also accepts donations that are used to make grants. During the year ended June 30, 2013, interest earnings and contributions were \$4,787 and no grants were issued. The Utah Arts Endowment Fund balance at June 30, 2013, comprised of the federal endowment and donations, was \$800,645.

NOTE 8. WOMEN, INFANT, AND CHILDREN PROGRAM FOOD REBATES

During the fiscal year ended June 30, 2013, the Utah Department of Health received \$10,864,661 of cash rebates from infant formula manufacturers on the sale of formula to participants in the Women, Infants and Children (WIC) Program (CFDA #10.557). Rebate contracts with infant formula manufacturers are authorized by federal regulation 7 CFR 246.16a as a cost containment measure.

Rebates are reported as a reduction of expenditures previously incurred for WIC food benefit costs. The cash rebates resulted in more persons being served than if there were no rebates.

The cash rebates received in the fiscal year ended June 30, 2013 correspond to an annual average food benefit for 18,312 persons.

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**SCHEDULE OF FINDINGS AND
QUESTIONED COSTS**

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART I. SUMMARY OF AUDITOR'S RESULTS

FINANCIAL STATEMENTS:

- 1. Type of auditor's report issued: *Unmodified*
- 2. Internal control over financial reporting:
 - Material weakness identified? yes no
(#2013-001)
 - Significant deficiencies identified? yes none reported
(#2013-002 and 2013-003)
- 3. Noncompliance material to financial statements noted? yes no

FEDERAL AWARDS:

- 4. Internal control over major programs:
 - Material weaknesses identified? yes no
The material weaknesses relate to the following programs:
 93.568 Low-Income Home Energy Assistance Program
 (#2013-030, #2013-031)
 97.036 Disaster Grants-Public Assistance (#2013-036)
 97.067 Homeland Security Grant Program (#2013-036)
 17.258, 17.259, 17.278 (WIA) Workforce Investment Act Cluster
 (#2013-039)
 96.001 (SSI) Disability Determination (#2013-041)
 65.005 Grants to States for Construction of State Home Facilities
 (#2013-046, #2013-047 and #2013-048)
 - Significant deficiencies identified yes no
- 5. Type of auditor's report issued on compliance for major programs: *Unmodified for all major programs except for the following program which was modified:*

93.568 Low-Income Home Energy Assistance Program (#2013-031)
- 6. Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? yes no

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART I. SUMMARY OF AUDITOR'S RESULTS

7. Identification of major programs:

<u>CFDA #</u>	<u>GRANT NAME</u>
10.551, 10.561	(SNAP) SNAP Cluster
10.558	Child and Adult Care Food Program
17.207, 17.801, 17.804	(ES) Employment Services
17.225	Unemployment Insurance
17.258, 17.259, 17.278 *	(WIA) WIA Cluster
20.205, 20.219	(HPC) Highway Planning and Construction Cluster
64.005	Construction of Veterans State Nursing Homes
64.015 *	Veterans State Nursing Home Care
66.605 *	Performance Partnership Grant
81.041 *	State Energy Program
84.010	(TIPA) Title I, Part A Cluster
84.032	Federal Family Education Loans
84.027, 84.173, 84.391	(SE) Special Education (IDEA) Cluster
84.048 *	Career and Technical Education – Basic Grants to States
84.126	Vocational Rehabilitation
93.069 *	Emergency Preparedness
93.268	Immunization Project/Vaccine Expend.
93.558	(TANF) TANF Cluster
93.568	Low Income Home Energy Assistance
93.575, 93.596	(CC) CCDF Cluster (Child Care)
93.658	Foster Care– Title IV-E
93.767	Children’s Health Insurance Program
93.775, 93.777, 93.778	(MED) Medicaid Cluster
94.006 *	NCS Americorps Subgrantees
96.001 *	(SSI) Disability Insurance/SSI Cluster
97.036 *	Disaster Grants – Public Assistance
97.067 *	Homeland Security Grant Program
various	(SFA) Student Financial Assistance Programs Cluster
various	(R&D) Research and Development Programs

* Type B Program

8. Dollar threshold used to distinguish between Type A and Type B programs:

\$15,900,000

9. Auditee qualified as low-risk auditee?

___ yes x no

SCHEDULE OF FINDINGS AND QUESTIONED COSTS **PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT**

GENERAL FINDINGS

2013-001. **INADEQUATE INTERNAL CONTROLS OVER FINANCIAL REPORTING OF TAX ACCRUALS**

(Utah State Tax Commission)

CFDA Number and Title: N/A

Federal Award Number: N/A

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah State Tax Commission did not perform a timely review of the 45-day tax accrual calculation to ensure that the accrual was properly prepared in accordance with generally accepted accounting principles. As a result, a material adjustment totaling approximately \$24.5 million was required to reduce the 45-day accrual used in the preparation of the State's basic financial statements.

Management is responsible for the preparation and accuracy of the financial statements and for establishing internal controls and procedures to accurately capture and record transactions.

Recommendation:

We recommend that the Utah State Tax Commission perform timely reviews of the 45-day tax accrual calculation to ensure that the State's tax revenues and related accruals are properly reported in conformity with generally accepted accounting principles.

Tax Commission's Response:

Upon final review of the 45-day tax accrual, the Tax Commission adjusted the accrual amount to properly reflect the 45-day tax accrual. In order to facilitate timely identification of accrual adjustments, the Tax Commission and the State Division of Finance will meet prior to fiscal year end 2014 to evaluate the 45-day accrual process and ensure a standardized procedure. This will include a final review by both Finance and the Tax Commission.

Once any potential changes to the 45-day accrual procedure are agreed upon, the Tax Commission and Finance will execute any necessary steps to meet the requirements of the change. The standardized procedure will be used for efficient and prompt annual financial reporting, and to manage and minimize business risk associated with currently complex accrual calculations.

Any subsequent changes to the 45-day accrual procedure will be managed through periodic change review meetings and properly documented.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT

GENERAL FINDINGS

*Contact Person: Scott Stevens, Chief Financial Officer, Utah State Tax Commission,
(801) 297-3872*

Anticipated Correction Date: October 1, 2014

2013-002. INADEQUATE INTERNAL CONTROLS OVER FINANCIAL REPORTING (Department of Administrative Services)

CFDA Number and Title: N/A

Federal Award Number: N/A

Questioned Costs: N/A

Pass-through Entity: N/A

The State does not have adequate internal controls to ensure that all transactions are properly recorded in the general ledger and that the financial statements are properly prepared in accordance with generally accepted accounting principles. As a result, significant audit adjustments and balances were proposed to properly present the State's financial activities in the financial statements.

Management is responsible for the preparation and accuracy of the financial statements and for establishing internal controls and procedures to accurately record transactions.

Recommendation:

We recommend that the Department of Administrative Services' Division of Finance strengthen existing controls to ensure that transactions are properly recorded in the general ledger and that the financial statements properly present the State's financial activities and balances in conformity with generally accepted accounting principles.

Department's Response:

We agree with this finding and recommendation. The Division of Finance will continue to improve its internal controls established to accurately record transactions. As part of this effort, we will follow up on all audit adjustments to help ensure that the underlying problems are resolved. Various factors such as the diversity of the sources and types of information summarized for presentation in the Comprehensive Annual Financial Report, as well as the timing of the audit procedures make it difficult for any control in this area to completely eliminate audit adjustments. However, we are committed to maintaining sound internal controls in order to keep the number of audit adjustments to a minimum.

Contact Person: John Reidhead, Director, Division of Finance, (801) 538-3095

Anticipated Correction Date: June 30, 2014

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

2013-003. **MANAGEMENT OVERRIDE OF INTERNAL CONTROLS**
(Department of Workforce Services)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program**

Federal Award Number: **G-13B1UTLIEA**

Questioned Costs: **\$455**

Pass-through Entity: N/A

NOTE: This finding is presented in detail in Finding No. 2013-030 in Part III of this section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF AGRICULTURE

2013-004. **INADEQUATE INTERNAL CONTROL OVER TRANSPARENCY REPORTING**
(Utah State Office of Education)

CFDA Numbers and Titles: 1) **84.010** Title I Grants to Local Educational Agencies
2) **84.027** IDEA Cluster
3) **84.048** Career and Technical Education
4) **10.558** Child and Adult Care Food Program

Federal Award Numbers: 1) **S010A110044**
2) **H027A110059**
3) **V048A110044-11A**
4) **11113UT311N2020 and 12123UT311N2020**

Questioned Costs: N/A
Pass-through Entity: N/A

NOTE: This finding is presented in detail in Finding No. 2013-015.

2013-005. **NONCOMPLIANCE WITH CASH MANAGEMENT REQUIREMENTS**
(Department of Workforce Services)

CFDA Number and Title: **10.561 Supplemental Nutrition Assistance (SNAP) Cluster**
Federal Award Numbers: **various**
Questioned Costs: **\$-0-**
Pass-through Entity: N/A

We tested the weekly and reconciling cash draws made by DWS for SNAP Administration costs and noted the following:

- Although the third quarter reconciliation was prepared for state fiscal year 2013, the related reconciling draw totaling \$1,641,299 was not made due to an oversight by the financial manager. DWS estimates the projected federal share of certain administrative expenditures quarterly for SNAP Administration, divides the estimate by 13 to arrive at a weekly estimate, and draws the estimated amount weekly. Once the expenditure report is completed each quarter, DWS's policy is to reconcile the amounts drawn with actual expenditures and return or draw funds as necessary.
- During the fiscal year 2012 close-out, DWS overdrew the letter of credit relating to the Certification (FCRT) portion of the SNAP Administration costs. Due to a clerical error, the Employment & Training (E&T) portion of the costs was erroneously combined with the FCRT portion of the costs and the total of both portions was drawn

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF AGRICULTURE

from the FCRT letter of credit, resulting in the FCRT letter of credit being overdrawn by the amount of the E&T expenditures (\$3,196,254). DWS was unaware of the error until the USDA notified them approximately 10 months later that the FCRT letter of credit was overdrawn. The proper entries on the draw system and FINET were then made to correct the error.

DWS should comply with federal cash management requirements and minimize the time between the expenditure of federal funds and the related drawdown from the Federal Government as required by federal regulations (31 CFR 205) for this program.

Recommendation:

We recommend that DWS exercise greater care in preparing SNAP Administration draws to ensure that funds are drawn from the proper letter of credit and that funds are drawn timely.

DWS' Response:

We agree with the finding and recommendation. The Operational Accounting Manager will review the quarterly reconciliations for SNAP administration costs prepared by the Financial Manager and the corresponding draw activity to ensure that federal funds are drawn in accordance with federal regulations and from the proper letter of credit.

*Contact Persons: Nathan Harrison, Administrative Support Director, (801) 526-9204
Bryce Adams, Operational Accounting Manager, (801) 526-9221*

Anticipated Correction Date: December 31, 2013

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

2013-006. **DUPLICATE PAYMENT**

(Department of Heritage and Arts)

CFDA Number and Title: **94.006 NCS AmeriCorps Subgrantees**

Federal Award Number: **11AFHUT001**

Questioned Costs: **\$12,014**

Pass-through Entity: N/A

During a scan of fiscal year 2013 expenditure transactions, we found that one subrecipient reimbursement request in the amount of \$12,014 had been paid twice, though the subrecipient had submitted the request only once. The person in the Department of Heritage and Arts who receives reimbursement requests from subrecipients forwarded this request to the program accountant for review and payment twice, and the program accountant paid the invoice twice. These oversights occurred because the Department lacked controls strong enough to identify to either person that the request had already been paid.

Management identified this error later on its own and implemented controls to prevent this error in the future, but recovery of the duplicate payment was overlooked until we inquired about it during our audit. Recovery of the duplicate payment did not happen until after year end, so we have questioned costs of \$12,014.

Recommendation:

We recommend that the Department implement or strengthen existing controls to prevent duplicate payments and to correct detected errors in a timely manner.

Department's Response:

We agree with this finding and recommendation. The Utah Commission on Service & Volunteerism (UCSV) has established additional controls and procedures to ensure that the subrecipient reimbursement requests are handled appropriately. With the reorganization of the department and consolidation of finance functions, all reimbursement requests are now processed through an electronic system which tracks the request as it moves through the process. The status of the reimbursement is changed as it is approved at various points, preventing the possibility of duplicate payment. The duplicate payment of \$12,014 was recovered and processed by the State of Utah on 8/20/13.

While we recognize the questioned costs result from a duplicate payment in one state fiscal year (FY 2013) and recovery in the following year (FY 2014), we do not anticipate a problem

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

with the federal agency, as the duplicate payment and recovery were made within the grant period allowed by the federal agency.

*Contact Person: LaDawn Stoddard, UCSV Executive Director, (801) 715-6716
Anticipated Correction Date: August 20, 2013*

2013-007. **LATE TRANSPARENCY ACT REPORTING**

(Department of Heritage and Arts)

CFDA Number and Title: **94.006 NCS AmeriCorps Subgrantees**

Federal Award Number: **11AFHUT001**

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah Commission on Service and Volunteerism, part of the Department of Heritage and Arts, did not report through the Federal Funding Accountability and Transparency Act Subaward Reporting System (FSRS) the four subawards made under grant award 11AFHUT001 in a timely manner. The Department should have reported the subawards in FSRS no later than the last day of the month following the month in which the subawards were made. Three of the subawards were reported at least three months late. In July 2012, the Commission moved to a new department in the State; as a result, controls over timely reporting were not maintained. Management detected the error in March 2013 and submitted reports for the subawards. Late reporting results in delays in the ability of the public to access timely information about public spending and, thus, a lack of transparency.

Recommendation:

We recommend that the Commission strengthen controls over Transparency Act reporting to ensure timely reporting.

Department's Response:

We agree with this finding and recommendation. The Utah Commission on Service & Volunteerism (UCSV) has established additional controls and procedures to ensure that all sub-awards are reported in the Federal Funding Accountability and Transparency Act Sub-award Reporting System (FSRS) within the appropriate time frame. When a contract is routed through the state system for signature by the UCSV Executive Director and assignment of a contract number from purchasing, a routing coversheet is attached by UCSV. The coversheet has been revised to include the FSRS reporting date as a required field. The

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

UCSV National Service Coordinator has also developed a contract checklist which lists the FSRS report as one of the required procedures prior to generating a sub-award contract. These procedures will make certain that the FSRS report is completed prior to the contract being issued.

*Contact Person: LaDawn Stoddard, UCSV Executive Director, (801) 715-6716
Anticipated Correction Date: July 15, 2013*

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF EDUCATION

2013-008. **NONCOMPLIANCE WITH INTERNAL POLICY FOR VEHICLE MODIFICATIONS** (Utah State Office of Rehabilitation)

CFDA Number and Title: **84.126 Vocational Rehabilitation**

Federal Award Number: **H126A100066, H126A110066, H126A120066, H126A130066**

Questioned Costs: N/A

Pass-through Entity: N/A

The Office of the Utah State Auditor conducted a performance audit of the Division of Rehabilitation Services (DRS), a division within the Utah State Office of Rehabilitation (USOR), for the period January 1, 2010 through December 31, 2012. The performance audit included a review of federal Vocational Rehabilitation program expenditures. The audit team noted 15 non-farm vehicle modifications for disabled individuals, totaling \$516,432 over the three year period, for which the counselors did not follow an internal policy memo (Case Service Memo 2006-04, dated September 15, 2006) prepared to help them determine when a modification was necessary and appropriate.

Among other concerns, the audit team found it was not clear from any of the vehicle modification authorizations whether the modifications were the least costly alternative. Several vehicle modification authorizations—including one to a DRS employee who became a Vocational Rehabilitation program client—seemed unnecessary when considering other options. Further investigation for purposes of our federal compliance audit has not been performed and no amounts have been questioned because 1) vehicle modifications can be deemed allowable programs expenditures when necessary to assist an individual with a disability in preparing for, securing, retaining, or regaining employment, 2) the maximum potential error to the program is not material, and 3) determining specific questioned costs in this circumstance is deemed impractical.

Recommendation:

We recommend that DRS improve internal controls over Vocational Rehabilitation program disbursements for vehicle modifications to ensure that all such disbursements are necessary, reasonable, and considered the least costly alternative.

USOR's Response:

The USOR program expends over \$20 million per year toward direct client services. While only a small percentage of total expenditures, vehicle modifications are unusual in nature and we agree that the determination to incur expenditures for vehicle modifications should be governed by clear policy and documented in a manner that carefully considers all cost alternatives and appropriately justifies why such a service is provided. The USOR will 1)

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF EDUCATION

conduct a thorough review and revision of the current transportation and vehicle modification policies, and 2) provide additional training on existing and modified policies and on proper documentation to all USOR Vocational Rehabilitation Counselors.

The USOR has begun the process of hiring an internal auditor, under the direction of the State Board of Education Internal Auditor. The internal auditor will conduct a risk assessment on the Vocational Rehabilitation program, evaluate internal controls at USOR and other outlying offices, review policies and procedures and make additional recommendations to strengthen internal controls. A case monitoring and documentation review process will also be evaluated. All USOR vocational rehabilitation counselors will be provided additional training on existing and modified policies and on proper documentation of eligibility and determination issues.

*Contact Person: Russell Thelin, Executive Director, Utah State Office of Rehabilitation,
(801) 538-7540*

Anticipated Correction Date: January 30, 2014

2013-009. INADEQUATE CONTROLS OVER DIRECT PAYMENTS TO CLIENTS FOR GOODS OR SERVICES

(Utah State Office of Rehabilitation)

CFDA Number and Title: **84.126 Vocational Rehabilitation**

Federal Award Number: **H126A100066, H126A110066, H126A120066, H126A130066**

Questioned Costs: **\$14,058**

Pass-through Entity: N/A

The Office of the Utah State Auditor conducted a performance audit of the Division of Rehabilitation Services (DRS) for the period January 1, 2010 through December 31, 2012, which included a review of federal Vocational Rehabilitation program expenditures. The audit team noted 8 payments, totaling \$14,058, which were made directly to individuals receiving Vocational Rehabilitation program services rather than to the providers of the goods or services and for which DRS did not obtain adequate documentation from the individuals to support the payments. DRS may authorize direct payments to an individual—rather than paying a vendor directly—only as a last resort when direct payment from DRS cannot be arranged and when no comparable alternative exists; however, the individual must provide appropriate documentation to support the program expenditure.

DRS accounting records indicate that payments totaling \$347,334 were made directly to individuals receiving Vocational Rehabilitation program goods or services over the three year period covered in the performance audit. Because this amount is not material to the program,

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF EDUCATION

further investigation for purposes of our federal compliance audit was not performed; however, we have questioned the costs associated with the 8 payments noted above, totaling \$14,058.

Recommendation:

We recommend that DRS strengthen internal controls to ensure that adequate documentation is obtained in circumstances when it is deemed necessary to make direct payments to clients for goods or services.

USOR's Response:

The USOR agrees that direct payments to clients instead of vendors should only be authorized in specific circumstances, properly approved and documented as required by USOR policy. The USOR will conduct a thorough review and revision of direct payment guidelines to ensure compliance with federal requirements and the recommendations of the audit, and will provide training on these policies and for proper documentation to all USOR Vocational Rehabilitation Counselors. The internal auditor assigned to USOR will evaluate internal controls, the approval and monitoring process, and documentation standards and make recommendations to strengthen internal controls.

*Contact Person: Russell Thelin, Executive Director, Utah State Office of Rehabilitation,
(801) 538-7540*

Anticipated Correction Date: January 30, 2014

2013-010. **ERRORS IN FEDERAL FINANCIAL REPORTS FOR VOCATIONAL REHABILITATION**

(Utah State Office of Rehabilitation)

CFDA Number and Title: **84.126 Vocational Rehabilitation**

Federal Award Number: **H126A110066**

Questioned Costs: N/A

Pass-through Entity: N/A

We tested the SF-425 and RSA-2 reports ending September 30, 2012 prepared by USOR for the Vocational Rehabilitation grant and noted the following errors in the reports:

- a. For the RSA-2, the number of cases for the Training Total (line 4 e. in Schedule II) was understated by 1,712 cases.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF EDUCATION

- b. For the RSA-2, the number of cases and the dollar allocation of Post-Employment Services (line 11 in Schedule II) were understated by 14 and \$34,071, respectively.
- c. For the RSA-2, the number of cases and the dollar allocation of Rehabilitation Technology Services (line 12 in Schedule II) were overstated and understated by 155 and \$398,988, respectively.
- d. For the RSA-2, the number of cases and the dollar allocation of Small Business Enterprises (line 13 in Schedule II) were overstated by 141 and \$108,376 respectively.
- e. For the SF-425, the amount used as the base to calculate indirect costs was \$2,665,811 when it should have been \$2,686,729, resulting in indirect costs being reported as \$341,224 when they should have been \$343,901.

These errors occurred due to mistakes made by the preparer when entering the numbers into the report. Errors in financial reporting can result in the disallowance of costs and may affect future award amounts.

Recommendation:

We recommend that the USOR establish appropriate internal controls over the preparation, review, and approval of federal reports.

USOR's Response:

The preparer of the report used the prior year report as a base and did not zero out all of the lines before completing the 2012 Rehabilitation Services Administration (RSA) 2 report. The understatements identified in items a-d of the audit occurred because the 2012 RSA 2 was not updated for 2012 figures, instead reporting the same numbers as the 2011 RSA 2. We also agree that the base upon which indirect costs is calculated was understated by some state training dollars, which were inadvertently left out of the calculation, resulting in an overall understatement of indirect costs. I believe that all of the errors detected in this report have been corrected with the RSA. In the future, the preparer will ensure that a new, blank form is used and that queries are complete and accurate. The USOR is also cross training another USOR accountant to prepare and review draft reports, prior to submission to the RSA which should detect errors such as those identified.

*Contact Person: Russell Thelin, Executive Director, Utah State Office of Rehabilitation,
(801) 538-7540*

Anticipated Correction Date: October 31, 2013

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF EDUCATION

2013-011. FAILURE TO PROVIDE SIGNIFICANTLY EXPANDED CHARTER SCHOOLS WITH ACCESS TO FEDERAL FUNDS

(Utah State Office of Education)

CFDA Number and Title: **84.010 Title I Grants to Local Educational Agencies**

Federal Award Number: **S010A120044-12A**

Questioned Costs: N/A

Pass-through Entity: N/A

The USOE is required to provide access to federal funds for new or significantly expanded charter schools. We noted three charter schools that were significantly expanded for the 2012-2013 school year, but were not given access to all available Title I funds. The cause of this error is a result of miscommunication between employees and misunderstanding by a new employee preparing the allocation schedule concerning which charter schools were identified as significantly expanded for the proper year.

Recommendation:

We recommend that the USOE properly identify significantly expanded charter schools and inform them regarding the availability of federal funds so that funds can be allocated correctly.

USOE's Response:

The USOE agrees that there was a misunderstanding and miscommunication between staff in identifying significantly expanded charter schools. It is the practice of the USOE to discuss and determine significantly expanded charter schools according to the law prior to preparing funding calculations. A data set for school year 2011-2012 was identified and used incorrectly in preparing the allocation schedule. The listing of significantly expanded schools for 2012-2013 should have been used, which resulted in the exclusion of three charter schools. The State Title I Director will review the final draft of the calculation, with supporting documentation, and ensure that all significantly expanded schools are included and that the calculations are correct. The USOE feels that this issue will not be repeated.

*Contact Persons: Karl Wilson, State Director ESEA and Special Programs, (801) 538-7509
David Roberts, USOE Director of School Finance, (801) 538-7668
Marlies Burns, USOE Director of Charter Schools, (801) 538-7817*

Anticipated Correction Date: November 2013

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2013-012. IMPROPER CALCULATIONS IN THE MAINTENANCE OF EFFORT DETERMINATION

(Utah State Office of Education)

CFDA Number and Title: **84.010 Title I Grants to Local Educational Agencies**

Federal Award Number: **S010A120044-12A**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

The USOE did not correctly calculate the net current expenditures used to determine maintenance of effort. OMB Circular A-133 Compliance Supplement, Section G, indicates that all expenditures made with federal funds must be excluded from the calculation. Since the net current expenditures are the base for the Maintenance of Effort (MOE) determination, inaccuracies may cause improper determination of MOE and allocation of funds. Although we found an error in the calculation, we determined that the USOE is in compliance with MOE determination. This error was due to inadequate understanding of the compliance requirement by the employee calculating MOE.

Recommendation:

We recommend that USOE improve internal controls over calculating the net current expenditures used in the determination of MOE compliance.

USOE's Response:

The USOE Title I division agrees with this finding. Maintenance of Effort (MOE) is calculated by School Finance and provided to Title I section for review. Substantial changes have occurred in the calculation of MOE for Title I during the past year. School Finance staff modified the MOE calculations to comply with Federal MOE Title I regulations, which were reviewed by Title I staff prior to being finalized. In calculating the current expenditures amount, expenditures were included for the General Fund, Student Activity Funds, and Food Service Funds, but federal revenues were excluded for funds other than those included in the current expenditures calculation. The expenditures and revenues included in the calculation should be from consistent applicable funds. The error did not result in noncompliance or the State not maintaining MOE; however, the error was not detected in the review by the Title I section.

We believe the error has been corrected. Title I and School Finance meet yearly to update the MOE calculation, and Title I will review the calculation prior to it being finalized. We do not anticipate errors in future calculations.

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Contact Person: Karl Wilson, State Director ESEA and Special Programs, (801) 538-7509
Anticipated Correction Date: Completed

2013-013. **INACCURATE PREPARATION OF ACCOUNTABILITY REPORT**
(Utah State Office of Education)

CFDA Number and Title: **84.048 Career and Technical Education**
Federal Award Number: **V048A100044A**
Questioned Costs: N/A
Pass-through Entity: N/A

An annual Accountability Report is submitted by the USOE to show the progress of LEAs and State colleges and universities in achieving target levels of performance. For the fiscal year 2011 Accountability Report submitted for the Career and Technical Education Grant (CTE), the target levels of performance for five core indicators of performance were inaccurately reported. This error occurred because the report preparer did not use the actual targets approved by the Federal Grant Award Letter. The discrepancies were as follows:

Core Indicators	Targets reported in the Accountability Report	Approved Grant Award Targets that should have been reported
Secondary School Completion (3S1)	92.21%	91.21%
Nontraditional Completion (6S2)	27.68%	20.70%
Technical Skill Attainment (1P1)	25.50%	27.00%
Credential, Certificate or Diploma (2P1)	34.23%	35.00%
Student Placement (4P1)	75.52%	42.11%

Since grant awards are often determined based on whether target levels of performance are met, submitting inaccurate reports may affect future award amounts from the U.S. Department of Education. A review of the report to ensure that all numbers were correctly entered could have detected these errors.

Recommendation:

We recommend that the USOE establish appropriate internal controls over the preparation, review, and approval of federal reports.

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USOE's Response:

CTE acknowledges the use of targets that were not the most current figures. Internal controls have been established to verify the target figures for all indicators of performance at multiple times throughout the year, including prior to filling our annual Accountability Report. This issue has been corrected and greater care will be taken in the future.

Contact Person: Mary Shumway, State Director CTE, (801) 538-7852

Anticipated Correction Date: Implemented

2013-014. NONCOMPLIANCE WITH MAINTENANCE OF EFFORT REQUIREMENTS (Utah State Office of Education)

CFDA Number and Title: **84.048 Career and Technical Education**

Federal Award Number: **V048A100044A**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We reviewed the maintenance of effort (MOE) calculation prepared by the USOE for fiscal year 2011 to determine compliance with MOE requirements and noted the following:

- a. The USOE improperly included some capital expenditures in the secondary education portion of the MOE calculation. Although the USOE excluded capital expenditures for equipment, they improperly included \$440,375 of buildings, land, and improvements in the MOE calculation. Per Perkins IV Section 311 (b)(1)(B), a State must exclude all capital expenditures in the calculation of MOE. This error occurred because there was no control in place to ensure that all capital expenditures are properly excluded from the higher education portion of the calculation.
- b. The State failed to maintain its level of effort for fiscal year 2011 when compared to fiscal year 2010 by \$1,430,122, which represents a deficiency of less than 1% of the required MOE amount. This resulted in apparent noncompliance with supplement vs. supplanting requirements. This noncompliance occurred because the state funding provided was not at a level sufficient to meet the grant requirements.

Inclusion of unallowed expenditures in the MOE calculation can result in potential questioned costs. Failure to maintain the required state level of effort could potentially result in a reduction of future federal awards.

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Recommendation:

We recommend that the USOE improve internal controls over the preparation of the MOE calculation to ensure that all capital expenditures are properly excluded. We also recommend that the USOE consider requesting an exemption from the MOE requirements for the 2011 award year.

USOE's Response:

The CTE section, in cooperation with the School Finance section, continues to develop a process for reviewing the MOE calculations. This revised review process will be performed on the 2014 MOE calculation. The review process on the 2013 MOE calculation failed to detect some capital expenditures which should have been excluded. There are multiple methods of calculating MOE allowed by the Office of Vocational and Adult Education (OVAE). CTE is working with OVAE to run comparison figures for the last few years for the multiple methods allowed. CTE and OVAE will determine the most appropriate calculation method for Utah which addresses Utah's compliance with the maintenance of effort requirements.

Contact Person: Mary Shumway, State Director CTE, (801) 538-7852
Anticipated Correction Date: February 2014

2013-015. INADEQUATE INTERNAL CONTROL OVER TRANSPARENCY REPORTING (Utah State Office of Education)

CFDA Numbers and Titles: 1) **84.010 Title I Grants to Local Educational Agencies**
2) **84.027 IDEA Cluster**
3) **84.048 Career and Technical Education**
4) **10.558 Child and Adult Care Food Program**

Federal Award Numbers: 1) **S010A110044**
2) **H027A110059**
3) **V048A110044-11A**
4) **11113UT311N2020 and 12123UT311N2020**

Questioned Costs: N/A
Pass-through Entity: N/A

This finding was identified during our testwork for the fiscal year ended June 30, 2012. The finding has not been fully implemented by the Utah State Office of Education (USOE) and is presented here to show the updated corrective action plan.

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The USOE does not have adequate internal controls to ensure that they complied with subaward reporting requirements under the Federal Funding Accountability Transparency Act (FFATA) in a timely manner for Title I, IDEA Cluster, Career and Technical Education, and Child and Adult Care Food Program (CACFP). We requested documentation for our audit of the USOE on February 21, 2012 and determined that, due to a misunderstanding of the FFATA requirements, the required subaward reporting had not been properly entered into the FFATA Subaward Reporting System (FSRS). Many of these subawards should have been reported by August 31, 2011. Beginning in March 2012, the USOE began entering subaward reports in FSRS but adequate controls to capture all subawards that needed to be entered under FFATA had not been completely developed, and subawards for CACFP had not been entered.

Recommendation:

We recommend that the USOE provide adequate training on grant requirements and implement adequate internal controls so that all subawards that need to be reported for FFATA are reported in a timely manner consistent with grant compliance requirements.

USOE's Response:

Manually entering the FFATA reports is not realistically feasible. FSRS website provides for an upload of the data. Our internal accounting system has been modified to allow us to create reports for the batch upload process. This modification was recently completed. Unfortunately, the uploads have not been successful because of data required in the report. The data not being accepted is in vendor file and we are in the process of correcting each vendor to allow a successful upload.

Contact Person: Gary Belliston, USOE Controller, (801) 538-7627

Anticipated Correction Date: November 1, 2013

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2013-016. **INCOMPLETE RECONCILIATION OF FEDERAL DIRECT STUDENT LOANS** (Snow College)

CFDA Number and Title: **84.268 Federal Direct Student Loans**

Federal Award Number: **N/A**

Questioned Costs: **N/A**

Pass-through Entity: **N/A**

Snow College (College) is performing a partial reconciliation of the Federal Direct Student Loans by reconciling direct loans between the Common Origination and Disbursement (COD) system and the College's financial aid system and identifying causes for any differences between these two systems. However, the College does not reconcile this information in total to Banner (the College's financial accounting system) on a monthly basis as required by federal regulations (34 CFR 685) and the Direct Loan School Guide. An incomplete reconciliation could result in errors occurring without detection.

Recommendation:

We recommend that the College implement internal controls to ensure that Federal Direct Student Loans are adequately reconciled to Banner as required by 34 CFR 685.

College's Response:

The College agrees. The College has already begun to address this issue. The Financial Aid Office will send its monthly report to the Business Office for reconciliation to the College's financial accounting system in Banner. The Business Office will send its monthly reconciliation back to the Financial Aid Director for his review.

Contact Person: Jack Dalene, Financial Aid Director, (435) 283-7130

Anticipated Correction Date: September 1, 2013

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2013-017. ENROLLMENT REPORTING ERRORS

(Snow College)

CFDA Number and Title: **84.268 Federal Direct Student Loans**

Federal Award Number: **N/A**

Questioned Costs: **\$-0-**

Pass-through Entity: **N/A**

We selected a sample of 10 students, out of a statewide sample of 40, who either withdrew (officially or unofficially) or graduated from the College to determine whether enrollment changes were being properly reported to the National Student Loan Data System (NSLDS). We noted the following errors:

- In four instances, the students graduated from the College but were reported to the NSLDS as withdrawn rather than graduated. The NSLDS Enrollment Reporting Guide states that schools must correctly report students who have completed a program as “graduated” and not as “withdrawn.” This assists the NSLDS in identifying individual student completion of programs of study. Further, an accurate anticipated completion date aids in correct servicing of a student’s loans, avoiding unnecessary early or late conversion to repayment status which could cause technical defaults.
- In two instances, the students officially withdrew from the College but their status change was not reported to NSLDS within the required time. Per the NSLDS Enrollment Reporting Guide the College must report attendance changes for students within 30 days, unless a roster will be submitted within 60 days.

An incorrect enrollment status reported to NSLDS could cause a student’s loan to be inappropriately delayed in being converted to repayment status. These errors occurred because the College did not ensure that its third-party servicer submitted data to NSLDS by the required due date.

Recommendation:

We recommend that the College ensure that its third-party servicer submits the proper data to NSLDS in a timely manner as required.

College’s Response:

The College agrees. The responsibility to report enrollment changes has recently been transferred to the Registrar’s Office to provide more accurate and timely reporting. The

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Financial Aid Office will coordinate with the Registrar's Office to ensure that this reporting function is performed satisfactorily.

*Contact Person: Jack Dalene, Financial Aid Director, (435) 283-7130
Anticipated Correction Date: September 1, 2013*

2013-018. **NONCOMPLIANCE WITH FEDERAL EQUIPMENT MANAGEMENT REQUIREMENTS**
(Snow College)

CFDA Number and Title: **84.048 Career and Technical Education Program – Carl Perkins**
Federal Award Number: **V048A120044-12A**
Questioned Costs: N/A
Pass-through Entity: N/A

During our review of equipment purchased with federal award money, we noted that Snow College is not properly classifying equipment on their capital asset listing as either equipment acquired using federal awards or equipment otherwise acquired, as required by OMB Circular A-110, Part A, Section .34.

This error occurred due to a misunderstanding of the grant requirements related to equipment acquired with federal awards. Noncompliance with federal equipment management requirements can result in questioned costs.

Recommendation:

We recommend that the College properly classify equipment purchased using federal awards on their capital asset listing in accordance with federal equipment management requirements.

College's Response:

We agree with the recommendation. We will address this shortcoming by:

- *Carrying out our process for identifying such equipment quarterly (instead of yearly) during the next year. This will boost our familiarity and skill with this process, allowing us to identify and address any inadequacies in it, well before the crucial time at year end. We will then readjust this frequency based on the outcome of this experience.*

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- *Implementing an additional final step of having the compliance officer review the final equipment listing as prepared by the accountants for accuracy.*

We think it worthwhile mentioning some other important relevant details that are obscured by the simple stark finding as outlined. During FY13, the College purchased 327 items of equipment, of which only 22 involved restricted federal award money. The latter's total value was \$140,000, being approximately 14% of the value of our total equipment purchases. The number and value of the items mistakenly overlooked under this equipment management requirement were four and \$9,000, respectively. We feel confident, therefore, that despite the finding, our coverage of this requirement is well in hand. Only a few more adjustments will be necessary, as noted above.

*Contact Persons: Karl Kovac, Controller, 435-283-7257
Robin Gore, Compliance Officer, 435-893-2257
Anticipated Correction Date: March 31, 2014*

2013-019. WEAKNESSES IN REPORTING PERFORMANCE DATA (Snow College)

CFDA Number and Title: **84.048 Career and Technical Education Program – Carl Perkins**
Federal Award Number: **V048A110044-11A**
Questioned Costs: \$-0-
Pass-through Entity: N/A

This finding was identified during our testwork for the fiscal year ended June 30, 2012. The finding has not been fully implemented by Snow College and is presented here to show the updated corrective action plan.

Snow College must annually report to the State its progress in achieving its target levels of performance on the core indicators of performance set by the Perkins IV grant. We noted the following weaknesses related to the reporting of this information:

a. Inaccurate Data Reported

Some of the student data reported by the College for the 2011 Reporting Year was incomplete, inaccurate, and inconsistent (the fiscal year 2012 reports were not yet available at the time of our testwork). The inaccurate data was the result of the following:

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- Inaccurate coding in the Banner system. The College had outdated Classification of Instructional Program (CIP) codes in their system (these codes are used by the Board of Regents to identify CTE students and are used to compile the report).
- Inaccurate information input by the students relating to declared majors.
- Turnover in grant administration.

Use of incomplete, inaccurate, and inconsistent student data results in meaningless comparisons of the data submitted to target data as well as noncompliance with reporting requirements.

b. Failure to Provide Performance Data to the General Public

The College is not providing performance data to the general public as required by the Perkins IV grant. Per 20 USC 2323(b)(4)(C)(v), data for the core indicators of performance described in Section 113 (b)(4) of Perkins IV must be available to the general public through a variety of formats, including electronically through the Internet. Failure to report the required information in the proper format as described above results in noncompliance with grant requirements. This error occurred because Snow College was unaware of the requirement.

Recommendation:

We recommend that the College:

- a. Implement adequate internal controls and processes to better ensure accurate and consistent reporting of student data.**
- b. Make its performance data available to the general public using the required formats.**

College's Response:

This particular finding touches on challenges related to the reporting of Perkins/CTE data statewide. Recent years have seen a number of changes in the process of data collection, CIP codes, and review. While the College strives to ensure the integrity of its data, ongoing discussions at the state level will be necessary for full resolution of these items.

The issue of CIP Codes particular to Perkins has been an ongoing discussion item between Snow College, the Board of Regent's Office (Regents), and the State Office of Education for

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the past two years. Perkins reporting is a multi-step process. Snow College provides the first step through the regular submission of End of Term reports (fall, spring and summer) and a Graduation Extract (due each August). Each student in the End of Term report is associated with a current major reported by CIP Code. The next step occurs at the Regents where via CIP Code from all submitted files, students are identified as Perkins participants and/or concentrators. A Perkins file is returned to the College (mid-August) to gather DWS, Single Parent, Displaced Homemaker, Pell, BIA, and LEP information. Once the Perkins file is returned to the Regents, the information is given to the State Office of Education who processes the report.

As an open institution with a self-declare major process, the process of gathering accurate data for CIP coding remains problematic. The College is in the unique situation of offering general education coursework preparatory to job placement or transfer; resulting in majors that are essentially not more than "general education." However, the College is required by the Regents and Perkins to report majors (outside of GNED). Several steps have been implemented to better assess and assign students to appropriate majors when they do not declare:

- 1. A student major survey required at the beginning of each registration period (fall/spring/summer). The survey asks students to identify a major/area of emphasis and to declare if their choice should be considered their official major. "General Education" and "Other" are not options for selection on this survey. Data from this survey is sent to the Registrar to update student information in Banner.*
- 2. Majors attending specific required classes taught by the College (e.g. LPN vs. CNA) are required to submit major cards in class at the beginning of the semester. These cards are used to determine a student's major based on their enrollment in the class. For example, a student who self-declares "nursing" as his or her major on the registration survey would be coded with a default RN (nursing) CIP code. At the on-set of his or her first required LPN class, the major card completed would change the CIP code from RN to the more appropriate LPN.*

Changes have been made to the Banner system (the STVMAJR) table in order to update outdated CIP codes as well as better align intended majors with those self-declared by students. For the 2012 year (namely the graduation file), 11 CIP codes were changed to (1) better match programs offered by the College and (2) match general updates/changes in CIP codes (i.e. nursing CIP codes changed across the board). Such changes were also applied to the SQL scripts that extract the End of Term information. In addition, internal reports as to

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students and their declared major (related to Perkins) can be provided to the College's Perkins administrator after the submission to each End of Term file.

Due to circumstances surrounding the change in Snow's Perkins grant administrator, the new director received no training, assistance, or transition advice from the incumbent. While the College followed the format of previous reports and documentation, it appears that the general public reporting requirement was not being met. Snow College Institutional Research does have a specific page for public reporting on the Snow College web site, and is working on a suitable format for reporting the required Perkins data on the College's web site and in other publicly accessible media as required.

*Contact Persons: Dr. Beckie Hermansen, Director, Institutional Research, (435) 283-7346
Michael Medley, Dean, Division of CTE, (435) 893-2264
Robin Gore, Compliance Officer, (435) 893-2257*

Anticipated Correction Date: March 31, 2014

2013-020. **ENROLLMENT REPORTING ERRORS**

(Southern Utah University)

CFDA Number and Title: **84.268 Federal Direct Student Loans**

Federal Award Number: **N/A**

Questioned Costs: **\$-0-**

Pass-through Entity: **N/A**

We selected a sample of 10 students, out of a statewide sample of 40, who either withdrew (officially or unofficially) or graduated from Southern Utah University to determine whether enrollment changes were being properly reported to the National Student Loan Data System (NSLDS). In four instances, the students graduated from the University at the end of the Fall semester but their status was not reported to NSLDS within the required time. Per the NSLDS Enrollment Reporting Guide the University must report within 30 days whenever attendance changes for students, unless the next roster will be submitted within 60 days. An incorrect enrollment status reported to NSLDS could cause a student's loan to be inappropriately delayed in being converted to repayment status. This occurred because of an error on the part of the person who runs the report.

Recommendation:

We recommend that the University take greater care in submitting the proper data to the Clearinghouse to ensure NSLDS receives the data in a timely manner as required.

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University's Response:

Enrollment and degree completion are reported to the National Student Clearinghouse by the Registrar's Office. Enrollment is reported monthly through the Clearinghouse Enrollment Transmission process. Program/degree completion through the Clearinghouse Degree Transmission has been on a separate submission timeline after degrees have been posted. Because of the different timelines there are times when the degree completion data were not reported to the Clearinghouse within the required time.

To rectify this discrepancy, the degree reporting process will be run monthly during graduation periods until degree posting is complete. This will be done on the same submission calendar as the enrollment report.

*Contact Person: Jan Carey-McDonald, Financial Aid Director, (435) 586-7734
Anticipated Correction Date: September 6, 2013*

2013-021. **UNTIMELY ENROLLMENT REPORTING** (Utah State University)

CFDA Number and Title: **84.268 Federal Direct Student Loans**
Federal Award Number: **N/A**
Questioned Costs: **\$-0-**
Pass-through Entity: **N/A**

We selected a sample of 10 students, out of a statewide sample of 40, who either withdrew (officially or unofficially) or graduated from Utah State University (University) to determine whether enrollment changes were being properly reported to the National Student Loan Data System (NSLDS). In two instances, the students graduated from the University at the end of the Fall semester but their graduated status was not reported to NSLDS within the required time. Per the NSLDS Enrollment Reporting Guide, the University must report within 30 days whenever attendance changes for students, unless the next roster will be submitted within 60 days. Untimely reporting of a student's enrollment status to NSLDS could cause a student's loan to be inappropriately delayed in being converted to repayment status. These errors occurred because the University did not ensure that its third-party servicer submitted the data to NSLDS by the required due date.

Recommendation:

We recommend that the University ensure that its third-party servicer submits the proper data to NSLDS in a timely manner as required.

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University's Response:

The Financial Aid Office disagrees with the finding and the interpretation of the regulation for reporting graduated students. We have asked for clarification from the Department of Education, and are waiting to hear back. We were following the recommended reporting times from the National Student Clearinghouse, whose mission is to ensure all institutions across the nation are in compliance with Department of Education deadlines. However, until we receive clarification, the Financial Aid Office and the Registrar's Office will revise their schedule of reporting to the National Student Clearinghouse, which then reports to NSLDS. The reporting will be done at Day 1 of the term, Day 15 of the term, and monthly in between. This will ensure that the reporting from the Clearinghouse to NSLDS is done in a timely manner to meet appropriate deadlines. Lastly, we will implement a check system to ensure that the information we are sending to the Clearinghouse is being passed onto NSLDS within the required timeframe.

*Contact Person: Patti Kohler, Director of Financial Aid, (435) 797-1455
Anticipated Correction Date: October 1, 2013*

2013-022. **UNTIMELY ENROLLMENT REPORTING** (Weber State University)

CFDA Number and Title: **84.268 Federal Direct Student Loans**
Federal Award Number: **N/A**
Questioned Costs: **\$-0-**
Pass-through Entity: **N/A**

We selected a sample of 10 students, out of a statewide sample of 40, who either withdrew (officially or unofficially) or graduated from Weber State University (University) to determine whether enrollment changes were being properly reported to the National Student Loan Data System (NSLDS). In two instances, the students graduated from the University at the end of the Fall semester but their graduated status was not reported to NSLDS within the required time. Per the NSLDS Enrollment Reporting Guide, the University must report within 30 days whenever attendance changes for students, unless the next roster will be submitted within 60 days. Untimely reporting of a student's enrollment status to NSLDS could cause a student's loan to be inappropriately delayed in being converted to repayment status. These errors occurred because the University did not ensure that its third-party servicer submitted data to NSLDS by the required due date.

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Recommendation:

We recommend that the University ensure that its third-party servicer submits the proper data to NSLDS in a timely manner as required.

University's Response:

We do not concur with the finding. We reported the graduation status within the required time frame of the date we determined the student graduated. WSU is committed to timely reporting status changes and will continue to implement efficiencies to shorten the time it takes to determine student graduation status.

*Contact Person: Jed Spencer, Director of Financial Aid and Scholarships, (801) 626-6586
Anticipated Correction Date: Not Applicable*

Auditor's Concluding Remark: The University has indicated its commitment to implement efficiencies to shorten the time it takes to determine student graduation status. Implementing such efficiencies should help the University ensure timely submission of proper data to the third-party servicer which in turn can submit the data received to NSLDS in a timely manner as required.

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2013-023. NONCOMPLIANCE WITH REPORTING REQUIREMENTS (Office of Energy Development)

CFDA Number and Title: **81.041 State Energy Program - ARRA**
Federal Award Number: **DE-EE0000083**
Questioned Costs: **\$0**
Pass-through Entity: N/A

Due to a clerical error, the Office of Energy Development (OED) under-reported State Energy Program (SEP) revenue by \$896,296 and over-reported SEP expenditures by the same amount on the December 31, 2012 ARRA Section 1512 report. The ARRA Section 1512 report should be accurately completed in accordance with the report's instructions. Inaccurate completion of the report results in noncompliance with grant requirements.

Recommendation:

We recommend that OED implement internal controls to ensure that ARRA Section 1512 reports are prepared accurately in accordance with the grant agreements and instructions.

Office of Energy Development's Response:

Prior to June 2013, OED contracted with DEQ for financial operations support. There were logistical challenges with this arrangement which impacted reporting. In June 2013 OED hired an in-house financial manager. The financial manager will work closely with program staff to ensure 1512 reports are prepared accurately and in accordance with grant agreements and instructions. The financial manager will review 1512 reports for accuracy prior to submission to the agency.

*Contact Person: Michele Pasker, OED Budget and Accounting Officer, (801) 538-8727
Anticipated Correction Date: September 2013*

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

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U.S. DEPARTMENT OF ENERGY

2013-024. INADEQUATE SUBRECIPIENT MONITORING

(Office of Energy Development)

CFDA Number and Title: **81.041 State Energy Program - ARRA**

Federal Award Number: **DE-EE0000083**

Questioned Costs: **\$0**

Pass-through Entity: N/A

OED does not have adequate controls to ensure that subrecipients are complying with OMB Circular A-133 audit requirements. OED does not obtain and review A-133 reports for school districts to determine whether there are findings relating to SEP funds passed-through from OED, nor do they perform follow-up procedures if there are findings. OMB Circular A-133 requires that pass-through entities issue management decisions on audit findings within six months after receipt of subrecipients' audit reports and ensure that subrecipients take appropriate and timely corrective action. OED currently only ensures that the respective audit reports are posted to the Office of the Utah State Auditor's website. OED considered reviews of school district audit reports performed by the Utah State Office of Education's (USOE) Internal Auditors sufficient for monitoring purposes; however, these reviews only cover programs passed through by the USOE. This error resulted from OED's misunderstanding of a pass-through entity's responsibilities related to monitoring auditor reports of their subrecipients. Inadequate subrecipient monitoring can result in misspent funds and questioned costs.

Recommendation:

We recommend that OED ensure that A-133 audit reports are obtained and reviewed for all subrecipients to determine whether there are SEP-related findings and to ensure proper follow-up if findings are identified.

Office of Energy Development's Response:

Prior to June 2013, OED contracted with DEQ for financial operations support. In June 2013 OED hired an in-house financial manager. A tracking system for monitoring A-133 audit report status for all subrecipients has been established and will be maintained by the OED financial manager. The financial manager will work with program staff to ensure documentation and proper follow-up if findings are identified.

*Contact Person: Michele Pasker, OED Budget and Accounting Officer, (801) 538-8727
Anticipated Correction Date: October 2013*

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF ENERGY

2013-025. **LACK OF CONTROLS OVER ALLOCATION OF BENEFITS CHARGED TO THE STATE ENERGY PROGRAM**

(Office of Energy Development)

CFDA Number and Title: **81.041 State Energy Program - ARRA**

Federal Award Number: **DE-EE0000083**

Questioned Costs: **\$3,103**

Pass-through Entity: N/A

OED and the Department of Environmental Quality (DEQ) do not have controls in place to ensure equitable allocation of benefits to the SEP. We tested the allocation of benefits for a sample of three employees, and for all three employees, a disproportionate amount of benefits and payroll-processed travel reimbursements were charged to the SEP relative to the direct hours charged to the program, which resulted in an inequitable allocation of those costs. Per OMB Circular A-87, Attachment B, Item 8, benefits related to compensation for personal services should be equitably allocated to all related activities, including federal awards. Noncompliance with OMB Circular A-87 requirements can lead to unallowable expenditures and questioned costs. This lack of controls resulted in a net over-allocation of \$3,045 in benefits and \$58 in payroll-processed travel reimbursements to the SEP, which we are questioning.

Recommendation:

We recommend that OED/DEQ implement internal controls to ensure that all personal service benefits and payroll-processed travel reimbursements are equitably allocated.

Office of Energy Development's Response:

Adjustments to the allocation of benefits compared to the actual distribution of direct wages paid including adjusting the amount drawn down on the federal programs in ASAP have been made for all employees that have charged costs to the State Energy Program. Two reviews and adjustments to equitably allocate benefits were performed, one in March 2013 and a final review and adjustment was done as part of the year end closeout process for the state fiscal year ended June 30, 2013.

Contact Person: Craig Silotti, DEQ Finance Director, (801) 536-4460

Anticipated Correction Date: March 2013

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U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

2013-026. NONCOMPLIANCE WITH TIMING REQUIREMENTS OF HEALTH AND SAFETY SURVEYS FOR NURSING HOME FACILITIES

(Department of Health)

CFDA Number and Title: **93.778 Title 19 Medical Assistance Program**

Federal Award Number: **05-1305UT5MAP**

Questioned Costs: N/A

Pass-through Entity: N/A

The Centers for Medicare and Medicaid Services (CMS) require that Health and Safety surveys be conducted by the Department of Health on nursing home facilities receiving Medicaid payments no less frequently than every 15.9 months. These surveys help ensure facilities meet prescribed health and safety standards for Medicaid providers. The Department of Health did not conduct a survey within the required timeframe for 15 of the 97 Utah nursing home facilities receiving Medicaid payments. On average, the past due surveys were performed 2 months beyond the time requirement, with the longest just over 6 months beyond the requirement. This noncompliance is a result of changes in survey staffing and the survey process, though significant progress has been made in this area since the prior year, as shown in the noncompliance rate falling from 45% in fiscal year 2012 to 16% in fiscal year 2013. If surveys are not conducted in the prescribed manner, including the frequency, health and safety violations may go undetected.

Recommendation:

We recommend that the Department of Health strengthen existing controls over nursing home health and safety surveys to ensure that they are performed in a timely manner.

Department of Health's Response:

Since the time of the audit, the Bureau of Health Facility Licensing and Certification has requested a building block to increase the staffing for nursing home surveys. Staffing shortages were addressed in the initial response. In lieu of a building block, the Department reallocated funds to the Bureau to increase staffing.

The Bureau used the funding with matching federal funds to hire four new staff for nursing facility surveys in June of 2013. The staff are currently undergoing CMS training and are assisting with the survey schedule. Staff can be certified to survey after 6 months of on the job training. CMS has also allowed the Bureau to utilize a prior version of survey so that other staff in the office in other survey sections can assist with nursing facility surveys. Both of these factors have helped to increase the frequency of surveys.

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In Federal Fiscal Year 2012, there were 56 of 100 nursing facility surveys completed by the Bureau. As of the end of the FFY on September 30, 2013, the Bureau completed 87 of 102 nursing facility surveys, 85%.

Contact Person: Joel Hoffman, Bureau Director, Health Facility Licensing and Certification, (801) 538-6279

Anticipated Correction Date: It is anticipated that the Bureau will be back into full compliance with CMS requirements by the end of the Federal Fiscal Year, September 30, 2014.

2013-027. UNTIMELY FOLLOW-UP PROVIDER VISITS

(Department of Health)

CFDA Number and Title: **93.268 Immunizations and Vaccines for Children**

Federal Award Number: **5H23IP822520-10**

Questioned Costs: N/A

Pass-through Entity: N/A

The Department is not performing timely follow-up visits for Immunizations Grant Program providers. During our review of 25 provider files, we noted 3 providers that had deficiencies noted during their annual site visit but the Department did not perform follow-up visits within 3 to 6 months as required by the Department's policy. This error occurred because one of the employees who performs the visits was out sick for an extended amount of time. None of the noted deficiencies would result in vaccines being withheld from providers; therefore, we have not questioned any costs. Failure to perform follow-up site visits in a timely manner could result in grant providers continuing to have deficiencies related to record keeping, safeguarding of vaccines, or eligibility screenings.

Recommendation:

We recommend that the Department of Health perform timely follow-up provider visits to ensure providers are complying with requirements related to record keeping, safeguarding of vaccines, and eligibility screenings.

Department of Health's Response:

The Utah Department of Health, Immunization Program, completes follow-up site visits to Vaccine for Children (VFC) providers based on the compliance formula established by the national VFC program. VFC Provider Relations' staff are receiving a review of all VFC policies including need for performance and documentation of follow-up provider site visits.

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The trainings are scheduled in three components during October, November, and December 2013. The VFC Coordinator will monitor CO-CASA and provider files on a quarterly basis to check VFC Provider Relations staff compliance with follow-up site visits.

The VFC Provider Relations staff trainings are scheduled in three components during October, November, and December 2013. The VFC Coordinator will monitor CO-CASA and provider files on a quarterly basis to check VFC Provider Relations staff compliance with follow-up site visits. Immediately following the audit, the VFC Coordinator followed up on those identified as out of compliance but the staff involved had left employment.

*Contact Person: Bob Kuhn, Administrative Services Manager, (801) 538-6887
Anticipated Correction Date: June 30, 2014*

2013-028. **INCORRECT ELIGIBILITY AND INCOME DETERMINATIONS**

(Department of Health) (Department of Workforce Services)

CFDA Number and Title: **93.767 Children's Health Insurance Program**

Federal Award Number: **05-1205UT5021**

Questioned Costs: **\$952**

Pass-through Entity: N/A

We reviewed the eligibility determination and documentation process for 60 Children's Health Insurance Program (CHIP) payments. The 60 CHIP payments totaled \$3,488 and were taken from a total population of \$63,258,194 (federal and state portions). We noted internal control weaknesses and noncompliance for 4 (6.7%) cases related to the 60 payments as described below. As a result of the income calculation errors described below, we have questioned the federal portion of all costs associated with these cases: \$689 for federal fiscal year 2013, \$191 for federal fiscal year 2012, and \$72 for federal fiscal year 2011.

a. Income Calculation Errors

- 1) For one case, earned income was incorrectly calculated by not adequately considering guaranteed payments received from self employment as on-going. This error resulted in the child being placed on the incorrect CHIP plan from September 2011 to September 2012. In addition, subsequent to the date of our sample item, the child was placed on CHIP in October 2012 when the child was eligible for Child Medicaid 0-5. This error occurred because the caseworker did not adequately identify or correctly consider the more complex income elements of the case. This error resulted in total questioned costs of \$761 for unpaid premiums from September 2011 to September

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2012 plus provider payments made from October 2012 to February 2013 when the child was Medicaid eligible.

- 2) For one case, unearned income from a trust was incorrectly calculated. This error resulted in the child being placed on the incorrect CHIP plan from February 2011 to December 2012. This error occurred because the caseworker did not understand how to apply CHIP policy to trust income which is very infrequent and complex. This error resulted in total questioned costs of \$191 for unpaid premiums from February 2011 to December 2012.
- 3) For one case, income was not adequately annualized to determine a best estimate of income. This error occurred because the caseworker did not adequately identify or correctly consider the more complex income elements of the case. Despite this error, the child was still placed on the correct CHIP plan; therefore, we have not questioned any costs related to this error.

b. Improper Eligibility Review

For one case, there was a simplified review performed on April 1, 2012; however, there was an income change reported on June 1, 2011, and per policy 704, if there is an income change during the previous year then a mandatory review is required rather than a simplified review. This error occurred due to the caseworker overlooking the needed action on the case. Despite this error, this case was placed on the correct CHIP plan; therefore, we have not questioned any costs related to this error.

The Department of Health sets CHIP policy and processes all CHIP expenditures. The Department of Workforce Services (DWS) handles eligibility determination and case file management for CHIP.

Recommendations:

We recommend that the Department of Health and DWS work together to strengthen internal controls, provide employee training, and ensure that eligibility decisions are appropriate by ensuring that DWS eligibility specialists:

- a. Understand and apply both Medicaid and CHIP eligibility policies during the CHIP application and/or review process.**
- b. Properly calculate household monthly income.**
- c. Understand CHIP policy for eligibility reviews when income changes are reported.**

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Department of Health's Response:

The Department of Health (Health) concurs with this finding and recommendation. As the state agency ultimately responsible for CHIP, Health must ensure that eligibility for the program is accurately determined. Health has delegated CHIP eligibility determination and case management to the Department of Workforce Services (DWS) through an operating agreement. The operating agreement establishes targets for accuracy and provides bonuses if DWS meets those accuracy targets. State and federal rules require several different reviews to ensure that eligibility decisions are made correctly.

Health has reviewed the corrective action plan submitted by DWS for the FY 2013 audit and will work with DWS to implement the actions they have proposed. Health will continue to work with DWS to involve Health policy specialists with the DWS program specialists to clearly interpret the policy manuals, review training materials for accuracy, and also meet with eligibility workers whenever possible to provide in person training. Health will continue to meet weekly with the eligibility system steering group to further enhance and strengthen the eligibility system (eREP). The Medicaid Quality Control Unit (MEQC) will continue to focus their review projects on error prone areas to shore up DWS' understanding of the policy and how it relates to specific cases.

Health will actively reinforce the corrective action plans as agreed upon between the agencies in the regular weekly meetings with DWS. As DWS perfects their "targeted training" approach, Health will continue its oversight responsibility to verify that the DWS staff are understanding the concepts by watching the various error rate measures for trends and providing appropriate and timely feedback to DWS. Health will work closely with DWS to develop and implement the new Affordable Care Act provisions by January 2014, and ensure that DWS eligibility staff understands the changes in eligibility that will occur.

*Contact Person: Jeff Nelson, Bureau Director, Eligibility Policy, (801) 538-6471
Anticipated Correction Date: June 30, 2014*

DWS' Response:

We agree with the finding and recommendation.

The internal Performance Review Team (PRT) conducts in-depth case reviews on every CHIP worker each month. Particular areas of focus are income, applications, and re-certifications. PRT case reviews are conducted by a small number of specialized reviewers to bolster consistency and maintain a focus on problematic areas. PRT efforts are focused on real-time case reviews to render immediate feedback to workers.

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Targeted training support needs are identified by eligibility operations by reviewing PRT review results. Training is given to teams and/or individual staff and is geared specifically toward problem areas based on a given team's or individual staff's performance. Training feedback is given to supervisors and managers as the staff's performance is followed up on to ensure progress has been made.

*Contact Person: Kevin Burt, Associate Director, (801) 526-9831
Anticipated Correction Date: June 30, 2014*

2013-029. INCORRECT ELIGIBILITY DETERMINATION AND INADEQUATE DOCUMENTATION OF ELIGIBILITY

(Department of Health) (Department of Workforce Services)

CFDA Number and Title: **93.778 Title 19 Medical Assistance Program**
Federal Award Number: **05-1305UT5MAP**
Questioned Costs: N/A
Pass-through Entity: N/A

We reviewed the case files for 60 Medicaid service expenditures at the Department of Health. The 60 Medicaid payments totaled \$501,235 and were taken from a total population of \$1,913,136,408 (federal and state portions). Of these case files, we noted 2 cases (3.3%) with eligibility determination errors.

- a. For one case, new income information provided by the client was not applied to the case in a timely manner, and as such, the caseworker did not consider income properly for the eligibility decision for one month. This error did not result in an incorrect eligibility decision; therefore, we have not questioned any costs related to this error. However, such errors could result in improper eligibility decisions. This error occurred because the caseworker was waiting for additional verifications for other family members before processing the new income information.
- b. For one case, a signed application was not included in the case file documents. It was determined through subsequent eligibility reviews that this client was eligible; therefore, we have not questioned any costs related to this error. However, such errors could result in improper eligibility decisions. This error occurred due to oversight when the case file was transferred from the Department of Health to the Department of Workforce Services (DWS) in 2007.

Although all Medicaid expenditures are processed at the Department of Health, eligibility and case file management for Medicaid is handled by DWS.

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Recommendation:

We recommend that the Department of Health and DWS work together to ensure that eligibility specialists follow established policies and procedures when determining eligibility for Medicaid Programs, including adequate documentation of all eligibility factors and decisions.

Department of Health's Response:

The Department of Health (Health) concurs with this finding and recommendation. As the single state agency ultimately responsible for Medicaid, Health must ensure that eligibility for the program is accurately determined. Health has delegated Medicaid eligibility determination and case management to the Department of Workforce Services (DWS) through an operating agreement. State and federal rules require several different reviews to ensure that eligibility decisions are made correctly.

Health has reviewed the corrective action plan submitted by DWS on this finding and will work with DWS to implement the actions they have proposed. Health will continue to work with DWS to involve our policy specialists with the DWS program specialists to clearly interpret the policy manuals, review training materials for accuracy, and also meet with eligibility workers whenever possible to provide in person training. Health will continue to meet weekly with the eligibility system steering group to further enhance and strengthen the eligibility system (eREP). The Medicaid Quality Control Unit (MEQC) will continue to focus their review projects on error prone areas to shore up DWS' understanding of the policy and how it relates to specific cases.

Health will actively reinforce the corrective action plans as agreed upon between the agencies in the regular weekly meetings with DWS. As DWS perfects their "targeted training" approach, Health will continue its oversight responsibility to verify that the DWS staff are understanding the concepts by watching the various error rate measures for trends and providing appropriate and timely feedback to DWS. Health will work closely with DWS to develop and implement the new Affordable Care Act provisions by January 2014 and ensure that DWS eligibility staff understands the changes in eligibility that will occur.

*Contact Person: Jeff Nelson, Bureau Director, Eligibility Policy, (801) 538-6471
Anticipated Correction Date: June 30, 2014*

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DWS' Response:

We agree with the finding and recommendation.

The Utah Department of Workforce Services participates with the Utah Department of Health in efforts designed to look at problem areas as identified by various audits and internal case reviews. As training issues are discovered, communication occurs with the internal Performance Review Team (PRT) as well as the Eligibility Service Division (ESD) operational unit.

The PRT conducts in-depth case reviews on every Medicaid worker each month. Particular areas of focus are proper application of policies and procedures related to Medicaid eligibility. PRT case reviews are conducted by a small number of specialized reviewers to bolster consistency and maintain a focus on problematic areas. PRT efforts are focused on real-time case reviews to render immediate feedback to workers.

Targeted training support needs are identified by ESD operations by reviewing PRT review results. Training is given to teams and/or individual staff and is geared specifically toward problem areas based on a given team's or individual staff's performance. Training feedback is given to supervisors and managers as the staff's performance is followed up on to ensure progress has been made.

Contact Person: Kevin Burt, Associate Director, (801) 526-9831

Anticipated Correction Date: June 30, 2014

2013-030. **MANAGEMENT OVERRIDE OF INTERNAL CONTROLS**

(Department of Workforce Services)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program**

Federal Award Number: **G-13B1UTLIEA**

Questioned Costs: **\$455**

Pass-through Entity: N/A

During our audit of the LIHEAP program, we were made aware of a Heat benefit payment that was knowingly issued by personnel in the Department of Workforce Services' (DWS) Housing and Community Development Division (HCDD) to an individual who was ineligible to receive the benefit. We subsequently reviewed the identified application and related case documentation, and we also interviewed applicable individuals at HCDD, at the applicable subrecipient, and at an independent living facility which had previous contact with the client. During the course of our work, we noted the following:

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- The application was prepared and signed by the HCDD Director and witnessed to by the HCDD Deputy Director. The HEAT Program Policy Manual Section 100 (B)(1) requires that any person wishing to apply for HEAT assistance must complete and sign an application and acknowledge that they have read the disclaimer and agree with it.
- The application was processed in March 2013 and indicated that the income listed was from February 2013; however, the documentation obtained to support the income listed actually identified the client's income for December 2012. This error occurred because the client failed to submit any documentation supporting his income, so HCDD personnel decided to obtain documentation of income from a program in Idaho where the client had also received benefits. However, the documentation obtained was not for the month prior to the application, as required. HEAT policy Section 320(H), paragraph 1 states, "If the *client* does not verify ALL income received by current household members in the calendar month *prior to the application date*, the application will be denied." (emphasis added)
- The client was living in his vehicle. HEAT policy Section 200(I) states that a person must be vulnerable, or responsible, for direct home heating costs or indirect heating costs associated with rent. In this case, evidence of utility invoicing, receipt, or other form of evidence was not provided, and a van is expressly excluded because it is not a designated home or rental property. We have questioned the \$455 payment to this client due to ineligibility.
- The HEAT benefit payment was made directly to the client. Per HEAT policy Section 500(B), payments should be sent only to a fuel vendor unless 1) either the cost is included in a rent, or 2) the vendor has no contract with the state. The exceptions to the policy do not appear to apply to this case.
- Per review of e-mail correspondence between the subrecipient and the HCDD Program Manager and staff, it appears as though HCDD personnel were informed of the fact that the client was uncooperative and was ineligible according to policy prior to issuance of the benefit payment, yet the application was initiated and payment was approved.

Based on our review of case documentation and interviews with various individuals at HCDD, the subrecipient, and the independent living agency, we feel that HCDD program personnel at various levels processed this case with disregard for program policies and controls. The "control environment," i.e., the attitude, awareness and actions of management, is a critical component of an entity's internal control system. Management should enforce the established policies and controls over federal expenditures that ensure compliance with federal program requirements. Management's disregard for policies and controls over

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distribution of federal funds results in a lax control environment, which can lead to increased risk of fraudulent activity and improper payments.

Recommendation:

We recommend that DWS ensure that the appropriate control environment is maintained by management relative to compliance with policies and internal controls established to ensure compliance with federal program requirements.

DWS' Response:

We agree with the finding and recommendation. We acknowledge the importance of maintaining an appropriate control environment relative to compliance with policies and internal controls established to ensure compliance with federal regulations. The override of internal controls cited in the finding appears to be an isolated instance. Executive management has initiated appropriate corrective action and clarified principles of ethical conduct with personnel involved in issuing the benefit payment identified in the finding. In addition, we will conduct training with HCDD personnel to reinforce the policy that exceptions are not granted for applicants that do not meet eligibility requirements. Finally, we have sent a letter to the client identified in the finding outlining the requirements that must be met to be deemed eligible to receive a LIHEAP benefit payment in the upcoming HEAT season.

Contact Persons: Geoff Landward, Deputy Director, (801) 526-9496
Susan Kolthoff, Director, State Energy Assistance & Lifeline Programs,
(801) 468-0069

Anticipated Correction Date: October 31, 2013

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2013-031. FINANCIAL REPORTING ERROR AND LACK OF REPORT SUBMISSION

(Department of Workforce Services)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program**

Federal Award Numbers: **G-09B1UTLIEA, G-10B1UTLIEA, G-11B1UTLIEA,
G-12B1UTLIEA**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We performed testwork to determine whether DWS had properly submitted the 2009 through 2012 LIHEAP grant awards' SF-425 annual reports for the federal fiscal year (FFY) ended September 30, 2012 and noted the following:

- a. DWS did not submit the reports for the FFY 2009 or FFY 2010 grant awards. For the FFY 2010 award, the program accountant encountered difficulties in creating the report using the ACF Online Data Collection (OLDC) system (the required report submission system), and so did not prepare and submit the report or seek guidance on how to resolve the problem. For the FFY 2009 award, the program accountant overlooked the preparation and submission of the report even though there were expenditures incurred during the reporting period. Failure to prepare and submit the required financial reports results in noncompliance with federal requirements.
- b. DWS did not report the proper amount of expenditures as "Federal Share of Unliquidated Obligations" on line 10.f. of the FFY 2011 and 2012 reports. Per the Federal Financial Report Instructions, line 10.f. should include: "...obligations incurred, but not yet paid. As such, DWS should have included amounts incurred by its subrecipients but not yet paid or charged to the award prior to September 30, 2012. This error occurred because the program accountant thought the report was required to be submitted within 30 days (rather than the required 90 days) after the federal fiscal year end; thus, he felt there was insufficient time to determine accrued expenditures. Not reporting all required information results in errors in other lines of the reports and ultimately results in inaccurate and incomplete program information being provided to the Federal Government.

Recommendations:

We recommend that DWS:

- a. **Properly prepare and timely submit all required SF-425 annual reports.**

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- b. Gain a better understanding of reporting requirements, including information for “Unliquidated Obligations,” to ensure that the SF-425 reports are prepared in accordance with applicable federal instructions.**

DWS’ Response:

We agree with the finding and recommendation.

- a. We contacted HHS personnel in an attempt to identify and resolve the problem that prevented us from creating the SF-425 reports for the FFY 2009 and FFY 2010 grant awards in the OLDC system as cited in the finding. We were ultimately unable to create the reports for the FFY 2009 and FFY 2010 grant awards in the OLDC system and had to prepare and submit hardcopy reports for these grant awards. The program accountant understands the importance of submitting the reports timely and will contact HHS personnel for guidance prior to the report submittal deadline in the event that the OLDC system prevents us from creating the SF-425 reports in the future.*
- b. We have already implemented improved report preparation procedures to ensure that line 10.f. of the SF-425 report includes the accurate amount of obligations incurred but not yet paid. Because the program accountant also services another federal program which requires a 30-day turnaround for submitting SF-425 reports, the program accountant assumed the same deadline applied to SF-425 reports for the LIHEAP program, thus limiting the amount of time available to obtain accurate expenditure data from our LIHEAP subrecipients. The program accountant is now familiar with report deadlines and applicable report instructions.*

*Contact Persons: Nathan Harrison, Administrative Support Director, (801) 526-9402
Kimberley Schmeling, Financial Manager, (801) 503-8970*

Anticipated Correction Date: December 31, 2013

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2013-032. **BENEFIT PAYMENT INTERNAL CONTROL WEAKNESSES AND NONCOMPLIANCE**

(Department of Workforce Services)

CFDA Numbers and Titles: 1) **93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund**
2) **93.575 Child Care and Development Block Grant – Discretionary**

Federal Award Numbers: **G-1001UTCCDF, G-1101UTCCDF, G-1201UTCCDF
G-1301UTCCDF**

Questioned Costs: **\$559**

Pass-through Entity: N/A

We tested benefit expenditures of the Child Care and Development Fund (CCDF) Cluster at DWS by selecting a sample of 60 Child Care benefit payments, totaling \$33,412, from 60 cases, taken from a total population of \$45,642,863. Of the Child Care benefit payments tested, we noted a net dollar error of \$683 from our sample benefit payments (2.04% of the total sample benefit amount); however, we have only questioned costs in instances when an error resulted in an overpayment to a customer, totaling \$559. We noted errors in 13 of the 60 sample cases tested (21.67%).

a. Inadequate Verification of Providers' Monthly Charge

For two cases, the caseworkers did not verify that the provider charge entered into the Electronic Resource Eligibility Product (eREP) system matched the provider charge on the Child Care Subsidy Worksheet (DWS Form 980). This reconciliation is necessary since the DWS Child Care Eligibility Manual (Eligibility Manual) §330-3A states that the DWS employee must have the provider's monthly charge before ordering the payment and that the Form 980 is used to document the authorized monthly charge. One of the errors occurred because the Form 980 was not filled out for each month. The other error occurred because the provider had entered the wrong information on the Form 980. For both of these cases, DWS personnel contacted the providers and verified that the children were actually in care with the provider during the month and that the provider charge entered into eREP was correct; therefore, we have not questioned any costs associated with these cases.

b. Incorrect Income or Hours Determination

For ten cases, we were unable to reconcile the earned income or hours worked entered into the eREP system to the documentation in the case record. Per the Eligibility Manual §740-2A, "Child care should only be approved during the time the parent is participating

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in an approved activity and using an approved provider.” Per the Eligibility Manual §725 “Each case record will contain...income information and verification.” These errors resulted in a net overpayment of child care totaling \$173 and total questioned costs of child care totaling \$533 as broken out below:

- For two cases, the case file was missing information required to calculate the “best estimate” that was not addressed at the time of approval. We were able to verify, after the initial approval, that the client was eligible for the benefits; therefore, we have not questioned any costs for these cases.
- For four cases, the caseworker did not enter the correct hours/income amounts into the eREP calculation. This resulted in underpayments of benefits for two cases of \$512 and \$532; an overpayment of benefits for one case of \$3 (which we have questioned; and no effect on the benefit issuance for the remaining case.
- For two cases, the child care benefit was issued despite the parents not meeting the working hours requirement or having any other participation that would qualify them for the monthly child care benefit. Both of these payments resulted in an overpayment of benefits. These errors occurred because the caseworker did not properly update changes in employment status in e-REP prior to benefit issuance. For one of these cases, DWS was able to recover the overpayment of \$772, from the provider; therefore, we have not questioned this amount. We have questioned the overpayment of \$438 for the other case.
- For one case, the caseworker included in the “best estimate” calculation working hours that occurred while the utilized child care center was closed. This error occurred because the caseworker did not adequately analyze the information used in the “best estimate.” As a result, there was a total overpayment of \$92 in benefits for this case, which we have questioned.
- For one case, the caseworker inappropriately excluded Paid Time Off (PTO) in the “best estimate” calculation of hours worked. Per the Eligibility Manual §740-2A, excluding PTO results in inaccurate calculations that are not reflective of anticipated future needs. This error occurred because the caseworker was unaware of a policy change. Since the error resulted in an *underpayment* of benefits of \$88, we have not questioned any costs for this case.

c. Incorrect Child Care Benefit Issuance

For one case, a client erroneously received Family Employment Program (FEP) Child Care benefits when they were on “FEP-diversion.” Per the Eligibility Manual §210-2, “The household is not eligible for FEP Child Care during the three-month diversion

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period.” Although the client was not eligible for FEP Child Care, they were eligible for Employment Support (ES) Child Care, which is subject to an income adjustment. Since the client received Child Care benefits that were not reduced by an income adjustment, the error resulted in an overpayment of \$26. We have questioned these costs.

Recommendations:

We recommend that DWS strengthen their caseworkers’ understanding of established policies and procedures to ensure that they are able to effectively administer the Child Care program. Specifically, DWS caseworkers should:

- a. Verify the accuracy and completeness of data submitted by child care providers on Form 980 prior to ordering child care payments, ensure Form 980 is imaged in participants’ case records, and adequately document any follow-up information in the case file.**
- b. Review the accuracy and completeness of data submitted by the client or client’s employer prior to creating a best estimate, ensure accuracy of information entered into eREP prior to benefit issuance, update changes in employment status timely, adequately analyze data submitted for reasonableness, and correctly apply “best estimate” procedures when calculating participants’ earned income.**
- c. Review employment counselor notes more closely prior to issuing FEP child care or other child care benefits while the client is on an FEP-diversion.**

DWS’ Response:

We agree with the finding and recommendation.

- a. DWS Eligibility Services Division (ESD) managers, Community Based Team (CBT) supervisors, and child care program specialists met on July 31, 2013, to discuss the form 980 issues cited in the finding. On October 1, 2013, ESD updated child care procedures to require workers to end-date the child care provider evidence in eREP to match the last month covered on the form 980 provider worksheet. We believe the form 980 issues cited in the finding will improve with this updated procedure and by including these elements in reviews conducted by our internal Performance Review Team (PRT).*
- b. We acknowledge the issues cited in the finding related to accurately determining income estimates. ESD personnel have taken a proactive approach to determine root causes and to identify and correct child care errors. We utilized our internal PRT reviews to identify*

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workers with the highest child care accuracy rates. Using these results, we created specialized teams on June 3, 2013, to administer the majority of our child care cases. In May through July 2013, ESD personnel completed an internal targeted review of child care cases to validate accurate benefit issuance and to identify additional training needs for the newly created child care teams. We believe this strategy of using specializing child care teams will generate higher child care accuracy results.

- c. *As noted above, specialized child care teams were formed on June 3, 2013. These newly formed teams facilitate improved communication and coordination between employment counselors and eligibility specialists. By reducing the number of workers processing FEP Child Care, better working relationships have developed between employment counselors, supervisors, and eligibility specialists. CBT supervisors met on July 31, 2013, to review the issues cited in the finding. The FEP/Child Care supervisors reviewed diversion policy at their subsequent team meetings in August 2013.*

*Contact Person: Dale Ownby, Eligibility Services Division Director, (801) 526-9889
Anticipated Correction Date: June 30, 2014*

2013-033. **UNTIMELY COMPARISON OF WAGE INFORMATION OBTAINED FROM THE STATE WAGE INFORMATION COLLECTION AGENCY (SWICA) TO WAGE INFORMATION IN THE CASE RECORD**

(Department of Workforce Services)

CFDA Number and Title: **93.558 Temporary Assistance for Needy Families**
Federal Award Numbers: **G-1102UTTANF, G-1202UTTANF, 1302UTTANF**
Questioned Costs: **\$-0-**
Pass-through Entity: N/A

During our review of benefit expenditures of the TANF Program, we noted that DWS does not have a control in place to ensure that wage information obtained from the SWICA is compared to wage information in the case record in a timely manner. DWS has controls in place to ensure that wage information is obtained from the SWICA daily. That information is then used to populate other databases (e-Find and e-Share) that caseworkers use to compare wage data to the case record; however, these comparisons are generally only done semi-annually rather than on a quarterly basis as required by federal regulations (45 CFR 205.55).

DWS was unaware of the requirement to compare the data obtained from the SWICA with the case record quarterly, thus, they have no control in place to ensure compliance with this requirement. Caseworkers obtain wage information from the SWICA and re-evaluate the individual's eligibility at least semi-annually during the review process and often more

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frequently as they become aware of changes in participants' wages. If DWS wishes to evaluate wage information obtained from the SWICA at a different interval than that required by federal regulations, they should seek approval from the U.S. Department of Health and Human Services (DHHS) Secretary. We did not note any eligibility errors in our sample items; therefore, we have not questioned costs. However, noncompliance with this requirement can result in overpayments and questioned costs.

Recommendation:

We recommend that DWS design and implement internal controls to ensure compliance with the requirement to compare wage information obtained from the SWICA quarterly or seek approval from the DHHS Secretary to evaluate the information at a different interval.

DWS' Response:

We agree with the finding and recommendation. We will seek approval from the DHHS Secretary to obtain and evaluate wage information at a different interval. If we are unable to obtain approval we will implement internal controls to ensure wage data is reviewed and compared to information in the case record on a quarterly basis.

*Contact Persons: Karla Aguirre, Associate Director, (801) 526-9724
Sisifo Taatiti, Workforce Preparation Manager, (801) 526-4370*

Anticipated Correction Date: June 30, 2014

2013-034. INADEQUATE SUPPORTING DOCUMENTATION FOR AND RECONCILIATION OF EXPENDED TANF FUNDS

(Department of Workforce Services)

CFDA Number and Title: **93.558 Temporary Assistance for Needy Families**

Federal Award Numbers: **G-1102UTTANF, G-1202UTTANF, 1302UTTANF**

Questioned Costs: **\$119**

Pass-through Entity: N/A

We tested TANF Program benefit expenditures at DWS by selecting a sample of 40 benefit payment authorizations (2 benefit payments processed through UWORKS and 38 benefit payments processed through eRep), totaling \$13,090 from a population of \$25,633,277. We noted an error with 1 of the 2 UWORKS cases sampled. We have questioned costs associated with this error, totaling \$119.

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- For one case, the employment counselor did not obtain and reconcile receipts to verify that the customer's purchases using TANF funds were allowable in accordance with WDDPM §910. The required reconciliation was not properly performed because the employment counselor accepted a pricing sheet (i.e., a printout showing the prices that the university bookstore charges) for five textbooks as evidence for the purchases rather than the actual receipts for the purchases.

Subsequent to our review, the counselor obtained from the customer a receipt verifying the purchase of three of the books from the bookstore and a DWS Reconciliation Customer Statement Form (Form 370) completed by the customer certifying that the remaining two textbooks were purchased at the bookstore. In accordance with WDDPM §910, DWS considers the Form 370 to be an acceptable form of verification for UCard purchases; however, in this case, the customer purchased the textbooks with his own funds and then used his UCard to purchase non-negotiated items equaling the amount authorized for the textbooks. WDDPM §910-3 states, "In cases where the customer spent funds on non-negotiated items, if they can provide a receipt showing the purchase of the originally negotiated item(s) with their own funds ... [the caseworker should] mark the transaction as "Acceptable", narrate the details in the Comments, and attach the receipt." Because the customer did not have receipts to document his purchases, the Form 370 is considered insufficient documentation.

Subsequent to providing the Form 370, the customer submitted another receipt which supported the purchase of one of the remaining two textbooks. At this time, he also submitted a third party statement indicating that the remaining textbook was purchased from another student. Due to the discrepancy between the DWS Form 370, which stated that the textbook was purchased from the bookstore, and the third party statement that the textbook was purchased from another student, we have questioned \$119 for the cost of the book. Inadequate reconciliation of expended TANF funds can result in unauthorized payments going undetected and in questioned costs.

Recommendation:

We recommend that DWS ensure employment counselors 1) obtain in a timely manner proper supporting documentation to support expenditures and 2) reconcile receipts to verify that expenditures were allowable.

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DWS' Response:

We agree with the finding and recommendation.

As of May 1, 2013, future authorizations are reduced if funds are determined to have been spent inappropriately (marked as "not acceptable" in UWORKS). In addition, if receipts are not reconciled within 90 days, UWORKS will prevent future authorizations until transactions are either reconciled or marked as not acceptable. The timeframe for reconciliation was changed from 90 days to 30 days, effective October 7, 2013, to further reduce the amount of time that lapses before transactions are reconciled.

The employment counselor corrected the error cited in the finding on August 20, 2013, and received training on the reconciliation process on September 27, 2013. Training on the reconciliation process was also provided to all FEP employment counselors and supervisors statewide during the month of October 2013.

*Contact Persons: Karla Aguirre, Associate Director, (801) 526-9724
Sisifo Taatiti, Workforce Preparation Manager, (801) 526-4370
Anticipated Correction Date: October 31, 2013*

2013-035. **NONCOMPLIANCE WITH TREASURY-STATE AGREEMENT**

(Department of Workforce Services)

CFDA Number and Title: **93.558 Temporary Assistance for Needy Families**

Federal Award Numbers: **G-1102UTTANF, G-1202UTTANF, 1302UTTANF**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We tested the weekly cash draws made by DWS and noted that the draws for estimated TANF administrative costs were not reconciled to actual expenditures at the frequency required by the Treasury-State Agreement. DWS estimates the projected federal share of certain administrative expenditures quarterly for TANF, divides the estimate by 13 to arrive at a weekly estimate, and draws the estimated amount weekly. Once the quarterly expenditure report is completed, the Treasury-State Agreement requires DWS to adjust the grant to bring draws into reconciliation with actual expenditures, returning or drawing funds as necessary.

The financial manager performing the federal draws did not perform the reconciliation at the end of the at the first quarter of state fiscal year 2013 for TANF because she was concerned that the amounts reported were incorrect and would, therefore, result in an incorrect draw amount. However, when the expenditure report was completed for the second quarter of state

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fiscal year 2013, the financial manager performed a reconciliation, and an amount equal to the first and second quarter reconciling amount was drawn totaling \$5,694,562. Not performing timely reconciliations in compliance with the Treasury-State Agreement could result in lost interest revenue to the State or in an interest liability for the State.

Recommendation:

We recommend that DWS comply with the Treasury-State Agreement by performing required reconciliations quarterly and returning or drawing funds as necessary.

DWS' Response:

We agree with the finding and recommendation. Processes for preparing the TANF reports have been improved to ensure accurate expense information is provided to the Financial Manager responsible for completing quarterly reconciliations and performing the quarterly reconciling draws. The Operational Accounting Manager will review the quarterly reconciliations and the corresponding draw activity to ensure that federal funds are drawn in accordance with the Treasury-State Agreement.

*Contact Persons: Nathan Harrison, Administrative Support Director, (801) 526-9204
Bryce Adams, Operational Accounting Manager, (801) 526-9221*

Anticipated Correction Date: December 31, 2013

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U.S. DEPARTMENT OF HOMELAND SECURITY

2013-036. INADEQUATE CONTROL OVER SUBRECIPIENT AUDITS

(Department of Public Safety)

CFDA Numbers and Titles: 1) **97.036 Disaster Grants–Public Assistance**
2) **97.067 Homeland Security Grant Program**

Federal Award Numbers: 1) **FEMA-1955-DR, FEMA-4011-DR, FEMA-4053-DR, FEMA-4088-DR**
2) **2009-SS-T9-0021, 2010-SS-T0-0017, EMW-2011-SS-00132-S01, EMW-2012-SS-00047-S01**

Questioned Costs: N/A

Pass-through Entity: N/A

The Department of Public Safety (the Department) does not have adequate internal controls to ensure that subrecipients of the Disaster Grants–Public Assistance Program and the Homeland Security Grant Program are complying with OMB Circular A-133 audit requirements. Per OMB Circular A-133, grant recipients that pass-through federal grant funds to subrecipients are required to:

- Ensure that subrecipients expending over \$500,000 in federal awards during the subrecipient’s fiscal year have an A-133 audit completed within 9 months of the end of the subrecipient’s fiscal year end;
- Issue a management decision on audit findings within 6 months after receipt of the subrecipient’s audit report; and
- Ensure that subrecipients take timely and appropriate corrective action on all audit findings.

The last subrecipient A-133 audit reports the Department reviewed were for subrecipient fiscal years ending in 2010. The Department’s failure to review subrecipient reports occurred because the employee who had been performing these reviews left the Department and the new employee was not informed of this responsibility. Inadequate subrecipient monitoring can result in inappropriate use of federal funds without detection and possible questioned costs.

Recommendation:

We recommend that the Department establish internal controls to ensure that the required monitoring of subrecipients’ A-133 audits is completed in a timely manner.

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Department's Response:

The Department's Division of Emergency Management will draft a policy and procedure concerning the requirements of A-133 audit reports for subrecipients and make it a part of their existing financial policy procedures. This will ensure continuity and compliance of this requirement even when the responsibility changes to a different employee.

*Contact Person: Jona Whitesides, Financial Manager, (801) 538-9613
Anticipated Correction Date: November 15, 2013*

2013-037. **FAILURE TO PROVIDE FEDERAL AWARD INFORMATION TO SUBRECIPIENTS**

(Department of Public Safety)

CFDA Number and Title: **97.036 Disaster Grants–Public Assistance**

Federal Award Numbers: **FEMA-1955-DR, FEMA-4011-DR, FEMA-4053-DR,
FEMA-4088-DR**

Questioned Costs: N/A

Pass-through Entity: N/A

The Department uses two documents, the Articles of Agreement and the Project Worksheet, to provide federal award information to subrecipients. For fiscal year 2013, we reviewed 14 project files for grant subrecipients and noted the following:

- The Department did not obtain signed Articles of Agreement from 1 of the 14 subrecipients.
- The Department did not make any of the 14 subrecipients aware of the CFDA number and title of the federal award.

At the time of the subaward, the grant recipient is required to identify to the subrecipient the federal award information (i.e., CFDA title and number, amount of award, award name and number, and name of federal awarding agency) and applicable compliance requirements. The failure to obtain the signed Articles of Agreement from one subrecipient was an oversight. The Department failed to provide the CFDA number and title to any of the subrecipients because they were unaware of this requirement. Failure to disclose federal award information to subrecipients could result in subrecipient noncompliance with grant requirements.

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Recommendation:

We recommend that the Department implement controls to ensure that signed Articles of Agreement are obtained from all subrecipients and that all required federal award information is communicated to subrecipients.

Department's Response:

The Department's Division of Emergency Management will modify the Articles of Agreement to include the CFDA number so that this information is given to the subrecipient for Public Assistance. The Division will draft a policy and procedure that no award will be made to a subrecipient until the Articles of Agreement have been received by the Division and an electronic copy has been made.

*Contact Person: Jona Whitesides, Financial Manager, (801) 538-9613
Anticipated Correction Date: November 15, 2013*

2013-038. FAILURE TO COMMUNICATE ALL APPROPRIATE AWARD INFORMATION TO SUBRECIPIENTS

(Department of Public Safety)

CFDA Number and Title: **97.067 Homeland Security Grant Program**
Federal Award Numbers: **2009-SS-T9-0021, 2010-SS-T0-0017,
EMW-2011-SS-00132-S01, EMW-2012-SS-00047-S01**

Questioned Costs: N/A
Pass-through Entity: N/A

The Department did not comply with subrecipient monitoring requirements for the Homeland Security Grant Program (HSGP) as follows:

- a. OMB Circular A-133 requires the Department to provide subrecipients with specific award information (CFDA title and number, amount of award, award name and number, and name of federal agency). The Department uses two documents, the Articles of Agreement and the Award Obligation document, to provide this federal award information for HSGP subrecipients. However, for the 32 subrecipients we reviewed, the Department was not able to locate 13 Articles of Agreement and 3 Award Obligation documents either because they were never obtained or because they were obtained and subsequently lost.

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- b. Per 6 USC 605(c)(1), States must obligate funds for subgrants within 45 days after the date of the grant award. The Award Obligation document is used to document this information; however, as indicated above, the Department was unable to locate Award Obligation documents for 3 of the 32 subrecipients reviewed, and we were unable to determine whether the funds were obligated within the 45-day time period.
- c. Per 2 CFR part 25, Appendix A, a grant recipient must obtain a DUNS number from a subrecipient before making a subaward to them. The Department did not obtain a DUNS number from any of its Homeland Security Grant subrecipients before making subawards to them because they were not aware of the requirement to do so.

Not providing or obtaining all of the appropriate award information to/from subrecipients results in noncompliance with federal requirements by the Department and may result in the subrecipients not complying with grant requirements which could, in turn, result in possible loss of future grant funds.

Recommendation:

We recommend that the department implement controls to ensure that:

- a. **Federal award information is communicated to all subrecipients.**
- b. **Documentation that the State has obligated funds for subgrants within 45 days after the date of the grant award is obtained and retained.**
- c. **A DUNS number is obtained from all HSGP subrecipients before making subawards to them.**

Department's Response:

The Department's Division of Emergency Management provides the CFDA number to all subrecipients for the Homeland Security Grant Program on the Award Obligation document and obligates all awards within 45 days after the date of the grant award so the deficiency isn't in the lack of providing information but rather the process to ensure that information is saved appropriately. The Division will draft a policy and procedure for saving electronic copies of all Award Obligation documents and Articles of Agreement for subrecipients.

The Division will also revise Articles of Agreement to include a line for subrecipients to provide their DUNS number to fulfill this federal award requirement.

*Contact Person: Jona Whitesides, Financial Manager, (801) 538-9613
Anticipated Correction Date: November 15, 2013*

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DEPARTMENT OF LABOR

2013-039. BENEFIT PAYMENT INTERNAL CONTROL WEAKNESSES AND UNALLOWABLE COSTS/ACTIVITIES

(Department of Workforce Services)

CFDA Numbers and Titles: 1) **17.258 WIA Adult Program**
2) **17.259 WIA Youth Activities**
3) **17.278 WIA Dislocated Workers**

Federal Award Numbers: **various**

Questioned Costs: 1) **\$0**, 2) **\$1,841**, 3) **\$0**

Pass-through Entity: N/A

We tested benefit expenditures of the Workforce Investment Act (WIA) Cluster at DWS by selecting a sample of 60 benefit payment authorizations, totaling \$18,723, from a population of \$4,750,088. Of the WIA benefit payments tested, we questioned a total of \$1,841. We noted at least one error in 12 of the 60 sample cases tested (20.0%); certain cases had multiple errors.

a. Lack of Timely Reconciliation of UCard Transactions to Determine Whether WIA Funds were Expended for Allowable Activities

DWS could not perform reconciliations of UCard transactions between December 2012 and May 2013 because JP Morgan Chase, the contractor for the UCard, was unable to provide detail UCard transaction data in a format that could be uploaded into UWORKS, as required by the contract. Therefore, for 6 months of the year, the primary control over allowable costs and allowable activities for UCard transactions was not performed. During these 6 months, DWS did not institute a secondary control to ensure compliance with allowable activity and allowable cost provisions. The Workforce Development Division Policy Manual (WDDPM) §910-3 requires that all expenditures must be reconciled within 90 days of the settlement date.

Once DWS obtained the UCard transaction data, employment counselors performed the required reconciliations. However, for 4 of the 10 UCard cases we sampled from this time period, the untimely reconciliation resulted in UCard purchases for non-negotiated items with no ability to reclaim funds because the participants were no longer involved in the program and no future UCard authorizations were to be made. We have questioned the amount of WIA funds spent on the non-negotiated items in the sample, totaling \$682.

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b. Reconciliation to Determine Whether WIA Funds were Expended for Allowable Activities was Either Not Performed or Not Documented

For two cases prior to the UCard implementation in December 2012, the employment counselors did not obtain and reconcile receipts for certain purchases made by a WIA Youth participant to verify that expenditures were allowable in accordance with WDDPM §910. As a result, we were unable to determine whether WIA funds were expended for allowable activities for these cases. The required reconciliations did not occur due to employment counselor oversight. We have questioned the amount of WIA funds expended for which receipts were not obtained and reconciled by employment counselors, totaling \$505. Not completing the reconciliations can result in authorizations being spent on non-negotiated items and related questioned costs.

c. Verification of Other Grant Assistance Not Properly Considered

For one case, the employment counselor verified the WIA Youth participant's Pell Grant, but did not properly consider it when performing a financial needs assessment for the participant. As a result, the amount of WIA Youth funds obligated for the case by the employment counselor does not appear to be justified since the participant did not require WIA assistance in addition to his Pell Grant. Per WDDPM §1250(4)(c), the caseworker should provide training support services only when a customer has exhausted all other resources. Because WIA funds should supplement, not supplant, other sources of training and supportive services funds (29 USC §2864(d)(4)(B)), we have questioned all WIA funds expended for this case that supplanted other sources of funds, totaling \$271. Not considering all available assistance from other sources or programs can result in WIA Youth funds being used to pay supportive services for a customer when Pell Grant funds should be used for those services.

d. Youth Incentive Bonuses Issued in Excess of Authorized Amounts, for Unallowable Activities, or for Duplicate Activities

For three cases, employment counselors issued incentive bonuses to WIA Youth participants in amounts which exceeded dollar amounts authorized in the DWS Youth Incentive Plan (WDDPM §805-6, 900(1)(c)). In addition, for one of the three cases, an incentive bonus was issued for an activity that is not included in the Uintah Basin Youth Incentive Plan, which lists allowable incentives for the region. For another of the three cases, an incentive bonus was issued to the participant twice for the same activity. The errors occurred due to employment counselor oversight and because the employment counselors did not use the current Youth Incentive Plan when negotiating participants'

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incentive bonuses. We have questioned the costs associated with the youth incentive bonuses issued to these participants in error, totaling \$168.

For two additional cases, employment counselors issued incentive bonuses to WIA Youth participants to recognize achievement either without first assessing prior academic levels or at a point when prior academic levels could not be assessed. Youth incentive bonuses are issued to recognize achievement (29 USC §2854(a)(5)), but employment counselors should take into account the assessment of academic levels, skill levels, and service needs of the participant when developing appropriate achievement objectives (29 USC §2854(c)(1)(B)). Because the participants' achievement occurred without properly assessing academic levels, issuing incentive bonuses to recognize that achievement is not considered an appropriate use of WIA funds. We have questioned the WIA Youth funds expended for these incentive bonuses, totaling \$155.

e. Supportive Services Issued Prior to Approval of the Employment Plan

For one case, the employment counselor authorized supportive services before the Employment Plan was signed by the customer. Per WDDPM §1250(2)(b), supportive services are only to be authorized after a complete assessment and employment plan have been completed for the customer. WDDPM §815(7) states, "When a customer signs and dates the Employment Plan, the customer is in effect signing and dating a contract with consequences for non-participation, regardless of the service activity." Authorizing supportive services before the employment plan has been signed by the customer could result in misspent funds due to the customer's lack of understanding of the goals and expectations related to the assistance. We have questioned the WIA Supportive Service payment to this customer, totaling \$60.

Recommendation:

We recommend that management at DWS (1) emphasize to employment counselors the importance of compliance with all applicable laws, compliance requirements, and established policies and procedures, (2) ensure employment counselors have the training and resources necessary to effectively administer the WIA programs, and (3) increase employment counselors' accountability through effective supervision and review.

DWS' Response:

We agree with the finding and recommendation.

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- a. *As of April 19, 2013, the UCard transaction detail data (“history extract file”) was loaded into UWORKS and was fully available to staff by May 1, 2013. Future authorizations are now reduced if funds are determined to have been spent inappropriately (marked as “not acceptable” in UWORKS). In addition, if receipts are not reconciled, UWORKS will prevent future authorizations until transactions are either reconciled or marked as not acceptable. The timeframe for reconciliation was changed from 90 to 30 days effective October 7, 2013, to further reduce the amount of time that lapses before transactions are reconciled. DWS provided regular updates to the U.S. Department of Labor during the months the JP Morgan Chase history extract file issues were being resolved.*
- b. *The new reconciliation process was fully implemented May 1, 2013. If receipts are not reconciled after 30 days (previously 90 days) of the transaction date, UWORKS will prevent future authorizations. In addition, if receipts are provided but funds were misspent, the misspent amount(s) will be reduced from future authorizations (see response to part “a” above).*
- c. *Policy was clarified in July 2013 that an employment counselor must indicate a participant has exhausted all other resources, meaning they must review the participant’s resources—including Pell grants—with the participant to ensure they do not have other funding available prior to issuing supportive service funds. DWS will continue to reinforce this process with staff.*
- d. *The WIA Youth Incentive policy was changed in July 2013. There is now a statewide incentive plan that applies to all economic service areas, which streamlined the process and created consistency throughout the state. The policy now clearly indicates that incentives cannot be issued for achievements that occurred prior to enrollment or before an achievement is verified. The new policy also clarifies when a baseline is needed to show achievement. Finally, the new policy includes which services on the employment plan coincide with each incentive. Employment counselors were trained on the new policy via conference call and web training during the first week of July 2013.*
- e. *The policy is still in effect and was reviewed with all staff during training for new policy conducted September 30 through October 8, 2013.*

Contact Persons: Karla Aguirre, Associate Director, (801) 526-9724
Rachael Stewart, Education and Training Manager, (801) 526-9257
Anticipated Correction Date: June 30, 2014

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

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2013-040. REPORTING ERRORS

(Department of Workforce Services)

CFDA Numbers and Titles: 1) **17.258 WIA Adult Program**
2) **17.259 WIA Youth Activities**
3) **17.278 WIA Dislocated Workers**

Federal Award Numbers: 1) **AA-22965-12-55-A-49**
2) **AA-21425-11-55-A-49**
3) **AA-20223-10-55-A-49**

Questioned Costs: **\$-0-**
Pass-through Entity: N/A

We reviewed the ETA-9130 quarterly reports prepared and submitted for the quarters ended September 30, 2012 and March 31, 2013 and noted the following errors:

- a. WIA Local Dislocated Worker Reports – The amounts reported as Expenditures of Dislocated Worker (DW) Funds Transferred to Adult Program (line 11.c.) on the reports were incorrect as follows:

Report Date	Award	Discrepancy
September 30, 2012	Program Year (PY) 2011 Award	Overstatement of \$31,099
March 31, 2013	Federal Fiscal Year (FFY) 2011 Award	Overstatement of \$246,960
March 31, 2013	Federal Fiscal Year (FFY) 2012 Award	Understatement of \$392,428

The amount reported on line 11.c. should represent the amount of DW funds expended on the Adult Program for the applicable award. This error occurred because the formula used to populate line 11.c. was capturing the amount that should have been reported from the previous period (i.e. the amount reported for the FFY12 award was the PY11 award amount and the amount reported for the PY11 award was the FFY11 award amount, etc).

- b. WIA Local Youth Report for the PY 2012 award – The amount reported on line 11.e., Summer Employment Opportunities Expenditures, was understated by \$8,193. Per the WIA Local Youth 9130 Report instructions, line 11.e. should report the cumulative expenditures paid for the award, plus accrued expenditures as of the date of the report; however, the amount reported represented only the accrued expenditures of \$2,283. The inaccurate amount was the result of formula errors in the reports and related supporting worksheets.

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DEPARTMENT OF LABOR

Not accurately reporting all required information results in inaccurate and incomplete program information being provided to the Federal government.

Recommendation:

We recommend that DWS take greater care in preparing the reports and ensure the formulas are accurate thus, ensuring the proper amounts are reported.

DWS' Response:

We agree with the finding and recommendation. Spreadsheet formula errors in the detail worksheets used to prepare the WIA federal reports resulted in errors which have been corrected as follows:

- a. The error involving the reporting of the transfer between WIA Dislocated Worker (DW) and WIA Adult Program was corrected in September 2013 and the final and closeout reports were corrected for the affected years.*
- b. The error involving WIA Local Youth report for Program Year 2012 was corrected in May 2013 and was reported correctly in the June 30, 2013 reports.*

The detail worksheets have been reviewed and spreadsheet formulas have been corrected which should eliminate these errors going forward.

*Contact Persons: Nathan Harrison, Administrative Support Director, (801) 526-9402
Dan Schuring, Budget Manager, (801) 526-4306*

Anticipated Correction Date: September 30, 2013

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

SOCIAL SECURITY ADMINISTRATION

2013-041. **INACCURATE PREPARATION OF THE SSA-4513**

(Utah State Office of Rehabilitation)

CFDA Number and Title: **96.001 (SSI) Disability Determination**

Federal Award Number: **04-1204UTDI00**

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah State Office of Rehabilitation (USOR) did not accurately prepare the SSA-4513 report for the quarter ended September 30, 2012 for the Social Security Disability Insurance program. The inaccuracies included the following:

- Multiple clerical errors which resulted in an understatement of disbursements by approximately \$737,521, overstatement of unliquidated obligations by approximately \$737,765, and an overstatement of total obligations of \$244.
- Misclassification of \$32,099 of disbursements.
- Incorrect date ranges on the 4513 Addendum.
- Subtotals that were not mathematically correct.

These errors are the result of 1) insufficient knowledge and training for the report preparer regarding grant requirements and report instructions, and 2) inadequate review of the report preparer's work to ensure accuracy. Similar errors were also noted in the prior year's report.

Reports should agree to underlying documentation. Submitting inaccurate reports to the Social Security Administration may affect future award amounts.

Recommendation:

We recommend that the USOR establish appropriate internal controls over the preparation, review, and approval of federal reports.

USOR's Response:

The USOR agrees with this finding. This report and the accountant that prepared the report were undergoing significant training during the fiscal year. A review process was in place where the supervisor would recalculate and review the draft report submission prior to the report being submitted to the Federal Social Security Administration (SSA). The supervisor had identified issues that needed correction; however, the employee submitted the report to the SSA in final form, prior to making those corrections. The employee is no longer with the

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SOCIAL SECURITY ADMINISTRATION

USOR and a new accountant is being trained to complete this report. The same review and approval process will be in place to ensure that reports are submitted in a complete and accurate manner. The USOR is also cross training another USOR accountant to prepare and review draft reports, prior to submission to the SSA which should detect errors such as those identified.

*Contact Person: Jeff Young, USOR Financial Manager, (801) 538-7633
Anticipated Correction Date: Implemented*

2013-042. **INACCURATE REPORTING OF HOURS ON THE SSA-4514** (Utah State Office of Rehabilitation)

CFDA Number and Title: **96.001 (SSI) Disability Determination**
Federal Award Number: **04-1204UTDI00**
Questioned Costs: N/A
Pass-through Entity: N/A

The Utah Disability Determination Services (DDS) inaccurately reported the number of hours paid on the SSA-4514 report for the quarter ended September 30, 2012, resulting in an understatement of one hour of overtime for one individual, and an understatement of 2 hours of On Duty Hours plus an overstatement of 2 hours of Holiday and Leave Hours for another individual. These errors occurred due to mistakes made by the report preparer. This is a reoccurring problem from the prior year's management report, but USOR appears to have improved the process and significantly less errors were found.

Reports should agree to underlying documentation. Submitting inaccurate reports may affect future award amounts.

Recommendation:

We recommend that DDS review the report to ensure all employees and all categories of time are appropriately included.

USOR's Response:

The USOR agrees with this finding. The accountant that prepared the report received significant training during the fiscal year. A review process was developed and implemented and significant improvements have occurred during the past year. The Disability Determination Services (DDS) division will continue to perform the review and approval process prior to submitting these reports to USOR to finalize. The USOR is also cross

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SOCIAL SECURITY ADMINISTRATION

training another USOR accountant to prepare and review draft reports, prior to submission to the SSA which should detect errors such as those identified.

*Contact Persons: Jeff Young, USOR Financial Manager, (801) 538-7633
Paul Clingo, DDS Division Director, (801) 321-6501*

Anticipated Correction Date: Implemented

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U.S. DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY ADMINISTRATION

2013-043. UNTIMELY WEEKLY CERTIFIED PAYROLLS

(Utah Department of Transportation)

CFDA Number and Title: **20.205 Highway Planning and Construction**

Federal Award Number: **various**

Questioned Costs: **N/A**

Pass-through Entity: **N/A**

The Utah Department of Transportation (UDOT) does not have adequate procedures to ensure that contractors and subcontractors submit certified payrolls “within seven days after the regular payment date of the payroll period,” as required by federal regulations (29 CFR 3.4(a)). The certified payrolls are comprised of a copy of the payroll and a statement of compliance with the Davis-Bacon Act. UDOT does not collect actual payroll payment date information from contractors and subcontractors. However, they assume that the payroll payment date is generally seven days after the payroll end date; thus, the certified payrolls would need to be submitted within 14 days from the payroll end date. We reviewed 41 certified payrolls for 40 construction projects and, based on the payment date assumption noted above, we determined that 34 of the 41 (83%) certified payrolls were received on time and 7 (17%) were received late.

The timely submission of weekly certified payrolls is necessary for UDOT to monitor compliance with Davis-Bacon Act requirements.

Recommendation:

We recommend that UDOT strengthen their efforts to enforce the timely submitting of certified payrolls.

Department’s Response:

While we agree with the results of the audit, 83% of the sample payrolls were submitted on time, we disagree with the unreasonable expectation that 100% of the payrolls will be submitted on time. UDOT has contracts with over 700 prime and subcontractors with new ones added continuously. It is unreasonable to assume that all of them will always submit their payrolls on time every week.

Nevertheless, UDOT will strive to have adequate procedures implemented to assist in improving compliance of the required certified payroll entries within seven days after the regular payment date, as defined in 29 CFR 3.4(a).

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U.S. DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY ADMINISTRATION

As indicated in the 2012 audit findings for certified payroll compliance, UDOT has now fully implemented the import feature. With this new feature, the contractor can utilize their existing payroll program and export directly into the UDOT Certified Payroll Program instead of manual entry. This should significantly decrease the number of late payrolls. However, only a handful of UDOT contractors have been willing to utilize it. UDOT will continue to encourage and train UDOT contractors about the import feature and the benefits of utilizing it.

UDOT is working on a new web-based certified payroll program. Currently, UDOT contractors access the UDOT Certified Payroll Program through a CITRIX CLIENT which requires a program license, download of the program, and assigned IDs and passwords. With the development of the new web-based program, the UDOT contractors will be able to access directly the UDOT Certified Payroll Program without the CITRIX CLIENT requirements. This web-based program will enable the UDOT contractors to use the UDOT Certified Payroll Program more efficiently and accurately.

*Contact Person: Stacy Frandsen, UDOT Contract Compliance & Certification Manager,
(801) 965-4344*

Anticipated Correction Date: Ongoing

2013-044. **FAILURE TO PERFORM TIMELY REVIEWS OF SUBRECIPIENT A-133 AUDIT REPORT SUBMISSIONS AND TO PROVIDE REQUIRED FEDERAL AWARD INFORMATION TO SUBRECIPIENTS**

(Utah Department of Transportation)

CFDA Number and Title: **20.205 Highway Planning and Construction**

Federal Award Number: **various**

Questioned Costs: N/A

Pass-through Entity: N/A

While testing subrecipient monitoring for the Highway Planning & Construction grant at UDOT, we selected a sample of 7 contracts issued to 4 different subrecipients and noted the following:

- a. For 3 of the 4 subrecipients reviewed, UDOT did not perform a review of the A-133 audit report within 6 months after receipt of the subrecipients' audit reports, as required by OMB Circular A-133. Failure to perform reviews in a timely manner may delay the issuance of any necessary management decisions on audit findings and any subsequent corrective action pertaining to those findings.

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- b. For 6 of the 7 contracts reviewed, subrecipients were not provided with all required federal award information. OMB Circular A-133 requires that pass-through entities provide subrecipients with the CFDA title and number, the award name and number, the award year, whether the award is for research and development, and the name of the federal awarding agency. This error appears to be the result of UDOT not using their standard contract template which includes required federal award information. Without necessary federal award information, subrecipients may inaccurately report their federal expenditures and may be unaware of grant requirements.

Recommendation:

We recommend that UDOT develop internal controls in order to comply with OMB Circular A-133 as follows:

- a. **Ensure that subrecipient A-133 audit reports are reviewed and management decisions on findings are issued within the required timeframe.**
- b. **Provide all subrecipients with the required federal award information.**

Department's Response:

- a. *We will review subrecipients twice a year to ensure compliance. UDOT reviews reports from cities, counties, school districts, regional councils, and others. The sub-recipient has nine months to file their single audit if one is required. For at least the past eight years, UDOT has not seen any reports that would result in a finding where funds need to be returned to UDOT.*
- b. *We agree with the finding relating to federal-aid agreements on the Consolidated Planning Program with Metropolitan Planning Organizations. Agreements do need to include complete required federal award information.*

However, at fiscal year-end, UDOT did notify all subrecipients of award amounts by CFDA to ensure sub-recipients reported within their federal expenditures.

*Contact Person: Jimmy Holfeltz, Director of Fiscal Audit, (801) 965-4819
Anticipated Correction Date: January 1, 2014*

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PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY ADMINISTRATION

2013-045. **INADEQUATE INTERNAL CONTROL OVER THE TIMELINESS AND ACCURACY OF DATES REPORTED UNDER THE TRANSPARENCY ACT**

(Utah Department of Transportation)

CFDA Number and Title: **20.205 Highway Planning and Construction**

Federal Award Number: **various**

Questioned Costs: **N/A**

Pass-through Entity: **N/A**

Per the Federal Funding Accountability and Transparency Act (FFATA), UDOT is required to report in the FFATA subaward reporting system information regarding any subaward obligations of \$25,000 or more in federal funds. For a sample of 5 UDOT subaward obligations subject to the FFATA reporting requirements, we reviewed the contract information, including dates, amounts, and subaward numbers, and noted the following weaknesses:

- a. For 4 of the 5 subaward obligations reviewed, the obligation/action dates reported were not supported by source documentation. These errors occurred because of confusion regarding whether the appropriate obligation/action date to be reported is the contract date or the date approved by the Federal Highway Administration.
- b. For 3 of the 5 subaward obligations reviewed, the necessary information was not reported by the last day of the month following the month in which UDOT awarded the subcontract, as indicated by the obligation/action dates reported. This error may have occurred, in part, because UDOT cannot enter the necessary information until the obligation has been uploaded by the Federal Highway Administration.

Recommendation:

We recommend that UDOT implement controls in order to:

- a. **Ensure that the subaward obligation/action dates reported are supported by source documentation.**
- b. **Either report the necessary information by the required deadline or maintain documentation of their good faith efforts to submit the information.**

Department's Response:

UDOT has a process to accurately report the monthly subaward amounts required under the Federal Funding Accountability and Transparency Act (FFATA). UDOT's primary reporting date within FFATA has been the contract or FINET encumbrance date. The reporting,

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however, can be delayed by the monthly Federal Highway Administration Federal Management System (FMIS) interfacing into FFATA for current obligations. A contract execution date may be a month prior to obligation interface update between federal FMIS and FFATA systems.

In addition, some subawardees have incomplete information within the federal “SAM.gov profile,” which delays this process. Successful submittal of FFATA reports must pass required edits, which depends on accurate subaward information within SAM.

In the future, UDOT will utilize the later of the contract date or the FHWA authorization date as the required reporting date. Also, UDOT will keep copies of the subawardee’s “incomplete documentation” that prevents us from reporting by the required deadline.

Contact Person: Kelly Garner, Financial Manager, (801) 965-4006

Anticipated Correction Date: Implemented

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U.S. DEPARTMENT VETERANS' AFFAIRS

2013-046. **REIMBURSEMENT FOR CONSTRUCTION COSTS BASED ON ESTIMATES
RATHER THAN ACTUAL COSTS**

(Department of Veterans' and Military Affairs)

CFDA Number and Title: **64.005 Grants to States for Construction of State Home Facilities**

Federal Award Numbers: **FAI-49-004 and FAI-49-005**

Questioned Costs: N/A

Pass-through Entity: N/A

In our review of the requests for reimbursement for the construction costs of both the Ivins Veterans Nursing Home and the Payson Veterans Nursing Home as of March 31, 2013, we noted that the Utah Department of Veterans' and Military Affairs (the Department) reported cumulative costs to date that exceeded the actual costs incurred to date by \$2,261,269 for the Ivins Veterans Nursing Home and by \$1,418,448 for the Payson Veterans Nursing Home. This resulted in payments to the Department in excess of the 65% federal share of the construction costs in the amount of \$1,469,825 for the Ivins Nursing Home and \$921,991 for the Payson Nursing Home. Per federal regulations (31 CFR 205.33), the State must minimize the time between receipt of federal funds and their disbursement for federal program purposes. Per 38 CFR 59.100, Grants to States for Construction of State Home Facilities are to be paid to the State by way of reimbursement. As of June 30, 2013, the Department had completely expended these advanced funds on allowable construction expenditures and had not received any additional advances; therefore, no costs have been questioned.

This error occurred because the Department was not aware that the cost summary information provided by the construction project manager, used to prepare the requests for reimbursement, was based on estimates rather than on actual costs incurred. Errors like this result in noncompliance with the federal cash management requirements and may also result in questioned costs.

Recommendation:

We recommend that the Department prepare the requests for reimbursement using only actual expenditures recorded in FINET (the State's general ledger).

Department's Response:

All billings have been revised and corrected to conform to this recommendation. Revisions were completed by Todd Hansen.

Contact Person: Todd Hansen, State Officer, (801) 584-1914

Correction Date: August 22, 2013

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U.S. DEPARTMENT VETERANS' AFFAIRS

2013-047. **VERIFICATION THAT CONTRACTORS WERE NOT SUSPENDED OR DEBARRED WAS NOT OBTAINED**

(Department of Veterans' and Military Affairs)

CFDA Number and Title: **64.005 Grants to States for Construction of State Home Facilities**

Federal Award Numbers: **FAI-49-004 and FAI-49-005**

Questioned Costs: N/A

Pass-through Entity: N/A

For 4 of the 5 construction contracts equal to or exceeding \$25,000 for the Ivins Veterans Nursing Home and/or the Payson Veterans Nursing Home, the State Division of Facilities Construction and Management (DFCM) did not verify that the contractor was not suspended or debarred. The verification may be accomplished by 1) checking the Excluded Parties List maintained by the U.S. Government System for Award Management (www.sam.gov), 2) collecting a certification from the entity, or 3) adding a clause or conditions to the agreement with the entity. Federal regulations (2 CFR 180.220) prohibit non-federal entities from contracting for the expenditure of federal grant funds with entities that are suspended or debarred or whose principals are suspended or debarred if the contract is expected to equal or exceed \$25,000.

This error occurred because both the Department and DFCM assumed the other entity was responsible for ensuring compliance with the federal requirements of this grant. In reality, both entities share in the responsibility since the Department is the recipient of the grant and DFCM handles the construction of the projects. Therefore, the Department should implement internal controls to follow-up with DFCM to ensure the requirements have been met. Errors like these can result in potential questioned costs or loss of future grant funding. We did not question any costs associated with these errors because none of the four contractors noted above were on the federal Excluded Parties List.

Recommendation:

We recommend that the Department implement internal controls to follow-up with DFCM and ensure they have obtained verification from contractors of compliance with the federal suspension and debarment requirements for all covered transactions.

Department's Response:

The Department provided to the federal V A Office of State Home Programs, a signed Form 10-0388-7 entitled Compliance Regarding Debarment, Suspension and Other Responsibility Matters for Primary Covered Transactions. This was approved by the V A and accepted on 27 February 2012. We subsequently provided a signed Form 10-0388-12 entitled

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Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier covered Transactions (38 CFR Part 44-510). This was accepted and approved by the VA Office of State Home Programs on 01 March 2012. It was our understanding that reporting was to be done and verified by DFCM, not by the Department of Veterans Affairs because the construction contract was under the jurisdiction and direction of DFCM. As a result the Department believed that it had discharged its responsibility relative to these documents and their related requirements. Effective immediately, as of the date of this letter, all future actions relative to this subject matter will be closely and carefully monitored with DFCM to assure that compliance is accomplished.

In the event any further (additional) grants are received (awarded) to the Department, the Department will place additional internal control procedures in place to assure consistent review of such requirements, i.e. verification that contractors were not suspended or debarred.

*Contact Persons: Dennis McFall, Deputy Director, (801) 326-2372 (O), (801) 755-8722 (C)
Todd Hansen, State Officer, (801) 584-1914
Anticipated Correction Date: Immediately (August 22, 2013)*

2013-048. **CERTIFIED PAYROLLS WERE NOT OBTAINED FROM CONTRACTORS TO COMPLY WITH FEDERAL DAVIS-BACON ACT**
(Department of Veterans' and Military Affairs)

CFDA Number and Title: **64.005 Grants to States for Construction of State Home Facilities**
Federal Award Numbers: **FAI-49-004 and FAI-49-005**
Questioned Costs: N/A
Pass-through Entity: N/A

No certified weekly payrolls were submitted to DFCM for the construction of either the Ivins Veterans Nursing Home or the Payson Veterans Nursing Home. Per federal regulations (29 CFR section 5.5), contractors and subcontractors are required to submit to the non-federal entity weekly, for each week in which any contract work is performed, a copy of the payroll and a statement of compliance (certified payrolls).

This error occurred because both the Department and DFCM assumed the other entity was responsible for ensuring compliance with the federal requirements of this grant. In reality, both entities share in the responsibility since the Department is the recipient of the grant and DFCM handles the construction of the projects. Therefore, the Department should implement internal controls to follow-up with DFCM to ensure the requirements have been met. Errors like these can result in potential questioned costs or loss of future grant funding. We did not

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question any costs associated with this error because, subsequent to our inquiries, the weekly certified payrolls were submitted by the contractor and subcontractors for both construction projects.

Recommendation:

We recommend that the Department implement internal controls to follow-up with DFCM and ensure compliance with the federal Davis-Bacon Act related to the timely submission of certified payrolls.

Department's Response:

The Department submitted V A Form 10-0388-2 entitled Compliance with the Davis-Bacon Act, to the V A Office of State Home Programs. This was accepted and approved by the V A on 27 February 2012. Similar to the prior issue, we were of the understanding that DFCM would review payrolls submitted by the contractor and we would not need to do so. The contract for construction is with DFCM and we were of the understanding it was their responsibility to validate and assure compliance with Davis-Bacon requirements.

In the event any further (additional) grants are received (awarded) to the Department, the Department will place additional internal control procedures in place to assure that payrolls are reviewed and compliance is maintained with Davis-Bacon requirements.

*Contact Persons: Dennis McFall, Deputy Director, (801) 326-2372 (O), (801) 755-8722 (C)
Todd Hansen, State Officer, (801) 584-1914*

Anticipated Correction Date: Immediately (August 22, 2013)

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

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GENERAL FINDINGS

2013-049. **WORKING CAPITAL RESERVES IN EXCESS OF FEDERAL GUIDELINES**
(Department of Administrative Services)

Federal Agency: **Various**
CFDA Numbers and Titles: **Various**
Federal Award Numbers: **Various**
Questioned Costs: **Undeterminable**
Pass-through Entity: N/A

As of June 30, 2013, two divisions within the Department of Administrative Services held working capital reserves in their internal service funds which were in excess of federal guidelines as follows:

<u>Fund Description</u>	<u>Number of Days in Reserve</u>	<u>Excess Amount in Reserve</u>
Division of Purchasing and General Services:		
Cooperative Contract Management	229	\$ 964,823
Federal Surplus Property	462	\$ 6,966
State Surplus Property	191	\$ 264,566
Division of Risk Management:		
Workers Compensation	65	\$ 110,393
Auto	106	\$ 171,190

OMB Circular A-87 generally allows a working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes in each internal service fund; the Federal Surplus Property fund, however, is allowed a working capital reserve as part of retained earnings up to 360 days cash expenses for normal operating purposes. The excess reserves were due to the inherent difficulty of accurately estimating expenses when setting rates. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

We recommend that each of the respective divisions within the Department of Administrative Services reduce excess working capital reserves to comply with federal guidelines.

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GENERAL FINDINGS

Department's Response:

We agree that these two divisions hold working capital reserves in excess of federal guidelines in their internal service funds. We are working with the Federal Division of Cost Allocation (DCA) in resolving these excess reserves as follows:

- The Division of Purchasing plans on refunding the federal portion of the excess working capital reserves in the Cooperative Contract Management fund. The Division is also in the process of reducing the administrative fees charged to vendors on state cooperative contracts by 25 to 50 percent based on the use and complexity of each individual contract. This plan was approved by the Department of Administrative Services Rate Setting Committee in August 2013. This will reduce future excess reserves each year until the appropriate level is achieved. The State Surplus program has been radically altered by S.B. 68 (2013 general session) which required non-vehicle surplus property to be sold through a private sector vendor. At the same time, rates charged by State Surplus for vehicle sales have been reduced from 9 to 5 percent. This will reduce revenue to State Surplus and consequently reduce the excess working capital reserves. Current projections indicate the Federal Surplus Program working capital reserves will be in compliance with federal guidelines by the end of fiscal year 2014.*
- The Division of Risk Management excess reserves are primarily due to the unpredictable nature of claims. To reduce the excess reserves in the Workers' Compensation fund, the Division is charging its customers a reduced rate for coverage. The Division is expecting additional costs in the Auto program to repair and in some cases replace vehicles that have been damaged. Working capital reserves for both funds are projected to be in compliance with federal guidelines by the end of fiscal year 2014.*

*Contact Persons: Kent Beers, Director, Division of Purchasing, (801) 538-3143
Tani Downing, Director, Division of Risk Management, (801) 538-9560*

Anticipated Correction Date: June 30, 2014

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GENERAL FINDINGS

2013-050. WORKING CAPITAL RESERVES IN EXCESS OF FEDERAL GUIDELINES

(Public Employees Health Program)

Federal Agency: **Various**
CFDA Numbers and Titles: **Various**
Federal Award Numbers: **Various**
Questioned Costs: **Undeterminable**
Pass-through Entity: N/A

As of June 30, 2013, the Public Employees Health Program (PEHP) held working capital reserves in excess of federal guidelines as follows:

<u>Program</u>	<u>Number of Days in Reserve</u>	<u>Excess Amount in Reserve</u>
Death Benefit	404	\$ 4,738,666
Medicare Supplement	318	\$ 18,890,530
State Medical	98	\$ 27,390,437
State Dental	70	\$ 426,677
Long-Term Disability	190	\$ 2,429,432

OMB Circular A-87 generally allows for a working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes. The excess reserves resulted from the inherent difficulty of accurately estimating expenses. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

We recommend that PEHP reduce excess working capital reserves to comply with federal guidelines.

Department's Response:

We agree the contingency reserves in the Death Benefit, Medicare Supplement, State Medical, State Dental, and Long-Term Disability program are in excess of federal guidelines. We have already put the following steps into place to reduce the excess:

Death Benefit – PEHP plans on reducing the excess reserves in this program by reducing premiums. The excess reserves were reduced by \$869 thousand in fiscal year 2013. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.

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GENERAL FINDINGS

Medicare Supplement – PEHP will reduce excess reserves in this program by either reducing rates or declaring a premium holiday (experience dividend). PEHP estimates the excess reserves currently in this program will be eliminated by the end of fiscal year 2016.

State Medical – PEHP and the Utah Legislature are currently working on reducing excess reserves by reducing premiums. In fiscal year 2013 this resulted in reducing excess reserves by \$1.06 million. PEHP estimates the excess reserves in this program will be eliminated by the end of fiscal year 2016 through reducing premiums or a premium holiday (experience dividend).

State Dental – PEHP plans on reducing the excess reserves in this program by reducing premiums. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.

Long Term Disability – PEHP plans on reducing the excess reserves in this program by reducing premiums. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.

*Contact Person: Kim Kellersberger, Finance Director, (801) 366-7457
Anticipated Correction Date: June 30, 2016*

2013-051. **WORKING CAPITAL RESERVES IN EXCESS OF FEDERAL GUIDELINES**
(Department of Technology Services)

Federal Agency: **Various**
CFDA Numbers and Titles: **Various**
Federal Award Numbers: **Various**
Questioned Costs: **Undeterminable**
Pass-through Entity: N/A

Three of the eight service areas within the Department of Technology Services held working capital reserves in excess of federal guidelines at June 30, 2013 as follows:

<u>Service Area</u>	<u>Number of Days in Reserve</u>	<u>Excess Amount in Reserve</u>
Mainframe Computer	614	\$ 4,496,580
Printing	382	\$ 513,881
Telecommunications	152	\$ 1,968,620

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

GENERAL FINDINGS

OMB Circular A-87 generally allows a reasonable working capital reserve as part of retained earnings. Per additional guidance received from the U.S. Department of Health and Human Services, working capital reserves related to technology services should not exceed 45 days cash expenses for normal operating purposes in each service area. In prior years, working capital reserves were monitored at the fund level and in total did not exceed what was allowed. Beginning in November 2012, the Department was required to begin monitoring working capital reserves at the lower service area level. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

We recommend that the Department of Technology Services reduce excess working capital reserves for each of the service areas identified to comply with federal guidelines.

Department's Response:

The Department of Technology Services disagrees with the finding that working capital reserves are in excess of Federal guidelines. The Department is currently in the appeal process with the Federal Negotiator on how excess reserves are calculated and would argue that the finding is unfounded until the matter has been resolved. Prior to November 2012, the Federal Negotiator had DTS report retained earnings similar to other Internal Service Funds at a fund level. Since November 2012, the Federal Negotiator had DTS report retained earnings at a service level. DTS has requested that retained earnings excess reserves be calculated separately before and after the November 2012 process change. Until the matter has been resolved, the Department will take no further corrective action or change plan.

Contact Person: Daniel Frei, Finance Director, (801) 538-3459

Anticipated Correction Date: Pending appeal to Federal Negotiator

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

GENERAL FINDINGS

2013-052. INADEQUATE CONTROLS OVER RESEARCH AND DEVELOPMENT GRANT EXPENDITURES

(Utah State University)

Federal Agency: **Various**

CFDA Numbers and Titles: **Various Research and Development Cluster Awards**

Federal Award Numbers: **Various**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We reviewed the monthly purchase card statements associated with 14 Research and Development (R&D) cluster purchase card transactions and noted that 2 of the 14 statements were not appropriately reviewed by either the cardholder or the administrative reviewer. Utah State University Purchase Card Policies and Procedures, sections 1.0 and 2.2, require cardholders and administrative reviewers to sign and date monthly purchase card statements in a timely manner indicating that the charges are correct, authorized by the cardholder, compliant with procurement policies, and consistent with departmental budget and federal program guidelines. Inadequate reviews of monthly purchase card statements may allow inappropriate or unallowable purchases using University or federal funds to occur without detection.

Recommendation:

We recommend that the University strengthen internal controls over purchase card transactions to ensure all transactions are appropriately reviewed and approved in a timely manner.

University's Response:

We are currently rolling out a new electronic approval workflow process for p-card transactions that will require electronic review and approvals before the transactions are posted to Banner.

Contact Person: Dan Christensen, Controller, (435) 797-1055

Anticipated Correction Date: March 31, 2014

**SUMMARY SCHEDULE OF
PRIOR AUDIT FINDINGS**

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF AGRICULTURE

Year	Finding	Status of Finding and Questioned Costs
2011	11-09	<p>Finding: <u>Internal Control Weaknesses and Noncompliance with Allowable Costs and Cost Principle Requirements</u> (Utah Department of Agriculture and Food)</p> <p>Questioned Costs: \$ 596 The questioned costs affected four grants. One grant was active and \$113 of the questioned costs was reduced on the next draw and federal financial report for the quarter ended September 30, 2012. The remaining \$483 of questioned costs was repaid by check to the granting agency on July 31, 2013.</p> <p>Status: Implemented in fiscal year 2012. Finding initially occurred in fiscal year 2009.</p>
2012	12-04	<p>Finding: <u>Inadequate Internal Control Over Transparency Reporting</u> (Utah State Office of Education)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-015.</p> <p>Completion Date: November 1, 2013 Contact Person: Gary Belliston, USOE Controller, 801-538-7627</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Year	Finding	Status of Finding and Questioned Costs
2012	12-05	<p>Finding: <u>Inadequate Control Over Subrecipient Monitoring</u> (Utah Department of Heritage and Arts)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-06	<p>Finding: <u>Inadequate Control Over Matching Requirement</u> (Utah Department of Heritage and Arts)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF EDUCATION

Year	Finding	Status of Finding and Questioned Costs
2011	11-17	<p>Finding: <u>Potential Noncompliance with Special Allowance Payments Billed at the 9.5% Minimum Return Rate</u> (Utah Higher Education Assistance Authority)</p> <p>Status: Pending – final resolution not yet determined. The Utah Higher Education Assistance Authority (UHEAA) contacted the Department of Education (ED) in December 2011 to confirm the loans in question are eligible to receive a 9.5 percent special allowance payment. Follow-up communications continued through June 2013. All loans in question were subject to a disqualifying event on September 28, 2011, and have not been billed at the 9.5 percent special allowance rate since the quarter ended September 30, 2011. As of June 30, 2013, ED has not disqualified the loans in question for 9.5 percent special allowance payment for periods prior to September 28, 2011.</p> <p>Action: UHEAA will continue to monitor and consider any clarification provided by ED concerning the billings in question. As of June 30, 2013, no clarification has been provided by ED.</p> <p>Completion Date: Pending.</p> <p>Contact Person: David Schwanke, Associate Executive Director for Accounting and Finance, 801-321-7286</p>
2011	11-18	<p>Finding: <u>Questioned Transfer of Funds</u> (Utah Higher Education Assistance Authority)</p> <p>Status: Pending – final resolution not yet determined. The Utah Higher Education Assistance Authority (UHEAA) has monitored and considered any clarification provided by the Department of Education (ED) concerning the transfer in question. As of June 30, 2013, no clarification has been provided by ED.</p> <p>Action: UHEAA will continue to monitor and consider any clarification provided by ED concerning the transfer in question.</p> <p>Completion Date: Pending.</p> <p>Contact Person: David Schwanke, Associate Executive Director for Accounting and Finance, 801-321-7286</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF EDUCATION (continued)

Year	Finding	Status of Finding and Questioned Costs
2012	12-07	<p>Finding: <u>Inadequate Internal Control Over Transparency Reporting</u> (Utah State Office of Education)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-015.</p> <p>Completion Date: November 1, 2013 Contact Person: Gary Belliston, USOE Controller, 801-538-7627</p>
2012	12-08	<p>Finding: <u>Inadequate Resolution of Subrecipient Noncompliance</u> (Utah State Office of Education)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-09	<p>Finding: <u>Improper Determination of Maintenance of Effort</u> (Career and Technical Education) (Utah State Office of Education)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-014.</p>
2012	12-10	<p>Finding: <u>Untimely Completion of Personnel Activity Reports (PARs)</u> (Utah State Office of Education and Utah State Office of Rehabilitation)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2009.</p>
2012	12-11	<p>Finding: <u>Noncompliance with Federal Requirements Related to Construction</u> (Utah State Office of Rehabilitation)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2011.</p>
2012	12-12	<p>Finding: <u>Improper Determination of Maintenance of Effort</u> (Title I Grants to Local Education Agencies) (Utah State Office of Education)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-012.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF EDUCATION (continued)

Year	Finding	Status of Finding and Questioned Costs
2012	12-13	<p>Finding: <u>Expenditures Obligated Outside The Period of Availability</u> (Utah State Office of Education)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-14	<p>Finding: <u>Internal Control Weakness with Selective Service Requirements</u> (Salt Lake Community College)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-15	<p>Finding: <u>Inaccurate Requests for Reimbursement of CTE Funds</u> (Snow College)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-16	<p>Finding: <u>Noncompliance with Federal Equipment Management Requirements</u> (Snow College)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-018.</p>
2012	12-17	<p>Finding: <u>Noncompliance with Payroll Distribution Requirements</u> (Snow College)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-18	<p>Finding: <u>Weaknesses in Reporting Performance Data</u> (Snow College)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-019.</p>
2012	12-19	<p>Finding: <u>Enrollment Reporting Error</u> (Southern Utah University)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-20	<p>Finding: <u>Reporting Error</u> (University of Utah)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF EDUCATION (continued)

Year	Finding	Status of Finding and Questioned Costs
2012	12-21	<p>Finding: <u>Inaccurate Line Items in the FISAP Report</u> (Utah Valley University)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2011.</p>
2012	12-22	<p>Finding: <u>Inadequate Internal Controls and Noncompliance Related to Return of Title IV Funds</u> (Weber State University)</p> <p>Questioned Cost: \$ 700 Returned to the U.S. Department of Education through Common Origination & Disbursement on September 24, 2012.</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-23	<p>Finding: <u>Internal Control Weaknesses and Noncompliance Related to FSEOG Selection Criteria</u> (Weber State University)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-24	<p>Finding: <u>Enrollment Reporting Error</u> (Weber State University)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-25	<p>Finding: <u>Failure to Send Out Loan Disbursements Notifications</u> (Weber State University)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF ENERGY

Year	Finding	Status of Finding and Questioned Costs
2012	12-26	<p>Finding: <u>Noncompliance with Reporting Requirements</u> (Utah Department of Environmental Quality) (Governor–Office of Energy Development)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-023. Finding initially occurred in fiscal year 2011.</p>
2012	12-27	<p>Finding: <u>Miscoded Transactions Resulting in an Overdraw of Federal Funds</u> (Utah Department of Environmental Quality) (Governor–Office of Energy Development)</p> <p>Questioned Cost: \$ 107,216 The fund number was not typically noted on the supporting documentation and the Department of Environmental Quality entered the wrong fund which resulted in the miscoding. The coding error and overdraw of funds has been corrected.</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-28	<p>Finding: <u>Noncompliance with Subrecipient Monitoring Requirements</u> (Utah Department of Environmental Quality) (Governor–Office of Energy Development)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-024.</p>
2012	12-29	<p>Finding: <u>Errors in the Weatherization Assistance Program Federal Financial Report</u> (Utah Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Year	Finding	Status of Finding and Questioned Costs
2011	11-33	<p>Finding: <u>LIHEAP Calculation Errors and Inadequate Documentation</u> (Utah Department of Workforce Services)</p> <p>Questioned Cost: \$ 38 The \$38 questioned cost was not sustained in the Administration for Children and Families' management decision letter dated January 8, 2013.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-40.</p>
2012	12-30	<p>Finding: <u>Noncompliance Due to Incorrect System Programming</u> (Utah Department of Human Services)</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-31	<p>Finding: <u>Overpayment of Federal Title IV-E Foster Care Funds</u> (Utah Department of Human Services)</p> <p>Questioned Costs: \$ 8,069 The questioned costs were adjusted in a September 2012 Title IV-E quarterly report.</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-32	<p>Finding: <u>Noncompliance with Timing Requirements of Health and Safety Standard Surveys for Nursing Home Facilities</u> (Utah Department of Health)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-026.</p> <p>Action: The corrective action plan is in the process of being fully implemented. Money was reallocated within the department to allow the Bureau of Health Facilities Licensing, Certification and Resident Assessment (Bureau) to hire 4 new survey staff. The Bureau also negotiated with the Centers for Medicare and Medicaid Services (CMS) to allow other survey sections within the Bureau to assist with the nursing home facilities inspections until the new staff could be hired and trained.</p> <p>The initial response indicated that the Bureau had lost funding and staff and was unable to maintain the federal survey requirements for nursing home facilities. CMS and the Utah Department of Health established four benchmarks for the Bureau to meet the compliance requirements as follows:</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
		<p>1. The Bureau was to hire 4 employees by the end of June of 2013. This goal has been met through the reallocation of funds by the department.</p> <p>2. The Bureau is to meet approximately 85% of nursing facilities workload by the end of federal fiscal year 2013. It is anticipated that the Bureau will not meet this goal.</p> <p>3. The Bureau is to complete 71 nursing facility inspections by the end of August 2013. The Bureau will not only meet this goal, but expects to exceed it.</p> <p>4. The final goal is to complete all the required nursing facility inspections for federal fiscal year 2014. This will be accomplished when our nursing facility inspection frequency is brought to a 12.9 month average.</p> <p>Completion Date: September 30, 2014 Contact Person: Joel Hoffman, Bureau Director, Health Facilities Licensing Certification and Resident Assessment, 801-538-6279</p>
2012	12-33	<p>Finding: <u>Incorrect Eligibility and Income Determinations</u> (CHIP) (Utah Department of Health) (Utah Department of Workforce Services)</p> <p>Questioned Costs: \$ 5,215 Questioned costs of \$5,215 were repaid February 5, 2013, on Draw #24 and reported on CMS 21 for the quarter ending December 31, 2012.</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-028. Finding initially occurred in fiscal year 2002.</p>
2012	12-34	<p>Finding: <u>Incorrect Eligibility Determination</u> (Medicaid) (Utah Department of Health) (Utah Department of Workforce Services)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-029. Finding initially occurred in fiscal year 2004.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
2012	12-35	<p>Finding: <u>Third Party Liability Information Not Adequately Obtained or Updated</u> (Utah Department of Health) (Utah Department of Workforce Services)</p> <p>Questioned Cost: \$ 236,244 The auditor elected to not list questioned costs on this case as it was referred to the Office of Recovery Services (ORS) for collection procedures. The Cognizant listed the \$236,244 as questioned costs.</p> <p>ORS was only able to recover \$1,410 of the third party liability (TPL) of \$236,244 in federal funds from the insurance company and does not expect to recover any additional funds due to limited coverage. This client was eligible for Medicaid; therefore, the Department of Health is obligated to repay CMS in the amount of the \$1,410. The \$1,410 repayment was reported on the CMS 64 in the quarter ended December 31, 2012. Pending the unlikely further TPL collection, we consider the questioned cost resolved.</p> <p>Status: Implemented – corrective action taken.</p>
2012	12-36	<p>Finding: <u>Internal Control Weaknesses and Noncompliance (Child Care)</u> (Utah Department of Workforce Services)</p> <p>Questioned Costs: \$ 1,167 Per their management decision letter dated July 22, 2013, the Administration for Children and Families will not pursue the nonmonetary questioned costs of \$1,167 as the State referred the overpayment amounts to the Payment Error Prevention (PEP) Unit for investigation and collection.</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-032. Finding initially occurred in fiscal year 1996.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
2012	12-37	<p>Finding: <u>Noncompliance with Child Support Non-Cooperation Reduction in Benefit Requirements</u> (Utah Department of Workforce Services)</p> <p>Questioned Costs: \$ 663 Per their management decision letter dated July 17, 2013, the Administration for Children and Families will not pursue the questioned costs of \$663.</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2012	12-38	<p>Finding: <u>ACF-204 Reporting Errors (TANF)</u> (Utah Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2012	12-39	<p>Finding: <u>Noncompliance with Treasury-State Agreement</u> (Utah Department of Workforce Services)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-035.</p>
2012	12-40	<p>Finding: <u>LIHEAP Documentation and Eligibility Determination Errors</u> (Utah Department of Workforce Services)</p> <p>Questioned Costs: \$ 753 The Utah Department of Workforce Services has not received a management decision regarding the questioned costs.</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2011.</p>
2012	12-41	<p>Finding: <u>Failure to Provide Federal Award Information to Subrecipients</u> (Utah Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2011.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
2012	12-42	<p>Finding: <u>LIHEAP Financial Reports Error</u> (Utah Department of Workforce Services)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-031. Finding initially occurred in fiscal year 2011.</p>
2012	12-43	<p>Finding: <u>LIHEAP Special Reporting Error</u> (Utah Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Year	Finding	Status of Finding and Questioned Costs
2011	11-49	<p>Finding: <u>National Stabilization Program Errors</u> (Utah Department of Workforce Services)</p> <p>Questioned Costs: \$ 14,360 The Utah Department of Workforce Services has not received a management decision regarding the questioned costs.</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2012	12-44	<p>Finding: <u>Errors in Performance Evaluation Reports</u> (Utah Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF LABOR

Year	Finding	Status of Finding and Questioned Costs
2012	12-45	<p>Finding: <u>Eligibility Errors and Unallowable Activities</u> (WIA Programs) (Utah Department of Workforce Services)</p> <p>Questioned Costs: \$19,613 The questioned costs of \$19,613 were repaid to the U.S. Department of Labor on August 12, 2013, per the final determination letter dated July 26, 2013.</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-039. Finding initially occurred in fiscal year 2000.</p>
2012	12-46	<p>Finding: <u>Noncompliance with Treasury-State Agreement</u> (Utah Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

SOCIAL SECURITY ADMINISTRATION

Year	Finding	Status of Finding and Questioned Costs
2012	12-47	<p>Finding: <u>Inaccurate Preparation of the SSA-4513</u> (Utah State Office of Rehabilitation)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-041.</p>
2012	12-48	<p>Finding: <u>Inaccurate Reporting of Hours on the SSA-4514</u> (Utah State Office of Rehabilitation)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-042.</p>
2012	12-49	<p>Finding: <u>Untimely Completion of Personnel Activity Reports (PARs)</u> (Utah State Office of Education and Utah State Office of Rehabilitation)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2009.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

U.S. DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY ADMINISTRATION

Year	Finding	Status of Finding and Questioned Costs
2012	12-50	<p>Finding: <u>Untimely Weekly Certified Payrolls</u> (Utah Department of Transportation)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-043. Finding initially occurred in fiscal year 2005.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

GENERAL FINDINGS

Year	Finding	Status of Finding and Questioned Costs																																										
2012	12-51	<p>Finding: <u>Working Capital Reserves in Excess of Federal Guidelines</u> (Utah Department of Administrative Services, Internal Service Funds)</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-049. Finding initially occurred in fiscal year 2003 for Risk Management, in 2011 for the other ISF's.</p> <p>Action: The Division of Risk Management plans on refunding the federal portion of the excess reserves in the Workers Compensation fund. The Auto fund is projected to be in compliance with federal guidelines by the end of fiscal year 2014.</p> <p>The Division of Purchasing & General Services plans on refunding the federal portion of the excess reserves in the Cooperative Contract Management and Federal Surplus Property funds. The State Surplus Property fund is projected to be in compliance with federal guidelines by the end of fiscal year 2016.</p> <table style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="3" style="text-align: center;">Internal Service Funds</th> </tr> <tr> <th colspan="3" style="text-align: center;">Excess Working Capital Reserves as of June 30</th> </tr> <tr> <th></th> <th style="text-align: right; border-top: 1px solid black;">2012</th> <th style="text-align: right; border-top: 1px solid black;">2013</th> </tr> </thead> <tbody> <tr> <td>Division of Risk Management</td> <td></td> <td></td> </tr> <tr> <td>Administration</td> <td style="text-align: right;">\$ 194,184</td> <td style="text-align: right;">\$ —</td> </tr> <tr> <td>Auto</td> <td style="text-align: right;">—</td> <td style="text-align: right;">171,190</td> </tr> <tr> <td>Liability</td> <td style="text-align: right;">926,409</td> <td style="text-align: right;">—</td> </tr> <tr> <td>Workers Compensation</td> <td style="text-align: right;">2,731,219</td> <td style="text-align: right;">110,393</td> </tr> <tr> <td>Division of Purchasing & General Services</td> <td></td> <td></td> </tr> <tr> <td>Cooperative Contract Management</td> <td style="text-align: right;">\$ 2,350,939</td> <td style="text-align: right;">\$ 964,823</td> </tr> <tr> <td>State Surplus Property</td> <td style="text-align: right;">202,926</td> <td style="text-align: right;">264,566</td> </tr> <tr> <td>Federal Surplus Property</td> <td style="text-align: right;">—</td> <td style="text-align: right;">6,966</td> </tr> <tr> <td>Division of Finance</td> <td></td> <td></td> </tr> <tr> <td>Purchasing Card</td> <td style="text-align: right;">\$ 62,211</td> <td style="text-align: right;">\$ —</td> </tr> </tbody> </table> <p>Completion Date: June 30, 2014 Contact Person: John Reidhead, Chief Financial Officer, DAS Finance, 801-538-3095</p>	Internal Service Funds			Excess Working Capital Reserves as of June 30				2012	2013	Division of Risk Management			Administration	\$ 194,184	\$ —	Auto	—	171,190	Liability	926,409	—	Workers Compensation	2,731,219	110,393	Division of Purchasing & General Services			Cooperative Contract Management	\$ 2,350,939	\$ 964,823	State Surplus Property	202,926	264,566	Federal Surplus Property	—	6,966	Division of Finance			Purchasing Card	\$ 62,211	\$ —
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Purchasing Card	\$ 62,211	\$ —																																										
2012	12-52	<p>Finding: <u>Working Capital Reserves in Excess of Federal Guidelines</u> (Utah Department of Human Resource Management, Internal Service Fund)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2011.</p>																																										

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

GENERAL FINDINGS (continued)

Year	Finding	Status of Finding and Questioned Costs																					
2012	12-53	<p>Finding: <u>Working Capital Reserves in Excess of Federal Guidelines</u> (Utah State Public Employees Health Program (PEHP))</p> <p>Status: Partially implemented. Repeated in fiscal year 2013, see finding 2013-050. Finding initially occurred in fiscal year 1997.</p> <p>Action: Death Benefit – PEHP plans on reducing the excess reserves in this program by reducing premiums. The excess reserves were reduced by \$869 thousand in fiscal year 2013. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.</p> <p>Medicare Supplement – PEHP will reduce excess reserves in this program by either reducing rates or declaring a premium holiday (experience dividend). PEHP estimates the excess reserves currently in this program will be eliminated by the end of fiscal year 2016.</p> <p>State Medical – PEHP and the Utah Legislature are currently working on reducing excess reserves by reducing premiums. In fiscal year 2013 this resulted in reducing excess reserves by \$1.06 million. PEHP estimates the excess reserves in this program will be eliminated by the end of fiscal year 2016 through reducing premiums or a premium holiday (experience dividend).</p> <p>State Dental – PEHP plans on reducing the excess reserves in this program by reducing premiums. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.</p> <p>Long Term Disability – PEHP plans on reducing the excess reserves in this program by reducing premiums. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.</p> <table style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <thead> <tr> <th colspan="3" style="text-align: center;">Public Employees Health Program Excess Working Capital Reserves as of June 30</th> </tr> <tr> <th style="width: 60%;"></th> <th style="text-align: center; border-bottom: 1px solid black;">2012</th> <th style="text-align: center; border-bottom: 1px solid black;">2013</th> </tr> </thead> <tbody> <tr> <td>Death Benefit</td> <td style="text-align: right;">\$ 5,607,828</td> <td style="text-align: right;">\$ 4,738,666</td> </tr> <tr> <td>Medicare Supplement.....</td> <td style="text-align: right;">14,097,043</td> <td style="text-align: right;">18,890,530</td> </tr> <tr> <td>State Medical.....</td> <td style="text-align: right;">28,449,764</td> <td style="text-align: right;">27,390,437</td> </tr> <tr> <td>State Dental.....</td> <td style="text-align: center;">–</td> <td style="text-align: right;">427,677</td> </tr> <tr> <td>Long Term Disability.....</td> <td style="text-align: center;">–</td> <td style="text-align: right;">2,429,432</td> </tr> </tbody> </table> <p>Completion Date: June 30, 2016 Contact Person: Kim Kellersberger, Finance Director, 801-366-7457</p>	Public Employees Health Program Excess Working Capital Reserves as of June 30				2012	2013	Death Benefit	\$ 5,607,828	\$ 4,738,666	Medicare Supplement.....	14,097,043	18,890,530	State Medical.....	28,449,764	27,390,437	State Dental.....	–	427,677	Long Term Disability.....	–	2,429,432
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SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2013

GENERAL FINDINGS (continued)

2012	12-54	Finding: <u>Cost Allocation Errors</u> (Utah Department of Workforce Services) Status: Implemented – corrective action taken.
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