



STATE OF UTAH

SINGLE AUDIT REPORT

FISCAL YEAR ENDED JUNE 30, 2012

Report No. 12-40

*Keeping Utah
Financially Strong*

AUSTON G. JOHNSON, CPA
UTAH STATE AUDITOR



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November 30, 2012

The Members of the Legislature of the State of Utah

The Honorable Gary R. Herbert, Governor, State of Utah

National External Audit Review Center, U.S. Department of Health and Human Services

We are pleased to submit the *Single Audit Report* of federal financial assistance for the State of Utah for the year ended June 30, 2012. The audit was conducted in accordance with the requirements of the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

This report contains the following:

- Our reports on compliance and on internal control, at the financial statement level and at the major federal program level.
- The Schedule of Expenditures of Federal Awards.
- The schedule of findings and questioned costs, together with management's corrective action plan.
- The summary schedule of prior audit findings.

The State of Utah's *Comprehensive Annual Financial Report* for the year ended June 30, 2012 and our report thereon, dated October 19, 2012, have been issued under separate cover.

We express our appreciation to the program and accounting personnel in the State agencies and institutions and the State Division of Finance who continue to do an excellent job of administering and accounting for federal financial assistance.

Sincerely,

Auston G. Johnson, CPA
Utah State Auditor

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- B. Significant Deficiency of internal control – basic financial statement level.
- C. Material Noncompliance – basic financial statement level.
- D. Reportable instance of noncompliance and other matters – fraud or illegal act unless clearly inconsequential, or significant violations of provisions of contracts or grant agreements and abuse.

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INTRODUCTION

Background

The Federal Government requires the State of Utah to have an entity-wide audit of its financial statements and its federal programs as a condition of receiving federal financial assistance. The federally-required audit is commonly referred to as the “single audit.” The single audit focuses on testing compliance with laws and regulations and testing related internal control for major programs. The requirements for performing the single audit are stated in the U.S. Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

Scope

OMB Circular A-133 requires a risk-based approach to auditing federal programs. Under the risk-based approach, programs are classified as Type A or Type B programs based on a dollar level computed in accordance with Circular A-133. Type A programs for the State are those programs which exceeded \$16,700,000 in federal awards expended for the fiscal year ended June 30, 2012. All other programs are classified as Type B. For the year ended June 30, 2012, 19 Type A and 10 Type B programs were audited as major programs in accordance with OMB Circular A-133 requirements.

Presentation

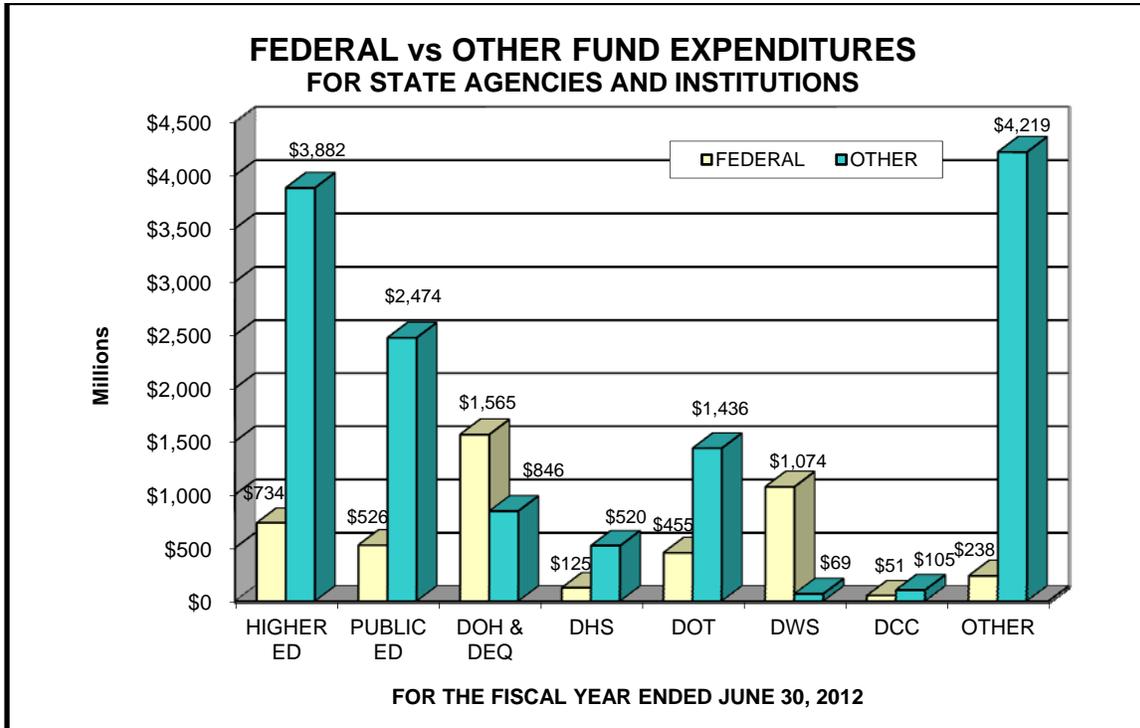
This report includes all required information for the single audit except the State’s financial statements and our report thereon, which were issued under separate cover. A Summary Schedule of Expenditures of Federal Awards and a Schedule of Expenditures of Federal Awards by federal agency are presented as required. An optional Schedule sorted by state agency is also presented. The required summary of our audit results, including a list of the major programs audited, is presented on pages 134-135. In addition, management’s corrective action plan is presented with the related finding and questioned cost rather than in a separate section of the report. The type of each finding and the auditor’s report(s) to which it relates are listed in the foregoing Table of Contents.

Perspective

Federal programs continue to be a significant source of funding for the State. The top graph on the following page shows the relationship of federal award expenditures to total expenditures for State agencies and institutions.

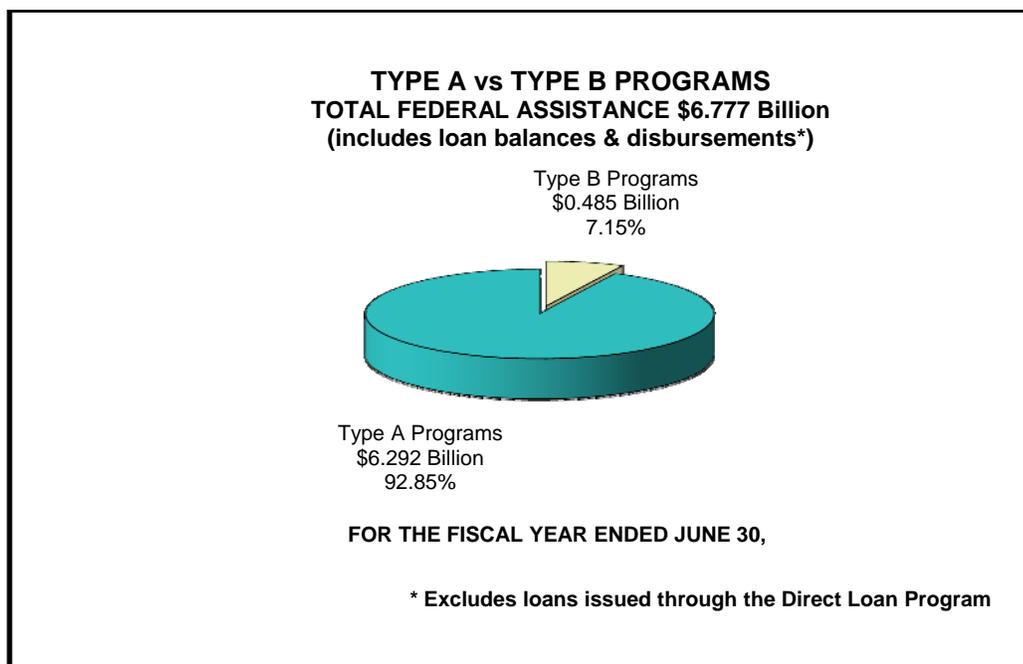
The bottom graph on the following page shows the break out of Type A and Type B programs. The State had 28 Type A programs and hundreds of Type B programs in fiscal year 2012.

INTRODUCTION



HIGHER ED – Colleges and Universities
PUBLIC ED – Office of Education
DOH & DEQ – Dept. of Health and
Dept. of Environmental Quality
DHS – Dept. of Human Services

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DCC – Dept. of Community & Culture
OTHER – Other state agencies





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**INDEPENDENT STATE AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Members of the Legislature
of the State of Utah and
The Honorable Gary R. Herbert
Governor, State of Utah

We have audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Utah as of and for the year ended June 30, 2012, which collectively comprise the State's basic financial statements, and have issued our report thereon dated October 19, 2012. Our report includes a reference to other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Other auditors audited the financial statements of Utah Housing Corporation, Utah Public Employees Health Program, the University of Utah's Hospital & Clinics and component units, the Utah State University Research Foundation, certain other college and university foundations, the Dairy Commission, and the Utah State Retirement Systems, as described in our report on the State's financial statements. This report includes our consideration of the results of the other auditors' testing of internal control over financial reporting and compliance and other matters that are reported on separately by those other auditors. However, this report, insofar as it relates to the results of the other auditors, is based solely on the reports of the other auditors.

Internal Control Over Financial Reporting

Management of the State of Utah is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the State's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinions on the basic financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over financial reporting.

Our consideration of internal control over financial reporting was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over financial reporting that might be significant deficiencies or material weaknesses and therefore, there

can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as described in the accompanying “Schedule of Findings and Questioned Costs,” we identified a certain deficiency in internal control over financial reporting that we consider to be a material weakness and other deficiencies that we consider to be significant deficiencies.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity’s financial statements will not be prevented, or detected and corrected on a timely basis. We consider the deficiency described in the accompanying “Schedule of Findings and Questioned Costs” as item No. 12-01 to be a material weakness.

A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiencies described in the accompanying “Schedule of Findings and Questioned Costs” as items No. 12-02 and 12-03 to be significant deficiencies.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the State’s basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed an instance of noncompliance or other matters that is required to be reported under *Government Auditing Standards* and which is described in the accompanying “Schedule of Findings and Questioned Costs” as item No. 12-02.

We also noted certain matters that we have reported to management of the applicable state agencies and institutions in separate letters.

The State’s responses to the findings identified in our audit are described in the accompanying “Schedule of Findings and Questioned Costs.” We did not audit the responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of management of Utah state agencies and institutions, members of the Utah State Legislature, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a public document and its distribution is not limited.



Auston G. Johnson, CPA
Utah State Auditor

October 19, 2012



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INDEPENDENT STATE AUDITOR'S REPORT IN ACCORDANCE WITH OMB CIRCULAR A-133 ON

- **COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM**
- **INTERNAL CONTROL OVER COMPLIANCE**
- **SUPPLEMENTARY SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

To the Members of the Legislature
of the State of Utah and
The Honorable Gary R. Herbert
Governor, State of Utah

Compliance

We have audited the State of Utah's compliance with the types of compliance requirements described in *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the State's major federal programs for the year ended June 30, 2012. The State's major federal programs are identified in the "Summary of Auditor's Results" section of the accompanying "Schedule of Findings and Questioned Costs." Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit. As stated in our report on the basic financial statements, we did not audit the financial statements of certain funds and component units, nor did we audit compliance with 17% of the Research and Development cluster program, which comprises 1% of the State's total federal expenditures. In addition, we did not audit certain compliance requirements for loans serviced by a third-party servicer; those loans represent 24% of the loans held by the Student Loan Purchase Program. The financial statements of those funds and component units, as well as compliance for that portion of the Research and Development cluster and the Student Loan Purchase Program were audited by other auditors whose reports, including reports on compliance and internal control over compliance, have been furnished to us. Our report on the basic financial statements and this report, insofar as it relates to the amounts included for those programs, funds, and component units and their compliance and internal control over compliance, are based solely on the reports of the other auditors.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit and the reports

of other auditors provide a reasonable basis for our opinion. Our audit does not provide a legal determination on the State's compliance with those requirements.

As described in the accompanying "Schedule of Findings and Questioned Costs," the State of Utah did not comply with requirements regarding allowable activities, allowable costs/cost principles, and eligibility that are applicable to the Children's Health Insurance Program (93.767) (item No. 12-33) and the Workforce Investment Act Cluster (17.258, 17.259, 17.260, 17.278) (item No. 12-45). Also, the State of Utah did not comply with requirements regarding reporting that are applicable to the State Energy Program (81.041) (item No. 12-26). Compliance with such requirements is necessary, in our opinion, for the State of Utah to comply with the requirements applicable to those programs.

In our opinion, based on our audit and the reports of other auditors, except for the noncompliance described in the preceding paragraph, the State of Utah complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

The results of our auditing procedures also disclosed other instances of noncompliance with those requirements which are required to be reported in accordance with OMB Circular A-133 and which are identified in the accompanying "Table of Contents" on pages ii through iv of this report and described in the "Schedule of Findings and Questioned Costs" section of this report.

Internal Control Over Compliance

Management of the State of Utah is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the State's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in the State's internal control over compliance that might be significant deficiencies or material weaknesses and, therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we consider to be significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. We consider the deficiencies in internal control over compliance described in the accompanying "Schedule of Findings and Questioned Costs" as items No. 12-26, 12-33, 12-45, and 12-47 to be material weaknesses.

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying “Schedule of Findings and Questioned Costs” as items No. 12-04 through 12-25, 12-27 through 12-29, 12-31, 12-32, 12-34 through 12-44, 12-46, 12-48 through 12-50, and 12-54 to be significant deficiencies.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Utah as of and for the year ended June 30, 2012, and have issued our report thereon dated October 19, 2012, which contained an unqualified opinion on those financial statements. Our audit was performed for the purpose of forming our opinions on the financial statements that collectively comprise the State’s basic financial statements. As stated above and in our report on the basic financial statements, we did not audit the financial statements of certain funds and component units. Those financial statements were audited by other auditors and our report on the basic financial statements and this report, insofar as it relates to the amounts included for those programs, funds, and component units is based solely on the reports of the other auditors.

The accompanying “Schedule of Expenditures of Federal Awards” is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied by us and the other auditors in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, based on our audit and the reports of other auditors, the Schedule of Expenditures of Federal Awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

The State’s responses to the findings identified in our audit are described in the accompanying “Schedule of Findings and Questioned Costs.” We did not audit the responses and, accordingly, we express no opinion on them.

This report is intended solely for the information and use of management of Utah state agencies and institutions, members of the Utah State Legislature, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. However, this report is a public document and its distribution is not limited.



Auston G. Johnson, CPA
Utah State Auditor

October 19, 2012

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SUMMARY
SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal		
Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs

SUMMARY SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

AGE	\$ 7,224,382	Aging Cluster
* CC	54,184,749	CCDF Cluster (Child Care)
CIL	234,078	Centers for Independent Living Cluster
CSBG	3,222,281	CSBG Cluster
ECDBG	342,261	CDBG – Entitlement Grants Cluster
EDC	499,989	Economic Development Cluster
EFA	2,784,245	Emergency Food Assistance Cluster
EFSP	9,063	Emergency Food and Shelter Program Cluster
EHCY	673,386	Education of Homeless Children and Youth Cluster
EIS	9,135,733	Early Intervention Services (IDEA) Cluster
ES	15,559,496	Employment Services Cluster
ETSG	1,114,250	Educational Technology State Grants Cluster
* FW	11,126,162	Fish and Wildlife Cluster
HDST	3,751,613	Head Start Cluster
* HPC	441,186,701	Highway Planning and Construction Cluster
HS	5,643,796	Highway Safety Cluster
ILSB	211,898	Independent Living Services for Older Individuals Who Are Blind Cluster
ILSG	401,807	Independent Living State Grants Cluster
IMMZ	25,116,582	Immunization Cluster
JAG	4,628,444	JAG Program Cluster
* MED	1,357,718,963	Medicaid Cluster
NUT	124,769,270	Child Nutrition Cluster
* R&D	369,799,278	Research and Development Programs
* SCDBG	6,651,045	CDBG – State-Administered CDBG Cluster
SDS	3,837,755	Statewide Data Systems Cluster
* SE	156,804,893	Special Education (IDEA) Cluster
* SFA	250,146,680	Student Financial Assistance Programs
SFSF	129,802	State Fiscal Stabilization Fund Cluster
SIG	4,721,879	School Improvement Grants
* SNAP	432,317,879	SNAP Cluster
* SSI	12,103,388	Disability Insurance/SSI Cluster
* TANF	70,628,960	TANF Cluster
* TIPA	83,863,075	Title I, Part A Cluster
TRIO	7,981,898	TRIO Cluster
TSP	2,780,675	Transit Services Programs Cluster
* VOOCR	43,543,167	Vocational Rehabilitation Cluster
* WIA	14,881,596	WIA Cluster
10	6,299,658	Department of Agriculture
10.025	917,526	Plant and Animal Disease, Pest Control, and Animal Care
10.069	10,000	Conservation Reserve Program
10.162	169,311	Inspection Grading and Standardization
10.163	30,000	Market Protection and Promotion
10.170	267,986	Specialty Crop Block Grant Program - Farm Bill
10.319	5,537	Farm Business Management and Benchmarking Competitive Grants Program
10.435	26,902	State Mediation Grants
10.477	1,346,251	Meat, Poultry, and Egg Products Inspection
10.500	66,544	Cooperative Extension Service
* 10.557	47,165,897	Special Supplemental Nutrition Program for Women, Infants, and Children
* 10.558	26,696,458	Child and Adult Care Food Program
10.560	1,836,648	State Administrative Expenses for Child Nutrition
10.565	182,905	Commodity Supplemental Food Program
10.578	117,327	WIC Grants To States (WGS)
10.579	97,960	Child Nutrition Discretionary Grants Limited Availability

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
10.582	2,264,052	Fresh Fruit and Vegetable Program
10.664	2,166,300	Cooperative Forestry Assistance
10.672	74,164	Rural Development, Forestry, and Communities
10.676	1,311,044	Forest Legacy Program
10.680	5,314	Forest Health Protection
10.688	312,328	Recovery Act of 2009: Wildland Fire Management
10.691	83,766	Good Neighbor Authority
10.769	27,398	Rural Business Enterprise Grants
10.902	410,710	Soil and Water Conservation
10.916	371,122	Watershed Rehabilitation Program
11	20,534	Department of Commerce
11.550	246,205	Public Telecommunications Facilities Planning and Construction
11.555	2,044,306	Public Safety Interoperable Communications Grant Program
11.557	6,008,834	Broadband Technology Opportunities Program (BTOP)
11.558	549,570	State Broadband Data and Development Grant Program
11.611	139,179	Manufacturing Extension Partnership
12	579,254	Department of Defense
12.002	300,000	Procurement Technical Assistance for Business Firms
12.113	356,724	State Memorandum of Agreement Program for the Reimbursement of Technical Services
12.217	213,525	Electronic Absentee Systems for Elections
12.400	1,221,814	Military Construction, National Guard
12.401	39,338,094	National Guard Military Operations and Maintenance (O&M) Projects
12.615	168,934	Research and Technical Assistance
12.630	25,000	Basic, Applied, and Advanced Research in Science and Engineering
12.901	175,408	Mathematical Sciences Grants Program
14	346,349	Department of Housing and Urban Development
14.231	660,858	Emergency Shelter Grants Program
14.235	140,302	Supportive Housing Program
14.238	28,043	Shelter Plus Care
14.239	242,714	Home Investment Partnerships Program
14.241	105,803	Housing Opportunities for Persons with AIDS
14.257	1,862,059	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)
14.401	108,579	Fair Housing Assistance Program – State and Local
15	4,867,245	Department of Interior
15.151	21,887	Education Program Enhancements
15.224	97,792	Cultural Resource Management
15.228	163,937	National Fire Plan - Wildland Urban Interface Community Fire Assistance
15.231	62,783	Fish, Wildlife and Plant Conservation Resource Management
15.236	340,856	Environmental Quality and Protection Resource Management
15.239	2,105,958	Management Initiatives
15.242	110,360	National Fire Plan - Rural Fire Assistance
15.250	1,692,548	Regulation of Surface Coal Mining and Surface Effects of Underground Coal Mining
15.252	3,187,335	Abandoned Mine Land Reclamation (AMLR) Program
15.255	40,000	Science and Technology Projects Related to Coal Mining and Reclamation
15.504	1,923,324	Water Reclamation and Reuse Program
15.509	2,369,848	Colorado River Basin Salinity Control Program
15.529	113,427	Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs
15.615	456,089	Cooperative Endangered Species Conservation Fund
15.633	12,181	Landowner Incentive Program
15.634	986,225	State Wildlife Grants
15.637	29,995	Migratory Bird Joint Ventures
15.642	614,596	Challenge Cost Share
15.807	176,780	Earthquake Hazards Reduction Program
15.808	53,015	U.S. Geological Survey – Research and Data Collection
15.809	330,000	National Spatial Data Infrastructure Cooperative Agreements Program
15.810	255,597	National Cooperative Geologic Mapping Program

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
15.814	44,988	National Geological and Geophysical Data Preservation Program
15.819	10,792	Energy Cooperatives to Support the National Coal Resources Data System (NCRDS)
15.904	1,039,128	Historic Preservation Fund Grants-In-Aid
15.916	44,184	Outdoor Recreation – Acquisition, Development and Planning
15.945	545,076	Cooperative Research and Training Programs – Resources of the National Park System
16	1,462,665	Department of Justice
16.001	4,566,814	Law Enforcement Assistance – Narcotics and Dangerous Drugs – Laboratory Analysis
16.017	187,616	Sexual Assault Services Formula Program
16.523	443,277	Juvenile Accountability Block Grants
16.528	51,902	Enhanced Training and Services to End Violence and Abuse of Women Later in Life
16.540	866,224	Juvenile Justice and Delinquency Prevention – Allocation to States
16.548	36,500	Title V – Delinquency Prevention Program
16.550	123,149	State Justice Statistics Program for Statistical Analysis Centers
16.575	3,849,666	Crime Victim Assistance
16.576	2,289,900	Crime Victim Compensation
16.580	94,817	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program
16.585	341,129	Drug Court Discretionary Grant Program
16.588	1,667,471	Violence Against Women Formula Grants
16.590	91,522	Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program
16.593	173,269	Residential Substance Abuse Treatment for State Prisoners
16.601	19,970	Corrections_Training and Staff Development
16.606	584,546	State Criminal Alien Assistance Program
16.607	38,145	Bulletproof Vest Partnership Program
16.727	450,917	Enforcing Underage Drinking Laws Program
16.740	129,622	Statewide Automated Victim Information Notification (SAVIN) Program
16.741	285,123	Forensic DNA Backlog Reduction Program
16.742	176,130	Paul Coverdell Forensic Sciences Improvement Grant Program
16.750	123,255	Support for Adam Walsh Act Implementation Grant Program
16.754	222,642	Harold Rogers Prescription Drug Monitoring Program
16.800	193,654	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)
16.801	63,428	Recovery Act - State Victim Assistance Formula Grant Program
16.808	277,711	Recovery Act - Edward Byrne Memorial Competitive Grant Program
16.816	100,000	John R. Justice Prosecutors and Defenders Incentive Act
17	375,838	Department of Labor
17.002	2,629,606	Labor Force Statistics
17.005	67,279	Compensation and Working Conditions
* 17.225	470,432,079	Unemployment Insurance
17.235	665,863	Senior Community Service Employment Program
17.245	3,037,051	Trade Adjustment Assistance
17.261	243,442	WIA Pilots, Demonstrations, and Research Projects
17.269	2,108,786	Community Based Job Training Grants
17.271	134,630	Work Opportunity Tax Credit Program (WOTC)
17.273	86,627	Temporary Labor Certification for Foreign Workers
17.274	428,027	Youthbuild
17.275	2,104,001	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors
17.276	38,477	Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)
17.277	141,364	Workforce Investment Act (WIA) National Emergency Grants
17.282	29,777	Trade Adjustment Assistance Community College and Career Training (TAACCT) Grants
17.503	1,842,525	Occupational Safety and Health – State Program
17.504	660,831	Consultation Agreements
17.807	11,184	Transition Assistance Program
19	241,139	Department of State
19.009	11,146	Academic Exchange Programs – Undergraduate Programs
20	1,741,743	Department of Transportation
20.106	118,662	Airport Improvement Program

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
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Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
20.218	2,104,691	National Motor Carrier Safety
20.231	17,323	Performance and Registration Information Systems Management
20.232	427,768	Commercial Driver's License Program Improvement Grant
20.235	1,800	Commercial Motor Vehicle Operator Training Grants
20.237	501,548	Commercial Vehicle Information Systems and Networks
* 20.509	7,782,112	Formula Grants for Other Than Urbanized Areas
20.514	184,702	Public Transportation Research
20.614	43,712	National Highway Traffic Safety Administration (NHTSA) Discretionary Safety Grants
20.700	194,641	Pipeline Safety Program Base Grants
20.703	260,703	Interagency Hazardous Materials Public Sector Training and Planning Grants
21	36,415	Department of the Treasury
21.008	30,455	Low-Income Taxpayer Clinics
30.002	327,250	Employment Discrimination – State and Local Fair Employment Practices Agency Contracts
39.003	83,948	Donation of Federal Surplus Personal Property
42	25,395	Library of Congress
43	1,138,714	National Aeronautics and Space Administration
45	327,746	National Foundation on the Arts and Humanities
45.024	146,427	NEA Promotion of the Arts – Grants to Organizations and Individuals
45.025	589,063	NEA Promotion of the Arts – Partnership Agreements
45.149	(4,567)	NEH Promotion of the Humanities – Division of Preservation and Access
45.164	291,007	NEH Promotion of the Humanities – Public Programs
45.301	46,180	Museums for America
45.310	1,955,741	IMLS Grants to States
45.312	14,188	IMLS National Leadership Grants
47	517,507	National Science Foundation
47.041	17,189	Engineering Grants
47.049	140,321	Mathematical and Physical Sciences
47.070	21,612	Computer and Information Science and Engineering
47.074	725,164	Biological Sciences
47.075	42,098	Social, Behavioral, and Economic Sciences
47.076	2,163,820	Education and Human Resources
47.079	624,935	International Science and Engineering (OISE)
47.080	482,585	Office of Cyberinfrastructure
59	226,941	Small Business Administration
59.037	878,156	Small Business Development Centers
59.061	201,430	State Trade and Export Promotion Pilot Grant Program
64	6,262,740	Department of Veterans Affairs
64.005	5,492,479	Grants to States for Construction of State Home Facilities
64.015	8,515,620	Veterans State Nursing Home Care
64.203	3,578,959	State Cemetery Grants
66.034	600,572	Surveys, Studies, Research, Investigations, Demonstrations, and Special Purpose Activities Relating to the Clean Air Act
66.039	259,900	National Clean Diesel Funding Assistance Program
66.040	445,079	State Clean Diesel Grant Program
66.202	42,513	Congressionally Mandated Projects
66.433	67,986	State Underground Water Source Protection
66.454	201,184	Water Quality Management Planning
66.458	3,281,392	Capitalization Grants for Clean Water State Revolving Funds
66.460	1,206,671	Nonpoint Source Implementation Grants
66.461	202,734	Regional Wetland Program Development Grants
66.468	4,332,204	Capitalization Grants for Drinking Water State Revolving Funds
66.474	61,366	Water Protection Grants to the States
66.605	6,951,308	Performance Partnership Grants
66.608	244,196	Environmental Information Exchange Network Grant Program and Related Assistance
66.700	270,903	Consolidated Pesticide Enforcement Cooperative Agreements
66.802	2,365,904	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
66.804	486,739	Underground Storage Tank Prevention, Detection and Compliance Program
66.805	1,350,084	Leaking Underground Storage Tank Trust Fund Corrective Action Program
66.808	31,500	Solid Waste Management Assistance Grants
66.817	411,354	State and Tribal Response Program Grants
66.818	139,269	Brownfields Assessment and Cleanup Cooperative Agreements
81	212,263	Department of Energy
* 81.041	14,759,335	State Energy Program
* 81.042	11,166,155	Weatherization Assistance for Low Income Persons
81.086	18,492	Conservation Research and Development
81.089	87,486	Fossil Energy Research and Development
81.104	24,480	Office of Environmental Waste Processing
81.117	293,064	Energy Efficiency & Renewable Energy Information Dissemination, Outreach, Training & Technical Analysis/Assist.
81.122	1,368,942	Electricity Delivery and Energy Reliability, Research, Development and Analysis
81.127	9,002	Energy Efficient Appliance Rebate Program (EEARP)
81.128	1,216,217	Energy Efficiency and Conservation Block Grant Program (EECBG)
84	299,443	Department of Education
84.002	3,248,864	Adult Education – Basic Grants to States
84.011	2,184,114	Migrant Education – State Grant Program
84.013	700,200	Title I Program for Neglected and Delinquent Children
84.015	825,428	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program
84.031	567,577	Higher Education – Institutional Aid
* 84.032	28,110,165	Federal Family Education Loans
* 84.048	11,472,990	Career and Technical Education – Basic Grants to States
84.051	202,711	Career and Technical Education -- National Programs
84.116	805,561	Fund for the Improvement Of Postsecondary Education
84.129	187,716	Rehabilitation Long – Term Training
84.133	61,086	National Institute on Disability and Rehabilitation Research
84.144	53,437	Migrant Education – Coordination Program
84.185	240,000	Byrd Honors Scholarships
84.186	351,442	Safe and Drug-Free Schools and Communities – State Grants
84.187	413,982	Supported Employment Services for Individuals with Significant Disabilities
84.213	65,191	Even Start – State Educational Agencies
84.215	275,586	Fund for the Improvement of Education
84.224	453,507	Assistive Technology
84.235	3,685	Rehabilitation Services Demonstration and Training Programs
84.243	1,963,200	Tech-Prep Education
84.265	63,225	Rehabilitation Training – State Vocational Rehabilitation Unit In-Service Training
84.282	2,551,464	Charter Schools
84.287	6,130,051	Twenty-First Century Community Learning Centers
84.293	239,066	Foreign Language Assistance
84.323	1,070,454	Special Education – State Personnel Development
84.325	1,568,797	Special Education – Personnel Development to Improve Services and Results for Children with Disabilities
84.326	1,357,515	Special Education – Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities
84.327	14,128	Special Education – Technology and Media Services for Individuals with Disabilities
84.330	137,655	Advanced Placement Program (Advanced Placement Test Fee; Advanced Placement Incentive Program Grant)
84.331	56,120	Grants to States for Workplace and Community Transition Training for Incarcerated Individuals
84.334	4,775,887	Gaining Early Awareness and Readiness for Undergraduate Programs
84.335	401,104	Child Care Access Means Parents in School
84.365	5,984,624	English Language Acquisition Grants
84.366	1,325,666	Mathematics and Science Partnerships
84.367	17,144,599	Improving Teacher Quality State Grants
84.368	447,177	Grants for Enhanced Assessment Instruments
84.369	4,112,980	Grants for State Assessments and Related Activities

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
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Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
84.378	1,390,710	College Access Challenge Grant Program
* 84.410	18,386,091	Education Jobs Fund
84.411	6,499	Investing in Innovation (i3) Fund
89.003	108,343	National Historical Publications and Records Grants
90.400	13,706	Help America Vote College Program
90.401	230,143	Help America Vote Act Requirements Payments
93	18,209,656	Department of Health and Human Services
93.041	18,589	Special Programs for the Aging – Title VII, Chapter 3-Programs for Prevention of Elder Abuse, Neglect, and Exploitation
93.042	65,744	Special Programs for the Aging – Title VII, Chapter 2 – Long Term Care Ombudsman Services for Older Individuals
93.043	159,338	Special Programs for the Aging – Title III, Part D – Disease Prevention and Health Promotion Services
93.048	517,682	Special Programs for the Aging – Title IV – and Title II – Discretionary Projects
93.051	446,700	Alzheimer's Disease Demonstration Grants to States
93.052	929,395	National Family Caregiver Support, Title III, Part E
93.069	9,006,329	Public Health Emergency Preparedness
93.070	437,518	Environmental Public Health and Emergency Response
93.072	12,693	Lifespan Respite Care Program
93.088	(85)	Advancing System Improvements to Support Targets for Healthy People 2010
93.089	272,438	Emergency System for Advance Registration of Volunteer Health Professionals
93.103	(5,811)	Food and Drug Administration Research
93.107	242,692	Area Health Education Centers Point of Service Maintenance and Enhancement Awards
93.110	1,554,996	Maternal and Child Health Federal Consolidated Programs
93.113	(479)	Environmental Health
93.116	305,179	Project Grants and Cooperative Agreements for Tuberculosis Control Programs
93.117	279,145	Preventive Medicine Residency Program
93.127	3,394,224	Emergency Medical Services for Children
93.136	950,972	Injury Prevention and Control Research and State and Community Based Programs
93.142	37,854	NIEHS Hazardous Waste Worker Health and Safety Training
93.145	173,236	AIDS Education and Training Centers
93.150	528,000	Projects for Assistance in Transition From Homelessness (PATH)
93.153	410,083	Coordinated Services and Access to Research for Women, Infants, Children, and Youth
93.172	59,290	Human Genome Research
93.173	39,001	Research Related to Deafness and Communication Disorders
93.191	441,424	Patient Navigator and Chronic Disease Prevention Program
93.204	291,872	Surveillance of Hazardous Substance Emergency Events
93.211	318,261	Telehealth Programs
93.213	88,884	Research and Training in Complementary and Alternative Medicine
93.226	455,792	Research on Healthcare Costs, Quality and Outcomes
93.234	252,612	Traumatic Brain Injury State Demonstration Grant Program
93.235	464,560	Affordable Care Act (ACA) Abstinence Education Program
93.240	288,496	State Capacity Building
93.241	294,117	State Rural Hospital Flexibility Program
93.242	189,607	Mental Health Research Grants
93.243	2,045,340	Substance Abuse and Mental Health Services – Projects of Regional and National Significance
93.247	660,760	Advanced Education Nursing Grant Program
93.250	139,223	Geriatric Academic Career Awards
93.251	433,021	Universal Newborn Hearing Screening
93.253	222,184	Poison Control Stabilization and Enhancement Grants
93.262	1,562,324	Occupational Safety and Health Program
93.265	11,922	Comprehensive Geriatric Education Program (CGEP)
93.270	69,080	Adult Viral Hepatitis Prevention and Control
93.275	2,538,417	Substance Abuse and Mental Health Services – Access to Recovery
93.279	881,671	Drug Abuse and Addiction Research Programs
93.281	299,016	Mental Health Research Career/Scientist Development Awards
93.282	3,833	Mental Health National Research Service Awards for Research Training

* Major Programs, see Note 2.A.

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State of Utah
Summary Schedule of Expenditures of Federal Awards
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Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
* 93.283	11,839,965	Centers for Disease Control and Prevention – Investigations and Technical Assistance
93.286	38,197	Discovery and Applied Research for Technological Innovations to Improve Human Health
93.290	270,499	National Community Centers of Excellence in Women's Health
93.296	133,182	State Partnership Grant Program to Improve Minority Health
93.301	195,522	Small Rural Hospital Improvement Grant Program
93.307	186,927	Minority Health and Health Disparities Research
93.358	80,971	Advanced Education Nursing Traineeships
93.361	34,428	Nursing Research
93.389	719,281	National Center for Research Resources
93.393	157,776	Cancer Cause and Prevention Research
93.395	6,540	Cancer Treatment Research
93.398	638,212	Cancer Research Manpower
93.399	33,451	Cancer Control
93.411	105	Equipment to Enhance Training for Health Professionals
93.505	785,421	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program
93.506	129,761	ACA Nationwide Program for National and State Background Checks for Direct Patient Access Employees of Long Term Care Facilities and Providers
93.507	258,654	Strengthening Public Health Infrastructure for Improved Health Outcomes
93.511	837,869	Affordable Care Act (ACA) Grants to States for Health Insurance Premium Review
93.513	480,040	Affordable Care Act (ACA) Advanced Nursing Education Expansion Initiative
93.518	60,986	Affordable Care Act - Medicare Improvements for Patients and Providers
93.520	84,140	Centers for Disease Control and Prevention –Affordable Care Act (ACA) – Communities Putting Prevention to Work
93.521	938,430	The Affordable Care Act: Building Epidemiology, Laboratory, and Health Information Systems Capacity in the Epidemiology and Laboratory Capacity for Infectious Disease (ELC) and Emerging Infections Program (EIP) Cooperative Agreements
93.525	539,144	State Planning and Establishment Grants for the Affordable Care Act (ACA)'s Exchanges
93.531	185,195	PPHF 2012: Community Transformation Grants and National Dissemination and Support for Community Transformation Grants - financed solely by 2012 Prevention and Public Health Funds
93.538	829,072	Affordable Care Act - National Environmental Public Health Tracking Program-Network Implementation
93.539	68,072	PPHF 2012 - Prevention and Public Health Fund (Affordable Care Act) - Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance financed in part by 2012 Prevention and Public Health Funds
93.544	212,133	The Patient Protection and Affordable Care Act of 2010 (Affordable Care Act) authorizes Coordinated Chronic Disease prevention and Health Promotion Program
93.548	428,736	PPHF 2012: Nutrition, Physical Activity and Obesity Program - financed in part by 2012 Prevention and Public Health Funds (PPHF-2012)
93.556	1,932,573	Promoting Safe and Stable Families
93.563	24,679,070	Child Support Enforcement
93.566	6,417,980	Refugee and Entrant Assistance – State Administered Programs
* 93.568	20,372,394	Low-Income Home Energy Assistance
93.576	579,601	Refugee and Entrant Assistance – Discretionary Grants
93.584	621,075	Refugee and Entrant Assistance – Targeted Assistance Grants
93.586	604,960	State Court Improvement Program
93.590	613,245	Community-Based Child Abuse Prevention Grants
93.597	100,000	Grants to States for Access and Visitation Programs
93.599	290,246	Chafee Education and Training Vouchers Program (ETV)
93.603	774,404	Adoption Incentive Payments
93.617	54,353	Voting Access for Individuals with Disabilities – Grants to States
93.623	147,809	Basic Center Grant
93.630	636,128	Developmental Disabilities Basic Support and Advocacy Grants
93.643	163,715	Children's Justice Grants to States
93.645	3,390,000	Child Welfare Services – State Grants
93.652	69,643	Adoption Opportunities
* 93.658	22,806,662	Foster Care – Title IV-E
93.659	7,351,211	Adoption Assistance

* Major Programs, see Note 2.A.

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State of Utah
Summary Schedule of Expenditures of Federal Awards
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Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
93.667	23,262,368	Social Services Block Grant
93.669	343,149	Child Abuse and Neglect State Grants
93.671	1,129,518	Family Violence Prevention and Services/Grants for Battered Women's Shelters – Grants to States and Indian Tribes
93.674	871,246	Chafee Foster Care Independence Program
93.701	1,267,720	Trans-NIH Recovery Act Research Support
93.702	4,991,496	National Center for Research Resources, Recovery Act Construction Support
93.715	3,531	Recovery Act – Comparative Effectiveness Research - AHRQ
93.717	68,749	ARRA - Preventing Healthcare-Associated Infections
93.719	1,487,752	ARRA - State Grants to Promote Health Information Technology
93.721	200,171	ARRA - Health Information Technology Professionals in Health Care
93.723	475,687	ARRA - Prevention and Wellness – State, Territories and Pacific Islands
93.725	113,605	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program
* 93.767	56,040,844	Children's Health Insurance Program
93.768	413,343	Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities
93.779	325,081	Centers for Medicare and Medicaid Services (CMS) Research, Demonstrations and Evaluations
93.780	851,380	Grants to States for Operation of Qualified High-Risk Pools
93.822	211,648	Health Careers Opportunity Program
93.837	1,028,965	Cardiovascular Diseases Research
93.838	494,506	Lung Diseases Research
93.839	60,392	Blood Diseases and Resources Research
93.847	1,159,375	Diabetes, Digestive, and Kidney Diseases Extramural Research
93.849	43,168	Kidney Diseases, Urology and Hematology Research
93.853	982,689	Extramural Research Programs in the Neurosciences and Neurological Disorders
93.855	720,065	Allergy, Immunology and Transplantation Research
93.859	525,256	Biomedical Research and Research Training
93.865	2,467,295	Child Health and Human Development Extramural Research
93.866	1,064,939	Aging Research
93.867	48,139	Vision Research
93.879	933,234	Medical Library Assistance
93.884	67,543	Grants for Training in Primary Care Medicine and Dentistry
93.887	425,552	Health Care and Other Facilities
93.888	1,294,416	Specially Selected Health Projects
93.889	3,184,701	National Bioterrorism Hospital Preparedness Program
93.910	322,087	Family and Community Violence Prevention Program
93.913	247,813	Grants to States for Operation of Offices of Rural Health
93.917	4,689,682	HIV Care Formula Grants
93.918	858,300	Grants to Provide Outpatient Early Intervention Services with Respect to HIV Disease
93.924	3,359	Ryan White HIV/AIDS Dental Reimbursements Community Based Dental Partnership
93.928	6,230	Special Projects of National Significance
93.938	39,331	Cooperative Agreements to Support Comprehensive School Health Programs to Prevent the Spread of HIV and Other Important Health Problems
93.940	1,090,991	HIV Prevention Activities – Health Department Based
93.944	490,903	Human Immunodeficiency Virus (HIV)/Acquired Immunodeficiency Virus Syndrome (AIDS) Surveillance
93.946	99,948	Cooperative Agreements to Support State-Based Safe Motherhood and Infant Health Initiative Programs
93.958	3,669,428	Block Grants for Community Mental Health Services
* 93.959	17,039,793	Block Grants for Prevention and Treatment of Substance Abuse
93.977	500,995	Preventive Health Services – Sexually Transmitted Diseases Control Grants
93.991	609,230	Preventive Health and Health Services Block Grant
93.994	5,668,230	Maternal and Child Health Services Block Grant to the States
94	355,537	Corporation for National and Community Service
94.003	214,718	State Commissions
94.004	65,621	Learn and Serve America – School and Community Based Programs
* 94.006	3,271,765	Americorps
94.007	340,926	Planning and Program Development Grants
94.009	81,863	Training and Technical Assistance

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
94.013	15,600	Volunteers in Service to America
94.021	124,949	Volunteer Generation Fund
95.001	669,310	High Intensity Drug Trafficking Areas Program
96	1,399,976	Social Security Administration
96.008	100,000	Social Security – Work Incentives Planning and Assistance Program
97	424,198	Department of Homeland Security
97.008	75,000	Non-Profit Security Program
97.012	1,544,699	Boating Safety Financial Assistance
97.023	55,544	Community Assistance Program State Support Services Element (CAP-SSSE)
97.036	7,887,363	Disaster Grants – Public Assistance (Presidentially Declared Disasters)
97.040	6,888,645	Chemical Stockpile Emergency Preparedness Program
97.041	93,878	National Dam Safety Program
97.042	3,769,926	Emergency Management Performance Grants
97.043	23,383	State Fire Training Systems Grants
97.045	438,009	Cooperating Technical Partners
97.046	1,370,625	Fire Management Assistance Grant
97.047	1,171,594	Pre-Disaster Mitigation
97.052	338,454	Emergency Operations Centers
97.067	9,052,334	Homeland Security Grant Program
97.078	390,184	Buffer Zone Protection Program (BZPP)
97.082	106,736	Earthquake Consortium
97.089	418,365	Driver's License Security Grant Program
97.107	9,361	National Incident Management System (NIMS)
98	16,568	U.S. Agency for International Development
99	138,202	Other Government Agencies
	<u>\$ 4,767,683,852</u>	TOTAL FEDERAL EXPENDITURES

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
SUMMARY OF ARRA EXPENDITURES		
* 10.551	\$ 424,559	Supplemental Nutrition Assistance Program
10.579	97,960	Child Nutrition Discretionary Grants Limited Availability
10.688	312,328	Recovery Act of 2009: Wildland Fire Management
11.557	6,008,834	Broadband Technology Opportunities Program (BTOP)
11.558	549,570	State Broadband Data and Development Grant Program
12	63,194	Department of Defense
* 12	44,797	(R&D) Department of Defense
14.257	1,862,059	Homelessness Prevention and Rapid Re-Housing Program (Recovery Act Funded)
* 15.231	16,473	(R&D) Fish, Wildlife and Plant Conservation Resource Management
15.807	24,201	Earthquake Hazards Reduction Program
* 15.818	31,601	(R&D) Volcano Hazards Program Research and Monitoring
15	16,541	Department of Interior
* 15	71,576	(R&D) Department of Interior
16.588	151,644	Violence Against Women Formula Grants
16.800	193,654	Recovery Act - Internet Crimes against Children Task Force Program (ICAC)
16.801	63,428	Recovery Act - State Victim Assistance Formula Grant Program
16.803	2,819,490	Recovery Act - Edward Byrne Memorial Justice Assistance Grant (JAG) Program/ Grants to States and Territories
16.808	277,711	Recovery Act - Edward Byrne Memorial Competitive Grant Program
16	10,163	Department of Justice
* 16	194,416	(R&D) Department of Justice
* 17.225	88,194,700	Unemployment Insurance
17.275	2,104,001	Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors
17.276	38,477	Health Care Tax Credit (HCTC) National Emergency Grants (NEGs)
* 20.205	5,071,032	Highway Planning and Construction
* 20.509	703,575	Formula Grants for Other Than Urbanized Areas
* 47.082	4,704,310	(R&D) Trans – NSF Recovery Act Research Support
47	174,423	National Science Foundation
* 47	1,157,962	(R&D) National Science Foundation
66.454	1,749	Water Quality Management Planning
66.458	693,043	Capitalization Grants for Clean Water State Revolving Funds
66.805	158,879	Leaking Underground Storage Tank Trust Fund Corrective Action Program
66.818	139,269	Brownfields Assessment and Cleanup Cooperative Agreements
* 81.041	14,525,966	State Energy Program
* 81.042	10,863,441	Weatherization Assistance for Low Income Persons
* 81.049	19,873	(R&D) Office of Science Financial Assistance Program
81.086	18,492	Conservation Research and Development
* 81.087	1,159,384	(R&D) Renewable Energy Research and Development
81.117	293,064	Energy Efficiency & Renewable Energy Information Dissemination, Outreach, Training & Technical Analysis/Assessment
81.122	1,368,942	Electricity Delivery and Energy Reliability, Research, Development and Analysis
81.127	9,002	Energy Efficient Appliance Rebate Program (EEARP)
81.128	1,216,217	Energy Efficiency and Conservation Block Grant Program (EECBG)
* 81.133	166,798	(R&D) National Institute on Disability and Rehabilitation Research
* 81.134	5,913,899	(R&D) Industrial Carbon Capture and Storage (CCS) Application
81	65,000	Department of Energy
* 81	1,751,308	(R&D) Department of Energy
84.384	3,225,754	Statewide Data Systems, Recovery Act
84.387	178,719	Education for Homeless Children and Youth, Recovery Act
84.388	3,200,287	School Improvement Grants, Recovery Act
* 84.389	7,922,027	Title I Grants to Local Educational Agencies, Recovery Act
* 84.390	879,844	Rehabilitation Services – Vocational Rehabilitation Grants to States, Recovery Act
* 84.391	28,972,960	Special Education Grants to States, Recovery Act
* 84.392	1,190,491	Special Education – Preschool Grants, Recovery Act
84.393	2,108,615	Special Education – Grants for Infants and Families, Recovery Act
84.397	129,802	State Fiscal Stabilization Fund (SFSF) – Government Services, Recovery Act

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Summary Schedule of Expenditures of Federal Awards
For the Year Ended June 30, 2012

Federal Catalog #	Expenditures	Clusters, Other CFDA Program Titles, and ARRA Programs
84.398	46,976	Independent Living State Grants, Recovery Act
* 84.410	18,386,091	Education Jobs Fund
84	35,599	Department of Education
* 84	1,297,496	(R&D) Department of Education
93.411	105	Equipment to Enhance Training for Health Professionals
* 93.418	26,056	(R&D) ARRA – Emergency Medical Services for Children
93.701	1,234,994	Trans-NIH Recovery Act Research Support
* 93.701	9,968,161	(R&D) Trans – NIH Recovery Act Research Support
93.702	4,991,496	National Center for Research Resources, Recovery Act Construction Support
93.708	117,065	ARRA - Head Start
93.712	553,094	ARRA - Immunization
* 93.713	543,597	ARRA - Child Care and Development Block Grant
* 93.714	11,045,531	ARRA - Emergency Contingency Fund for Temporary Assistance for Needy Families (TANF) State Program
93.715	3,531	Recovery Act – Comparative Effectiveness Research - AHRQ
* 93.715	1,305,451	(R&D) Recovery Act – Comparative Effectiveness Research - AHRQ
93.717	68,749	ARRA - Preventing Healthcare - Associated Infections
93.719	1,487,752	ARRA - State Grants to Promote Health Information Technology
93.721	200,171	ARRA - Health Information Technology Professionals in Health Care
93.723	475,687	ARRA - Prevention and Wellness – State, Territories and Pacific Islands
93.725	113,605	ARRA - Communities Putting Prevention to Work: Chronic Disease Self-Management Program
* 93.726	93,489	(R&D) ARRA Accelerating Adoption of Comparative Effectiveness Research (CER)
* 93.727	438,532	(R&D) ARRA - Health Information Technology - Beacon Communities
* 93.728	85,465	(R&D) ARRA - Strategic Health IT Advanced Research Projects (SHARP)
* 93.778	11,361,250	Medical Assistance Program
93.867	48,139	Vision Research
93	12,409	Department of Health and Human Services
* 93	4,059,033	(R&D) Department of Health and Human Services
94	9,152	Corporation for National and Community Service
	<u>\$ 269,564,180</u>	TOTAL ARRA EXPENDITURES

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS
By Federal Agency

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>AGENCY FOR INTERNATIONAL DEVELOPMENT</u>					
Agency for International Development	USU	N/A	VARIOUS	16,568	
Pass-Through From Non-State Entities:					
(R&D) Agency for International Develop. —					
Colorado State University	USU	* N/A	VARIOUS	43,961	
Development Alternatives, Inc.	USU	* N/A	VARIOUS	149,463	
International Resources Group	USU	* N/A	VARIOUS	37,179	
University of Florida	USU	* N/A	VARIOUS	22,807	
Subtotal – Agency for International Development				269,978	0
<u>AGRICULTURE, DEPARTMENT OF</u>					
(R&D) AG Research – Basic and Applied	Weber State	* 10.001	04-CS-11041563-33	5,915	
Japanese Beetle	Agriculture	10.025	11-8588-0587-CA	4,798	\$
Honey Bee Survey	Agriculture	10.025	10-8588-1456-CA	15,000	
Medusa Head	Agriculture	10.025	11-8588-1268-CA	5,000	
Chinese Long Horned Beetle (WoodBorer)	Agriculture	10.025	11-8588-0587-CA	14,355	
Imported Fire Ant	Agriculture	10.025	12-8588-0587-CA	1,840	
Imported Fire Ant	Agriculture	10.025	11-8588-0587-CA	12,299	
Orchard Pest Survey	Agriculture	10.025	11-8588-1454-CA	25,000	
FAD/National Emergency	Agriculture	10.025	11-9749-1788-CA	18,000	
Avian Influenza Game Birds	Agriculture	10.025	11-9749-1985-CA	13,299	
Avian Influenza Commercial	Agriculture	10.025	11-9749-1985-CA	36,500	
Avian Influenza Commercial	Agriculture	10.025	12-9749-2168-CA	1,290	
Scrapie Genotyping	Agriculture	10.025	11-9749-2079-CA	1,827	
Cereal Leaf Beetle	Agriculture	10.025	12-8588-0587-CA	3,602	
Cereal Leaf Beetle	Agriculture	10.025	11-8588-0587-CA	14,589	
Assessing Weed Bio	Agriculture	10.025	11-8588-0587-CA	10,533	
Assessing Weed Bio	Agriculture	10.025	12-8588-0587-CA	2,217	
UWSA Weed Bio Control	Agriculture	10.025	10-8588-0587-CA	20,000	20,000
Emerald Ash Borer	Agriculture	10.025	12-8588-0587-CA	2,662	
Siberian Moth	Agriculture	10.025	11-8588-0587-CA	18,351	
Gypsy Moth, APHIS	Agriculture	10.025	11-8588-0587-CA	36,491	
Gypsy Moth, APHIS	Agriculture	10.025	12-8588-0587-CA	3,910	
Animal Disease Traceability	Agriculture	10.025	11-9749-2039-CA	46,000	
Core Infrastructure	Agriculture	10.025	12-8588-0587-CA	110	
Core Infrastructure	Agriculture	10.025	11-8588-0587-CA	109,353	
Grasshopper/Mormon Cricket	Agriculture	10.025	04-8588-0819-GR	500,500	
NRCS Cooperative Agreement	DCC	10.069	68-8D43-8-28	10,000	
UDSA School Lunch	Agriculture	10.162	12-25-A-3316	2,715	
USDA Egg Surveillance	Agriculture	10.162	12-25-A-2423	8,596	
Bovine Spongiform Encephalopathy	Agriculture	10.162	HHSF22300640120P	20,000	
COOL Training	Agriculture	10.162	12-25-A-5449	29,743	
USDA Egg Product	Agriculture	10.162	12-37-A-507	108,257	
Records Management	Agriculture	10.163	12-25-A-4286	30,000	
Specialty Crop Block Grant Program - Farm Bill	Agriculture	10.170	12-25-B-1099	145,720	49,278
Specialty Crop Block Grant Program - Farm Bill	Agriculture	10.170	12-25-B-0954	47,701	32,780
Specialty Crop Block Grant Program - Farm Bill	Agriculture	10.170	12-25-B-1259	74,565	12,600
(R&D) Root-To-Shoot Signal Bypass1	U OF U	* 10.206	20083530404488	106,524	
NFM Benchmarking Center	Snow College	10.319	H001856205	5,537	
USDA Dairy Grading	Agriculture	10.435	12-25-A-3176	14,445	
Agriculture Mediation	Agriculture	10.435	5200-3087600-0545	12,457	
USDA Meat Cooperative	Agriculture	10.477	12-37-A-446	150,426	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
USDA Meat Cooperative	Agriculture	10.477	12-37-A-317	1,195,825	
Farm Ranch Management	Snow College	10.500	2010-49200-06203	66,544	
ARRA-(SNAP) SNAP – SSI Cash Out	Workforce Services *	10.551	2011 / 2012 ID281143	424,559	
(SNAP) SNAP – SSI Cash Out	Workforce Services *	10.551	2011 /2012 IS601843	2,454,801	
(SNAP) SNAP – Benefits	Workforce Services *	10.551	8016499S6008	406,290,514	
(NUT) Nutr. Act School Breakfast Program	Education	10.553	VARIOUS	18,055,231	17,685,438
(NUT) Natl School Lunch Pgm – Commodities	Education	10.555	VARIOUS	15,075,556	15,075,556
(NUT) Natl School Lunch Pgm – Cash Assist	Education	10.555	VARIOUS	89,437,077	88,832,946
(NUT) Nutr. Act Special Milk Program	Education	10.556	VARIOUS	88,727	83,788
USDA WIC Food/Admin	Health	* 10.557	3UT700709	46,138,490	43,612,866
USDA WIC Infrastructure	Health	* 10.557	3UT700759	340,939	204,634
USDA WIC Breastfeeding Peer Counseling	Health	* 10.557	3UT700809	686,468	685,426
Child and Adult Care Food Program	Education	* 10.558	VARIOUS	26,696,458	25,387,464
(NUT) Nutrition Act Summer Food	Education	10.559	VARIOUS	2,112,679	2,060,542
Nutrition Act State Administration	Education	10.560	VARIOUS	1,836,648	38,080
(SNAP) SNAP – Interview Research Grant	Workforce Services *	10.561	2011IS807313	3,246	
(SNAP) SNAP – Administration	Workforce Services *	10.561	2011IS251443, 2012IS251443	18,975,709	
(SNAP) SNAP – DOD Appropriation	Workforce Services *	10.561	2011CQ270343	5,844	
(SNAP) SNAP – Emp/Train 100%	Workforce Services *	10.561	2012IE251843	1,029,889	
(SNAP) SNAP – Emp/Train 50%	Workforce Services *	10.561	2011IS251943, 2012IS251943	1,797,290	
(SNAP) SNAP – Emp/Train 50% Participation	Workforce Services *	10.561	2011IS252043,2012IS252043	513,281	
(SNAP) SNAP – Nutrition Education	Workforce Services *	10.561	2011/2012 IQ390343, 2012IS750343	813,324	
(SNAP) SNAP – State Exchange	Workforce Services *	10.561	2012IS802643, 2012IS803643	9,422	
Commodity Supplemental Food Program	Health	10.565	3UT810819	182,905	
(EFA) Emerg Food Assistance Pgm–Admin	Education	10.568	VARIOUS	607,753	607,753
(EFA) Emerg Food Assist Pgm-Commodities	Education	10.569	VARIOUS	2,176,492	2,176,492
WIC National Breastfeeding Award	Health	10.578	2011IW500543	117,327	100,074
ARRA-State Child Nutrition Programs	Education	10.579	VARIOUS	97,960	
Fresh Fruit and Vegetable Program	Education	10.582	VARIOUS	2,264,052	2,264,052
Gypsy Moth, Forest Service	Agriculture	10.664	09-CA-11046000-611	17,750	
Consolidated Grant - All Programs	Natural Resources	10.664	07-DG-11046000-603	336,183	12,411
Consolidated Grant - All Programs	Natural Resources	10.664	08-DG-11046000-611	169,738	9,000
Consolidated Grant - All Programs	Natural Resources	10.664	09-DG-11046000-606	166,468	15,277
Consolidated Grant - All Programs	Natural Resources	10.664	10-DG-11046000-611	247,564	29,020
Consolidated Grant - All Programs	Natural Resources	10.664	11-DG-11046000-606	268,904	231,796
Community Protection	Natural Resources	10.664	10-DG-11046000-630	164,650	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	07-DG-11046000-617	57,038	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	08-DG-11046000-619	56,551	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	09-DG-11046000-612	78,357	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	10-DG-11046000-624	24,111	
Hazardous Fuel Reduction (Stevens Money)	Natural Resources	10.664	11-DG-11046000-615	96	
Competitive Redesign Grant	Natural Resources	10.664	09-DG-11046000-617	39,776	
Competitive Redesign Grant	Natural Resources	10.664	10-DG-11046000-615	197,525	
Competitive Redesign Grant	Natural Resources	10.664	11-DG-11046000-608	61,696	
Disaster Assistance	Natural Resources	10.664	09-DG-11046000-602	55,178	
Cooperative Forestry Assistance	Natural Resources	10.664	VARIOUS	224,715	
Fuel for Schools	Agriculture	10.672	10-DG-11046000-604	74,164	
Forest Legacy - Administration	Natural Resources	10.676	08-DG-11046000-603	56,171	
Forest Legacy - Dry Lakes Ranch	Natural Resources	10.676	10-DG-11046000-602	1,254,873	
Forest Health Protection	Natural Resources	10.680	11-DG-11046000-610	5,314	
ARRA-Farr West-Comstock	Natural Resources	10.688	10-DG-110482B1-005	15,021	
ARRA-Bumblebee	Natural Resources	10.688	10-DG-110482B1-006	82,254	
ARRA-Mountain Center	Natural Resources	10.688	10-DG-110482B1-009	43,353	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
ARRA-North Elk	Natural Resources	10.688	10-DG-110482B1-008	153,002	
ARRA-Parowan Front	Natural Resources	10.688	10-DG-110482B1-010	8,549	
ARRA-Duck Creek	Natural Resources	10.688	10-DG-110482B1-070	10,149	
Good Neighbor Authority - Upper Santa Clara	Natural Resources	10.691	09-CA-11040700-046	39,377	
Good Neighbor Authority - Prescribed Fire Proj.	Natural Resources	10.691	09-CA-11040700-047	6,432	
Good Neighbor Authority - Timber Sale Prep	Natural Resources	10.691	12-CA-11040700-024	16,980	
Good Neighbor Authority - Duck Creek Pile Burns	Natural Resources	10.691	08-CA-11040700-025	7,385	
Good Neighbor Authority - Birch Creek	Natural Resources	10.691	12-CA-11040700-032	13,592	
USDA Rural Business Enterprise Grant	Snow College	10.769	52-020-876000517	27,398	
GIP Grazing Project in Rich & Cache Co	Agriculture	10.902	68-8D43-10-12	60,956	
GIP Vegetable Mapping & Monitoring	Agriculture	10.902	68-8D43-10-28	98,034	
Colorado Salinity Technical Assistance	Agriculture	10.902	68-8D43-11-10	75,137	26,352
Colorado Salinity Technical Assistance	Agriculture	10.902	68-8D\$#-12-01	135,920	115,668
Air Quality CAFO Project	Agriculture	10.902	69-8D43-6-07	40,663	39,500
San Rafael River/Muddy Creek Watershed	Natural Resources	10.916	68-8D43-10-22	88,296	
Engineering & Planning Dam Rehabilitation	Natural Resources	10.916	68-8D43-9-24	282,826	
Wasatch Plateau Landslides (USF4)	Natural Resources	N/A	10-CS-11041000-033	101,381	
Ferron Creek Landslide (UFS3)	Natural Resources	N/A	10-CS-11041000-028	7,560	
Wasatch Mountain - Forest Service	Natural Resources	N/A	VARIOUS	4,957	
EOTC Curation Services	Natural Resources	N/A	VARIOUS	50,704	
FES Viral Survey	Natural Resources	N/A	10-9749-2063-CA	20,079	
Farm Bill Biologists	Natural Resources	N/A	VARIOUS	76,903	
Restoration of San Rafael River	Natural Resources	N/A	728D430818Q	52,011	
Chronic Wasting Disease	Natural Resources	N/A	06-9749-1396-CA	111,308	
Chronic Wasting Disease	Natural Resources	N/A	10-9749-1396-CA	155,515	
Rural Business Enterprise Grant	Bridgerland ATC	N/A	52-003-870294483	11,000	
Dixie National Forest Paric Agreement	SUU	N/A	11-PA-11040700-010	28,985	
Initiative Native Plant Project	SUU	N/A	10-PA-11040700-038	91,896	
(R&D) Department of Agriculture	U OF U	* N/A	VARIOUS	265,032	
Distance ED BSW Program	U OF U	N/A	706C16	38,477	
(R&D) Agriculture Research Services	USU	* N/A	58-5360-9-442 (100119)	114,156	
(R&D) Agriculture Research Services	USU	* N/A	VARIOUS	395,464	23,183
(R&D) Animal & Plant Health Inspection Svc	USU	* N/A	VARIOUS	288,153	14,335
(R&D) Animal & Plant Health Inspection Svc	USU	* N/A	112251, 11-8588-0587-CA (111142)	107,561	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-34526-19808 (090867)	138,251	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-34552-19746 (090848)	295,530	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-34613-20124 (090858)	171,156	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-38640-19711 (090758)	518,346	
(R&D) Cooperative State Research Svc.	USU	* N/A	2009-51300-05533 (090673)	138,362	
(R&D) Cooperative State Research Svc.	USU	* N/A	311000 HATCH (F111000)	871,209	
(R&D) Cooperative State Research Svc.	USU	* N/A	3110006049 (F121000)	991,926	
(R&D) Cooperative State Research Svc.	USU	* N/A	3120006049 (F121100)	534,147	
(R&D) Cooperative State Research Svc.	USU	* N/A	3210006049 (F122000)	166,205	
(R&D) Cooperative State Research Svc.	USU	* N/A	VARIOUS	2,225,704	1,629,185
(R&D) Economic Research Service	USU	* N/A	59-5000-0-0065 (100918)	167,062	
(R&D) Foreign Agriculture Service	USU	* N/A	VARIOUS	74,188	
(R&D) Forest Service	USU	* N/A	VARIOUS	792,942	50,000
(R&D) Forest Service	USU	* N/A	07-CR-11062756-508 (075113)	105,771	
(R&D) Forest Service	USU	* N/A	11-CS-11221632-036 (110407)	137,675	
(R&D) Forest Service	USU	* N/A	11-CR-11221638-011 (110325)	189,480	
(R&D) National Institute of Food and Ag	USU	* N/A	VARIOUS	2,237,235	1,520,352
(R&D) National Institute of Food and Ag	USU	* N/A	2010-49400-21768 (100912)	208,219	
(R&D) National Institute of Food and Ag	USU	* N/A	2010-51300-21283 (100759)	167,062	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) National Institute of Food and Ag	USU	* N/A	2011-67015-3008 (100984)	112,991	
(R&D) National Resources Conservation Svc	USU	* N/A	VARIOUS	35,063	
(R&D) Research and Development	USU	* N/A	VARIOUS	57,100	
(R&D) Research and Development	USU	* N/A	58-3625-9-743 (09S069)	222,222	
(R&D) Research and Development	USU	* N/A	59-5430-1-344 (09S072)	224,785	
Agriculture Research and Development	USU	N/A	VARIOUS	30,439	
Rural Development	USU	N/A	VARIOUS	47,159	45,000
Rural Utilities Service	USU	N/A	VARIOUS	362,910	
Animal & Plant Health Inspection Service	USU	N/A	VARIOUS	2,200	
Cooperative State Research Service	USU	N/A	F114000	376,647	
Cooperative State Research Service	USU	N/A	F117000	296,287	
Cooperative State Research Service	USU	N/A	F124000	1,303,288	
Cooperative State Research Service	USU	N/A	F127000	115,043	
Cooperative State Research Service	USU	N/A	VARIOUS	431,018	154,936
Farm and Foreign Agricultural Services	USU	N/A	VARIOUS	59,705	39,000
Food and Nutrition Service	USU	N/A	111433 (110073)	117,792	
Food and Nutrition Service	USU	N/A	121297 (120070)	675,709	
Foreign Agriculture Service	USU	N/A	VARIOUS	27,744	
Forest Service	USU	N/A	VARIOUS	155,738	
National Institute of Food and Agriculture	USU	N/A	VARIOUS	287,522	269,138
National Institute of Food and Agriculture	USU	N/A	2010-41590-20709 (100547)	161,661	
National Institute of Food and Agriculture	USU	N/A	2011-51150-30848 (110975)	238,079	
National Resources Conservation Service	USU	N/A	VARIOUS	15,070	
Risk Management Agency	USU	N/A	VARIOUS	151,562	48,622
Risk Management Agency	USU	N/A	10-IE-53300-013 (100962)	174,005	
Risk Management Agency	USU	N/A	11-IE-53300-003 (120077)	110,006	
Participating Agreement	Weber State	N/A	04-PA-11046000-044	1,695	
Pass-Through From Non-State Entities:					
(R&D) New ORF in Potyviridae Genome —					
Iowa State University	U OF U	* 10.206	4164362A	48,733	
(R&D) National Institute of Food & Agriculture —					
Kansas State University	USU	N/A	VARIOUS	29,559	
New Mexico State University	USU	N/A	VARIOUS	6,423	
University of Wyoming	USU	N/A	VARIOUS	13,863	
(R&D) Forest Service —					
The Pollinator Partnership	USU	* N/A	VARIOUS	8,516	
(R&D) Research and Development —					
Brigham Young University	USU	* N/A	VARIOUS	20,952	
Hansen Energy and Environmental, LLC	USU	* N/A	VARIOUS	8,339	
North Carolina State University	USU	* N/A	VARIOUS	36,769	
University of California, Davis	USU	* N/A	VARIOUS	11,036	
University of Idaho	USU	* N/A	VARIOUS	831	
University of Illinois, Chicago	USU	* N/A	VARIOUS	14,490	
University of Nebraska	USU	* N/A	VARIOUS	5,637	
Washington State University	USU	* N/A	VARIOUS	29,043	
Risk Management Agency —	USU				
Rural Development —	USU				
Mississippi State University	USU	N/A	VARIOUS	49,500	
University of Nevada, Reno	USU	N/A	VARIOUS	13,971	
(R&D) Cooperative State Research Svc. —					
University of Arizona	USU	* N/A	VARIOUS	42,807	
University of California, Davis	USU	* N/A	VARIOUS	6,747	
University of Nevada, Reno	USU	* N/A	VARIOUS	3,009	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Cooperative State Research Service —					
Colorado State University	USU	N/A	VARIOUS	45,810	
Mississippi State University	USU	N/A	VARIOUS	4,189	
University of Nebraska	USU	N/A	VARIOUS	40,739	
(R&D) Department of Agriculture —					
University of Florida	U OF U	* N/A	UF11197	10,900	
University of Idaho	U OF U	* N/A	GPK699SB001P00028386	(406)	
(R&D) Nat'l Resources Conservation Svc —					
CO Plateau Coop Ecosystem Studies	USU	* N/A	VARIOUS	(1,996)	
University of Montana	USU	* N/A	VARIOUS	72,237	
(R&D) Agricultural Research Service —					
University of Nevada, Reno	USU	* N/A	VARIOUS	8,866	
(R&D) National Institute of Food & Ag —					
Montana State University	USU	* N/A	VARIOUS	15,516	
New Mexico State University	USU	* N/A	VARIOUS	7,321	
University of California, Davis	USU	* N/A	VARIOUS	8,058	
University of Hawaii	USU	* N/A	VARIOUS	25,744	
Agriculture Research and Development —					
Kansas State University	USU	N/A	VARIOUS	145,490	
Mississippi State University	USU	N/A	VARIOUS	1,674	
Texas A&M University	USU	N/A	VARIOUS	44,524	
University of Nevada, Reno	USU	N/A	VARIOUS	11,861	
Subtotal – Department of Agriculture				664,583,087	203,202,544
CENTRAL INTELLIGENCE AGENCY					
Pass-Through From Non-State Entities:					
(R&D) Central Intelligence Agency —					
North Carolina State University	U OF U	* N/A	2010231601	171,324	
Sensormatrix	U OF U	* N/A	10023704	95,000	
Subtotal – Central Intelligence Agency				266,324	0
COMMERCE, DEPARTMENT OF					
(R&D) CSTAR: High Impact Weather	U OF U	* 11.468	NA10NWS4680005	109,152	
Public Telecommunications Facilities Plan	U OF U	11.550	VARIOUS	192,074	
Wendover Translator Reim	U OF U	11.550	4909U90390	54,131	
Public Safety Interoperable Communication	Public Safety	11.555	GSH70026	2,044,306	2,037,236
ARRA-NTIA BTOP	U OF U	11.557	NT10BIX5570024	6,008,834	1,944,907
ARRA-State Broadband Data & Development	Public Service Comm	11.558	49-50-M09054	549,570	
(R&D) Measurement & Engineering R & S	U OF U	* 11.609	VARIOUS	142,136	
(R&D) Department of Commerce	USU	* N/A	VARIOUS	2,500	
(R&D) National Institute of Standards Tech	USU	* N/A	VARIOUS	41,870	17,804
(R&D) National Institute of Standards Tech	USU	* N/A	70NANB9H9120 (09S068)	618,759	
(R&D) National Oceanic and Atmospheric	USU	* N/A	VARIOUS	24,641	
(R&D) National Oceanic and Atmospheric	USU	* N/A	DG133E08CN0063 (08S047)	949,637	
(R&D) National Oceanic and Atmospheric	USU	* N/A	EE-133E-12-SE-1230 (S00001377)	132,208	
(R&D) National Oceanic and Atmospheric	USU	* N/A	NA09OAR4310195 (090268)	101,065	
Pass-Through From Non-State Entities:					
(EDC) Public Works & Econ Develop Facilities —					
Comm on Econ Develop - Orem	UVU	11.300	05-01-04666	499,989	
Manufacturing Extension Partnership —					
WestCamp Inc.	UVU	11.611	70NANB10H042/70NANB10H31	139,179	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Department of Commerce — University of Colorado, Boulder	USU	N/A	VARIOUS	20,534	
(R&D) Department of Commerce — Global Science & Tech Innovation	U OF U	* N/A	SA11U0U01	762,949	70,000
(R&D) Department of Commerce — University of Colorado, Boulder	USU	* N/A	VARIOUS	47,436	
Subtotal – Department of Commerce				12,440,970	4,069,947
<u>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE</u>					
NCS Americorps Subgrantees	DCC	94.003	10CAHUT0001	214,718	
Service Learning	Education	94.004	06KSPUT001	65,621	63,925
Faith Based Initiatives	DCC	* 94.006	06AFHUT001	13,257	
NCS Americorps Subgrantees	DCC	* 94.006	06AFHUT001	1,065,834	1,058,649
NCS Americorps Subgrantees	DCC	* 94.006	09ACHUT001	1,260,835	1,248,334
NCS Americorps Subgrantees	DCC	* 94.006	10FXHUT001	104,333	104,333
NCS Americorps Subgrantees	DCC	* 94.006	11AFHUT001	827,506	827,506
NCS Americorps Subgrantees	DCC	94.007	07CDHUT001	33,658	12,000
NCS Americorps Subgrantees	DCC	94.007	11CDHUT001	2,572	
Planning and Program Development	SLCC	94.007	VARIOUS	304,696	
NCS Americorps Subgrantees	DCC	94.009	11PTHUT001	81,863	
Refugee Vista Grant	Workforce Services	94.013	G-11VSPUT001	2,228	
VISTA Project	U OF U	94.013	10VSPUT006	13,372	
NCS Americorps Subgrantees	DCC	94.021	10VGHUT001	124,949	
ARRA-Corp for National & Community Svc	USU	N/A	VARIOUS	9,152	
Corporation for National & Community Service	USU	N/A	VARIOUS	(10,211)	
Corporation for National & Community Service	USU	N/A	06VSPUT018 (110271)	197,747	
Americorps Student Awards	UVU	N/A	VARIOUS	151,897	
Pass-Through From Non-State Entities:					
Corporation for National and Community Svc — Colorado Campus Compact	UVU	N/A	VARIOUS	2,638	
Corporation National & Community Svc — Colorado Campus Compact	USU	N/A	VARIOUS	4,314	
Subtotal – Corporation for National and Community Service				4,470,979	3,314,747
<u>DEFENSE, DEPARTMENT OF</u>					
Defense Logistics Agency	Governor's Office	12.002	SP4800-08-2-0839	300,000	
DSMOA	Environmental Q.	12.113	W912DY-08-2-0149	356,724	
Defense Human Resources Activity	Governor's Office	12.217	H98210-12-0016	213,525	
(R&D) Basic and Applied Scientific Res.	U OF U	* 12.300	VARIOUS	714,651	102,175
Military Construction	National Guard	12.400	W911YP-2001	1,221,814	
Military Operations and Maintenance	National Guard	12.401	W911YP-1001A	22,622,030	
Military Operations and Maintenance	National Guard	12.401	W911YP-1001B	2,988,350	
Military Operations and Maintenance	National Guard	12.401	W911YP-1001D	548,689	
Environmental Resource Management	National Guard	12.401	W911YP-1002	2,635,318	
Military Operations and Maintenance	National Guard	12.401	W911YP-1003	959,753	
Military Operations and Maintenance	National Guard	12.401	W911YP-1004	647,739	
Military Operations and Maintenance	National Guard	12.401	W911YP-1005	2,269,419	
Military Operations and Maintenance	National Guard	12.401	W911YP-1007	637,841	
Anti Terrorism Program Activities	National Guard	12.401	W911YP-1010	67,477	
Military Operations and Maintenance	National Guard	12.401	W911YP-1015	553,075	
Military Operations and Maintenance	National Guard	12.401	W911YP-1021	1,896,034	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Military Operations and Maintenance	National Guard	12.401	W911YP-1023	584,985	
Military Operations and Maintenance	National Guard	12.401	W911YP-1024	1,779,160	
Military Operations and Maintenance	National Guard	12.401	W911YP-1040	199,866	
State Family Program Activities	National Guard	12.401	W911YP-1041	948,358	
(R&D) Military Medical Research & Develop.	U OF U	* 12.420	VARIOUS	2,876,118	344,890
(R&D) Basic Scientific Research	U OF U	* 12.431	VARIOUS	376,922	32,300
Research and Technical Assistance	Weber State	12.615	VARIOUS	168,934	
(R&D) Basic, Applied, and Advanced Res.	U OF U	* 12.630	VARIOUS	145,405	59,376
(R&D) Air Force Defense Research Sci.	U OF U	* 12.800	VARIOUS	1,238,437	888,237
Star Talk	Education	12.901	VARIOUS	175,408	170,188
(R&D) Unitary Representations	U OF U	* 12.901	H982301010176	586	
(R&D) Research and Technology Development	U OF U	* 12.910	VARIOUS	908,241	
Ogden Defense Depot	Environmental Q.	N/A	9210020922	6,519	
Hill Air Force Base	Environmental Q.	N/A	CerclaSctn120	133,041	
Bat Database	Natural Resources	N/A	VARIOUS	131,000	
(R&D) Department of Defense	U OF U	* N/A	VARIOUS	3,121,107	416,899
Department of Defense	U OF U	N/A	VARIOUS	152,793	
(R&D) AF Research and Development	USU	* N/A	VARIOUS	208,336	
(R&D) AF Research and Development	USU	* N/A	FA8718-06-C-0001 (05S108)	290,270	
(R&D) AF Research and Development	USU	* N/A	FA8814-09-D-0001 TO001 (09S070001)	295,650	
(R&D) AF Research and Development	USU	* N/A	FA8814-09-D-0001 TO004 (09S070004)	(134,924)	
(R&D) AF Research and Development	USU	* N/A	FA8814-09-D-0001 TO006 (09S070006)	280,447	
(R&D) AF Research and Development	USU	* N/A	FA9453-08-C-0244 (08S063)	3,238,357	
(R&D) AF Research and Development	USU	* N/A	FA9453-11-C-0278 (S00000953)	534,783	
(R&D) AF Research and Development	USU	* N/A	IPA Agree w Air Force (S00000381)	310,985	
(R&D) Army Research and Development	USU	* N/A	VARIOUS	111,560	
(R&D) Army Research and Development	USU	* N/A	W911W6-06-C-0008 (06S035)	710,791	
(R&D) Department of Defense	USU	* N/A	VARIOUS	63,624	
(R&D) Missile Defense Agency	USU	* N/A	HQ0006-05-D-0005-0022	169,574	
(R&D) Missile Defense Agency	USU	* N/A	HQ0006-05-D-0005-0018 (05S090018)	146,848	
(R&D) Missile Defense Agency	USU	* N/A	HQ0006-05-D-0005-0026 (05S090026)	193,365	
(R&D) Missile Defense Agency	USU	* N/A	HQ0006-05-D-0005-0030 (05S090031)	1,324,633	
(R&D) Missile Defense Agency	USU	* N/A	HQ0006-05-D-0005-0032 (05S090032)	375,559	
(R&D) Missile Defense Agency	USU	* N/A	HQ0006-05-D-0005-0033 (05S090034)	(109,765)	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0001 (S00005001)	1,440,146	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0002 (S00005002)	553,439	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0003 (S00005003)	740,613	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0004 (S00005004)	754,472	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0005 (S00005005)	103,477	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0006 (S00005006)	3,734,744	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0008 (S00005008)	214,598	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0009 (S00005009)	293,267	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0010 (S00005010)	4,902,609	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0011 (S00005011)	283,037	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0012 (S00005012)	114,361	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0013 (S00005013)	319,398	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0015 (S00005015)	125,594	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0016 (S00005016)	1,354,335	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0017 (S00005017)	3,735,273	
(R&D) Missile Defense Agency	USU	* N/A	HQ0147-11-D-0052-0018 (S00005018)	202,732	
(R&D) National Reconnaissance Office	USU	* N/A	NRO000-09-C-0309 (09S099001)	196,780	
(R&D) National Security Agency	USU	* N/A	VARIOUS	(17,610)	
(R&D) Navy Research and Development	USU	* N/A	VARIOUS	7,900	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Navy Research and Development	USU	* N/A	N00014-09-1-0292 (090193)	188,034	
(R&D) Navy Research and Development	USU	* N/A	N00173-02-D-2003 TASK ORDER 0007 (02S049007)	654,973	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0002 (08S044002)	1,317,899	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0003 (08S044003)	1,520,487	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0004 (08S044004)	2,362,264	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0005 (08S044005)	7,260,364	
(R&D) Navy Research and Development	USU	* N/A	N00173-08-D-2002 0006 (08S044006)	4,880,149	
(R&D) Navy Research and Development	USU	* N/A	N68936-10-D-0017 TO0003 (S00063003)	121,113	
(R&D) Navy Research and Development	USU	* N/A	N68936-10-D-0017 TO0004 (S00063004)	179,006	
(R&D) Navy Research and Development	USU	* N/A	N68936-10-D-0017 TO0005 (S00063005)	207,607	
(R&D) Navy Research and Development	USU	* N/A	N68936-10-D-0017 TO0006 (S00063006)	154,464	
AF Research and Development	USU	N/A	VARIOUS	19,176	
Pass-Through From Non-State Entities:					
(R&D) Basic and Applied Scientific Resh —					
University of Colorado Boulder	U OF U	* 12.300	1548378	190,620	
University of Notre Dame	U OF U	* 12.300	201839	436,702	
(R&D) Military Medical Research & Devel —					
Florida State University	U OF U	* 12.420	R01481	18,961	
The Geneva Foundation	U OF U	* 12.420	S123001	15,811	
Univ. of Alabama at Birmingham	U OF U	* 12.420	VARIOUS	71,699	
(R&D) Basic Scientific Reserach —					
Duke University	U OF U	* 12.431	11AR01066	70,811	
Saint Louis University	U OF U	* 12.431	W911NF0720062	77,304	
University of California Davis	U OF U	* 12.431	0700159	46,999	
IJSHS —					
Academy of Applied Science	U OF U	12.630	W911NF1020076	25,000	
(R&D) 3-D ENZYMATIC —					
Columbia University	U OF U	* 12.800	1ACCT522395POG03171	4,530	
(R&D) Research and Technology Develop —					
Case Western Reserve University	U OF U	* 12.910	RES503663	331,832	
University of Michigan	U OF U	* 12.910	F0235423001571504	39,526	
(R&D) AF Research and Development —					
Applied Technology Assoc Aerospace	USU	* N/A	VARIOUS	455,119	
Arrowpoint Solutions, Incorporated	USU	* N/A	VARIOUS	91,978	
Atmospheric & Space Technology Resh	USU	* N/A	VARIOUS	15,391	
Georgia Institute of Technology	USU	* N/A	VARIOUS	329,055	
L-3 Communications	USU	* N/A	VARIOUS	143,056	
Lockheed Martin	USU	* N/A	VARIOUS	1,058	
Massachusetts Institute of Technology	USU	* N/A	VARIOUS	26,556	
Metatech Corporation	USU	* N/A	VARIOUS	1,066,987	
Northrop Grumman	USU	* N/A	VARIOUS	367,068	
NorthWest Research Associates, Inc.	USU	* N/A	VARIOUS	30,153	
Norwich U Applied Research Institutes	USU	* N/A	VARIOUS	342,968	
Sensing Strategies, Inc.	USU	* N/A	VARIOUS	152,904	
SRI International	USU	* N/A	VARIOUS	84,502	
Technology Service Corporation	USU	* N/A	VARIOUS	28,461	
Thermal Management Tech TMT, LLC	USU	* N/A	VARIOUS	64,683	
Universal Technology Corporation (UTC)	USU	* N/A	VARIOUS	21,707	
University of Wyoming	USU	* N/A	VARIOUS	307,572	
Wyle Laboratories, Inc.	USU	* N/A	VARIOUS	12,093	
(R&D) Navy Research and Development —					
Aspen Systems, Inc.	USU	* N/A	VARIOUS	4,489	
BAE Systems	USU	* N/A	VARIOUS	121,892	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
CSA Engineering Moog	USU	* N/A	VARIOUS	100,273	
Exelis, Inc.	USU	* N/A	VARIOUS	53,354	
Lidar Pacific Corporation	USU	* N/A	VARIOUS	20,917	
Stanley Associates, Inc.	USU	* N/A	VARIOUS	23,722	
(R&D) Missile Defense Agency —					
Johns Hopkins University	USU	* N/A	VARIOUS	675	
Visidyne, Inc.	USU	* N/A	VARIOUS	57,499	
ARRA-(R&D) Army Research and Develop —					
Bio/West Incorporated	USU	* N/A	VARIOUS	44,797	
(R&D) Department of Defense —					
Ball Aerospace	USU	* N/A	VARIOUS	176,008	
Battelle Energy Alliance, LLC	USU	* N/A	VARIOUS	582,884	
Fairchild Imaging, Inc.	USU	* N/A	VARIOUS	2,229	
Global Technical Systems	USU	* N/A	VARIOUS	15,662	
Goodrich Corporation	USU	* N/A	VARIOUS	43,039	
Johns Hopkins University	USU	* N/A	VARIOUS	3,468	
Micro-RDC	USU	* N/A	VARIOUS	(121)	
Optimus Prime	USU	* N/A	VARIOUS	1,370,519	
Raytheon	USU	* N/A	VARIOUS	106,880	
State University of New York	USU	* N/A	VARIOUS	16,845	
(R&D) Army Research and Development —					
Gannett Fleming, Inc.	USU	* N/A	VARIOUS	135,727	
Georgia Institute of Technology	USU	* N/A	VARIOUS	18,191	
HDR	USU	* N/A	VARIOUS	25,180	
L-3 Communications	USU	* N/A	VARIOUS	769	
ManTech International Corporation	USU	* N/A	VARIOUS	2,434	
Owen Ayres and Association	USU	* N/A	VARIOUS	10,100	
Radiance Technologies	USU	* N/A	VARIOUS	223,762	
Science Applications Internatl Corp	USU	* N/A	VARIOUS	11,671	
Department of Defense —					
Foundation Fighting Blindness	U OF U	N/A	NNSPCTEC01090034UUTNER	36,859	
Institute of International Education	U OF U	N/A	HQ00340820024	28,105	
Laredo Technical Services	U OF U	N/A	NONE	4,131	
National Marrow Donor Program	U OF U	N/A	577RITN	2,900	
Sarcoma Alliance for Rsch Thru Collab	U OF U	N/A	SARCMPNST	394	
ARRA-US Army —					
The Louis Berger Group, Inc.	USU	N/A	VARIOUS	63,194	
(R&D) Department of Defense —					
Battelle Science & Technology	U OF U	* N/A	TCN102180000255720	26,529	
Beth Israel Deaconess Medical	U OF U	* N/A	W81XWH1010141	16,796	
Electrokinetic Signal Research	U OF U	* N/A	100021331	22,284	
Electronic Bioscience, LLC	U OF U	* N/A	2098029DA1C	59,160	
IBM Center for the Business of Gov	U OF U	* N/A	4910001755	155,739	
Johns Hopkins University	U OF U	* N/A	various	2,043,158	1,527,942
Lumarray Inc	U OF U	* N/A	C0044101	50,269	
Materials and Systems Research	U OF U	* N/A	20101	75,154	
North Carolina State University	U OF U	* N/A	210113B	44,321	
Northeastern University	U OF U	* N/A	504314P1104878	469,173	
Nvidia Corporation	U OF U	* N/A	54128854	269,366	
Raytheon Company	U OF U	* N/A	950001046513919	397,660	
Reaction Engineering Intl	U OF U	* N/A	various	33,021	
Safety Management Services Inc	U OF U	* N/A	1145	5,813	
Science Applications International	U OF U	* N/A	P010045717	186,413	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Scientific Assessment Technology	U OF U	* N/A	10006206PHASEII	1,824	
University of Kentucky	U OF U	* N/A	UKRF304810387608160	51,144	
Wasatch Molecular Inc	U OF U	* N/A	various	46,338	
Western Institute for Biomedical Research	U OF U	* N/A	various	32,469	
Formerly Used Defense Sites —					
EM Assist	Environmental Q.	N/A	VARIOUS	1,142	
(R&D) Marines Research and Development —					
General Dynamics	USU	* N/A	VARIOUS	1,119	
				<u>109,682,559</u>	<u>3,542,007</u>
Subtotal – Department of Defense					
<u>EDUCATION, DEPARTMENT OF</u>					
Adult Education–Basic Grants to States	Education	84.002	V002A09/10/110044	3,248,864	2,824,038
(SFA) Supp. Educ. Opportunity Grants	Davis ATC	* 84.007	P007A117112	45,549	
(SFA) Supp. Educ. Opportunity Grants	Mountainland ATC	* 84.007	P007A115818	5,976	
(SFA) Supp. Educ. Opportunity Grants	Ogden/Weber ATC	* 84.007	P007A116314	49,350	
(SFA) Supp. Educ. Opportunity Grants	Dixie College	* 84.007	P007A114194	120,937	
(SFA) Supp. Educ. Opportunity Grants	SLCC	* 84.007	P007A114220	398,759	
(SFA) Supp. Educ. Opportunity Grants	Snow College	* 84.007	VARIOUS	82,243	
(SFA) Supp. Educ. Opportunity Grants	SUU	* 84.007	P007A114213	214,882	
(SFA) Supp. Educ. Opportunity Grants	U OF U	* 84.007	P007A114217	410,134	
(SFA) Supp. Educ. Opportunity Grants	USU	* 84.007	VARIOUS	738,720	
(SFA) Supp. Educ. Opportunity Grants	UVU	* 84.007	P007A114219	358,432	
(SFA) Supp. Educ. Opportunity Grants	Weber State	* 84.007	P007A114221	461,583	
(TIPA) Title I Grants to Local Ed Agencies	Education	* 84.010	S010A09/10/110044	75,941,048	75,149,569
Title I Migrant Education	Education	84.011	S011A09/10/110045	2,184,114	1,925,925
Title I Neglected & Delinquent Children	Education	84.013	S013A09/10/110044	700,200	623,968
NRC Fellowships Program	U OF U	84.015	VARIOUS	825,428	402,338
(SE) IDEA Special Education	Education	* 84.027	H027A09/10/110059	121,822,573	116,183,150
(R&D)(SE) Special Ed Grants to States	USU	* 84.027	VARIOUS	(8,000)	(8,000)
(SE) Special Education Grants to States	USU	* 84.027	110130 (110747)	105,004	
(SE) Special Education Grants to States	USU	* 84.027	110131 (110765)	135,466	
(SE) Special Education Grants to States	USU	* 84.027	120054 (120189)	149,990	
(SE) Special Education Grants to States	USU	* 84.027	AF 110132 (110769)	152,153	
(SE) Special Education Grants to States	USU	* 84.027	AF 110135 (110757)	220,132	
Higher Education Institutional Aid	USU	84.031	P031X00006-NASNTI (SJ)	389,296	
Endowment Challenge Grant	CEU	84.031	P0131G10013	77,834	
1990 Endowment Challenge Grant	UVU	84.031	P031G00018	11,010	
Title III - Student Success Retention	UVU	84.031	P031A060252	89,437	
Federal Reinsurance Received on					
Defaulted Loan Claims Paid				45,300,511	
Less Collection on Defaulted Loans					
Remitted to Department of Education				(5,641,062)	
Net Federal Reinsurance Received on					
Defaulted Loan Claims Paid	Board of Regents	* 84.032	VARIOUS	39,659,449	
Special Allowance Payments	Board of Regents	* 84.032	VARIOUS	(24,959,782)	
Federal Interest Subsidy Payments	Board of Regents	* 84.032	VARIOUS	11,942,392	
Default Adversion Fee	Board of Regents	* 84.032	VARIOUS	243,004	
Account Maintenance Fee	Board of Regents	* 84.032	VARIOUS	1,225,102	
(SFA) Federal Work Study Program	Davis ATC	* 84.033	P033A117112	14,323	
(SFA) Federal Work Study Program	Ogden/Weber ATC	* 84.033	P033A116314	55,333	
(SFA) Federal Work Study Program	Dixie College	* 84.033	P033A114194	217,748	
(SFA) Federal Work Study Program	SLCC	* 84.033	P033A114220	390,179	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(SFA) Federal Work Study Program	Snow College	* 84.033	P033A114212	69,319	
(SFA) Federal Work Study Program	SUU	* 84.033	P033A114213	244,984	
(R&D)(SFA) Federal Work Study Program	U OF U	* 84.033	P033A114217	194,489	
(SFA) Federal Work Study Program	U OF U	* 84.033	P033A114217	1,007,502	
(R&D)(SFA) Federal Work Study Program	USU	* 84.033	P033A114218	51,139	
(SFA) Federal Work Study Program	USU	* 84.033	VARIOUS	668,432	
(SFA) Federal Work Study Program	UVU	* 84.033	P033A114219	618,147	
(SFA) Federal Work Study Program	Weber State	* 84.033	P033A104221/P033A114221	832,768	
(SFA) Perkins Loan Cancellations	CEU	* 84.037	VARIOUS	90,685	
(SFA) Perkins Loan Program	Snow College	* 84.038	P038A094212	22,730	
(SFA) Perkins Loan Program	SUU	* 84.038	P038A094213	25,370	
(SFA) Perkins Loan Program	U OF U	* 84.038	P038A114217	328,185	
(SFA) Perkins Loan Program	USU	* 84.038	P038A114218	83,596	
(SFA) Perkins Loan Program	Weber State	* 84.038	P038A114221	110,398	
(TRIO) Student Support Services	Dixie College	84.042	P042A050119	315,301	
(TRIO) Student Support Services	SLCC	84.042	P042A100798	331,503	
(TRIO) Student Support Services	Snow College	84.042	VARIOUS	342,228	
(TRIO) Student Support Services	SUU	84.042	P042A060878	294,853	
(TRIO) Student Support Services	U OF U	84.042	P042A100856	354,449	
(R&D)(TRIO) Student Support Services	USU	* 84.042	VARIOUS	29,164	
(R&D)(TRIO) Student Support Services	USU	* 84.042	P042A110004 (110864)	265,088	
(TRIO) Student Support Services	USU	84.042	VARIOUS	47,038	
(TRIO) Student Support Services	USU	84.042	P042A110585 (120243)	267,426	
(TRIO) Student Support Services	UVU	84.042	P042A101437	267,521	
(TRIO) Student Support Services	Weber State	84.042	P042A101501	380,410	
(TRIO) Talent Search	Dixie College	84.044	P044A070343	384,420	
(TRIO) Talent Search	SLCC	84.044	P044A070418/P044A110418	229,768	
(TRIO) Talent Search	SUU	84.044	P044A060385	310,398	
(TRIO) Talent Search	USU	84.044	VARIOUS	86,619	
(TRIO) Talent Search	USU	84.044	P044A110528 (120168)	311,861	
(TRIO) Talent Search	UVU	84.044	P044A070830	270,286	
(TRIO) Talent Search	Weber State	84.044	P044A060394/P044A110773	207,125	
(TRIO) Upward Bound	Dixie College	84.047	P047A080310	341,590	
(TRIO) Upward Bound	Snow College	84.047	VARIOUS	286,621	
(TRIO) Upward Bound Program	SUU	84.047	P047A070318	284,166	
(TRIO) Upward Bound	U OF U	84.047	P047A070615/P047A120645	753,574	
(TRIO) Upward Bound	USU	84.047	P047A100228 BLAND (110054)	552,027	
(TRIO) Upward Bound	UVU	84.047	P047A081130	316,950	
(TRIO) Upward Bound	Weber State	84.047	P047A090251,P047V070012	724,007	
Career and Technical Education	Education	* 84.048	V048A09/10/110044	11,472,990	6,172,788
Career & Technical Education–Natl Prgrms	Education	84.051	V051C100005	202,711	126,249
(SFA) Pell Grant Program	Bridgerland ATC	* 84.063	P063P113761	1,167,794	
(SFA) Pell Grant Program	Davis ATC	* 84.063	P063P113839	1,236,466	
(SFA) Pell Grant Program	Mountainland ATC	* 84.063	P063P115818	642,613	
(SFA) Pell Grant Program	Ogden/Weber ATC	* 84.063	P063P114239	2,132,025	
(SFA) Pell Grant Program	Southwest ATC	* 84.063	P063P115928	376,000	
(SFA) Pell Grant Program	Uintah Basin ATC	* 84.063	P063P113427	116,134	
(SFA) Pell Grant Program	Dixie College	* 84.063	VARIOUS	19,326,845	
(SFA) Pell Grant Program	SLCC	* 84.063	P063P092605/ 10 / 11	42,319,902	
(SFA) Pell Grant Program	Snow College	* 84.063	VARIOUS	5,926,773	
(SFA) Pell Grant Program	SUU	* 84.063	P063P112352	12,988,316	
(SFA) Pell Grant Program	U OF U	* 84.063	VARIOUS	32,486,644	
(SFA) Pell Grant Program	USU	* 84.063	VARIOUS	36,927,485	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(SFA) Pell Grant Program	UVU	* 84.063	P063P112493	55,590,739	
(SFA) Pell Grant Program	Weber State	* 84.063	VARIOUS	29,482,886	
Rural Entrepreneurship Excellence	Dixie College	84.116	P116Z090089	26,113	
Allied Health	Snow College	84.116	P116Z100216	114,824	
Voyager - Postsecondary Education	SUU	84.116	P116Z090142	410	
(R&D) Comm of Caring Elem Curric Dev	U OF U	* 84.116	P116Z090082	9,232	
Health Sciences Leap Expansion	U OF U	84.116	P116Z080324	3,641	
(R&D) Improvement of Postsecondary Education	USU	* 84.116	P116Z100173	335,756	
Improvement of Postsecondary Education	USU	84.116	VARIOUS	111,116	42,377
Improvement of Postsecondary Education	USU	84.116	P116B100152 (110102)	333,534	
FIPSE - DESHM	UVU	84.116	P116N080008	46,304	
FIPSE - Utah Democracy Project	UVU	84.116	P116Z080242	4,474	
FIPSE - Nursing Cong Directed Grant	UVU	84.116	P116Z090228	97,391	
Fund for the Improvement of Postsecondary Ed	Weber State	84.116	P116Z080202	60,754	
(VOCR) Voc. Rehab. Basic Title 1 Section	Education	* 84.126	H126A10/11/120066	42,663,323	157,133
(R&D) Rehabilitation Long-Term Training	USU	* 84.129	VARIOUS	93,575	
(R&D) Rehabilitation Long-Term Training	USU	* 84.129	H129B090052 (090463)	119,067	
Rehabilitation Long-Term Training	USU	84.129	VARIOUS	187,716	
Migrant Education Coordination	Education	84.144	S144F06/080045	53,437	
(ILSG) Independent Living Services	Education	84.169	H169A09/10/110065	354,831	354,831
(SE) Preschool Grant	Education	* 84.173	H173A09/10/110092	3,980,905	3,923,871
(ILSB) Independent Living Older Blind Persons	Education	84.177	H177B09/10/11/100044	211,898	184,614
(EIS) Infants and Toddlers with Disabilities	Health	84.181	H181A100111	5,397,470	3,167,664
(EIS) Special Ed Grants for Infants & Families	USU	84.181	112300 (110970)	424,423	
(EIS) Special Ed Grants for Infants & Families	USU	84.181	112301 (110968)	1,204,115	
Byrd Honors Scholarships	Education	84.185	P185A09/100046	240,000	
Safe and Drug Free	Education	84.186	Q186A09/10/110046	351,442	351,442
Title VI Supported Employment	Education	84.187	H187A09/10/11/100067	413,982	
(EHYC) Homeless Child Education	Education	84.196	S196A09/10/110046	494,667	436,066
Even Start Family Literacy	Education	84.213	S213C09/10/110045	65,191	51,891
FIE Earmark Programs	Education	84.215	VARIOUS	24,087	
FIE - Boot Camp High Schl Entrepreneurs	UVU	84.215	U215K100137	36,667	
Fund for the Improvement of Education	Weber State	84.215	U215K100083	195,815	
State Grants for Assistive Technology	USU	84.224	VARIOUS	22,437	
State Grants for Assistive Technology	USU	84.224	H224A110044 (110409)	431,070	
Vocational Education Tech Preparation	Education	84.243	V243A09/10/110044	1,963,200	1,278,500
Vocational Rehab. In-Service Training	Education	84.265	H265A05/100029	63,225	
(R&D) Rehab Training General Training	USU	* 84.275	H275A100001A (101077)	368,185	
Public Charter Schools	Education	84.282	U282A080013	2,551,464	2,304,820
After School Learning 21st Century	Education	84.287	S287C09/10/110045	6,130,051	5,892,745
U-CAP Chinese Acquisition Pipeline	Education	84.293	T293C100002	239,066	239,066
(ETSG) Technology Literacy Challenge	Education	84.318	S318X09/10/110044	1,114,250	1,072,085
State Improvement Grant IDEA	Education	84.323	H323A070016	1,076,346	1,076,346
(R&D) Research in Special Education	USU	* 84.324	R324A100063 (090889)	502,201	
Spec. Ed–Personnel Children w Disabilities	U OF U	84.325	VARIOUS	958,738	
(R&D) Special Ed–Personnel Preparation	USU	* 84.325	H325K100501 (110045)	254,373	
Special Ed–Personnel Preparation	USU	84.325	VARIOUS	116,342	
Special Ed–Personnel Preparation	USU	84.325	H325D090053A (090225)	178,613	
Special Ed–Personnel Preparation	USU	84.325	H325K080307 (080606)	173,554	
Special Ed–Personnel Preparation	USU	84.325	H325N100038 (100373)	141,550	
Special Ed – Technical Assist. and Dissmin.	USU	84.326	H326R090003 (100114)	1,279,861	
Deaf Blind Centers	USDB	84.326	H326C030012	77,654	
(R&D) Special Ed–Tech & Media Services	USU	* 84.327	H327A100038 (100857)	213,131	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Special Ed–Tech & Media Services	USU	84.327	VARIOUS	14,128	
Advanced Placement Program Test Fees	Education	84.330	S330B100045/110008	137,655	
Training Incarcerated Youth	Education	84.331	Q331A09/10/110046	56,120	
Gear Up	SUU	84.334	VARIOUS	76,312	
Gaining Early Awareness & Readiness Undergrd	USU	84.334	VARIOUS	76,370	
Gaining Early Awareness & Readiness Undergrd	USU	84.334	P334S110015 (111169)	136,981	
Gear-Up Scholarships	UVU	84.334	P334S050019	2,234,828	
Gear-Up	UVU	84.334	P334S050019	1,973,235	366,416
Child Care Access Means Parents in School	SLCC	84.335	P335A090046	98,758	
Child Care Access Means Parents in School	SUU	84.335	P335A090204-10	55,729	
Child Care Access Means Parents in School	U OF U	84.335	P335A100149	75,372	
Child Care Access Means Parents in School	UVU	84.335	P335A090223	171,245	
English Language Acquisition	Education	84.365	T365A09/10/110044	5,984,624	5,748,241
Math and Science Partnership	Education	84.366	S366B09/10/110045	1,221,892	796,974
Mathematics and Science Partnerships	USU	84.366	AF 110034 & AF 120043 (110396)	103,774	
Improving Teacher Quality	Education	84.367	S367A09/10/110042	16,681,128	16,302,497
No Child Left Behind	Board of Regents	84.367	S367B080039A / 090039	463,471	
Discretionary Assessment-Math, Disabilities	Education	84.368	S368A090032	447,177	
State Assessments	Education	84.369	S369A09/10/110046	4,112,980	
(SDS) Utah Students Record Exchange	Education	84.372	R372A070015	612,001	
(SFA) Academic Competitiveness Grants	Ogden/Weber ATC	* 84.375	P375A094239	1,228	
(SFA) Academic Competitiveness Grants	SLCC	* 84.375	P375A102605	12,079	
(SFA) Academic Competitiveness Grants	U OF U	* 84.375	P375A102349	31,898	
(SFA) Academic Competitiveness Grants	USU	* 84.375	VARIOUS	(700)	
(SFA) National SMART Grants	U OF U	* 84.376	P376S102349	176,374	
(SFA) National SMART Grants	UVU	* 84.376	P376S102493	(1,500)	
(SFA) National SMART Grants	Weber State	* 84.376	P376S102354	2,000	
(SIG) School Improvement Grants	Education	84.377	S377A09/10/110045	1,521,592	1,365,919
College Access Challenge Grant Program	Board of Regents	84.378	P378A090024 / A100024	1,122,092	
College Access Challenge Grant	Board of Regents	84.378	VARIOUS	5,043	
College Access Challenge Grant	Board of Regents	84.378	VARIOUS	224,697	
College Access Challenge Grant Program	Snow College	84.378	VARIOUS	38,878	
(SFA) TEACH Grants	U OF U	* 84.379	P379T122349	29,000	
(SFA) TEACH Grants	USU	* 84.379	VARIOUS	383,343	
ARRA-(SDS) Utah Data Alliance	Education	84.384	R384A100056	3,225,754	
ARRA-(EHCY) McKinney Vento Homeless C	Education	84.387	S387A090046	178,719	178,719
ARRA-(SIG) School Improvement Grants	Education	84.388	S388A090045	3,200,287	3,027,085
ARRA-(TIPA) Title I Grants to Local Ed Agencies	Education	* 84.389	S389A090044	7,922,027	7,904,504
ARRA-(VOCR) Vocational Rehabilitation	Education	* 84.390	H390A090066	879,844	
ARRA-(SE) Special Ed Grants to States	Education	* 84.391	H391A090059	28,972,960	28,912,815
ARRA-(SE) Special Ed - Preschool Grant	Education	* 84.392	H392A090092	1,190,491	1,190,491
ARRA-(EIS) Early Intervention	Health	84.393	H393A090111A	2,108,615	2,108,222
ARRA-(SFSF) St Fiscal Stabilization F–Govt Sv	Governor's Office	84.397	S397A090045	129,802	62,867
ARRA-(ILSG) Independent Living	Education	84.398	H398A090065	46,976	46,976
ARRA-Education Jobs Fund	Governor's Office	* 84.410	S410A100045	18,386,091	18,375,771
Education Statistics System	Education	N/A	VARIOUS	220,112	
ARRA-(R&D) What Works and Innovation Fund	USU	* N/A	VARIOUS	985,030	985,030
ARRA-(R&D) What Works and Innovation Fund	USU	* N/A	U396B100267 (101008ARR)	312,466	
EU US Atlantis	UVU	N/A	P116J090088	2,061	
Pass-Through From Non-State Entities:					
(SE) Special Ed Grants to States —					
Kansas State Board of Education	USU	* 84.027	VARIOUS	4,325	
Nebraska Department of Education	USU	* 84.027	VARIOUS	16,935	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
State of Alabama Dept of Education	USU	* 84.027	VARIOUS	(27,607)	
State of Georgia Dept of Education	USU	* 84.027	VARIOUS	12,047	
State of New Mexico Dept of Education	USU	* 84.027	VARIOUS	15,172	
State of Wyoming Dept of Education	USU	* 84.027	VARIOUS	30,713	
Various States	USU	* 84.027	VARIOUS	461	
(TRIO) Upward Bound —					
Ute Indian Tribe	USU	84.047	VARIOUS	27,505	
Fund Improvement of Postsecondary Ed —					
LaGuardia Community College	SLCC	84.116	P116B100295	7,000	
(CIL) Centers for Independent Living —					
Independent Living Research Utilization	USU	84.132	VARIOUS	160,933	
TIRR Memorial Herman	USU	84.132	VARIOUS	73,145	
(R&D) Natl Inst Disability & Rehabilitation —					
Penn State University	USU	* 84.133	VARIOUS	49,179	
Natl Inst Disability & Rehabilitation —					
Independent Living Research Utilization	USU	84.133	VARIOUS	61,352	
TIRR Memorial Herman	USU	84.133	VARIOUS	(266)	
(SE) Special Education Preschool Grants —					
WestEd	USU	* 84.173	VARIOUS	31,173	
(EIS) Special Ed Grants for Infants and Families —					
Nebraska Dept of Ed	USU	84.181	VARIOUS	1,110	
Fund for the Improvement of Education —					
Ogden City School District	Weber State	84.215	MOU PO#3790	19,017	
Special Proj & Demonstrations for Providing —					
George Washington University	USU	84.235	VARIOUS	3,685	
Special Education-State Personnel Develop —					
Arizona Department of Education	USU	84.323	VARIOUS	(5,892)	
Gear Up —					
Ogden City School District	Weber State	84.334	VARIOUS	278,161	
Investing in Innovation (i3) Fund —					
State of Wyoming Department of Ed	USU	84.411	VARIOUS	6,499	
National Writing Project —					
National Writing Project Corporation	Weber State	N/A	07-UT02	41,671	
ARRA-Centers for Independent Living —					
State of Wyoming Department of Ed	USU	N/A	VARIOUS	35,599	
				<hr/>	
Subtotal – Department of Education				684,811,749	311,306,003
				<hr/>	
<u>ELECTION ASSISTANCE COMMISSION</u>					
Election Assistance Commission	SUU	90.400	E4064552E	13,706	
Help America Vote Act Requirements Pmts.	Governor's Office	90.401	VARIOUS	230,143	
Pass-Through From Non-State Entities:					
(R&D) Barriers —					
Information Technology & Innovation	U OF U	* 90.403	2011002	34,467	
				<hr/>	
Subtotal – Election Assistance Commission				278,316	0
				<hr/>	
<u>ENERGY, DEPARTMENT OF</u>					
(R&D) Energy Commercialization Center	U OF U	* 81.036	DESC0005480	368,850	
State Energy Program	Governor's Office	* 81.041	DE-FG26-07NT43206	233,369	15,850
ARRA- State Energy Program	Governor's Office	* 81.041	DE-EE0000083	14,525,966	13,803,564
ARRA-Weatherization Low-Income Persons	DCC	* 81.042	DE-EE0000080-001	10,760,295	10,255,474
ARRA-Weatherization Low-Income Persons	DCC	* 81.042	DE-EE0003869	103,146	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Weatherization Assist Low-Income Persons	DCC	* 81.042	DE-EE0000148	302,714	213,838
(R&D) Office of Science Financial Assist	U OF U	* 81.049	VARIOUS	2,145,807	369,103
(R&D) Conservation Research and Devlp	U OF U	* 81.086	VARIOUS	729,370	
ARRA-(R&D) Renewable Energy R&D	U OF U	* 81.087	VARIOUS	841,420	177,143
(R&D) Renewable Energy Research & Dev	U OF U	* 81.087	VARIOUS	5,733,023	4,829,407
Uinta Basin Water (UUBW)	Natural Resources	81.089	DE-NT0005671	87,486	32,314
(R&D) Fossil Energy Research and Develop	U OF U	* 81.089	VARIOUS	1,108,668	140,172
VITRO	Environmental Q.	81.104	DE-FC01-99GJ79483	373	
Green River DOE	Environmental Q.	81.104	DE-FC01-99GJ79483	272	
Monticello DOE	Environmental Q.	81.104	DE-FG01-07LM00113	23,835	
ARRA-Energy Efficiency & Renewable Info	SLCC	81.117	EDE-EE002090	293,064	213,222
(R&D) Nuclear Energy-Infrastructure	U OF U	* 81.121	DENE0000451	189,593	
ARRA-Electricity Regulators Assistance	Public Service Comm	81.122	DE-OE0000131	269,430	
ARRA-Electricity Delivery & Energy Reliability	SLCC	81.122	DE-OE0000457	393,043	
ARRA-Electricity Delivery & Energy Reliability	SLCC	81.122	DE-OE0000458	553,278	
ARRA-Energy Assurance Planning	Governor's Office	81.122	DE-EE0000067	138,415	138,383
(R&D) CO2 Predictivity	U OF U	* 81.124	DENA0000740	1,295,648	109,063
ARRA-Appliance Rebate Program (UARP)	Natural Resources	81.127	DE-EE0001601	(3,118)	
ARRA-Appliance Rebate Program	Governor's Office	81.127	DE-EE0001601	12,120	11,625
ARRA-Energy Efficiency and Conservation	Governor's Office	81.128	DE-EE0000887	1,216,217	1,038,773
ARRA-(R&D) Site Char Geo Formations CO2	U OF U	* 81.134	VARIOUS	5,913,899	5,602,857
(R&D) Advanced Research & Projects Agency	U OF U	* 81.135	VARIOUS	406,602	76,205
(R&D) Department of Energy	U OF U	* N/A	VARIOUS	5,870,567	293,071
Geothermal Student Competition	U OF U	N/A	AXH14045602	7,661	
(R&D) Argonne National Laboratory	USU	* N/A	VARIOUS	77,258	
(R&D) Department of Energy	USU	* N/A	VARIOUS	174,238	93,001
(R&D) Department of Energy	USU	* N/A	DE-EE0000425 (090998)	141,186	
(R&D) Department of Energy	USU	* N/A	DE-EE000314 (100859)	4,325,686	
(R&D) Department of Energy	USU	* N/A	DE-FG48-05R810736 (051650)	301,859	
(R&D) Department of Energy	USU	* N/A	DE-NE0000357 (100964)	184,401	
(R&D) Idaho National Laboratory	USU	* N/A	VARIOUS	60,709	
(R&D) Los Alamos National Security	USU	* N/A	VARIOUS	213	
(R&D) Sandia National Laboratory	USU	* N/A	VARIOUS	47,814	
(R&D) Sandia National Laboratory	USU	* N/A	1044650 (S00000406)	2,071,423	
(R&D) Sandia National Laboratory	USU	* N/A	1125414 (S00000677)	216,715	
(R&D) Science	USU	* N/A	VARIOUS	104,550	104,550
(R&D) Science	USU	* N/A	DE-FC02-08ER54973 (080773)	139,804	
(R&D) Science	USU	* N/A	DE-FG02-06ER64277 (061743)	403,363	
ARRA-(R&D) Department of Energy	USU	* N/A	VARIOUS	1,016,151	1,011,827
ARRA-(R&D) Department of Energy	USU	* N/A	DE-EE0002848 (100089ARR)	505,363	
ARRA-(R&D) Department of Energy	USU	* N/A	DE-FE0001786 (100136ARR)	106,985	
ARRA-(R&D) Department of Energy	USU	* N/A	DE-SC0004991 (090729ARR)	122,757	
ARRA-Department of Energy	USU	N/A	VARIOUS	65,000	
Department of Energy	USU	N/A	VARIOUS	34,608	
Pass-Through From Non-State Entities:					
ARRA-Workforce Training —					
(R&D) Office of Science Fin Assistance —					
Reaction Engineering Intl	U OF U	* 81.049	VARIOUS	95,114	
University of Colorado at Boulder	U OF U	* 81.049	1547650	129,228	
University of South Carolina	U OF U	* 81.049	101725	195,497	
ARRA-(R&D) Materials for Energy Systems —					
University of South Carolina	U OF U	* 81.049	146097	19,873	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
ARRA-Clean Cities Petroleum Reduction — Utah Clean Cities Coalition	Admin. Services	81.086	DE-PS26-09NT01236-04	18,492	
ARRA-(R&D) Renewable Energy R&D — Altarock Energy	U OF U	* 81.087	VARIOUS	32,288	
Boise State University	U OF U	* 81.087	014G106215B	164,953	
Ruby Mountain Inc	U OF U	* 81.087	10015028	120,723	
(R&D) Create, Review & Teach Course — University of Nevada Reno	U OF U	* 81.087	UNR1114	12,401	
(R&D) Fossil Energy Research & Develop. — New Mexico Institute of Mining	U OF U	* 81.089	DSWT20	562,424	
Stanford University	U OF U	* 81.089	2428829046112A	60,796	
University of Kentucky	U OF U	* 81.089	46900105504	85,499	
Virginia Polytechnic Institute	U OF U	* 81.089	41638719122	40,954	
(R&D) Nuclear & EM Research — University of Nevada Reno	U OF U	* 81.104	UNR1188	921,474	
(R&D) Nuclear Forensics Educ Awd Pgm — Medical University of South CA	U OF U	* 81.121	MUSC12009	7,735	
University of Minnesota	U OF U	81.122	A000211567	14,776	
ARRA-(R&D) Reg Seq Tech Training — New Mexico Tech	U OF U	* 81.133	DRSE10	166,798	
(R&D) Department of Energy — Headwaters Inc	U OF U	* N/A	VARIOUS	142,720	
Materials and Systems Research	U OF U	* N/A	VARIOUS	35,761	
Medical University of South Ca	U OF U	* N/A	MUSC10115	37,015	
Newpath Research LLC	U OF U	* N/A	VARIOUS	30,434	
Ormat Technologies Inc	U OF U	* N/A	VARIOUS	51,695	
Praxair Inc	U OF U	* N/A	10001708	44,072	
Reaction Engineering Intl	U OF U	* N/A	82281	71,992	
Research Partnership to Secure	U OF U	* N/A	VARIOUS	197,539	58,832
RTI International	U OF U	* N/A	23400211955	12,251	
Savannah River Nuclear Solution	U OF U	* N/A	AC723150	15,008	
Schlumberger Data & Consulting	U OF U	* N/A	10021821	10,794	
Southern Methodist University	U OF U	* N/A	DEAC5209NA29325	84,779	
Spectral Sciences	U OF U	* N/A	9493	142,869	
University of Wisconsin-Madison	U OF U	* N/A	354K760	33,114	
VIS Trails Inc	U OF U	* N/A	VARIOUS	28,632	
Washington Savannah River Comp	U OF U	* N/A	AC723290	49,795	
University of Nevada Reno	U OF U	* N/A	VARIOUS	94,227	
Waste Isolation Pilot Plant — Western Governors Association	Environmental Q.	N/A	30-312-08	104,094	
Battelle MI — Battelle Science & Technology	U OF U	N/A	104852	900	
ARRA-(R&D) Department of Energy — CSI Technologies	U OF U	* N/A	CSI0002795	(2,188)	
Houghton Cascade Holdings LLC	U OF U	* N/A	10028621	2,240	
(R&D) Research and Development — Battelle Energy Alliance, LLC	USU	* N/A	VARIOUS	678,326	
Bechtel Marine Propulsion Corp/Bettis Lab	USU	* N/A	VARIOUS	32,390	
Brigham Young University	USU	* N/A	VARIOUS	134,929	
Houston Advanced Research Center	USU	* N/A	VARIOUS	7,174	
Inland Northwest Research Alliance, Inc.	USU	* N/A	VARIOUS	1	
Montana State University	USU	* N/A	VARIOUS	120,800	
New Mexico Institute of Mining and Tech	USU	* N/A	VARIOUS	7,658	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Ohio State University Research Foundation	USU	* N/A	VARIOUS	209,650	
Virginia Tech Polytechnic Institute	USU	* N/A	VARIOUS	73,796	
Subtotal – Department of Energy				68,722,588	38,588,274
<u>ENVIRONMENTAL PROTECTION AGENCY</u>					
Urban Air Toxics Monitoring 103	Environmental Q.	66.034	XA-98871302	32,626	
Air Monitoring Network PM 2.5	Environmental Q.	66.034	PM998789-03	358,720	
Air Monitoring Network PM 2.5	Environmental Q.	66.034	PM96813201	61,266	
Clean Air Act Surveys, Studies, Research	Weber State	66.034	XA-83523201/XA-83346201	147,960	
National Utah Clean School Bus	Environmental Q.	66.039	DE97868101	129,098	
National Clean Diesel Funding Assistance	Environmental Q.	66.039	DE97898701	130,802	
Diesel School Bus Retrofit	Environmental Q.	66.040	DS97867201	445,079	
Infrastructure 3 percent Setaside	Environmental Q.	66.202	XP988760-01	42,513	
EPA FFY 11 Underground Injection Control	Natural Resources	66.433	G008426-11	13,346	
EPA FFY 12 Underground Injection Control	Natural Resources	66.433	G008426-12	54,640	
Water Quality Management Planning	Environmental Q.	66.454	C6008567-09	199,435	
ARRA-Water Quality Management Planning	Environmental Q.	66.454	2P978800-01	1,749	
Clean Water Capitalization Grant SRF	Environmental Q.	66.458	CS490001-10	378,111	
Clean Water Capitalization Grant SRF	Environmental Q.	66.458	CS490001-11	1,705,402	1,678,585
Clean Water Capitalization Grant SRF	Environmental Q.	66.458	CS490001-12	504,836	504,836
ARRA-Clean Water Capitalization Grant SRF	Environmental Q.	66.458	2W978825-01	693,043	693,043
NPS Projects 06	Environmental Q.	66.460	C9998187-06	163,805	163,805
NPS Projects 07	Environmental Q.	66.460	C9998187-07	146,287	146,287
NPS Projects 08	Environmental Q.	66.460	C9998187-08	142,353	142,353
NPS Projects 09	Environmental Q.	66.460	C9998187-09	128,015	97,252
NPS Projects 10	Environmental Q.	66.460	C9998187-10	404,968	276,704
NPS Projects 11	Environmental Q.	66.460	C9998187-11	221,243	169,223
GSL Wetlands	Environmental Q.	66.461	CD96811401	44,003	
EPA Wetland Prgm Develop FFY 10 (UW10)	Natural Resources	66.461	CD-96811101-0	111,802	
EPA Wetland Prgm Develop FFY 11 (UW11)	Natural Resources	66.461	CD-96811901-0	12,707	12,073
West Desert Wetlands (UWDW)	Natural Resources	66.461	CD-97847201-2	34,222	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-07	2,651	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-08	261,622	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-09	152,817	
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-10	2,838,243	1,598,599
Drinking Water Capitalization Grant SRF	Environmental Q.	66.468	FS998784-11	1,076,871	473,973
Water Protection Coordination	Environmental Q.	66.474	WP978654-01	61,366	
(R&D) EAP Star Program	U OF U	* 66.509	RD83438601	277,752	
Performance Partnership Grant	Environmental Q.	66.605	BG99847511	6,951,308	
Network Readiness	Environmental Q.	66.608	OS834034-01	12,740	
Exchange Network	Environmental Q.	66.608	OS834345-01	128,504	
Environmental Protection Exchange Network	Health	66.608	83465001	102,952	
EPA Certification	Agriculture	66.700	BG998582-99	22,670	
EPA Pesticide Initiative	Agriculture	66.700	BG998582-99	41,304	
EPA Enforcement	Agriculture	66.700	BG998582-99	206,929	
Five Points NPL Site	Environmental Q.	66.802	V97824401	169,610	
Jacob Smelters OU2	Environmental Q.	66.802	V98804001-0	10,808	
Sharon Steel OU1 O/M	Environmental Q.	66.802	V988224-01-1	27,079	
C-Flagstaff/Davenport – EE/CA – Admin	Environmental Q.	66.802	V998773-01-1	35,111	
Superfund Block	Environmental Q.	66.802	V97840601	1,012,369	
Eureka Mills NPL	Environmental Q.	66.802	V97843801	714	
Midvale Slag Ground Water	Environmental Q.	66.802	V97844201	70,669	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Flagstaff/Davenport Remedial Action	Environmental Q.	66.802	V97844001	1,025,615	
Portland Cement O&M	Environmental Q.	66.802	V96807401	13,929	
UST Program	Environmental Q.	66.804	L97883202	486,739	
LUST Supplemental	Environmental Q.	66.805	LS978712-01	277,497	
LUST Trust Cooperative Agreement	Environmental Q.	66.805	LS97883301	913,708	
ARRA-LUST Trust Cooperative Agreement	Environmental Q.	66.805	2L97880201	158,879	
Solid Waste Management Assistance Grant	Environmental Q.	66.808	X196802701	31,500	31,500
Brownfield State Response Program	Environmental Q.	66.817	RP98899302	411,354	
ARRA-Brownfields Assessment	Environmental Q.	66.818	2B97882401	139,269	
(R&D) Environmental Protection Agency	U OF U	* N/A	VARIOUS	247,912	
(R&D) Environmental Protection	USU	* N/A	VARIOUS	31,218	
(R&D) Environmental Protection	USU	* N/A	RD-83418601 (080915)	216,288	
Pass-Through From Non-State Entities:					
(R&D) SCERP —					
University of Texas at El Paso	U OF U	* 66.202	2630082264	46,871	
(R&D) EPA Traffic Modeling —					
North Carolina State University	U OF U	* 66.509	2009231301	19,081	
(R&D) WERF BMP: TO5B & Select V2 —					
Colorado State University	U OF U	* 66.511	G59083 & G59182	167,201	
(R&D) Environmental Protection —					
Dept of Conservation & Natural Resources	USU	* N/A	VARIOUS	53,250	
Luna Innovations, Inc.	USU	* N/A	VARIOUS	8,279	
Michigan State University	USU	* N/A	VARIOUS	59,588	
Parsons Commercial Technology Group, Inc.	USU	* N/A	VARIOUS	8,771	
Wyoming Dept of Environmental Quality	USU	* N/A	VARIOUS	9,629	
(R&D) Environmental Protection Agency —					
Health Effects Institute	U OF U	* N/A	8009096	(7,089)	
San Diego State University Foundation	U OF U	* N/A	55573A7804	(2,128)	
Subtotal – Environmental Protection Agency				24,089,481	5,988,233
<u>EQUAL EMPLOYMENT OPPORTUNITY COMMISSION</u>					
EEOC Anti-Discrimination Contract	Labor Comm.	30.002	2412FPSLP0071	327,250	
Subtotal – Equal Employment Opportunity Commission				327,250	0
<u>EXECUTIVE OFFICE OF THE PRESIDENT</u>					
High Intensity Drug Trafficking Areas Prgm	Public Safety	95.001	HIDTA	669,310	
Subtotal – Executive Office of the President				669,310	0
<u>GENERAL SERVICES ADMINISTRATION</u>					
Federal Property Assistance	Surplus Property	39.003	VARIOUS	83,948	
Subtotal – General Services Administration				83,948	0
<u>HEALTH AND HUMAN SERVICES, DEPARTMENT OF</u>					
Title VII Elder Abuse Prevention	Human Services	93.041	10AAUTT7SP	18,589	
Title VII Ombudsman Services	Human Services	93.042	11AAUTT7SP	51,944	41,544
Title VII Ombudsman Services	Human Services	93.042	12AAUTT7SP	13,800	
Title III D Preventive Health	Human Services	93.043	10AAUTT3SP	59,137	59,137
Title III D Preventive Health	Human Services	93.043	11AAUTT3SP	100,201	100,201
(AGE) Title III B Supportive Services	Human Services	93.044	11AAUTT3SP	763,900	724,611

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(AGE) Title III B Supportive Services	Human Services	93.044	12AAUTT3SP	646,080	595,081
(AGE) Title III C1 Congregate Meals	Human Services	93.045	10AAUTT3SP	708,402	708,402
(AGE) Title III C1 Congregate Meals	Human Services	93.045	11AAUTT3SP	1,890,100	1,477,586
(AGE) Title III C2 Home-Delivered Meals	Human Services	93.045	11AAUTT3SP	597,488	597,488
(AGE) Title III C2 Home-Delivered Meals	Human Services	93.045	12AAUTT3SP	471,487	471,487
Utah Senior Medicare Patrol Program	Human Services	93.048	90MP000801	58,502	58,502
Utah Senior Medicare Patrol Program	Human Services	93.048	90MP000802	81,842	71,051
Utah Senior Medicare Patrol Program	Human Services	93.048	90MP000803	40,470	12,398
Utah SMP Capacity Building	Human Services	93.048	90MP013801	64,556	56,056
Utah ADRC	U OF U	93.048	90DR0029-03	272,312	99,505
Alzheimer's NYUCI Caregiver Intervention	Human Services	93.051	90AE034301	17,438	17,438
Alzheimer's NYUCI Caregiver Intervention	Human Services	93.051	90AE034302	106,987	104,498
Alzheimer's NYU Creating Caregiver Champs	Human Services	93.051	90AI004501	216,269	214,185
Alzheimer's NYU Creating Caregiver Champs	Human Services	93.051	90AI004502	106,006	105,978
Title III E National Family Caregiver Support	Human Services	93.052	10AAUTT3SP	18,085	18,085
Title III E National Family Caregiver Support	Human Services	93.052	11AAUTT3SP	910,038	910,038
Title III E National Family Caregiver Support	Human Services	93.052	12AAUTT3SP	1,272	1,272
(AGE) Nutrition Services Incentive Program	Human Services	93.053	09AAUTNSIP	150,148	150,148
(AGE) Nutrition Services Incentive Program	Human Services	93.053	10AAUTNSIP	1,426,187	1,426,187
(AGE) Nutrition Services Incentive Program	Human Services	93.053	11AAUTNSIP	570,590	570,590
(R&D) COE: Project	U OF U	* 93.065	5P01HK000069-02	228,857	143,162
Public Hlth Emergency Response I, 2 & 3	Health	93.069	1H75TP000346-01	1,243,556	918,327
Preparedness and Response for Bioterrorism	Health	93.069	2U90TP816965-11	7,762,773	4,960,992
Addressing Asthma Public Health Perspective	Health	93.070	5U59EH000489-03	437,518	45,203
Lifespan Respite	Human Services	93.072	90LR002101	12,693	12,693
Utah Wins Asist 2010	U OF U	93.088	6ASTWH070006-03	(85)	
Utah ESAR-VHP System Enhancement Project	Health	93.089	6ESREP100012-02-01	272,438	
(R&D) Food and Drug Administration - Resh	U OF U	* 93.103	VARIOUS	464,331	65,533
Utah Model AHEC Program	U OF U	93.107	VARIOUS	242,692	161,282
Autism System Development Federal	Health	93.110	4H6MMC11061-03-02	204,961	
State Systems Development Initiative SSDI	Health	93.110	6H18MC00049-15-01	118,854	
State Early Childhood Comprehensive Systems	Health	93.110	6H25MC00268-08-02	121,703	
Newborn Screen Clinic Health Info Exchange	Health	93.110	6U22MC16508-02-01	311,071	15,000
Maternal and Child Health Consolidated	U OF U	93.110	VARIOUS	798,407	516,919
(R&D) Environmental Health	U OF U	* 93.113	VARIOUS	820,773	
TB Elimination	Health	93.116	5U52PS807865-21	305,179	123,473
Occ Med Residency Program	U OF U	93.117	VARIOUS	279,145	
(R&D) Oral Diseases and Disorders Research	U OF U	* 93.121	VARIOUS	151,997	96,807
State Partnership Grants (EMSC)	Health	93.127	6H33MC06680-06-01	123,958	117,742
State Primary Care Grant Award	Health	93.127	6U68HP11436-03-02	215,639	
Emergency Medical Services for Children	U OF U	93.127	VARIOUS	3,031,550	
Core Violence and Injury Prevention Program	Health	93.136	1U17CE001996-01	295,510	
Sexual Violence Prevention and Education	Health	93.136	3VF1CE001144-05S1	234,502	
Evaluat. UT Clinical Guidelines Prescrib Opioids	Health	93.136	5R21CE001612-02	175,662	
Implementation of Nat Violent Death Report Sys	Health	93.136	5U17CE001697-03	193,953	
Injury Surveillance and Prevention Program	Health	93.136	5U17CE824807-05	51,345	
Mental Health - Homeless (PATH)	Human Services	93.150	SMX060045U	528,000	
Ryan White Program Part D	U OF U	93.153	VARIOUS	410,083	
(R&D) Human Genome Research	U OF U	* 93.172	VARIOUS	1,329,859	206,572
Fellowship for Jinchuan Xing	U OF U	93.172	5K99HG005846-02	59,290	
(R&D) Research Related to Deafness and Communication Disorders	U OF U	* 93.173	VARIOUS	3,741,111	349,714
Deafness and Communication Disorders	U OF U	93.173	VARIOUS	39,001	

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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Pneumonia In Children	U OF U	* 93.185	VARIOUS	543,734	
AI Patient Navigator Outreach	U OF U	93.191	VARIOUS	441,424	235,404
Surveillance of Hazardous Substance	Health	93.204	5U61TS000131-03	291,872	
Utah Remote Monitoring Project	U OF U	93.211	VARIOUS	318,261	8,572
(R&D) Complementary & Alternative Medicine	U OF U	* 93.213	VARIOUS	9,673	9,673
Complementary and Alternative Medicine	U OF U	93.213	VARIOUS	63,841	
(R&D) Healthcare Cost, Quality & Outcomes	U OF U	* 93.226	VARIOUS	1,984,179	230,081
Healthcare Costs, Quality and Outcomes	U OF U	93.226	VARIOUS	455,792	
(R&D) Neuro-Immune Mechanisms	U OF U	* 93.233	5R01HL086508-04	373,290	
Tramatic Brain Injury	Health	93.234	5H21MC17233-03	252,612	
Abstinence Education Grant	Health	93.235	1101UTAEGP	464,560	208,244
Promote Efforts to Reduce Environ Exposure	Health	93.240	1U61TS000154-01	215,383	
Evaluation and Implementation	U OF U	93.240	5U01EH000453-02	73,113	
Rural Hospital Flexibility	Health	93.241	6H54RH00050-12-03	294,117	66,194
(R&D) Mental Health Research Grants	U OF U	* 93.242	VARIOUS	2,359,761	248,285
Mental Health Research Grants	U OF U	93.242	VARIOUS	189,607	
Mental Health Data Quality Improvement	Human Services	93.243	10SM60338A	139,902	
Strategic Prevention Framework Enhancement	Human Services	93.243	10SP18625A	116,457	
Incentive	Human Services	93.243	U9SP13929A	1,197,381	914,309
PASSAGE	Human Services	93.243	H9SM59444A	470,940	275,142
Advanced Educational Nursing	U OF U	93.247	VARIOUS	660,760	
Geriatric Academic Career Awards	U OF U	93.250	VARIOUS	139,223	
Universal Newborn Hearing Screening	Health	93.251	6H61MC00042-11-01	433,021	
Poison Control	U OF U	93.253	VARIOUS	222,184	
(R&D) Commercial Truck Driver Injury	U OF U	* 93.262	5R01OH009155-03	153,712	137,947
ERC Training Grant	U OF U	93.262	VARIOUS	1,562,324	15,370
(SFA) NFLP - FCC 11/12	U OF U	* 93.264	2E01HP13017-02-00	260,998	
Population - Based Clinical	U OF U	93.265	5K08HS018538-02	11,922	
(IMMZ) Immunization and Vaccines for Children	Health	93.268	5H23IP822520-10	2,990,082	666,698
(IMMZ) Vaccine Distributions	Health	93.268	12-0CCH804430	21,573,406	21,573,406
Adult Viral Hepatitis	Health	93.270	5U51PS000888-05	69,080	
Utah - Access to Recovery	Human Services	93.275	10TI23135A	2,538,417	2,068,230
(R&D) Drug Abuse Research Programs	U OF U	* 93.279	VARIOUS	3,189,085	143,597
Drug Abuse and Addiction Research Programs	U OF U	93.279	VARIOUS	905,419	
Resting-State Connectivity	U OF U	93.281	5K23MH087831-03	299,016	
NRSA Catherine Caska	U OF U	93.282	5F31MH091915-02	3,833	
Epidemiology & Lab Capacity for Infectious Dis	Health	* 93.283	1U50CK000243-01	1,073,841	
Behavioral Risk Factor Surveillance	Health	* 93.283	1U58SO000058-01	540,680	
Early Hearing Detection & Intervention Tracking	Health	* 93.283	1UR3DD000821-01	141,069	
Birth Defects Research and Prevention	Health	* 93.283	5U01DD000490-03	891,620	
Utah Newborn Metabolic Surveillance	Health	* 93.283	5U50DD000483-03	31,026	
Heart Disease & Stroke Prevention Program	Health	* 93.283	5U50DP000743-05	903,428	
Demo Capacity of Comprehensive Cancer Ctrl	Health	* 93.283	5U55DP003047-02	168,611	
National Cancer Prevention and Control Prgm	Health	* 93.283	5U58DP000774-05	3,222,828	
Utah Wise Woman Program	Health	* 93.283	5U58DP001407-04	874,747	
Utah Public Health Approaches to Arthritis	Health	* 93.283	5U58DP001474-04	418,792	
Core Cap Tobacco Prevention	Health	* 93.283	5U58DP001993-03	1,200,152	
Diabetes Prevention amd Control Programs	Health	* 93.283	5U58DP001993-03	813,482	
Chronic Disease, Hlth Promotion, Surveillance	Health	* 93.283	5U58DP001993-03	1,923	
Colorectal Screening	Health	* 93.283	5U58DP002049-03	921,510	
Public Health Tracking	Health	* 93.283	5U38EH000182-05	77,447	
(R&D) Investigations and Technical Asst.	U OF U	* 93.283	VARIOUS	1,137,258	64,024
Enhancing ADDM	U OF U	* 93.283	VARIOUS	524,331	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Technolog. Innovations to Improve Hlth Discovery & Applied Resh Tech Innovations	U OF U	* 93.286	VARIOUS	2,092,616	198,408
Coalition Healthier Community	U OF U	93.286	VARIOUS	38,197	
Partnership Program Improve Minority Health	U OF U	93.290	VARIOUS	270,499	62,927
Small Rural Hospital Improvement	Health	93.296	5STTMP101069-02	133,182	
Native American Internship	Health	93.301	5H3HRH00012-10-00	195,522	104,865
(R&D) Trans-NIH Research Support	U OF U	93.307	5R25MD006781-02	186,927	7,329
(SFA) PCL FCC - Allopathic Medicine	U OF U	* 93.310	VARIOUS	3,203,295	17,191
(R&D) PORCN and WNT	U OF U	* 93.342	E26HP21716-01-00	614,288	
Advanced Education Nursing	U OF U	* 93.351	1R210D010559-01	13,996	
(R&D) Nursing Research	U OF U	93.358	6A10HP22141-01-00	80,971	
NRSA Sarah Iribarren	U OF U	* 93.361	VARIOUS	4,103	
(SFA) Nursing Student Loans	U OF U	93.361	1F31NR012614-01	34,428	
(R&D) Research Infrastructure	U OF U	* 93.364	2E4CHP19114-02-00	5,158	
National Center for Research Resources	U OF U	* 93.389	VARIOUS	6,242,566	292,424
(R&D) Cancer Cause and Prevention Res.	U OF U	93.389	VARIOUS	719,281	
Osteosarcomagenesis	U OF U	* 93.393	VARIOUS	9,966,942	795,644
(R&D) Cancer Detection and Diagnosis	U OF U	93.393	5K08CA138764-04	157,776	
(R&D) Cancer Treatment Research	U OF U	* 93.394	VARIOUS	1,801,850	
(R&D) Cancer Biology Research	U OF U	* 93.395	VARIOUS	3,804,471	123,186
(R&D) Cancer Centers Support Grants	U OF U	* 93.396	VARIOUS	1,096,345	9,623
Cancer Research Manpower	U OF U	* 93.397	VARIOUS	1,554,242	
(R&D) Mingnan Chen K99 Award	U OF U	93.398	VARIOUS	638,212	
(R&D) Cancer Control	U OF U	* 93.399	7K99CA153929-03	40,791	
ARRA-Equipment to Enhance Training	U OF U	* 93.399	VARIOUS	1,157,448	229,251
Home Visitation Projects Federal	U OF U	93.411	D76HP20554	105	
Affordable Care Act Home Visiting Program	Health	93.505	1X02MC23133-01	107,922	
National Background Check Program	Health	93.505	6X02MC19417-01-03	677,499	
Strengthening Public Health Infrastructure	Health	93.506	1A1CMS330833-01	129,761	
Health Insurance Premium Review-Cycle I	Health	93.507	5U58CD001314-02	258,654	
Health Insurance Rate Review-Cycle II	Insurance	93.511	1 IPRPR100028-01-00	559,698	
Advanced Nursing	Insurance	93.511	PRPPR0028A	278,171	
EPAT	U OF U	93.513	1T57HP20586-01-00	392,040	
Medicare Improvements for Patients & Providers	U OF U	93.513	1T88HP20920-01-00	88,000	
Collaborative Chronic Disease & Surveillance	Human Services	93.518	10AAUTMAAA	60,986	59,236
Collaborative Chronic Disease & Surveillance	Health	93.520	3U58DP001993-02S2	36,335	
Affordable Care Act Building Epidemiology Lab	Health	93.520	3U58DP001993-03W1	47,805	47,805
Epidemiology & Lab Capacity Infectious Diseases	Health	93.521	3U50CI000866-02S1	41,720	
Affordable Care Act Building Epidemiology Lab	Health	93.521	5U50CI000866-02	860,886	144,395
Utah Health Exchange Planning Grant	Health	93.521	3U50CI000866-02S2	35,824	
Community Transformation Capacity Building	Governor's Office	93.525	JBEOE100015-01-00	539,144	
Public Health Tracking	Health	93.531	1U58DP003540-01	185,195	126,540
Building Assist Strengthen Public Hlth Immuniz	Health	93.538	1U38EH000954-01	829,072	
Collaborative Chronic Disease and Surveillance	Health	93.539	1H23IP000567-01	68,072	
Utah Nutrition, Physical Activity and Obesity	Health	93.544	3U58DP001993-03S2	212,133	29,497
Promoting Safe & Stable Families	Health	93.548	5U58DP001470-04	428,736	8,807
Promoting Safe & Stable Families Caseworker	Human Services	93.556	1101UTFPSS	1,824,029	
(TANF) Temporary Assist for Needy Families	Human Services	93.556	1111UTFPVCV	108,544	
IV-D Child Support	Workforce Services	* 93.558	1002UTTANF, 1102UTTANF	59,583,429	2,947,240
IV-D Child Support	Human Services	93.563	1104UT4004	2,313,961	
IV-D Child Support Incentives	Human Services	93.563	1204UT4005	12,522,055	
IV-D Child Support Incentives	Human Services	93.563	0904UT4004	446,019	
IV-D Child Support/Collections	Human Services	93.563	1004UT4004	1,252,389	
	Human Services	93.563	1104UT4004/C	1,317,313	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
IV-D Child Support/Collections	Human Services	93.563	--04UT4004/C	1,177,567	
IV-D Child Support/Collections	Human Services	93.563	1204UT4005/C	5,649,766	
Refugee Social Services	Workforce Services	93.566	G-09AAUT8115, G-10TAUT1000	789,274	309,090
Refugee Cash Medical Assistance	Workforce Services	93.566	P-09AAUT8100, P-10-, P-11, P-12	5,628,706	1,888,844
Low Income Heat Program LIHEAP	DCC	* 93.568	G-10B1UTLIEA	202,220	202,220
Low Income Heat Program LIHEAP	DCC	* 93.568	G-11B1UTLIEA	2,065,619	1,830,470
Low Income Heat Program LIHEAP	DCC	* 93.568	G-12B1UTLIEA	14,747,462	14,520,664
LIHEAP Weatherization	DCC	* 93.568	G-09B1UTLIEA	956,252	905,373
LIHEAP Weatherization	DCC	* 93.568	G-10B1UTLIEA	218,083	218,083
LIHEAP Weatherization	DCC	* 93.568	G-11B1UTLIEA	2,182,758	2,158,612
(CSBG) Community Services Block Grant	DCC	93.569	G10B1UTCOSR	19,378	19,378
(CSBG) Community Services Block Grant	DCC	93.569	G11B1UTCOSR	1,482,684	1,425,831
(CSBG) Community Services Block Grant	DCC	93.569	G12B1UTCOSR	1,720,219	1,617,478
(CC) Child Care Develop – Discretionary	Workforce Services	* 93.575	G-09S/10/11/12 01UTCCDF	29,618,719	1,304,329
Refugee Preventive Health Discretionary Grant	Health	93.576	90RX0199/01	62,470	
Refugee Older Refugee Grant	Workforce Services	93.576	G-90RL013102, -03, -04	61,072	
Refugee SCHOOL Impact Award	Workforce Services	93.576	G-90ZE008906,G-90ZE011801,-802	422,461	
Refugee Pre-Literacy Grant	Workforce Services	93.576	G-90RT016101	33,598	
Refugee Targeted Assistance	Workforce Services	93.584	G-09AUT1000, G-10AUT1000	621,075	620,976
State Court Improvement Program	Courts	93.586	G-0401UTSCIP	604,960	
Community-Based Child Abuse Prevention	Human Services	93.590	0901UTFRPG	296,101	
Community-Based Child Abuse Prevention	Human Services	93.590	1001UTFRPG	317,144	
(CC) Child Care Develop – Mandatory/Match	Workforce Services	* 93.596	G-09S/10/11/12 01UTCCDF	24,022,433	
State Access and Visitation Program	Courts	93.597	1101UTSAVP	100,000	
Educational and Training Vouchers	Human Services	93.599	1101UTCETV	290,246	
(HDST) Regional Head Start	Health	93.600	08CD0017-05	68,893	
(HDST) Headstart State Collaboration Grant	Workforce Services	93.600	G-08CD002301	92,626	
(HDST) Head Start Program	SUU	93.600	VARIOUS	3,473,029	
Adoption Incentive Payment Program	Human Services	93.603	0901UTAIPP	442,000	
Adoption Incentive Payment Program	Human Services	93.603	1001UTAIPP	332,404	
Election Assist. Individuals with Disabilities	Governor's Office	93.617	HHSELEC2003	54,353	54,353
Runaway & Homeless Youth–Basic Center	Human Services	93.623	90CY229403	47,809	
Runaway & Homeless Youth–Basic Center	Human Services	93.623	90CY262201	100,000	
Developmental Disabilities Basic Support	Human Services	93.630	0901UTBS45	11,647	
Developmental Disabilities Basic Support	Human Services	93.630	1001UTBS45	539,497	283,450
Developmental Disabilities Basic Support	Human Services	93.630	1101UTBS45	41,166	
Developmental Disabilities Basic Support	Human Services	93.630	1201UTBS45	43,818	
Childrens Justice Act	Attorney General	93.643	G-011001UTCJA1	32,133	
Childrens Justice Act	Attorney General	93.643	G-0901UTCJA1	6,051	
Childrens Justice Act	Attorney General	93.643	G-0801UTCJA1	125,531	
Title IV-B Child Welfare Social Services	Human Services	93.645	1101UT1400	3,390,000	
CASA Volunteers for Children in Foster Care	Human Services	93.652	90CO107401	69,643	57,274
Title IV-E Foster Care	Human Services	* 93.658	1101UT1401	3,855,527	
Title IV-E Foster Care	Human Services	* 93.658	1201UT1401	18,951,135	
Title IV-E Adoption Assistance	Human Services	93.659	1101UT1407	1,294,716	
Title IV-E Adoption Assistance	Human Services	93.659	1201UT1407	6,056,495	
Title XX-Social Svcs Block-Basic	Human Services	93.667	G-1001UTSOSR	1,471,227	
Title XX-Social Svcs Block-Basic	Human Services	93.667	G-1101UTSOSR	12,987,473	1,084,200
Title XX-Social Svcs Block-Discretionary	Human Services	93.667	G-1101UTSOSR	1,181,668	1,181,668
Title XX-Transfer of TANF for SSBG	Human Services	93.667	TANF TRANSFER	7,607,000	
Title XX Social Services Block Grant–Basic	Workforce Services	93.667	G-11UTSOSR	15,000	
Child Abuse Prevention and Treatment	Human Services	93.669	1001UTCA01	21,126	
Child Abuse Prevention and Treatment	Human Services	93.669	1101UTCA01	322,023	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Family Violence Prevention/Services	Human Services	93.671	1101UTFVPS	1,129,518	
Independent Living	Human Services	93.674	1001UT1420	853	
Independent Living	Human Services	93.674	1101UT1420	870,393	
ARRA-(R&D) Trans-NIH Recovery Act R S	U OF U	* 93.701	VARIOUS	9,265,894	2,001,941
ARRA-Trans-NIH Recovery Act R S	U OF U	93.701	VARIOUS	1,086,366	23,197
ARRA-Facilities for Biomedical Resh	U OF U	93.702	1C06RR022056-01	4,991,496	
ARRA-(HDST) Head Start Expansion/Training	SUU	93.708	08SH0057/01	117,065	
ARRA-(IMMZ) Strep - EPI	Health	93.712	3U50CI823796-05S4	28,492	
ARRA-(IMMZ) Enhanced Interop Elec Hth Record	Health	93.712	1U66IP000432-01	379,324	
ARRA- (IMMZ) Measur Effective PCV-13 Vaccine	Health	93.712	3U50CI823796-05S2	47,357	
ARRA-(IMMZ) Immuniz & Vaccines for Children	Health	93.712	3H23IP822520-07S1	97,921	62,318
ARRA-(CC) Child Care Stimulus	Workforce Services	* 93.713	G-0901UTCCD7	543,597	
ARRA-(TANF) Temporary Assist for Needy Fam	Workforce Services	* 93.714	1002UTTANF, 1102UTTANF	11,045,531	
ARRA-(R&D) Primary Care Practice Redesign	U OF U	* 93.715	1R18HS02010601	985,538	
ARRA-HAI Prevention	Health	93.717	3U50CI823796-05S5	68,749	
ARRA-Stwide Clinical Hlth Info Exchange (CHIE)	Health	93.719	90HT0026-01	1,391,793	
ARRA-HIT IT Planning Document Project	Health	93.719	05-1105UTHIMP	95,959	
ARRA-Collab Chron Dis, Hlth Prom & Surveil	Health	93.723	3U58DP001993-01S3	475,687	43,737
ARRA-Chronic Disease Self-Management	Health	93.725	90RA0038-01	113,605	12,000
CHIPRA CHIP	Health	* 93.767	1Z0CMS030547-01-02	1,810,663	
Children's Health Insurance Program	Health	* 93.767	5-1105UT5021	54,230,181	
Medicaid Infrastructure	Health	93.768	1QACMS030319-03-01	413,343	
(MED) Medicaid Fraud Unit	Attorney General	* 93.775	01-1101-UT5050	370,613	
(MED) Medicaid Fraud Unit	Attorney General	* 93.775	01-1201-UT5050	912,817	
(MED) LTC Title 19 Cert	Health	* 93.777	051205UT5000	853,021	
(MED) HIB Title 18 Cert	Health	* 93.777	051205UT5000	1,952,451	
ARRA-(MED) Medical Assistance Payments	Health	* 93.778	05-1105UTARRA	(1,046,008)	
ARRA-(MED) HIT Infor Tech Planning Document	Health	* 93.778	05-1205UTIMPL	258,731	
ARRA-(MED) HIT Incentive Payments	Health	* 93.778	05-1205UTINCT	12,148,527	
(MED) Title 19 Medical Assistance Payments	Health	* 93.778	05-1205UT5MAP	1,275,173,058	218,894
(MED) Title 19 Administration	Health	* 93.778	05-1205UT5ADM	67,095,753	7,535,688
State Health Ins Assistance Program (SHIP)	Human Services	93.779	0000020223	321,260	211,390
Medicare Improvements for Patients & Providers	Human Services	93.779	IX0330794A	3,821	1,364
(R&D) Cancer Disparities Recruitment	U OF U	* 93.779	1A0CMS300067-01	13,493	
High Risk Pool Operational Loss Grant	CHIP	93.780	1G0CMS300045/02-04	851,380	
Health Careers Opportunity Program	Weber State	93.822	D18HP13631	211,648	
(R&D) Heart and Vascular Diseases Res.	U OF U	* 93.837	VARIOUS	13,012,211	622,510
Heart and Vascular Diseases Research	U OF U	93.837	VARIOUS	932,968	
(R&D) Lung Diseases Research	U OF U	* 93.838	VARIOUS	1,737,984	66,634
Lung Disease	U OF U	93.838	VARIOUS	302,997	
(R&D) Blood Diseases and Resources	U OF U	* 93.839	VARIOUS	1,533,700	713,023
(R&D) Arthritis, Musculoskeletal and Skin Diseases Research	U OF U	* 93.846	VARIOUS	1,955,540	160,004
(R&D) Diabetes, Endocrinology & Metabolism	U OF U	* 93.847	VARIOUS	10,813,361	983,784
National Research Service Awards	U OF U	93.847	VARIOUS	1,159,375	
(R&D) Kidney Diseases, Urology, Hematology	U OF U	* 93.849	VARIOUS	384,718	4,098
Training Program-Nephrology	U OF U	93.849	5T32DK070557-4	43,168	
(R&D) Neurosciences and Neurological Dis.	U OF U	* 93.853	VARIOUS	5,127,126	474,286
Neurosciences and Neurological Disorders	U OF U	93.853	VARIOUS	888,840	
(R&D) Allergy, Immunology, and Transplant	U OF U	* 93.855	VARIOUS	5,755,882	200,184
Allergy, Immunology and Transplantation	U OF U	93.855	VARIOUS	681,351	5,848
(R&D) Biomedical Research & Research Trng	U OF U	* 93.859	VARIOUS	21,316,877	3,986,602
Biomedical Research and Training	U OF U	93.859	VARIOUS	520,934	35,085

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Child Health & Human Development	U OF U	* 93.865	VARIOUS	10,450,603	1,938,567
Child Health and Human Development	U OF U	93.865	VARIOUS	2,096,765	705,241
(R&D) Aging Research	U OF U	* 93.866	VARIOUS	2,200,439	99,121
Aging Research	U OF U	93.866	VARIOUS	991,035	
(R&D) Vision Research	U OF U	* 93.867	VARIOUS	9,117,889	873,760
(R&D) Medical Library Assistance	U OF U	* 93.879	VARIOUS	1,028,090	
Medical Library Assistance	U OF U	93.879	VARIOUS	933,234	
Physician Assistant Training	U OF U	93.884	VARIOUS	67,543	
Health Care & Other Facilities	Health	93.887	1C76HF19772-01-00	34,217	
Gibson Science Center Grant	SUU	93.887	VARIOUS	66,235	
Health Care Facilities	UVU	93.887	C76HF20977-01-01	325,100	
Rural Health Outreach Special Initiative	Health	93.888	1D1ARH16072-01-00	6,377	
Rural Health Outreach Special Initiative	Health	93.888	1D1ARH20095-01-00	290,871	282,870
CMP Personalized Healthcare	U OF U	93.888	1D1BRH20425-01-00	800,149	159,333
Specially Selected Health Projects	Weber State	93.888	D1DHP20348-01-01	197,019	
Bioterrorism Hospital Preparedness Prgm	Health	93.889	6U3REP090268-03-02	3,184,701	2,059,780
Hispanic Teen Moms	U OF U	93.910	5YEPMP090038-03-00	322,087	
State Office Rural Health	Health	93.913	5H95RH00129-21-00	247,813	
Ryan White Part B Supplemental	Health	93.917	2X08HA16857-03	26,006	
Ryan White/HIV	Health	93.917	6X07HA00032-21-02	3,897,526	67,298
ADAP Shortfall Relief	Health	93.917	6X09HA20256-01-03	766,150	
Outpatient Early Intervention Svcs HIV Disease	U OF U	93.918	VARIOUS	858,300	
Ryan White Reimbursement	U OF U	93.924	1T22HA22943-01-00	3,359	
Ryan White T IV WIC Use & Affected Family	Health	93.928	1H97HA22673-01	6,230	
Youth Risk Behavior Survey - Federal	Health	93.938	5U87DP001187-04	39,331	
Aids Prevention	Health	93.940	1U62PS003662-01	1,090,991	164,495
HIV/AIDS Surveillance	Health	93.944	3U62PS001028-05W1	301,404	
Aids Surveillance	Health	93.944	5U62PS001028-05	189,499	
Pregnancy Risk Assessment	Health	93.946	1U01DP003139-01	99,948	
Community Mental Health Svcs. Block	Human Services	93.958	C-10B1UTCMS	409,417	336,803
Community Mental Health Svcs. Block	Human Services	93.958	C-11B1UTCMS	3,042,734	2,372,476
Community Mental Health Svcs. Block	Human Services	93.958	C-12B1UTCMS	217,277	127,956
Substance Abuse Prevention/Treatment	Human Services	* 93.959	C-11B1UTSAPT	10,273,664	8,892,857
Substance Abuse Prevention/Treatment	Human Services	* 93.959	C-12B1UTSAPT	6,761,615	6,163,204
(R&D) Creighton Model NFP Use	U OF U	* 93.974	5FPRPA006035-03-00	32,498	
Sexually Transmitted Diseases	Health	93.977	5H25PS001347-04	500,995	40,061
(R&D) International Research	U OF U	* 93.989	VARIOUS	914,670	235,265
Preventive Health Services Block	Health	93.991	2B01DP009054-12	609,230	480,865
Maternal and Child Health Services Block Grant	Health	93.994	6B04MC21424-01-05	5,668,230	1,728,735
Adult Blood Lead	Health	N/A	211-2009-M-30941	11,596	
CLIA	Health	N/A	5-1205-UT-5002	113,526	
VSCP Health Statistics	Health	N/A	200-2002-0725601	254,897	
Utah Personal Responsibility Education Prgm	Health	N/A	1001UTPREP	580,697	143,737
(R&D) Role of Ceramide In Obesity	U OF U	* N/A	1R15HL091493-01	12,056	
ARRA-(R&D) NIH National Library of Medicine	U OF U	* N/A	VARIOUS	2,519,767	2,181,481
(R&D) Department of Health & Human Svcs.	U OF U	* N/A	VARIOUS	16,572,404	5,071,230
Department of Health and Human Services	U OF U	N/A	VARIOUS	7,138,341	1,371,935
(R&D) Admin. on Children and Families	USU	* N/A	VARIOUS	18,168	
(R&D) Centers Disease Control & Prevent.	USU	* N/A	1U01DD000698-01 (100838)	340,219	
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	VARIOUS	58,585	
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	G-7835-5/5U54 AI065357 (110699)	130,871	
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	HHSN272201000391 (090584)	2,544,543	
(R&D) Institute of Allergy and Infectious Dis.	USU	* N/A	HHSN2722011000191 (100690)	340,543	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Institute of Child Health & Human Dev.	USU	* N/A	2 R01 GM059087 (035284)	111,101	
(R&D) Institute of Child Health & Human Dev.	USU	* N/A	VARIOUS	89,569	89,569
(R&D) Institute of Dental and Craniofacial Res	USU	* N/A	VARIOUS	14,101	
(R&D) Institute of Diabetes, Digestive, & Kidney	USU	* N/A	VARIOUS	66,559	810
(R&D) Institute of Environmental Health Sci.	USU	* N/A	VARIOUS	51,972	
(R&D) Institute on Aging	USU	* N/A	8 RAG031272A (080465)	124,300	
(R&D) Institute on Deafness Comm. Disorders	USU	* N/A	VARIOUS	2,209	
(R&D) Institute on Drug Abuse	USU	* N/A	1R01DA029608 (101141)	171,142	
(R&D) National Cancer Institute	USU	* N/A	VARIOUS	72,819	
(R&D) National Institute of Health	USU	* N/A	VARIOUS	186,007	66,399
(R&D) National Institute of Health	USU	* N/A	1R01DA029100-01A1 (090919)	275,856	
(R&D) National Institute of Health	USU	* N/A	1R01HD055502-01A2 (080469)	173,679	
(R&D) National Institute of Health	USU	* N/A	5R01AA016786-05 (061729)	174,317	
(R&D) National Institute of Health	USU	* N/A	SUB #10004734-1,PO# 0000134501 (070688)	2,468,174	
(R&D) Substance Abuse and Mental Health	USU	* N/A	VARIOUS	12,981	12,981
ARRA-(R&D) Ins Diabetes, Digestive, & Kidney	USU	* N/A	2R01DK059611-05A2 (090326ARR)	110,651	
ARRA-(R&D) Institute on Aging	USU	* N/A	VARIOUS	36,039	14,967
ARRA-(R&D) Institute on Aging	USU	* N/A	1R15AG037392-01 (100268ARR)	121,263	
ARRA-(R&D) Inst on Deafness & Comm. Dis	USU	* N/A	1RC1DC010615-01 (090777ARR)	214,682	
ARRA-(R&D) Institute on Drug Abuse	USU	* N/A	VARIOUS	71,216	
ARRA-(R&D) National Institute of Health	USU	* N/A	VARIOUS	294,551	219,178
ARRA-(R&D) National Institute of Health	USU	* N/A	2R01AG021136 (090011ARR)	301,979	
ARRA-Dept of Health and Human Services	USU	N/A	VARIOUS	12,409	
Admin. on Developmental Disabilities	USU	N/A	VARIOUS	212	
Admin. on Developmental Disabilities	USU	N/A	90DD0610 (075071)	543,009	
Admin. on Developmental Disabilities	USU	N/A	90DN025501 (091001)	207,615	
Administration for Children and Families	USU	N/A	VARIOUS	343,541	265,188
Administration for Children and Families	USU	N/A	90FM0001;90FM900101 (120112)	360,741	
Administration for Children and Families	USU	N/A	90YD0227/01 (080113)	129,760	
Administration for Children and Families	USU	N/A	AGREEMENT #120051 (110903)	253,299	
Administrative Operations	USU	N/A	VARIOUS	3,920	
Department of Health and Human Sevices	USU	N/A	1 IDSEP100006-01-00 (110133)	351,800	
Department of Health and Human Sevices	USU	N/A	110002 (101208)	601,089	
Department of Health and Human Sevices	USU	N/A	112584 (111247)	1,620,666	
Department of Health and Human Sevices	USU	N/A	120199 (120190)	293,003	
Department of Health and Human Sevices	USU	N/A	AGREEMENT #200020 (111246)	416,277	
Health Resources and Services Admin.	USU	N/A	110723 (110015)	124,922	
Health Resources and Services Admin.	USU	N/A	2010039-02 (021275)	526,401	
Indian Health Services	USU	N/A	VARIOUS	739,850	739,850
Indian Health Services	USU	N/A	HHSP233201000011C (100249)	138,741	
Maternal and Child Health Bureau	USU	N/A	VARIOUS	125,000	125,000
Maternal and Child Health Bureau	USU	N/A	T8MC09652 (080561)	131,066	
Maternal and Child Health Bureau	USU	N/A	U52MC04391 (051322)	1,677,695	
Pass-Through From Non-State Entities:					
Etanercept In Kawasaki Disease — Seattle Children's Hospital	U OF U	93.103	10259SUB	(5,811)	
(R&D) Family Genetics Education — March of Dimes Birth Defects Found	U OF U	* 93.110	6U33MC00157	74,109	
(R&D) MC1R and Human Melanocytes — University of Cincinnati	U OF U	* 93.113	C0EUS006413	85,455	
Pakistan Lead Study — University of Alabama at Birmingham	U OF U	93.113	000335078002	(479)	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) EMS for Children —					
University of California Davis	U OF U	* 93.127	VARIOUS	101,034	
University of Michigan	U OF U	* 93.127	3001656022	7,858	
Progesterone for TBI —					
University of Michigan	U OF U	93.127	3001656022	23,077	
(R&D) Injury Prevention & Control Research —					
University of Colorado Denver	U OF U	* 93.136	VARIOUS	18,105	
University of Pennsylvania	U OF U	* 93.136	551270	50,594	
Hazardous Materials Training —					
University of Texas at Houston	U OF U	93.142	0007901A	37,854	
AIDS Education & Training Center —					
University of Colorado at Denver	U OF U	93.145	FY11163006/FY11163007	173,236	
(R&D) Human Genome Research —					
Case Western Reserve University	U OF U	* 93.172	RES506542	4,193	
Jackson Laboratory	U OF U	* 93.172	653021	99,719	
Johns Hopkins University	U OF U	* 93.172	2001504179	9,971	
University of Southern California	U OF U	* 93.172	VARIOUS	149,123	
(R&D) Deafness & Communication Dis —					
Brigham Young University	U OF U	* 93.173	090280	33,917	
University of Maryland	U OF U	* 93.173	SR00001588DC10915	143,147	
University of Mississippi Medical Center	U OF U	* 93.173	68100530413	8,834	
University of Wisconsin-Madison	U OF U	* 93.173	VARIOUS	11,192	
CREST-E —					
Massachusetts General Hospital	U OF U	93.213	VARIOUS	25,043	
(R&D) Healthcare Costs, Quality & Outcomes —					
Childrens Hospital Philadelphia	U OF U	* 93.226	VARIOUS	637,407	
University of California Davis	U OF U	* 93.226	VARIOUS	89,416	
(R&D) Natl Ctr on Sleep Disorders Research —					
Northwestern University	U OF U	* 93.233	60029273UT	41,383	
U of California San Francisco	U OF U	* 93.233	5291SC	122,640	
(R&D) Precarious Plans —					
Stanford University	U OF U	* 93.239	2945143104354C	10,901	
(R&D) Mental Health Research Grants —					
Boston University	U OF U	* 93.242	VARIOUS	33,112	
Miriam Hospital	U OF U	* 93.242	7109287	137	
Univ of North Carolina Chapel Hill	U OF U	* 93.242	VARIOUS	179,571	
(R&D) Mountain West ATTC —					
University of Nevada Reno	U OF U	* 93.243	UNR1170	6,094	
Substance Abuse & Mental Hlth Projects —					
Salt Lake County UT	U OF U	93.243	AL08515C	49,548	
The Children's Center	U OF U	93.243	PID10017058	49,071	19,122
The Road Home	U OF U	93.243	T123471	21,655	
University of Nevada Reno	U OF U	93.243	UNR1240	386	
(R&D) PH Training Centers Grant —					
University of California Los Angeles	U OF U	* 93.249	1920GNA287	104,382	
(R&D) Occupational Safety and Health —					
University of Alaska Fairbanks	U OF U	* 93.262	UAF100038P0FP00692	8,033	
Univ of California San Francisco	U OF U	* 93.262	VARIOUS	31,097	
(R&D) Drug Abuse and Addiction —					
Barrow Neurological Institute	U OF U	* 93.279	1031216	85,278	
Univ of North Carolina at Chapel Hill	U OF U	* 93.279	551257	121,808	
Cocaine Addiction Treatment —					
Baylor College of Medicine	U OF U	93.279	101248984	(23,748)	

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Birth Defects —					
Association of American Medical	U OF U	* 93.283	VARIOUS	33,546	31,192
CDC Investigations & Technical Assistance —					
Weber-Morgan Health Department	Weber State	* 93.283	VARIOUS	932	
(R&D) Tech Innovations to Improve Human —					
Brigham & Womens Hospital	U OF U	* 93.286	VARIOUS	526,488	
Cleveland Clinic Foundation	U OF U	* 93.286	4639216	45,086	
(R&D) Nursing Research —					
Qcmetrix Inc	U OF U	* 93.361	VARIOUS	204,323	
(R&D) National Ctr for Research Resources —					
Cornell University	U OF U	* 93.389	0804048611111925	84,785	
University of Washington	U OF U	* 93.389	728865	6,942	
(R&D) Cancer Cause & Prevention Res —					
Columbia University	U OF U	* 93.393	VARIOUS	136,264	
Fox Chase Cancer Center	U OF U	* 93.393	VARIOUS	278,664	
Fred Hutchinson Cancer Research	U OF U	* 93.393	VARIOUS	92,166	
Harvard School of Public Health	U OF U	* 93.393	23570114080	110,763	
Kaiser Permanente	U OF U	* 93.393	115938301	326,003	
Mayo Clinic Rochester	U OF U	* 93.393	VARIOUS	78,911	15,495
Texas Tech University	U OF U	* 93.393	501CA120938-02	12,965	
University of Illinois at Chicago	U OF U	* 93.393	2009026710200	329,214	
(R&D) Breast Cancer Classification —					
Brigham & Womens Hospital	U OF U	* 93.394	PS105582	10,307	
University of Massachusetts	U OF U	* 93.394	6121965RFS900263	45,327	
Vestan Inc	U OF U	* 93.394	10022455	163,975	
Cancer Treatment Research —					
National Childhood Cancer Foundation	U OF U	93.395	021074	6,540	
(R&D) Cancer Treatment Research —					
Childrens Oncology Group	U OF U	* 93.395	021010	11,488	
MD Anderson Cancer Center	U OF U	* 93.395	2986798015413	86,226	
Mount Sinai School of Medicine	U OF U	* 93.395	VARIOUS	277,460	
National Childhood Cancer Foundation	U OF U	* 93.395	VARIOUS	30,000	
(R&D) Cancer Centers Support Grants —					
Mayo Clinic Rochester	U OF U	* 93.397	2P50CA11620106A1	4,361	
MD Anderson Cancer Center	U OF U	* 93.397	1201156798115636	91,504	
University of Texas at Houston	U OF U	* 93.397	3325698115636	204,200	
Cancer Control —					
Natl Surgcl Adjuvant Breast	U OF U	93.399	VARIOUS	33,451	
(R&D) Prostate Cancer Susceptibility —					
Johns Hopkins Hospital	U OF U	* 93.399	2000368388	115,677	
ARRA-(R&D) KT TBI —					
Columbia University	U OF U	* 93.418	9ACCT539621	26,056	
(R&D) KT TBI —					
Columbia University	U OF U	* 93.418	9ACCT539621	100,195	
(R&D) Search and Identification —					
Florida State University	U OF U	* 93.564	R01480	12,256	
(R&D) Trans-NIH Recovery Act Research —					
Childrens Hospital Pittsburgh	U OF U	* 93.701	0008749AMEND2	5,334	
ARRA-Trans-NIH Recovery Act R S —					
John Wayne - MSLT-II —					
John Wayne Cncr Inst@St Johns Health Ctr	U OF U	93.701	VARIOUS	36,358	
John Wayne Cncr Inst@St Johns Health Ctr	U OF U	93.701	CA29605	32,726	
Stanford University	U OF U	93.701	24522260-21272-J	79,251	

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of Pittsburgh	U OF U	93.701	9004641116858-1	33,019	
ARRA-(R&D) Trans-NIH Recovery Act R S —					
Albert Einstein Medical Center	U OF U	* 93.701	R01CA140467-02	12,342	
Brigham & Womens Hospital	U OF U	* 93.701	104963	431	
Childrens Hospital Pittsburgh	U OF U	* 93.701	0008749	41,381	
Cincinnati Childrens Hospital	U OF U	* 93.701	105792	75,566	
Cornell University	U OF U	* 93.701	110405443	102,803	
Duke Clinical Research Institute	U OF U	* 93.701	165191 SITE 558	15,431	
Duke University	U OF U	* 93.701	165191UNIV0FUTAH	23,221	
Fred Hutchinson Cancer Research	U OF U	* 93.701	0000691045	32,600	
Johns Hopkins University	U OF U	* 93.701	2001152586	84,199	
Mayo Clinic Rochester	U OF U	* 93.701	3U01CA118444-04S1	(785)	
National Childhood Cancer Foundation	U OF U	* 93.701	5927-1011	22,306	
Northern California Inst Research	U OF U	* 93.701	VARIOUS	62,356	
Oregon Health & Science University	U OF U	* 93.701	9001291002UTAH	74,393	
San Francisco State University	U OF U	* 93.701	S10-0006	(22)	
University of California San Diego	U OF U	* 93.701	10313245 - SUB	30,359	
University of Chicago	U OF U	* 93.701	40596	22,608	
University of Florida	U OF U	* 93.701	UF10181	42,153	
University of Massachusetts	U OF U	* 93.701	6124553RFS2011074	5,942	
University of Pennsylvania	U OF U	* 93.701	553406B	25,135	
University of Rochester	U OF U	* 93.701	VARIOUS	29,848	
ARRA-(R&D) Comparative Effectiveness Resh —					
University of Colorado at Denver	U OF U	* 93.715	6974SC	72,309	
University of Colorado at Denver	U OF U	* 93.715	FY11560007	152,435	
University of Colorado at Denver	U OF U	* 93.715	VUMC38277	95,169	
(R&D) SAFTINET —					
University of Colorado at Denver	U OF U	* 93.715	FY115600072523025	121,447	
ARRA-Innovative Diffusion of CER —					
University of Arizona	U OF U	93.715	Y561924	3,531	
ARRA-Health Info Tech Professionals HC —					
Bellevue Community College	SLCC	93.721	900CC0077/01	200,171	
ARRA-(R&D) Resident Handoff Practices —					
Childrens Hospital Boston	U OF U	* 93.726	77543	93,489	
ARRA-(R&D) Beacon Community Grant —					
Healthinsight	U OF U	* 93.727	F0UU1	438,532	
ARRA-(R&D) SHARP —					
University of California San Diego	U OF U	* 93.728	30766948SUB	85,465	
(R&D) Cardiovascular Diseases Research —					
Brigham & Womens Hospital	U OF U	* 93.837	VARIOUS	239,158	
Cedars Sinai Medical Center	U OF U	* 93.837	P00000813774	88,202	
Duke Clinical Research Institute	U OF U	* 93.837	VARIOUS	106,504	93,260
Georgia Health Sciences Univer	U OF U	* 93.837	240393	400,764	
Medical College of Wisconsin	U OF U	* 93.837	1U01HL10743701	15,215	
New England Research Institute	U OF U	* 93.837	VARIOUS	302,479	
University of California Davis	U OF U	* 93.837	SUB07001197	38,898	
Univ of California Los Angeles	U OF U	* 93.837	1553GKC907	(87,400)	
University of Iowa	U OF U	* 93.837	VARIOUS	3,980	
Cardiovascular Diseases Research —					
Angel Medical Systems	U OF U	93.837	VARIOUS	23,970	
University of Miami	U OF U	93.837	66556C	(224)	
University of Michigan	U OF U	93.837	VARIOUS	65,908	
New England Research Inc	U OF U	93.837	HL68270	6,343	

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Lung Diseases Research —					
University of California San Diego	U OF U	* 93.838	10294118	572,191	
University of Colorado at Denver	U OF U	* 93.838	FY11102005	36,371	
University of Illinois at Chicago	U OF U	* 93.838	201202813	42,497	
Lung Diseases Research —					
Duke Clinical Research Institute	U OF U	93.838	00003745	8,578	
Duke University	U OF U	93.838	00003745	104,016	
University of California San Francisco	U OF U	93.838	6610SC	14,811	
University of California Los Angeles	U OF U	93.838	1460GNA245	64,104	
(R&D) Blood Diseases and Resources —					
Medical College of Wisconsin	U OF U	* 93.839	VARIOUS	56,132	
National Childhood Cancer Foundation	U OF U	* 93.839	020129	39,313	
University of Vermont	U OF U	* 93.839	20560	38,681	
Virginia Commonwealth University	U OF U	* 93.839	PT105889SC102422	492,823	
Blood Diseases and Resources Research —					
Duke Clinical Research Institute	U OF U	93.839	1U01HL087229	32,777	
National Marrow Donor Program	U OF U	93.839	577PRDSAFE	1,479	
Washington University in St Louis	U OF U	93.839	VARIOUS	26,136	
(R&D) Arthritis, Musculoskeletal & Skin Dis—					
Childrens Hospital Regional	U OF U	* 93.846	413820230301	(9,726)	
Emory University	U OF U	* 93.846	S741370	14,120	
Hospital for Special Surgery	U OF U	* 93.846	VARIOUS	49,852	
(R&D) Diabetes, Digestive, & Kidney Dis —					
Beth Israel Deaconess Medical	U OF U	* 93.847	VARIOUS	32,123	
Harvard University	U OF U	* 93.847	SUB2DK073843MUSEN	(106)	
Johns Hopkins University	U OF U	* 93.847	2000857375	35	
LaJolla Institute for Allergy & Immunology	U OF U	* 93.847	2257139334	107,558	
Mount Sinai School of Medicine	U OF U	* 93.847	VARIOUS	280,842	
New England Research Institute	U OF U	* 93.847	VARIOUS	40,984	
University of Colorado at Denver	U OF U	* 93.847	FY10269001	15,745	
University of Pennsylvania	U OF U	* 93.847	VARIOUS	3,732	
University of TX SW Medical Ctr	U OF U	* 93.847	GM0701230	977	
University of Virginia	U OF U	* 93.847	GC12032137564	52,525	
University of Wisconsin-Madison	U OF U	* 93.847	368K056	11,716	
Vanderbilt University	U OF U	* 93.847	VARIOUS	116,616	
Wake Forest University	U OF U	* 93.847	VARIOUS	34,296	
Washington University St Louis	U OF U	* 93.847	WU1071	(1)	
(R&D) Kidney Diseases, Urology & Hem —					
Cleveland Clinic Foundation	U OF U	* 93.849	VARIOUS	160,334	
(R&D) Extramural Neurosciences Disorders—					
Johns Hopkins University	U OF U	* 93.853	VARIOUS	211,199	
Mount Sinai School of Medicine	U OF U	* 93.853	COMBIRX69244P0SM9154389	68,744	
The Rehabilitation Institute of	U OF U	* 93.853	1R01NS05236901A2	80,992	
Research Inst at Nationwide Childrens Hosp	U OF U	* 93.853	VARIOUS	40,075	
Tourette Syndrome Association	U OF U	* 93.853	VARIOUS	(12,553)	
University of California San Francisco	U OF U	* 93.853	6958SC	84,270	
University of California San Diego	U OF U	* 93.853	P010323246	74,675	
University of Washington	U OF U	* 93.853	718823	39,571	
Yale University School of Medicine	U OF U	* 93.853	VARIOUS	34,632	11,163
Neurosciences and Neurological Disorders —					
Cincinnati Childrens Hospital	U OF U	93.853	VARIOUS	22,673	
Massachusetts General Hospital	U OF U	93.853	5U01NS049640	16,203	
Mayo Clinic Jacksonville	U OF U	93.853	SWISS	600	

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University of Virginia	U OF U	93.853	GC12107138989	16,000	
Yale University School of Medi	U OF U	93.853	VARIOUS	38,373	
(R&D) Allergy, Immunology & Transplant —					
Albert Einstein Medical Center	U OF U	* 93.855	VARIOUS	434,678	
Brigham & Womens Hospital	U OF U	* 93.855	VARIOUS	21,601	
Duke University	U OF U	* 93.855	3030454	213,462	
Idaho Technology Inc	U OF U	* 93.855	IT11640001C0NS2	8,855	
Imquest Biosciences Inc	U OF U	* 93.855	5R21AI7977202	34,837	
Keck Graduate Institute	U OF U	* 93.855	5R01AI07624702	100,853	
U of No Carolina at Chapel Hill	U OF U	* 93.855	531540	289,855	
Allergy, Immunology and Transplantation —					
Brigham & Womens Hospital	U OF U	93.855	PS105087CT0T11	39,549	
Duke University	U OF U	93.855	VARIOUS	(835)	
(R&D) Microbiology and Infectious Diseases —					
Colorado State University	U OF U	* 93.856	VARIOUS	412,035	
Imquest Biosciences Inc	U OF U	* 93.856	VARIOUS	177,368	
(R&D) Biomedical Research —					
Oregon Health & Science University	U OF U	* 93.859	GBIM00127AA	(243)	
University of California Davis	U OF U	* 93.859	06002869UT	26,366	
University of California San Diego	U OF U	* 93.859	10316875	47,255	
University of Illinois at Urbana-Champaign	U OF U	* 93.859	20100036506	239,749	
Virginia Polytechnic Institute	U OF U	* 93.859	43169819122	43,275	
Washington University in St Louis	U OF U	* 93.859	WU1272	62,400	
Biomedical Research & Research Training —					
University of Pittsburgh	U OF U	93.859	VARIOUS	4,322	
Child Health and Human Development —					
Children's National Medical Center	U OF U	93.865	83980803	(4,483)	
University of Michigan	U OF U	93.865	2U01HD04124906	346,586	1,097
University of Rochester	U OF U	93.865	415265G	28,427	2,335
(R&D) Child Health & Human Development —					
Children's Hospital Los Angeles	U OF U	* 93.865	VARIOUS	37,830	
Children's Research Institute	U OF U	* 93.865	03781103	3,547	
Columbia University	U OF U	* 93.865	VARIOUS	80	
Research Foundation of SUNY	U OF U	* 93.865	48157	(4,947)	
RTI International	U OF U	* 93.865	5U10HD053124PRIME	35,912	12,998
U of No Carolina at Chapel Hill	U OF U	* 93.865	VARIOUS	144,192	
University of California San Francisco	U OF U	* 93.865	6785SC44493133446	135,000	
University of California Davis	U OF U	* 93.865	090014809	95,092	
University of New Mexico	U OF U	* 93.865	3R95Y02	26,665	
Wayne State University	U OF U	* 93.865	WSU11048	30,193	
Children's National Medical Center	U OF U	* 93.865	79280412	141,457	
(R&D) Aging Research —					
Duke Clinical Research Institute	U OF U	* 93.866	VARIOUS	265,384	
Northern California Inst for Research & Ed	U OF U	* 93.866	1574	61,151	
University of Louisville	U OF U	* 93.866	I0IC051523Z19A	(20,510)	
University of Wisconsin-Madison	U OF U	* 93.866	X279403	36,865	
Aging Research —					
University of California San Diego	U OF U	93.866	VARIOUS	73,904	
(R&D) Vision Research —					
Brigham & Womens Hospital	U OF U	* 93.867	103324	49,238	
Childrens Hospital Philadelphia	U OF U	* 93.867	3208861402	67,694	
Columbia University	U OF U	* 93.867	various	105,987	
Jaeb Center for Health Research	U OF U	* 93.867	1288910	1,769	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Johns Hopkins University	U OF U	* 93.867	2000011274	8,255	
Univ of North Carolina at Chapel Hill	U OF U	* 93.867	531154	5,955	
University of Minnesota	U OF U	* 93.867	S4856207101	186,433	70,142
ARRA-Nordic IIHTT —					
St. Luke's-Roosevelt Hospital	U OF U	93.867	NORDIC IIHTT	48,139	
(R&D) Evaluation of HIV Intervention —					
University of California San Francisco	U OF U	* 93.941	6888SC	13,615	
Prevention & Treatment of Substance Abuse—					
Salt Lake Co Substance Abuse	U OF U	* 93.959	AL08509C	4,514	
(R&D) Mollusk ICBG —					
Oregon Health & Science University	U OF U	* 93.989	GEBSN0062UTAH	144,519	
(R&D) National Institute on Health —					
Colorado State University	USU	* N/A	VARIOUS	467,134	
Johns Hopkins University	USU	* N/A	VARIOUS	37,897	
Michigan State University	USU	* N/A	VARIOUS	11,492	
Navigen Pharmaceuticals, Inc.	USU	* N/A	VARIOUS	(20,339)	
Southern Research Institute	USU	* N/A	VARIOUS	168,940	
University of California, Davis	USU	* N/A	VARIOUS	52,712	
University of California, Irvine	USU	* N/A	VARIOUS	60,030	
University of Missouri, Columbia	USU	* N/A	VARIOUS	17,293	
University of New Hampshire	USU	* N/A	VARIOUS	45,175	
University of Texas, Austin	USU	* N/A	VARIOUS	30,355	
(R&D) Administration on Aging —					
Alzheimer's Association Utah Chapter	USU	* N/A	VARIOUS	31,266	
Depart. of Health and Human Services —					
Kaiser Foundation Research Institut	USU	N/A	VARIOUS	90,586	
(R&D) Inst Deafness Comm. Disorders —					
Ohio University	USU	* N/A	VARIOUS	34,145	
(R&D) Natl Ctr Minority Hlth & Hlth Disparities —					
University of Pennsylvania	USU	* N/A	VARIOUS	89,424	
Institute of General Medical Sciences —					
Univ of California, Santa Cruz	USU	N/A	VARIOUS	44,218	
ARRA-(R&D) National Institute of Health —					
Montana State University	USU	* N/A	VARIOUS	48,985	
(R&D) Institute on Aging —					
University of Denver	USU	* N/A	VARIOUS	22,428	
ARRA-(R&D) Health and Human Services —					
General Electric Company	U OF U	* N/A	P040006524310017489	25,333	
Maximus Federal Services Inc	U OF U	* N/A	FED61S107460	314,567	
Health and Human Services —					
Aciont Inc	U OF U	N/A	10020810	(431)	
Amer Psychiatric Inst for Resh	U OF U	N/A	NONE	(1)	
American College of Radiology	U OF U	N/A	8201	170,260	
American College of Srgns Onco	U OF U	N/A	SITE449	9,050	
Booz-Allen-Hamilton Inc	U OF U	N/A	97389NB23	28,793	
Cleveland Clinic Foundation	U OF U	N/A	267038480301	19,373	
Emmes Corp	U OF U	N/A	various	544,313	
Gynecologic Oncology Group	U OF U	N/A	NONE	65,843	
Internationall Society of Travel Medicine	U OF U	N/A	PID2312124	1,887	
IQ Solutions	U OF U	N/A	NIDA INVEST FELLOWSHIP - YOON	20,887	
John Snow Inc	U OF U	N/A	NONE	9,622	
Mount Sinai School of Medicine	U OF U	N/A	various	199	
National Neurovision Research	U OF U	N/A	NNSPCTEC01090034UUTNER	101,417	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Natl Environmental Education Found.	U OF U	N/A	NONE	6,714	
Navajo Nation Division of Health	U OF U	N/A	07542	4,102	
New England Research Inc	U OF U	N/A	HL68270	113,168	
New England Research Institute	U OF U	N/A	N01HC45207	405	
Oregon Health & Science University	U OF U	N/A	AS0N00260U0U	909	
Pgxl Laboratories	U OF U	N/A	NONE	4,700	
Saint Louis University	U OF U	N/A	208141039	4,762	
Salt Lake City Bioscience	U OF U	N/A	44R44GM08460302	(60,265)	
Southwest Oncology Group	U OF U	N/A	F018920	142,995	
University of California Los Angeles	U OF U	N/A	1551PNB138	8,487	
University of Nebraska Medical	U OF U	N/A	3420051001001CA157167	7,563	
University of Pennsylvania	U OF U	N/A	NONE	29,951	
University of Texas at Houston	U OF U	N/A	NONE	9,399	
Vestan Inc	U OF U	N/A	NONE	6,744	
University of Texas at Houston	U OF U	N/A	0007901A	120,108	
(R&D) Admin for Children and Families — Ogden-Weber Community Action Part.	USU	* N/A	VARIOUS	59,865	
Centers for Disease Control and Prevention — Marshfield Clinic	USU	N/A	VARIOUS	3,825	
(R&D) Health and Human Services — Agri Analysis Inc	U OF U	* N/A	VARIOUS	24,098	
Cedars Sinai Medical Center	U OF U	* N/A	VARIOUS	156,583	
Children's National Medical Center	U OF U	* N/A	40821103	91,699	
Christopher Reeve Paralysis Foundation	U OF U	* N/A	U10CCU2203679	1,054	
Cleveland Clinic Foundation	U OF U	* N/A	4435229	(1,028)	
Duke Clinical Research Institute	U OF U	* N/A	1U01AG03466101	10,241	
Duke University	U OF U	* N/A	167326	5,134	
Echelon Biosciences Inc	U OF U	* N/A	R43HD066993	7,576	
Electro-Biology Inc	U OF U	* N/A	VARIOUS	119,278	
Electronic Bioscience, LLC	U OF U	* N/A	2814021NH1C	18,562	
Emmes Corp	U OF U	* N/A	VARIOUS	69,365	
Epitel	U OF U	* N/A	VARIOUS	93,052	
Fox Chase Cancer Center	U OF U	* N/A	FCCC1802001P0201200107	192,401	
George Washington University	U OF U	* N/A	VARIOUS	821,563	457,741
Glycomira LLC	U OF U	* N/A	VARIOUS	36,848	
Gvd Corp	U OF U	* N/A	10020388	21,121	
Idaho Technology Inc	U OF U	* N/A	VARIOUS	512,615	14,063
Johns Hopkins University	U OF U	* N/A	JHHL6892701	1,966	
Kineta Inc	U OF U	* N/A	PHASEII10016246	61,263	
Kitware	U OF U	* N/A	K000432S01	26,378	
Louisiana St Univ Health Science	U OF U	* N/A	0990010A3MH085387	67,746	
Massachusetts General Hospital	U OF U	* N/A	N01PC5504940	14,129	
Nanoshell LLC	U OF U	* N/A	10008581	5,568	
National Childhood Cancer Foundation	U OF U	* N/A	VARIOUS	149,483	
Navigen	U OF U	* N/A	1R43AI095172-01A1	29,497	
New England Research Institute	U OF U	* N/A	SITE191	88,778	
Northern California Inst Resh	U OF U	* N/A	1459	(20,802)	
Novo Contour	U OF U	* N/A	10020321	8,651	
Omicia Inc	U OF U	* N/A	VARIOUS	48,265	
Orthocare Innovations LLC	U OF U	* N/A	VARIOUS	27,843	
Radiological Society of North	U OF U	* N/A	HHSN268200800020C	110,967	
RTI International	U OF U	* N/A	VARIOUS	1,176,073	650,754
Salk Institute for Biological	U OF U	* N/A	VARIOUS	283,389	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Seattle Children's Research Inc	U OF U	* N/A	10201SUB	4,080	
Thera Target Inc	U OF U	* N/A	10018419	69,668	
University of California Irvine	U OF U	* N/A	20061709	13,611	
University of South Florida	U OF U	* N/A	6119114400E	165,405	
University of Tennessee	U OF U	* N/A	VARIOUS	8,756	
University of Toledo	U OF U	* N/A	N201143	149,470	
University of Wisconsin-Madison	U OF U	* N/A	272K263	51,071	
Wasatch Microfluidics Inc	U OF U	* N/A	10026151	82,509	47,000
Washington University in St Louis	U OF U	* N/A	WU11208	325,833	
Westat	U OF U	* N/A	8906S08	22,406	
Northern California Inst Resh	U OF U	* N/A	001415	66,801	
Subtotal – Department of Health and Human Services				2,018,956,499	133,896,685

HOMELAND SECURITY, DEPARTMENT OF

Non-Profit Security Program	Public Safety	97.008	10UAT5	75,000	75,000
Boating Safety	Natural Resources	97.012	3311FAS110149	1,544,699	
Community Assistance Program	Public Safety	97.023	VARIOUS	55,544	
(EFSP) Emergency Food and Shelter	DCC	97.024	851000	9,063	
Disaster Grants–Public Assistance	Public Safety	97.036	VARIOUS	7,887,363	7,682,057
Chemical Stockpile Emergency Preparedness	Public Safety	97.040	VARIOUS	6,888,645	3,775,007
National Dam Safety Program	Natural Resources	97.041	DHS-11-MT-041-000-02	93,878	
Emergency Management Performance Grants	Public Safety	97.042	VARIOUS	3,769,926	1,463,835
NFA State Fire Training Program	UVU	97.043	EMW-2011-GR-00053-S01	23,383	
Cooperating Technical Partners	Public Safety	97.045	VARIOUS	438,009	
Fire Management Assistance Grant	Public Safety	97.046	VARIOUS	1,370,625	1,370,625
Pre-Disaster Mitigation Competitive Grant	Public Safety	97.047	VARIOUS	1,171,594	937,179
Emergency Operations Center	Public Safety	97.052	VARIOUS	338,454	338,454
Homeland Security Grant Program	Public Safety	97.067	VARIOUS	9,052,334	7,945,500
(R&D) IMASS Intelligent Sensing System	U OF U	* 97.077	2009DN077ARI03802	30,731	
Buffer Zone Protection Plan	Public Safety	97.078	BFT90018, BZT80024	390,184	390,184
Earthquake Consortium	Public Safety	97.082	VARIOUS	106,736	
Driver's License Security Grant Prgm (Real ID)	Public Safety	97.089	VARIOUS	418,365	
National Incident Management System (NIMS)	Public Safety	97.107	SA0901858	9,361	9,361
(R&D) Fluorescent Sensing	U OF U	* 97.108	2009ST108LR0005	682,199	99,576
FEMA FBWMA	Natural Resources	N/A	CD98870603	170,301	
Department of Homeland Security	USU	N/A	NBCHC060088 (06S060)	253,897	
Pass-Through From Non-State Entities:					
(R&D) 50 Kbase DNA Sequencing – Electro-Biology Inc	U OF U	* N/A	018HS1CP02257	114,356	
Subtotal – Department of Homeland Security				34,894,647	24,086,778

HOUSING AND URBAN DEVELOPMENT, DEPARTMENT OF

(SCDBG) Community Development Blk Grant	DCC	* 14.228	B-08-DC-49-0001	4,277	
(SCDBG) Community Development Blk Grant	DCC	* 14.228	B-09-DC-49-0001	629,869	442,660
(SCDBG) Community Development Blk Grant	DCC	* 14.228	B-10-DC-49-0001	1,653,390	1,653,390
(SCDBG) Community Development Blk Grant	DCC	* 14.228	B-11-DC-49-0001	2,609,931	2,609,931
(SCDBG) Neighborhood Stabilization P–NSP1	DCC	* 14.228	B-08-DN-49-0001	476,334	404,969
(SCDBG) Neighborhood Stabilization P–NSP3	DCC	* 14.228	B-11-DN-49-0001	1,277,244	1,277,244
Emergency Shelter	DCC	14.231	E-11-DC-49-0001	616,553	585,345
Emergency Shelter	DCC	14.231	S-99-DC-49-001	44,305	44,305
Homeless Assistance Competitive	DCC	14.235	UT0001B8T000802	36,268	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Homeless Assistance Competitive	DCC	14.235	UT0001B8T001003	54,523	
Homeless Assistance Competitive	DCC	14.235	UT0011B8T030802	1,893	
Homeless Assistance Competitive	DCC	14.235	UT0011B8T031003	22,165	
Homeless Assistance Competitive	DCC	14.235	UT0020B8T041003	19,470	
Homeless Assistance Competitive	DCC	14.235	UT0021B8T040802	5,983	
Shelter Plus Care	DCC	14.238	UT0015C8T031003	28,043	28,043
Home Investment Partnership	DCC	14.239	M-11-SG-49-0100	173,800	
Home Investment Partnership	DCC	14.239	M-12-SF-49-0100	68,914	
HOPWA Block Grants	DCC	14.241	UT06H99-F999	86,921	86,921
HOPWA Block Grants	DCC	14.241	UTH00-F999	16,773	16,773
HOPWA Block Grants	DCC	14.241	UTH04-F999	410	410
HOPWA Block Grants	DCC	14.241	UTH11-F999	1,699	
ARRA-Homelessness Prev. & Rapid Re-Hous	DCC	14.257	S-09-DY-49-0001	1,862,059	1,686,049
Fair Housing Assistance Program	Labor Comm.	14.401	FF208K118003	68,515	
Fair Housing Assistance Program	Labor Comm.	14.401	FF208K108003	40,064	
Dept. of Housing and Urban Development	U OF U	N/A	VARIOUS	297,952	
Housing and Urban Development	USU	N/A	VARIOUS	48,417	
Pass-Through From Non-State Entities:					
(ECDBG) Community Development Blk Grant — Vineyard Town, Utah	UDOT	14.218	B-11-UC-49-0003	342,261	
(R&D) Depart Housing & Urban Develop — Salt Lake County UT	U OF U	* N/A	BV11255C	827,578	
SLC Council Demographics — Salt Lake City Department of Corp	U OF U	N/A	VARIOUS	(20)	
Subtotal – Department of Housing and Urban Development				11,315,591	8,836,040
<u>INTERIOR, DEPARTMENT OF THE</u>					
Fuels Intern & Color Country Fire Intern	SUU	15.151	H69443/92120	21,887	
Vernal Field Office Cooperative Agreement	DCC	15.224	L08AC13889	30,138	
GIS Cooperative Agreement	DCC	15.224	L10AC20533	40,000	
Paleo Database (UPDD)	Natural Resources	15.224	L09AC15959-3	27,654	
Wildland Urban Interface Community Assist.	Natural Resources	15.228	L08AC13293	163,937	
Frisco Mountain endemic Plant Study	SUU	15.231	L11AC20329	25,762	
UT Prairie Dog Recovery	SUU	15.231	L10AC20498	28,394	
Bat Habitat Monitoring & Population Monitoring	SUU	15.231	L11AS00146	8,627	
ARRA-(R&D) Great Basin Fire History	U OF U	* 15.231	L10AC20074	16,473	
BLM Utah Air Quality Monitoring Project	Environmental Q.	15.236	L11AC20109	195,319	
Cedar City Field Analysis (UCFA)	Natural Resources	15.236	L11AC20077	48,000	
Statewide Reclamation Agreement	Natural Resources	15.236	GBLM07AC13568	97,537	
(R&D) Environmental Quality & Protection R M	U OF U	* 15.236	VARIOUS	58,346	
(R&D) Poppy Populations	UVU	* 15.238	L10AC20099	3,154	
NUIFC Building Project	Natural Resources	15.239	L10AC20198	2,105,958	
Ready Reserve Program	Natural Resources	15.242	L08AC13016	110,360	
UT FY11 Regulatory Grant	Natural Resources	15.250	S09AF15289	1,692,548	
2009 AML Simplified Grant	Natural Resources	15.252	S09AP15288	611,483	
2010 AML Simplified Grant	Natural Resources	15.252	S10AF20007	838,206	
2011 AML Simplified Grant	Natural Resources	15.252	S11AF20026	1,737,646	
Underground Mine Map Initiative (UOS2)	Natural Resources	15.255	S11AC20013	40,000	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2848	40,577	
Stewart Lake WMA	Natural Resources	15.504	07-FC-40-2628	3,034	
Razor Back Sucker	Natural Resources	15.504	07-FG-40-2676	20,211	
Provo River Corridor	Natural Resources	15.504	07-FC-UT-1490	21,217	

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Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2845	12,093	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2846	7,855	
Bluehead Sucker	Natural Resources	15.504	06-FG-40-2519	48,727	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2844	13,694	
Native Cutthroat Trout Restoration/Cons.	Natural Resources	15.504	10-FC-UT-1710	3,744	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2847	26,858	
South Willow Fly Catcher	Natural Resources	15.504	09-AC-30-R02	14,354	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2904	25,619	
Montes Creek WMA	Natural Resources	15.504	10-FC-UT-1780	3,627	
Whiterocks Hatchery	Natural Resources	15.504	09-FC-CU-DW010	147,298	
Provo River Restoration PRRP	Natural Resources	15.504	10-FC-UT-1670	55,563	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2849	206,346	
San Juan Razorback Sucker	Natural Resources	15.504	R11AP40004	56,665	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2871	48,015	
June Sucker Interim Hatchery	Natural Resources	15.504	11-FC-CU-DW010	206,071	
Fountain Green Hatchery	Natural Resources	15.504	09-FC-CU-DW010	187,021	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2902	2,239	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2908	32,432	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2850	213,199	
June Sucker Interim Hatchery	Natural Resources	15.504	10-FC-CU-DW010	125,206	
Admin and Management	Natural Resources	15.504	10-FC-UT-1660	133,727	
Upper Colorado River Recovery	Natural Resources	15.504	09-FG-40-2870	84,024	
Utah Lake Wetland Preserve/Juab Co	Natural Resources	15.504	10-FC-UT-1650	168,230	
Diamond Fork Mitiagation Project	Natural Resources	15.504	11-FC-UT-1810	15,678	
Colorado River Basin Rangeland	Agriculture	15.509	R09AP4002	16,547	13,789
Colorado River Basin Salinity	Agriculture	15.509	04FC-40-2157	2,314,835	2,133,083
Basin States-Salinity	Agriculture	15.509	R12AC40019	38,466	38,442
San Juan Non-native Lower San Juan	Natural Resources	15.529	08-FG-40-2722	113,427	
(FW) Sport Fish Restoration Program	Natural Resources	* 15.605	VARIOUS	5,566,749	
(FW) Wildlife Restoration Program	Natural Resources	* 15.611	VARIOUS	5,559,413	
Local Endangered Species Act	Natural Resources	15.615	VARIOUS	450,917	292,790
UPDRIP 2 - BLM UT Prairie Dog Recovery	SUU	15.615	60181AJ509	5,172	
(R&D) CESU TASKS	Weber State	* 15.615	60181AP622/ES10ND011	9,880	
Landowner Incentive	Natural Resources	15.633	VARIOUS	12,181	
State Wildlife Grants	Natural Resources	15.634	VARIOUS	986,225	
USFWS Cooperative Agreements	Natural Resources	15.642	VARIOUS	614,596	
(R&D) Sherburne NWR	U OF U	* 15.650	30181AJ166F10AC00336	4,154	
(R&D) Migratory Bird Monitoring, Conservation	Weber State	* 15.655	601819J301	33,645	
(R&D) Graham's Penstemon Survey 2011	U OF U	* 15.657	F11AC00384/60181BJ606	18,441	
USGS NEHRP Nephi Segment (UNPI)	Natural Resources	15.807	G12AP20076	36,275	
Earthquake Studies (UEQ7)	Natural Resources	15.807	G10AC00058-0002	16,430	
Earthquake Studies (UEQ8)	Natural Resources	15.807	G10AC00058-0003	21,086	
USGS NEHRP Probabilities (UEQP)	Natural Resources	15.807	G11AP20004-1	23,511	
Washington Fault Zone Paleoseismic (UWPI)	Natural Resources	15.807	G11AP20061	30,975	6,000
ARRA-Upgrading Seismic Monitoring	U OF U	15.807	G09AC00467	24,201	
Wasatch GPS Network	U OF U	15.807	G10AC00159	24,302	
Basin & Range Province Earthquake WG(UBRP)	Natural Resources	15.808	G11AP20187	24,998	
CO2 Sequestration Assessment (UNCS)	Natural Resources	15.808	G10AC00596	16,545	
CESU	U OF U	15.808	G06AC0004706HQAG0157	11,472	
County Cadastral	Technology Svcs.	15.809	L08AC146880003-000	290,000	290,000
USGS ASAP Sys (FGDC CAP Business Plan)	Technology Svcs.	15.809	G11AC20049-0001-1600	40,000	
Statemap 2011 (UST1)	Natural Resources	15.810	G10AC00386	72,883	
Statemap 2012 (UST2)	Natural Resources	15.810	G11AC20249	182,714	28,000

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USGS Data Preservation Year 3 (UDP3)	Natural Resources	15.814	G11AP20159	44,988	
(R&D) Liquefaction Maps for Weber CO	U OF U	* 15.817	G12AP20074	15,850	
ARRA-(R&D) Upgrading SEIS Network	U OF U	* 15.818	G10AC00041	31,601	
(R&D) Seismic and GPS Network	U OF U	* 15.818	G10AC00124	256,231	
NCRDS - 2010 (UNC0)	Natural Resources	15.819	G10AC00455	10,792	
Historic Preservation	DCC	15.904	49-10-21951	199,268	191,607
Historic Preservation	DCC	15.904	49-11-31951	477,968	44,694
Save Utah's Treasures	U OF U	15.904	ST0308002308	361,892	
Land and Water Administration	Natural Resources	15.916	VARIOUS	3,406	
Land and Water Conservation	Natural Resources	15.916	VARIOUS	40,778	40,778
(R&D) Outdoor Recreation Acquisition	Weber State	* 15.916	H1200-004-0002	916	
Cedar Breaks Night Sky Project	SUU	15.945	J1360100174	3,449	
Phys & Bio Evaluation of Springs	SUU	15.945	J1590085135/SUU-13	3,693	
CEBR/ZION GIS Data Collection	SUU	15.945	J1596090174	2	
Youth Partnership - IIC	SUU	15.945	J1360120015/SUU-37	109,932	
GIS Supp for Under the Rim Archaeology	SUU	15.945	J1330100049/SUU-26	15,960	
Cedar Breaks Multimedia Tour	SUU	15.945	J136006D086/SUU-04 & 05	2,766	
Bryce Canyon Soundscape	SUU	15.945	J1330060018	7,183	
Grand Canyon - Parashant Nat'l Park	SUU	15.945	J8230100233/SUU-22	43,229	
Oral History Interviews from ZNP	SUU	15.945	J1590106600/SUU-23	14,521	
Bryce Canyon Memories	SUU	15.945	J1330110014 SUU-28	11,906	
Bat Monitoring Pipe Springs NM	SUU	15.945	J15101000370/SUU-25	20,277	
IIC - YIP Career Internship Corp	SUU	15.945	P11AT00221/SUU-32	209,662	
CEBR Hazardous Tree Project	SUU	15.945	J1360110060/SUU-29	12,433	
Cedar Breaks Wayside Project	SUU	15.945	J1360110051/SUU-27	483	
Enhance Trail Experience & Access-Digital	SUU	15.945	J1360110063/SUU-30	6,005	
NPS Youth Conservation Corps	SUU	15.945	VARIOUS	12,286	
Cedar Breaks	SUU	15.945	VARIOUS	5,808	
IIC - CEBR Project CIC Trails and Fence	SUU	15.945	P11AT10390	24,111	
Post Fire Monitoring & Treatment	SUU	15.945	J1597070167-SUU-08	27,136	
Forest Service Internship Program	SUU	15.945	12-CS-11040700-011	14,234	
Taylor Grazing	Agriculture	N/A	VARIOUS	132,520	
Olsen Neihart	Environmental Q.	N/A	Monitoring Agreement	253	
National Park Paleontology (UNPP)	Natural Resources	N/A	J2360106503	16,555	
Anasai BLM Coop Agreement	Natural Resources	N/A	VARIOUS	7,000	
Coral Pink BLM Coop Agreement	Natural Resources	N/A	L08AC13866	17,573	
EOTC Repository and Curation	Natural Resources	N/A	VARIOUS	22,256	
Stravation BOR Project	Natural Resources	N/A	R10AC40003	188,576	
Bureau of Land Management	Natural Resources	N/A	VARIOUS	2,576,523	
Oil and Gas Leases	Tax Commission	N/A	M10AC20016	456,140	
Kanab Field Off - Hog Canyon Rte Mgmt	SUU	N/A	VARIOUS	4,508	
IIC - BLM Career Internship Corps	SUU	N/A	L10AC20317	12,772	
BLM UT Conservation Corp - Youth Program	SUU	N/A	L10AC20317	20,618	
Wetland Microbial Comm at Bryce	SUU	N/A	P11AT00185/SUU-33	6,895	
Herbarium Imaging Project	SUU	N/A	P11AT10843-SUU-35	13,320	
Bryce Canyon - Under the Rim Archaeology	SUU	N/A	J1330100050/SUU-24	22,751	
(R&D) Department of Interior	U OF U	* N/A	VARIOUS	250,589	
Department of the Interior	U OF U	N/A	VARIOUS	922,899	
ARRA-(R&D) Bureau of Land Management	USU	* N/A	VARIOUS	71,576	
(R&D) Bureau of Land Management	USU	* N/A	VARIOUS	469,321	1,454
(R&D) Bureau of Land Management	USU	* N/A	L09AC15164 (080889)	277,829	
(R&D) Bureau of Land Management	USU	* N/A	L11AC20275 (110374)	116,526	
(R&D) Bureau of Reclamation	USU	* N/A	06FC602168 (070137)	(5)	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Bureau of Reclamation	USU	* N/A	07-FC-40-2639 (075060)	(3)	
(R&D) Bureau of Reclamation	USU	* N/A	08-CS-40-8210 (080574)	89,275	
(R&D) Bureau of Reclamation	USU	* N/A	08-FC-40-2794 (080992)	40,461	
(R&D) Bureau of Reclamation	USU	* N/A	08FC602344 (090071)	4,861	4,137
(R&D) Bureau of Reclamation	USU	* N/A	09-FC-40-2888 (090619)	5,545	
(R&D) Bureau of Reclamation	USU	* N/A	R10AC35280001 (101042)	23,048	
(R&D) Bureau of Reclamation	USU	* N/A	R10AP40007 (100659)	13,146	
(R&D) Bureau of Reclamation	USU	* N/A	R10AP40028 (100938)	18,363	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC40005 (110370)	107,069	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC40021 (110915)	90,394	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC80350 (110775)	14,870	
(R&D) Bureau of Reclamation	USU	* N/A	R11AC81456 (111043)	21,364	
(R&D) Bureau of Reclamation	USU	* N/A	R11AP40011 (110553)	77,467	20,047
(R&D) National Park Service	USU	* N/A	VARIOUS	830,132	
(R&D) National Park Service	USU	* N/A	H1200090004, R1580100323, J158(101130)	102,278	
(R&D) U.S. Fish and Wildlife Service	USU	* N/A	VARIOUS	183,698	12,337
(R&D) U.S. Fish and Wildlife Service	USU	* N/A	84320-5-J306; F12AC00126 (110834)	111,622	
(R&D) US Geological Survey	USU	* N/A	VARIOUS	351,311	
(R&D) US Geological Survey	USU	* N/A	06555HR056; G11AC20195 (070722)	130,382	
(R&D) US Geological Survey	USU	* N/A	G12AC20028 (111321)	134,767	
ARRA-Bureau of Land Management	USU	N/A	VARIOUS	16,541	
UT Reclamation Mitigation & Conservation Com	USU	N/A	VARIOUS	5,030	
Bureau of Land Management	USU	N/A	VARIOUS	171,437	
National Park Service	USU	N/A	VARIOUS	145,543	
U.S. Fish and Wildlife Service	USU	N/A	VARIOUS	7,268	
U.S. Fish and Wildlife Service	USU	N/A	F09AC00491; 98210-9-J114 (100147)	100,267	
(R&D) CESU TASKS	Weber State	* N/A	VARIOUS	18,688	
(R&D) Forest Service	Weber State	* N/A	10-CS-11041000-022	2,060	
Pass-Through From Non-State Entities:					
(R&D) Fish, Wildlife and Plant Conserv — The Nature Conservancy	Weber State	* 15.231	VARIOUS	4,780	
(R&D) Nellis Dunes Recreational Area — University of Nevada Las Vegas	U OF U	* 15.235	11716P00	57,160	
(R&D) Retrofit Impacts of Oxy-Coal — University of Wyoming	U OF U	* 15.250	1001030	79,952	
Pheasants Forever	Agriculture	15.637	60181BJ653	29,995	
(R&D) Migratory Bird Monitoring, Assess — Ogden City	Weber State	* 15.655	VARIOUS	923	
(R&D) UCSB Strong Motions — University of California Santa	U OF U	* 15.807	KK1151	10,000	
(R&D) Paleofaulting Investigation S Andreas Fault — Univ of So California	Natural Resources	15.808	G12AC20038	6,513	
(R&D) Cooperative Research Units Pgm — South Dakota State University	Weber State	* 15.812	3TB627	576	
(R&D) Department of Interior — (R&D) Bureau of Land Management — World Wildlife Fund	USU	* N/A	VARIOUS	22,075	
Idaho Department of Fish and Game	USU	* N/A	VARIOUS	27,750	
(R&D) Department of the Interior — Center for Plant Conservation	U OF U	* N/A	VARIOUS	27,211	
Hughes Research Laboratories	U OF U	* N/A	VARIOUS	46,193	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Bureau of Reclamation —					
Duchesne County Water Conservancy Dist	USU	* N/A	08-FC-40-2798	1,534	
North Wind, Inc.	USU	* N/A	20004S.11	23,309	
Weber Basin Water Conservancy District	USU	* N/A	2011WCFS-WBWCD	31,369	
(R&D) U.S. Fish and Wildlife Service					
Division of Parks and Outdoor Rec	USU	* N/A	VARIOUS	14,084	
Nevada Department of Wildlife	USU	* N/A	VARIOUS	48,108	
University of Nevada, Reno	USU	* N/A	VARIOUS	25,110	
Subtotal – Department of the Interior				37,254,080	3,117,158
<u>JUSTICE, DEPARTMENT OF</u>					
Law Enforcement Assistance	National Guard	16.001	DEA-P-031	3,693,222	
Law Enforcement Assistance	National Guard	16.001	DEA-P-004	873,592	
Sexual Assault Services Program	Governor's Office	16.017	2009KFAX0042	110,790	110,050
Sexual Assault Services Program	Governor's Office	16.017	2010KFAX0023	76,826	75,610
2008 Juvenile Accountability Block Grants	Governor's Office	16.523	2008JBFX0051	329,076	
2009 Juvenile Accountability Block Grants	Governor's Office	16.523	2009JBFX0048	102,809	
2010 Juvenile Accountability Block Grants	Governor's Office	16.523	2010JBFX0099	11,392	
Internet Crimes Against Children Task Force	Attorney General	16.540	2009MCCXK053	253,032	
2008 JJDP Juvenile Justice	Governor's Office	16.540	2008JFFX0031	46,098	10,430
2009 JJDP Juvenile Justice	Governor's Office	16.540	2009JFFX0030	72,092	5,275
2010 JJDP Juvenile Justice	Governor's Office	16.540	2010JFFX0027	177,707	98,172
2011 JJDP Juvenile Justice	Governor's Office	16.540	2011JFFX0021	281,885	123,424
2011 DMC Assessment Grant	Governor's Office	16.540	2011JFFX0163	35,410	
2008 Title V Grant	Governor's Office	16.548	2008JPFX0041	5,775	5,552
2009 Title V Grant	Governor's Office	16.548	2009JPFX0041	30,725	29,852
2009 JSTAT Program	Governor's Office	16.550	2009BJCXK025	44,381	
2009 National Criminal History Improvement	Governor's Office	16.550	2009RUBXK022	11,154	
2010 National Criminal History Improvement	Governor's Office	16.550	2010RUBXK022	12,954	
2011 National Criminal History Improvement	Governor's Office	16.550	2011RUBXK084	54,660	
2009 Victims Assistance	Governor's Office	16.575	2009VAGX0043	1,632,385	1,444,302
2010 Victims Assistance	Governor's Office	16.575	2010VAGX0103	2,217,281	2,157,243
2009 Victims Compensation	Governor's Office	16.576	2009VCGX0009	55,172	
2010 Victims Compensation	Governor's Office	16.576	2010VCGX0018	53,528	
2011 Victims Compensation	Governor's Office	16.576	2011VCGX0004	2,181,200	
Support for Adam Walsh Act Implementation	Corrections	16.580	2010-AW-BX-0038	65,484	
Drug Court Discretionary Grant Program	Human Services	16.585	2010-DC-BX-0122	341,129	200,326
Stop Violence Against Women	Governor's Office	16.588	2008WFAX0058	119,439	119,439
Stop Violence Against Women	Governor's Office	16.588	2009WFAX0012	131,211	31,731
Stop Violence Against Women	Governor's Office	16.588	2010WFAX0030	718,892	668,226
Stop Violence Against Women	Governor's Office	16.588	2011WFAX0054	546,285	514,486
ARRA-Stop Violence Against Women	Governor's Office	16.588	2009EFS60004	151,644	88,080
2011 Sexual Violence Response Improvement	Governor's Office	16.590	2011WEAX0051	91,522	55,831
2008 Res. Substance Abuse Treatment	Governor's Office	16.593	2008RTBX0059	5,396	
2009 Res. Substance Abuse Treatment	Governor's Office	16.593	2009RTBX0043	39,037	
2010 Res. Substance Abuse Treatment	Governor's Office	16.593	2010RTBX0060	128,836	127,310
NIC Cooperative Agreement	Corrections	16.601	10K127GKF2	19,970	
State Criminal Alien Assistance Program	Corrections	16.606	2008-AP-BX-0218	584,546	
Bullet Proof Vest Partnership	Attorney General	16.607	11K06	5,913	
Bullet Proof Vest Program	Governor's Office	16.607	VARIOUS	32,232	
Enforcing Underage Drinking Laws Program	Public Safety	16.727	VARIOUS	450,917	283,445
(JAG) 2008 Justice Assistance Grant	Governor's Office	16.738	2008DJBX0049	11,713	11,713

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(JAG) 2009 Justice Assistance Grant	Governor's Office	16.738	2009DJBX0657	624,534	192,381
(JAG) 2010 Justice Assistance Grant	Governor's Office	16.738	2010DJBX0318	606,391	155,370
(JAG) 2011 Justice Assistance Grant	Governor's Office	16.738	2011DJBX2082	566,316	527,018
2009 SAVIN	Governor's Office	16.740	2009VNCX0008	129,622	
Forensic DNA Capacity Enhancement Prgm.	Public Safety	16.741	VARIOUS	285,123	
Forensic Science Improvement Grant	Public Safety	16.742	VARIOUS	176,130	17,639
Support for Adam Walsh Act Implementation	Corrections	16.750	2009-AW-BX-008	123,255	
Harold Rogers Prescription Drug Monitoring Prgm	Commerce	16.754	2009-PM-BX-0012	222,642	
ARRA-Internet Crimes Against Children	Attorney General	16.800	2009SNB9K045	193,654	
ARRA-Victim Assistance Recovery	Governor's Office	16.801	2009SGB90146	63,428	63,428
ARRA-(JAG) Justice Assistance Grant	Governor's Office	16.803	2009SUB90045	2,819,490	1,631,818
ARRA-Reducing Mortgage Fraud	Attorney General	16.808	2009SCB90140	277,711	
John R. Justice Prosecutors and Defenders	Attorney General	16.816	2010-RJ-BX-.0003	100,000	
Intermtn West Reg. Computer Forensic Lab	Attorney General	N/A	VARIOUS	8,775	
Joint Terrorism Task Force	Attorney General	N/A	VARIOUS	5,895	
Federal Inmate Reimbursement	Corrections	N/A	130543	28,452	
Federal Inmate Reimbursement	Human Services	N/A	8102-0037	1,748	
Asset Forfeiture Pgm Equitable Sharing	Insurance	N/A	UT018015Y	84,117	
Equitable Sharing Program	Public Safety	N/A	Eq. Share. Function 000027	82,706	
SW Region Criminal Justice Computer Crime Inst	Dixie College	N/A	2010-DD-BX-0641	496,683	
(R&D) Opioid Drug Interactions	U OF U	* N/A	2011DNBXK532	112,486	
(R&D) Department of Justice	USU	* N/A	VARIOUS	62,519	19,522
ARRA-(R&D) Department of Justice	USU	* N/A	2009-SQ-B9-K005 (100289ARR)	194,416	
Department of Justice	USU	N/A	VARIOUS	44,369	
Office of Justice Programs	USU	N/A	VARIOUS	26,699	
Forensic Science	UVU	N/A	2008-DD-BX-0450	193,260	
Pass-Through From Non-State Entities:					
APS OVW Elder Abuse Grant —					
Salt Lake City Corporation	Human Services	16.528	2010-EW-AX-K007	51,902	
Sage Grouse Initiative —					
Tech/Child Abuse #1 & #2 —					
American Bar Association	Attorney General	16.580	03-32-13490-6004133	29,333	
Department of Justice —					
Brigham Young University	USU	N/A	VARIOUS	(5,804)	
CAPSA	USU	N/A	VARIOUS	2,563	
Iron County	USU	N/A	VARIOUS	75,465	
ARRA-Department of Justice —					
CAPSA	USU	N/A	VARIOUS	10,163	
Office of Justice Programs —					
National 4-H Council	USU	N/A	VARIOUS	167,826	
Chapter Grant —					
National Children's Alliance	Attorney General	N/A	43-SALT-UT CH09	232,248	
Training Grant —					
Wetern Regional Advocacy Center	Attorney General	N/A	1112010	7,500	
Subtotal – Department of Justice				23,908,929	8,767,673
LABOR, DEPARTMENT OF					
Bureau of Labor Statistics – MLS	Workforce Services	17.002	91251, 91252	49,498	
Bureau of Labor Statistics – LAUS	Workforce Services	17.002	91221, 91222	99,055	
BLS – LAUS City Claims	Workforce Services	17.002	91340	1,073	
Bureau of Labor Statistics – CES	Workforce Services	17.002	91210, 91211, 91212	73,366	
Bureau of Labor Statistics – OES	Workforce Services	17.002	91231, 91232	274,009	

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State of Utah
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
ES 202	Workforce Services	17.002	91240, 91241, 91242	277,653	
ES 202 AAMC	Workforce Services	17.002	91312, 91360, 91441	1,854,952	
BLS Survey Grant	Labor Comm.	17.005	OS18483-10	33,786	
BLS Survey Grant	Labor Comm.	17.005	OS-20362-11-75-J-49	33,493	
(ES) ALCATS Project Reporting	Workforce Services	17.207	T- MI186234Z	48,980	
(ES) One Stope LMI State Admin.	Workforce Services	17.207	ES192327K0, ES20779BK0, ES22093GC1	675,505	
(ES) ES Retirement (Trust)	Workforce Services	17.207	ES207799L0	742,891	
(ES) Wagner/Peyser Employment Services	Workforce Services	17.207	ES175912X0, ES192327I0	6,554,795	
(ES) Wagner/Peyser Employment Services	Workforce Services	17.207	REED ACT	6,567,000	
Unemployment Insurance Operations	Workforce Services *	17.225	UI19619S0, UI21129EW0	28,120,135	
Unemploy Ins Re-Employment Assessment	Workforce Services *	17.225	UI196128W0, UI21229DW0	1,402,448	
Unemployment Insurance Extended Benefits	Workforce Services *	17.225	VARIOUS	2,504,850	
Unemployment Ins Mandatory Re-Employment	Workforce Services *	17.225	UI22343L00	219,785	
ARRA-UC Modernization Incentive & Admin	Workforce Services *	17.225	UI MODERN INCENTIVE	1,304,444	
Trade Readjustment Allowance Benefits	Workforce Services *	17.225	UI21129ED0, UI22343IN0	2,150,009	
ARRA-Emergency Unemp Comp (EEUC)	Workforce Services *	17.225	EUCA (EUC08)	87,119,172	
ARRA-Additional Unemp Comp (FAUC)	Workforce Services *	17.225	FAUC Additional UC (FAUC)	(228,916)	
Emergency Unemp Comp (EEUC)	Workforce Services *	17.225	EUCA (EUC08)	85,250,786	
Temporary Extended Unemployment Comp	Workforce Services *	17.225	EUCA TEUC (Contributory)	(10,524)	
Extended Benefits	Workforce Services *	17.225	EUCA (EB)	283,607	
State Funded Unemployment Expenditures UI	Workforce Services *	17.225	VARIOUS	262,316,283	
Title V Senior Community Svc Employment	Human Services	17.235	AD-21866GK	548,523	511,163
Title V Senior Community Svc Employment	Human Services	17.235	AD-200019Z	117,340	117,340
Trade TAA Program	Workforce Services	17.245	TA197358L0	2,890,319	
Alternative TAA Program	Workforce Services	17.245	UI196129E0, UI21129EE0	146,732	
(WIA) Workforce Invest. Act Adult Program	Workforce Services *	17.258	VARIOUS	4,064,703	
(WIA) Workforce Invest. Act Youth Program	Workforce Services *	17.259	AA20223AF0, AA21425EZ0	4,319,195	334,878
(WIA) Dislocated Workers	Workforce Services *	17.260	EM204228K0	198,368	
Renewable Energy Business Development	SUU	17.261	EA-21889-11-60-A-49	243,442	
Community-Based Job Training Grant	Davis ATC	17.269	CB-17304-08-60-A-49	524,719	
IT Grant	Ogden/Weber ATC	17.269	HG-18175-09-60-A-49	140,120	
Welding Grant	Ogden/Weber ATC	17.269	CB-18245-90-60-A-49	64,905	
Community Based Job Training Grants	SLCC	17.269	CB-20613-10-60-A-49	848,796	
Employment and Training Administration	UVU	17.269	CB-18211-09-60-A-49	530,246	157,202
Work Opportunity Tax Credit Program	Workforce Services	17.271	ES20779DC0, ES22093HG0	134,630	
Alien Labor Certification Non Ag	Workforce Services	17.273	ES20779EF0/GZ0, ES22093IP0	43,866	
Alien Labor Certification AG	Workforce Services	17.273	ES20779EF0/GZ0, ES22093IP0	42,761	
Youth Build Grant	Ogden/Weber ATC	17.274	YB-21310-11-60-A-49	369,576	
Youthbuild	SLCC	17.274	YB-18986-09-60-A-49	58,451	
ARRA-State Energy Sector Grant	Workforce Services	17.275	GJ199135R0	2,104,001	
ARRA-Health Ins Tax Credit Oper Grant	Workforce Services	17.276	EM211926C0	38,477	
Utah ATK NEG Grant	Workforce Services	17.277	EM20422HJ	141,364	
(WIA) WIA Dislocated Worker Formula Grants	Workforce Services *	17.278	AA20223AU0/CZ0, AA21425FQ0/FQ	6,299,330	
Trade Adjustment Assist Community College	SLCC	17.282	VARIOUS	29,777	
OSHA 23G Compliance	Labor Comm.	17.503	SP17752SP1	909,688	
OSHA 23G Compliance	Labor Comm.	17.503	SP19428SP2	932,837	
OSHA 21D Data Collection	Labor Comm.	17.504	DC18112DC1	5,326	
OSHA 21D Data Collection	Labor Comm.	17.504	DC18112DC2	5,313	
OSHA 21D Consultation	Labor Comm.	17.504	CS19399CS02	624,428	
OSHA 21D Consultation	Labor Comm.	17.504	CS19399CS01	25,764	
(ES) Disabled Veterans Outreach	Workforce Services	17.801	T-DV19652D15, T-DV19652D25	885,875	
(ES) Local Veterans Employment	Workforce Services	17.804	T-DV19652L05/L15, T-DV19652L25	84,450	
Veterans Temporary Assistance	Workforce Services	17.807	T-DV19652T25	11,184	

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Department of Labor	USU	N/A	VARIOUS	136,749	
Mine Safety and Health Administration	USU	N/A	VARIOUS	94,723	
Mine Safety and Health Administration	USU	N/A	MS-23053-12-55-R-49 (110954)	144,366	
Subtotal – Department of Labor				515,478,479	1,120,583
LIBRARY OF CONGRESS					
Pass-Through From Non-State Entities:					
Multi-State Geospatial Collaboration — NC Ctr Geographic Info and Analysis	Admin. Services	N/A	GA08C0015	25,395	
Subtotal – Library of Congress				25,395	0
OTHER GOVERNMENT AGENCIES					
Pass-Through From Non-State Entities:					
Bond — ABT Associates Inc	U OF U	N/A	GOBONUOFUTAH1659025202	138,202	
Subtotal – Other Government Agencies				138,202	0
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION					
(R&D) Science	U OF U	* 43.001	VARIOUS	112,283	
NASA GISS Project	Environmental Q.	N/A	VARIOUS	53	
(R&D) GUVI Extended Mission	Dixie College	* N/A	NNX11AH76G	49,679	18,827
(R&D) Nat'l. Aeronautics & Space Admin.	U OF U	* N/A	VARIOUS	1,358,887	55,390
National Aeronautics and Space Admin.	U OF U	N/A	VARIOUS	1,037,152	556,551
(R&D) Goddard Space Flight Center	USU	* N/A	VARIOUS	55,749	
(R&D) Goddard Space Flight Center	USU	* N/A	NNX09AN55G (090297)	104,042	
(R&D) Jet Propulsion Laboratory	USU	* N/A	VARIOUS	120,707	
(R&D) Jet Propulsion Laboratory	USU	* N/A	1407652 (S00000143)	369,355	
(R&D) National Aeronautic & Space Admin	USU	* N/A	VARIOUS	128,648	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNG11CR02C (S00000761)	305,733	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNL10AA11B, NNL10AC85T (S00511001)	112,852	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNX09AH97G (09S005)	137,662	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNX09AM71G (09S016)	208,276	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNX10AH27G (S00000493)	238,494	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNX10AN87A (10S003)	119,980	
(R&D) National Aeronautic & Space Admin	USU	* N/A	NNX11AE23G (S00000534)	192,473	
(R&D) National Aeronautic & Space Admin	USU	* N/A	0000144634/10017666 (100661)	189,362	
(R&D) National Aeronautic & Space Admin	USU	* N/A	10013106 (090679)	236,509	
(R&D) Stennis Space Center	USU	* N/A	VARIOUS	147,655	
Goddard Space Flight Center	USU	N/A	VARIOUS	3,544	
Jet Propulsion Laboratory	USU	N/A	VARIOUS	84,318	
National Aeronautics and Space Administration	USU	N/A	VARIOUS	4,000	
Pass-Through From Non-State Entities:					
(R&D) Aerospace Education Services Pgm — University of Washington	Weber State	* 43.001	Subcontract #462967	85,609	
(R&D) Science — University of California Davis	U OF U	* 43.001	201014168UTAH01	71,781	
Yale University	U OF U	* 43.001	C12N11372N00192	9,010	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Nat'l. Aeronautics & Space Admin —					
Aribex Inc	U OF U	* N/A	10022345	61,822	
Association for Institutional	U OF U	* N/A	RG1156	32,481	
NASA Jet Propulsion Laboratory	U OF U	* N/A	VARIOUS	127,277	
Space Telescope Science Institute	U OF U	* N/A	VARIOUS	90,604	
University of California Santa	U OF U	* N/A	KK9102	9,114	
Wyle Integrated Science and Engineering	U OF U	* N/A	T72162	48,380	
University of Georgia	U OF U	* N/A	RR1752254693408	17,288	
(R&D) Goddard Space Flight Center —					
Hampton University	USU	* N/A	VARIOUS	97,152	
(R&D) GUVI Extended Mission					
The Aerospace Corporation	Dixie College	* N/A	NNX11AD71G	23,323	
(R&D) Nat'l. Aeronautic & Space Admin —					
Analytical Mechanics Assoc, Inc.	USU	* N/A	VARIOUS	1,180	
Appalachian State University	USU	* N/A	VARIOUS	45,733	
Ashwin - Ushas Corporation, Inc.	USU	* N/A	VARIOUS	(4,656)	
ATK Alliant Systems	USU	* N/A	VARIOUS	35,216	
Ball Aerospace & Technologies Corp	USU	* N/A	VARIOUS	48,937	
Design Net Engineering LLC	USU	* N/A	VARIOUS	140,691	
GATS, Inc.	USU	* N/A	VARIOUS	6,299	
Northrop Grumman Systems Corp	USU	* N/A	VARIOUS	69,604	
NorthWest Research Assoc, Inc.	USU	* N/A	VARIOUS	20,000	
Science Systems & Applications Inc	USU	* N/A	VARIOUS	251,587	
SETI Institute	USU	* N/A	VARIOUS	12,211	
SGT, Inc.	USU	* N/A	VARIOUS	162,528	
Sierra Lobo Phase I SBIR	USU	* N/A	VARIOUS	7,108	
Sigma Space Corporation	USU	* N/A	VARIOUS	115,441	
Space Micro, Inc.	USU	* N/A	VARIOUS	163	
UAV Collaborative	USU	* N/A	VARIOUS	141,078	
Univ Corp for Atmospheric Research	USU	* N/A	VARIOUS	30,191	
University of Arizona	USU	* N/A	VARIOUS	84,747	
Univ of California Santa Cruz	USU	* N/A	VARIOUS	14,612	
University of Colorado	USU	* N/A	VARIOUS	8,428	
Virginia Polytech	USU	* N/A	VARIOUS	(3,100)	
Rasc-AI Robo-Ops —					
National Institute of Aerospace	U OF U	N/A	VARIOUS	9,647	
Subtotal – National Aeronautics and Space Administration				7,188,899	630,768
<u>NATIONAL ARCHIVES AND RECORDS ADMINISTRATION</u>					
National Historical Publications & Records	Admin. Services	89.003	NAR10-RB-50086-10	73,618	
National Historical Publications & Records	Admin. Services	89.003	NHPRC RC-10101	34,725	
Subtotal – National Archives and Records Administration				108,343	0
<u>NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES</u>					
Shakespeare	SUU	45.024	VARIOUS	50,000	
National Endowment for The Art	U OF U	45.024	VARIOUS	96,427	
NEA–Utah Arts Program	DCC	45.025	10-6100-2056	589,063	
(R&D) Perservation and Access	Weber State	* 45.149	PG-51564-12	5,766	
Promotion of the Humanities – Public Prgms	U OF U	45.164	VARIOUS	291,007	
(R&D) Digital Humanities	Weber State	* 45.169	HD-51258-11	34,384	
Trailhead To Utah	U OF U	45.301	MA0409025109	46,180	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
IMLS–State Library Program	DCC	45.310	LS-00-09-0045-10	1,189,354	193,707
IMLS–State Library Program	DCC	45.310	LS-00-10-0045-11	764,509	
IMLS–State Library Program	DCC	45.310	LS-00-11-0045-12	1,878	
National Leadership Grants	U OF U	45.312	VARIOUS	14,188	
(R&D) Visualizing Poetry	U OF U	* N/A	HJ5009912	16,583	
NDNP Phase 1	U OF U	N/A	PJ5000305	46,663	
Institute of Museum and Library Services	U OF U	N/A	VARIOUS	243,978	
(R&D) National Endowment for the Humanities	USU	* N/A	VARIOUS	3,163	
Institute of Museum and Library Services	USU	N/A	VARIOUS	37,105	
Pass-Through From Non-State Entities:					
Western Waters Digital Library —					
Colorado State University	U OF U	45.149	G10101	(4,567)	
(R&D) Natl Endowment for the Humanities —					
Utah Humanities Council	USU	* N/A	VARIOUS	1,792	
Subtotal – National Foundation on the Arts and Humanities				3,427,473	193,707
<u>NATIONAL SCIENCE FOUNDATION</u>					
(R&D) Engineering Grants	U OF U	* 47.041	VARIOUS	1,875,775	224,140
Engineering Grants	U OF U	47.041	VARIOUS	319	
(R&D) Mathematical and Physical Sciences	U OF U	* 47.049	VARIOUS	8,953,424	122,496
Mathematical and Physical Sciences	U OF U	47.049	VARIOUS	140,321	
(R&D) Methane Imaging	UVU	* 47.049	AST-1009776	21,625	
(R&D) Geosciences	U OF U	* 47.050	VARIOUS	1,199,002	18,124
(R&D) Geosciences	Weber State	* 47.050	EAR-1050069	47,080	
(R&D) Computer and Information —					
Science and Engineering	U OF U	* 47.070	VARIOUS	3,642,056	-
Computer & Info Science and Engineering	U OF U	47.070	VARIOUS	18,801	
(R&D) Biological Sciences	U OF U	* 47.074	VARIOUS	3,758,883	217,442
Biological Sciences	U OF U	47.074	VARIOUS	725,164	
(R&D) Social, Behavioral, and					
Economic Sciences	U OF U	* 47.075	VARIOUS	1,243,092	
Social, Behavioral, and Economic Sciences	U OF U	47.075	VARIOUS	15,811	
(R&D) Colonialism Etan Peru	UVU	* 47.075	BCS-1026169	8,254	
(R&D) Social, Behavioral, & Economic Sci.	Weber State	* 47.075	SES-0648735	162	
Education and Human Resources	SLCC	47.076	DUE-0856770/DUE-1003292	584,546	
(R&D) Education and Human Resources	U OF U	* 47.076	VARIOUS	271,148	
Education and Human Resources	U OF U	47.076	VARIOUS	1,368,459	40,517
Noyce Professional Engagement	UVU	47.076	DUE-0934942	131,185	
(R&D) Polar Programs	U OF U	* 47.078	VARIOUS	194,236	
(R&D) International Science and Engineering	U OF U	* 47.079	VARIOUS	43,710	
International Science and Engineering (OISE)	U OF U	47.079	VARIOUS	624,935	
(R&D) Office of Cyberinfrastructure	U OF U	* 47.080	VARIOUS	223,810	
Native American Regional IT Workforce Dev	UVU	47.080	OCI-1041410	482,585	131,491
ARRA-(R&D) Trans-NSF Recovery Act R S	U OF U	* 47.082	VARIOUS	3,764,621	639,375
Scholastic Reward for Talented Low Income	Dixie College	N/A	0630985	3,424	
(R&D) National Science Foundation	U OF U	* N/A	VARIOUS	101,412	
(R&D) Gromov-Witten Theory	U OF U	* N/A	DMS0901098	52,948	
(R&D) EPSCOR Planning Grant	U OF U	* N/A	0940499	136,354	100,000
National Science Foundation	U OF U	N/A	VARIOUS	21,518	
National Science Foundation – Fellow	U OF U	N/A	VARIOUS	59,349	
(R&D) Directorate for Biological Sciences	USU	* N/A	VARIOUS	58,987	
(R&D) Directorate for Biological Sciences	USU	* N/A	DEB-1019613 (100606)	101,748	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Directorate for Computer/Info Sci/Eng	USU	* N/A	VARIOUS	220,700	
(R&D) Directorate for Education and HR	USU	* N/A	VARIOUS	346,972	98,591
(R&D) Directorate for Education and HR	USU	* N/A	DRL-0426421/ESI-0426421 (041447)	518,755	
(R&D) Directorate for Engineering	USU	* N/A	VARIOUS	112,242	
(R&D) Directorate for Geosciences	USU	* N/A	VARIOUS	271,343	
(R&D) Directorate for Math & Physical Scien.	USU	* N/A	VARIOUS	164,119	
(R&D) Directorate for Math & Physical Scien.	USU	* N/A	CHE-1026826 (100697)	112,516	
(R&D) Directorate for Math & Physical Scien.	USU	* N/A	CHE-1057746 (110104)	115,985	
(R&D) Directorate for Social Behavioral & Econ.	USU	* N/A	VARIOUS	50,521	11,400
(R&D) National Science Foundation	USU	* N/A	VARIOUS	1,389,994	168,190
(R&D) National Science Foundation	USU	* N/A	0953046 (100072)	126,352	
(R&D) National Science Foundation	USU	* N/A	1020086 (100616)	453,393	
(R&D) National Science Foundation	USU	* N/A	1045356 (101163)	162,915	
(R&D) National Science Foundation	USU	* N/A	AGS-1041571 (100978)	155,936	
(R&D) National Science Foundation	USU	* N/A	AGS-1061892 (100633)	117,403	
(R&D) National Science Foundation	USU	* N/A	DEB-0953694 (100066)	134,316	
(R&D) National Science Foundation	USU	* N/A	DEB-1054040 (110046)	121,503	
(R&D) National Science Foundation	USU	* N/A	MCB-0952920 (100067)	198,425	
ARRA-(R&D) Directorate for Biological Sci.	USU	* N/A	MCB-0920776 (090469ARR)	127,839	
ARRA-(R&D) Directorate for Geosciences	USU	* N/A	VARIOUS	72,862	
ARRA-(R&D) Directorate Math & Physical Sci.	USU	* N/A	CHE-0923654 (090317ARR)	172,731	
ARRA-(R&D) Directorate Social Behavioral Econ	USU	* N/A	BCS-0960077 (100135ARR)	108,810	
ARRA-(R&D) National Science Foundation	USU	* N/A	VARIOUS	125,855	13,617
ARRA-National Science Foundation	USU	N/A	838059 (10S009)	174,423	
National Science Foundation	USU	N/A	VARIOUS	17,465	
National Science Foundation	USU	N/A	DRL-1054280 (110075)	121,874	
National Science Foundation	USU	N/A	10012985 - S1/S2 (100544)	114,953	
Pass-Through From Non-State Entities:					
Engineering Grants —					
Inscent, Inc	SLCC	47.041	1058580	16,870	
(R&D) Engineering Grants —					
Arizona State University	U OF U	* 47.041	11587	29,356	
University of Michigan	U OF U	* 47.041	Z376501	20,387	
University of Southern California	U OF U	* 47.041	H44550	69,118	
(R&D) Mathematical & Physical Sciences —					
Colorado School of Mines	U OF U	* 47.049	400361P0120847	4,011	
University of Colorado at Boulder	U OF U	* 47.049	0000067989	60,554	
Wayne State University	U OF U	* 47.049	WSU07080	9,428	
(R&D) Geosciences —					
Colorado State University	U OF U	* 47.050	VARIOUS	82,663	
Oregon Health and Science University	U OF U	* 47.050	GSTCN001A7UTAH	(332)	
Texas Christian University	U OF U	* 47.050	P24475000	76,479	
Spatial Algorithms —					
Computing Research Association	U OF U	47.070	CIF32	2,811	
(R&D) Computer, Info Science & Engineering —					
New Mexico Consortium	U OF U	* 47.070	NMC006	114,403	
Northeastern University	U OF U	* 47.070	501847P1002650	566	
(R&D) Biological Sciences —					
University of Arizona	U OF U	* 47.074	Y550697	223,930	
University of Kansas	U OF U	* 47.074	VARIOUS	24,276	
University of Southern California	U OF U	* 47.074	137255DBI0849977	10,426	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Social, Behavioral, & Econ. Sciences —					
Arizona State University	U OF U	* 47.075	11545	7,129	
Vanderbilt University	U OF U	* 47.075	21204S1	16,735	
Batterer Intevention —					
NYU Langone Medical Center	U OF U	47.075	F661401	26,287	
(R&D) Education and Human Resources —					
Arizona State University	U OF U	* 47.076	KMS00192242	756	
Cornell University	U OF U	* 47.076	569069074	10,629	
Graduate Preparation Institute —					
Arizona State University	U OF U	47.076	12705	57,500	
Education and Human Resources —					
Lorain County Community College	Weber State	47.076	LCCC1-0000100293	22,130	
(R&D) Victoria Land Basin Tectonics —					
University of Nebraska-Lincoln	U OF U	* 47.078	2505500001124	9,170	
(R&D) Magnetotelluric —					
US Civilian Research & Develop	U OF U	* 47.079	RUG17026M011	6,801	
ARRA-(R&D) Trans-NSF Recovery Act R S —					
BBN Technologies Corporation	U OF U	* 47.082	VARIOUS	474,352	
Ohio State University Research	U OF U	* 47.082	60023884	18,465	
University of Michigan	U OF U	* 47.082	3001341070	38,355	
University of Texas at Austin	U OF U	* 47.082	VARIOUS	278,326	
University of California Santa	U OF U	* 47.082	KK1011	130,191	
ARRA-(R&D) National Science Foundation —					
Arizona State University	U OF U	* N/A	KMS02000150	366	
BBN Technologies Corporation	U OF U	* N/A	9500009499	175,715	54,043
Brigham Young University	U OF U	* N/A	110324	37,721	
Echelon Biosciences Inc	U OF U	* N/A	10022703	32,965	
Global Environment for Network	U OF U	* N/A	1855	4,554	
Heavystone Laboratory LLC	U OF U	* N/A	10018547	37,891	
National Science Foundation	U OF U	* N/A	ECS9979323	224	
Raytheon Company	U OF U	* N/A	1861	22,079	
Smithsonian Astrophysical Observatory	U OF U	* N/A	11SUBC400SV181012	3,326	
University Corp for Atmospheric Research	U OF U	* N/A	S1185301	(27)	
University of California Davis	U OF U	* N/A	060025831UTAHCCF0702817	6,004	
University of California Irvine	U OF U	* N/A	20102529	97,041	
Wasatch Microfluidics Inc	U OF U	* N/A	10024120	31,326	
ARRA-(R&D) National Science Foundation —					
University of Minnesota	USU	* N/A	VARIOUS	64,625	
University of Montana	USU	* N/A	VARIOUS	36,055	
National Science Foundation —					
Nise Network	U OF U	N/A	9215708465	3,096	
University of Guam	U OF U	N/A	VARIOUS	1,405	
(R&D) National Science Foundation —					
Brigham Young University	USU	* N/A	VARIOUS	33,535	
CUAHSI	USU	* N/A	VARIOUS	36,359	
Harvey Mudd College	USU	* N/A	VARIOUS	36,938	
Marine Biological Laboratory	USU	* N/A	VARIOUS	25,121	
Natl Ecological Observatory Network	USU	* N/A	VARIOUS	17,708	
University of California, Los Angeles	USU	* N/A	VARIOUS	6,638	
University of Colorado	USU	* N/A	VARIOUS	38,035	
University of New Mexico	USU	* N/A	VARIOUS	18,206	
University of Notre Dame	USU	* N/A	VARIOUS	42,466	
University of Puerto Rico	USU	* N/A	VARIOUS	27,438	

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of Southern California	USU	* N/A	VARIOUS	35,863	
University of Texas, Austin	USU	* N/A	VARIOUS	27,081	
University of Virginia	USU	* N/A	VARIOUS	3,359	
Subtotal – National Science Foundation				38,429,831	1,839,426
<u>NUCLEAR REGULATORY COMMISSION</u>					
(R&D) Faculty Develop Nuclear Engine	U OF U	* N/A	NRC3810934	80,625	
(R&D) US Nuclear Regulatory Commission	USU	* N/A	VARIOUS	77,261	
Pass-Through From Non-State Entities:					
(R&D) US Nuclear Regulatory Commission — Calbert Cliffs Nuclear Power LLC	USU	* N/A	VARIOUS	2,418	
Subtotal – Nuclear Regulatory Commission				160,304	0
<u>SMALL BUSINESS ADMINISTRATION</u>					
Small Business Development Centers	SLCC	59.037	VARIOUS	808,669	
Small Business Development Center	Snow College	59.037	VARIOUS	69,487	
State Trade & Export Promotion Grant (STEP)	Governor's Office	59.061	SBAHQ-11-IT-0039	201,430	
Small Business Jobs Act	SLCC	N/A	1-603001-Z-0156	181,941	
Small Business Administration	USU	N/A	VARIOUS	45,000	45,000
Subtotal – Small Business Administration				1,306,527	45,000
<u>SMITHSONIAN INSTITUTION</u>					
(R&D) Veritas Observatory	U OF U	* N/A	SV171007	14,760	
(R&D) Smithsonian Institution	USU	* N/A	VARIOUS	51,201	
Subtotal – Smithsonian Institution				65,961	0
<u>SOCIAL SECURITY ADMINISTRATION</u>					
(SSI) Disability Determination	Education	* 96.001	VARIOUS	12,103,388	
Utah Work Incentive Project	Education	96.008	16-T-10095-8-05	100,000	
Social Security Reimbursement	Education	N/A	VARIOUS	1,399,976	
Subtotal – Social Security Administration				13,603,364	0
<u>STATE, DEPARTMENT OF</u>					
Bureau of Educational and Cultural Affairs	USU	N/A	VARIOUS	99,509	
Bureau of Educational and Cultural Affairs	USU	N/A	FY10-TEA-USU-01 (110923)	141,630	
Pass-Through From Non-State Entities:					
Academic Exchange Pgms Undergrad — Kirkwood Community College	SLCC	19.009	SLC94660-67025	11,146	
(R&D) Department of State — Conrad	U OF U	* N/A	VARIOUS	874,541	
Population Council	U OF U	* N/A	SH1111	13,263	
(R&D) Department of State — Virginia Tech Polytechnic Inst & State Univ	USU	* N/A	VARIOUS	25,361	
Subtotal – Department of State				1,165,450	0

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
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Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
TRANSPORTATION, DEPARTMENT OF					
Airport Improvement Program	UDOT	20.106	3-49-0000-09/DOT-FA09NM-1033	33,619	
Airport Improvement Program	UDOT	20.106	3-49-0000-10/DOT-FA09NM-1129	18,741	
Airport Improvement Program	UDOT	20.106	3-49-0000-11/DOT-FA10NM-1041	66,302	
ARRA-(HPC) Highway Planning & Const.	UDOT	* 20.205	ARRA	5,071,032	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Add CA FR STS w/Ind Res	267,684	266,374
(HPC) Highway Planning & Construction	UDOT	* 20.205	Bridge	14,212,290	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Bridge Research & Deploy	7,693	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Congestion/Air Quality	7,475,418	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Demonstration Proj	5,298	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Emergency Relief	8,352,487	120,500
(HPC) Highway Planning & Construction	UDOT	* 20.205	Environmental Steamlining	106	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Equity Bonus Exempt Lim	7,157,798	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Equity Bonus SP Limitation	1,068,068	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Extension of Alloc Programs	9,426,096	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Ferry Boat & Term Constr	127,279	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Freight Intermodal Grant Prg	220,742	
(HPC) Highway Planning & Construction	UDOT	* 20.205	FTA Hwy Proj Tsf FHWA	549,639	549,639
(HPC) Highway Planning & Construction	UDOT	* 20.205	Grants Sup Plan Section 117	168,209	
(HPC) Highway Planning & Construction	UDOT	* 20.205	High Priority Projects	5,227	
(HPC) Highway Planning & Construction	UDOT	* 20.205	High Priority Projects 1702	17,124,997	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Highway Bridge Prgm (Plus)	2,020,038	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Highway Demo Project	231,886	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Highway Infrastructure	3,212,614	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Highway Plan and Research	5,079,894	47,600
(HPC) Highway Planning & Construction	UDOT	* 20.205	HSIP Limiting Flexible	9,163,821	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Interstate Maint Disc	1,420,389	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Interstate Maintenance	140,427,633	
(HPC) Highway Planning & Construction	UDOT	* 20.205	ITS Deployment-Metropol	233,664	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Metropolitan Planning	2,041,883	2,041,883
(HPC) Highway Planning & Construction	UDOT	* 20.205	Minimum Guarantee	110,964	
(HPC) Highway Planning & Construction	UDOT	* 20.205	National Highway System	89,875,020	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Public Lands	606,803	49,950
(HPC) Highway Planning & Construction	UDOT	* 20.205	Rail-Hwy Crossing Haz Eli	837,466	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Redistributed Certain Auth	4,069,695	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Safe Routes to School	1,204,811	41,970
(HPC) Highway Planning & Construction	UDOT	* 20.205	Scenic Byways	239,882	167,178
(HPC) Highway Planning & Construction	UDOT	* 20.205	Sec 115 Unobl Bal Transf	32,666	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Special Projects/Studies	79,173	
(HPC) Highway Planning & Construction	UDOT	* 20.205	St Environ Coop Program	41,707	
(HPC) Highway Planning & Construction	UDOT	* 20.205	STP Transferability	466,546	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Supportive Services	108,858	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Surface Trans Priorities	384,543	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Surface Trans Research	2,191	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Surface Transportation Prgm	97,070,564	6,916,318
(HPC) Highway Planning & Construction	UDOT	* 20.205	Surface Transportation Proj	1,663,122	1,499,744
(HPC) Highway Planning & Construction	UDOT	* 20.205	Trans Com Sys Pres Pilot	805,227	403,734
(HPC) Highway Planning & Construction	UDOT	* 20.205	Transp Assist Program	182,611	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Transportation Imp Proj	7,178,889	4,049
(HPC) Highway Planning & Construction	UDOT	* 20.205	Truck Parking Facilities	56,344	
(HPC) Highway Planning & Construction	UDOT	* 20.205	Urban Access & Mobility	542	
National Motor Carrier Safety	UDOT	20.218	MN10(491)	21,393	
National Motor Carrier Safety	UDOT	20.218	MN11(491)	257,842	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
National Motor Carrier Safety	UDOT	20.218	MC11(491)	731,688	
National Motor Carrier Safety	UDOT	20.218	MC12(491)	994,383	
National Motor Carrier Safety	UDOT	20.218	MH10(492)	99,385	
(HPC) Recreational Trails Program	Natural Resources	* 20.219	Recreational Trails	1,097,192	993,933
Performance and Registration Inf Sys Mgt	UDOT	20.231	PZ09(491)	17,323	
Commercial Driver's License Improvement	Public Safety	20.232	VARIOUS	427,768	
CMV Operator Safety Training	Snow College	20.235	DT-10-49-G-00000	1,800	
Commercial Vehicle Info Systems & Networks	UDOT	20.237	IT07(491)	79,748	
Commercial Vehicle Info Systems & Networks	UDOT	20.237	IT09(491)	421,800	
ARRA-Formula Grants Other Than Urbanized	UDOT	* 20.509	UT-86-X001	703,575	641,016
Formula Grants Other Than Urbanized Areas	UDOT	* 20.509	UT-18-X027	1,003,864	847,614
Formula Grants Other Than Urbanized Areas	UDOT	* 20.509	UT-18-X028	515,248	229,692
Formula Grants Other Than Urbanized Areas	UDOT	* 20.509	UT-18-X029	2,224,035	2,072,812
Formula Grants Other Than Urbanized Areas	UDOT	* 20.509	UT-18-X030	3,326,174	3,326,174
Formula Grants Other Than Urbanized Areas	UDOT	* 20.509	UT-18-X026	9,216	9,216
(TSP) Capital Asst. Elderly with Disabilities	UDOT	20.513	UT-16-X002	224,000	224,000
(TSP) Capital Asst. Elderly with Disabilities	UDOT	20.513	UT-16-X003	427,878	
(TSP) Capital Asst. Elderly with Disabilities	UDOT	20.513	UT-16-X004	141,822	52,283
Public Transportation Research	UDOT	20.514	UT-26-5001	184,702	3,315
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X001	571,384	571,384
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X002	309,955	228,125
(TSP) Job Access Reverse Commute	UDOT	20.516	UT-37-X003	405,754	392,729
(TSP) New Freedom Program	UDOT	20.521	UT-57-X001	115,468	115,409
(TSP) New Freedom Program	UDOT	20.521	UT-57-X002	318,544	290,784
(TSP) New Freedom Program	UDOT	20.521	UT-57-X003	265,870	265,844
(HS) State and Community Highway Safety	Public Safety	20.600	VARIOUS	1,628,892	694,965
(HS) State and Community Highway Safety	UDOT	20.600	NHTSA Grants Sfty Blts Trf	763,427	
(HS) Alcohol Impaired Driving Countermeasures	Public Safety	20.601	VARIOUS	1,201,696	341,459
(HS) Occupant Protection Incentive	Public Safety	20.602	VARIOUS	127,978	12,436
(HS) Safety Belt Performance Grant	Public Safety	20.609	VARIOUS	1,047,997	245,729
(HS) St Traffic Safety Info System Improve.	Public Safety	20.610	VARIOUS	418,889	144,100
(HS) Incentive Prgm to Prohibit Racial Profiling	Public Safety	20.611	VARIOUS	205,635	35,585
(HS) Incentive Program to Incr. Motorcyclist Sfty	Public Safety	20.612	VARIOUS	92,944	
(HS) Child Safety and Child Booster Seats	Public Safety	20.613	VARIOUS	156,338	76,066
NHTSA Discretionary Safety Grants	Public Safety	20.614	VARIOUS	43,712	
Gas Pipeline Safety Program	Commerce	20.700	DOT-GB-90041	194,641	
Hazardous Materials Planning & Training	Public Safety	20.703	VARIOUS	260,703	145,841
Motor Fuel Evasion	Tax Commission	N/A	F-ST99(107)	3,109	
Odometer Fraud	Tax Commission	N/A	DTNH22-05-H-01403	46,240	
RSG/DOT-VOLPE/NPS Visitor Survey	SUU	N/A	DTRT57-10-P-80069	23,059	
(R&D) Department of Transportation	U OF U	* N/A	VARIOUS	111,993	
Department of Transportation	U OF U	N/A	VARIOUS	1,652,947	73,099
(R&D) Federal Highway Administration	USU	* N/A	VARIOUS	14,460	
(R&D) Research & Special Programs Admin.	USU	* N/A	DTRT06-G-0040 (061238)	153,656	
Pass-Through From Non-State Entities:					
(R&D) Department of Transportation —					
(R&D) Federal Highway Administration —					
North Dakota State University	USU	* N/A	VARIOUS	14,828	
Department of Transportation	USU	* N/A	VARIOUS	33,451	
Oregon State University	USU	* N/A	VARIOUS	92,689	
Multimedia Data Services Corp	USU	* N/A	VARIOUS	90,138	
Rutgers The State University of NJ	USU	* N/A	VARIOUS	28,072	
Rutgers The State University of NJ	USU	* N/A	VARIOUS	684,102	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by Federal Agency
For the Year Ended June 30, 2012

Grant Name	State Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Department of Transportation —					
ICF Incorporated	U OF U	* N/A	IIVV000157	2,924	
Kittelson & Associates Inc	U OF U	* N/A	various	92,205	
KLS Engineering	U OF U	* N/A	DFTH6110C000572	9,874	
North Dakota State University	U OF U	* N/A	various	66,521	12,987
Texas A&M University	U OF U	* N/A	S100088	13,691	
North Carolina State University	U OF U	* N/A	2009038804	7,821	
Department of Transportation —					
Univ of North Carolina at Chapel Hill	U OF U	N/A	VARIOUS	16,388	
Subtotal – Department of Transportation				<u>464,407,002</u>	<u>24,155,536</u>
TREASURY, DEPARTMENT OF THE					
Low Income Taxpayer Clinic 2012	U OF U	21.008	12LITC0149	30,455	
Low Income Taxpayer Clinic 2011	U OF U	N/A	LITC11	36,415	
Subtotal – Department of the Treasury				<u>66,870</u>	<u>0</u>
VETERANS AFFAIRS, DEPARTMENT OF					
Veterans State Nursing Home Ivins	Veteran's Affairs	64.005	FAI-49-004	2,909,429	
Veterans State Nursing Home Payson	Veteran's Affairs	64.005	FAI-49-005	2,583,050	
Veterans State Nursing Home Care	Veteran's Affairs	64.015	VARIOUS	8,515,620	
Veterans Cemetery	Veteran's Affairs	64.203	FAI-UT-10-03	247,158	
Veterans Cemetery	Veteran's Affairs	64.203	FAI-UT-07-02	3,331,801	
Veterans Administrative Office	Veteran's Affairs	N/A	VARIOUS	99,644	
State Approving Agency	Veteran's Affairs	N/A	V101 (223C) P-5059	112,676	
Veteran's Administration Allowance	Dixie College	N/A	VARIOUS	772	
Veterans Reporting	SUU	N/A	VARIOUS	3,822	
(R&D) Department of Veterans Affairs	U OF U	* N/A	VARIOUS	1,235,669	
Department of Veterans Affairs	U OF U	N/A	VARIOUS	6,020,668	
Pass-Through From Non-State Entities:					
Department of Veterans Affairs —					
Vietnam Education Foundation	U OF U	N/A	VARIOUS	21,658	
United States Olympic Committee	U OF U	N/A	VARIOUS	3,500	
Subtotal – Department of Veterans Affairs				<u>25,085,467</u>	<u>0</u>
TOTAL FEDERAL EXPENDITURES				<u>\$ 4,767,683,852</u>	<u>\$ 776,701,109</u>
Summary of Endowments, Loan Guarantees, and Loans Outstanding Reported in Notes to the Schedule of Expenditures of Federal Awards				<u>\$ 2,009,697,534</u>	
TOTAL				<u>\$ 6,777,381,386</u>	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS
By State Agency

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
PRIMARY GOVERNMENT					
AGRICULTURE AND FOOD, DEPARTMENT OF					
Japanese Beetle	USDA,APHIS	10.025	11-8588-0587-CA	\$ 4,798	\$
Honey Bee Survey	USDA,APHIS	10.025	10-8588-1456-CA	15,000	
Medusa Head	USDA,APHIS	10.025	11-8588-1268-CA	5,000	
Chinese Long Horned Beetle (WoodBorer)	USDA,APHIS	10.025	11-8588-0587-CA	14,355	
Imported Fire Ant	USDA,APHIS	10.025	12-8588-0587-CA	1,840	
Imported Fire Ant	USDA,APHIS	10.025	11-8588-0587-CA	12,299	
Orchard Pest Survey	USDA,APHIS	10.025	11-8588-1454-CA	25,000	
FAD/National Emergency	USDA,APHIS	10.025	11-9749-1788-CA	18,000	
Avian Influenza Game Birds	USDA,APHIS	10.025	11-9749-1985-CA	13,299	
Avian Influenza Commercial	USDA,APHIS	10.025	11-9749-1985-CA	36,500	
Avian Influenza Commercial	USDA,APHIS	10.025	12-9749-2168-CA	1,290	
Scrapie Genotyping	USDA,APHIS	10.025	11-9749-2079-CA	1,827	
Cereal Leaf Beetle	USDA,APHIS	10.025	12-8588-0587-CA	3,602	
Cereal Leaf Beetle	USDA,APHIS	10.025	11-8588-0587-CA	14,589	
Assessing Weed Bio	USDA,APHIS	10.025	11-8588-0587-CA	10,533	
Assessing Weed Bio	USDA,APHIS	10.025	12-8588-0587-CA	2,217	
UWSA Weed Bio Control	USDA,APHIS	10.025	10-8588-0587-CA	20,000	20,000
Emerald Ash Borer	USDA,APHIS	10.025	12-8588-0587-CA	2,662	
Siberian Moth	USDA,APHIS	10.025	11-8588-0587-CA	18,351	
Gypsy Moth, APHIS	USDA,APHIS	10.025	11-8588-0587-CA	36,491	
Gypsy Moth, APHIS	USDA,APHIS	10.025	12-8588-0587-CA	3,910	
Animal Disease Traceability	USDA,APHIS	10.025	11-9749-2039-CA	46,000	
Core Infrastructure	USDA,APHIS	10.025	12-8588-0587-CA	110	
Core Infrastructure	USDA,APHIS	10.025	11-8588-0587-CA	109,353	
Grasshopper/Mormon Cricket	USDA,APHIS	10.025	04-8588-0819-GR	500,500	
UDSA School Lunch	USDA,AMS	10.162	12-25-A-3316	2,715	
USDA Egg Surveillance	USDA,AMS	10.162	12-25-A-2423	8,596	
Bovine Spongiform Encephalophy	USDA,AMS	10.162	HHSF22300640120P	20,000	
COOL Training	USDA,AMS	10.162	12-25-A-5449	29,743	
USDA Egg Product	USDA,AMS	10.162	12-37-A-507	108,257	
Records Management	USDA,AMS	10.163	12-25-A-4286	30,000	
Specialty Crop Block Grant Program - Farm Bill	USDA,AMS	10.170	12-25-B-1099	145,720	49,278
Specialty Crop Block Grant Program - Farm Bill	USDA,AMS	10.170	12-25-B-0954	47,701	32,780
Specialty Crop Block Grant Program - Farm Bill	USDA,AMS	10.170	12-25-B-1259	74,565	12,600
USDA Dairy Grading	USDA,FSA	10.435	12-25-A-3176	14,445	
Agriculture Mediation	USDA,FSA	10.435	5200-3087600-0545	12,457	
USDA Meat Cooperative	USDA,FSIS	10.477	12-37-A-446	150,426	
USDA Meat Cooperative	USDA,FSIS	10.477	12-37-A-317	1,195,825	
Gypsy Moth, Forest Service	USDA,FS	10.664	09-CA-11046000-611	17,750	
Fuel for Schools	USDA,FS	10.672	10-DG-11046000-604	74,164	
GIP Grazing Project in Rich & Cache Co	USDA,NRCS	10.902	68-8D43-10-12	60,956	
GIP Vegetable Mapping & Monitoring	USDA,NRCS	10.902	68-8D43-10-28	98,034	
Colorado Salinity Technical Assistance	USDA,NRCS	10.902	68-8D43-11-10	75,137	26,352
Colorado Salinity Technical Assistance	USDA,NRCS	10.902	68-8D\$#-12-01	135,920	115,668
Air Quality CAFO Project	USDA,NRCS	10.902	69-8D43-6-07	40,663	39,500
Colorado River Basin Rangeland	DOI,BOR	15.509	R09AP4002	16,547	13,789
Colorado River Basin Salinity	DOI,BOR	15.509	04FC-40-2157	2,314,835	2,133,083
Basin States-Salinity	DOI,BOR	15.509	R12AC40019	38,466	38,442
EPA Certification	EPA.OECA	66.700	BG998582-99	22,670	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
EPA Pesticide Initiative	EPA.OECA	66.700	BG998582-99	41,304	
EPA Enforcement	EPA.OECA	66.700	BG998582-99	206,929	
Taylor Grazing	DOI,BLM	N/A	VARIOUS	132,520	
Pass-Through From Non-State Entities:					
Sage Grouse Initiative —					
Pheasants Forever	DOI,FWS	15.637	60181BJ653	29,995	
Subtotal – Department of Agriculture and Food				6,063,866	2,481,492
<u>ATTORNEY GENERAL</u>					
Internet Crimes Against Children Task Force	DOJ,OJJDP	16.540	2009MCCXK053	253,032	
John R. Justice Prosecutors and Defenders	DOJ,BJA	16.816	2010-RJ-BX-.0003	100,000	
ARRA-Internet Crimes Against Children	DOJ	16.800	2009SNB9K045	193,654	
ARRA-Reducing Mortgage Fraud	DOJ	16.808	2009SCB90140	277,711	
Childrens Justice Act	DHHS,ACF	93.643	G-011001UTCJA1	32,133	
Childrens Justice Act	DHHS,ACF	93.643	G-0901UTCJA1	6,051	
Childrens Justice Act	DHHS,ACF	93.643	G-0801UTCJA1	125,531	
(MED) Medicaid Fraud Unit	DHHS,OS	* 93.775	01-1101-UT5050	370,613	
(MED) Medicaid Fraud Unit	DHHS,OS	* 93.775	01-1201-UT5050	912,817	
Intermtn West Reg. Computer Forensic Lab	DOJ,FBI	N/A	VARIOUS	8,775	
Joint Terrorism Task Force	DOJ,FBI	N/A	VARIOUS	5,895	
Bullet Proof Vest Partnership	DOJ,BJA	16.607	11K06	5,913	
Pass-Through From Non-State Entities:					
Tech/Child Abuse #1 & #2 —					
American Bar Association	DOJ,OJJDP	16.580	03-32-13490-6004133	29,333	
Chapter Grant —					
National Children's Alliance	DOJ,OJJDP	N/A	43-SALT-UT CH09	232,248	
Training Grant —					
Wetern Regional Advocacy Center	DOJ,OJJDP	N/A	1112010	7,500	
Subtotal – Attorney General				2,561,206	0
<u>ADMINISTRATIVE SERVICES, DEPARTMENT OF</u>					
National Historical Publications & Records	NARA	89.003	NAR10-RB-50086-10	73,618	
National Historical Publications & Records	NARA	89.003	NHPRC RC-10101	34,725	
Pass-Through From Non-State Entities:					
ARRA-Clean Cities Petroleum Reduction —					
Utah Clean Cities Coalition	Dept. of Energy	81.086	DE-PS26-09NT01236-04	18,492	
Multi-State Geospatial Collaboration —					
NC Ctr Geographic Info and Analysis	Lib. of Congress	N/A	GA08C0015	25,395	
Subtotal – Department of Administrative Services				152,230	0
<u>COMMERCE, DEPARTMENT OF</u>					
Harold Rogers Prescription Drug Monitoring Prgm	DOJ,BJA	16.754	2009-PM-BX-0012	222,642	
Gas Pipeline Safety Program	DOT,PHMSA	20.700	DOT-GB-90041	194,641	
Subtotal – Department of Commerce				417,283	0

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
COMMUNITY AND CULTURE, DEPARTMENT OF					
NRCS Cooperative Agreement	USDA,FSA	10.069	68-8D43-8-28	10,000	
(SCDBG) Community Development Blk Grant	HUD,CPD	* 14.228	B-08-DC-49-0001	4,277	
(SCDBG) Community Development Blk Grant	HUD,CPD	* 14.228	B-09-DC-49-0001	629,869	442,660
(SCDBG) Community Development Blk Grant	HUD,CPD	* 14.228	B-10-DC-49-0001	1,653,390	1,653,390
(SCDBG) Community Development Blk Grant	HUD,CPD	* 14.228	B-11-DC-49-0001	2,609,931	2,609,931
(SCDBG) Neighborhood Stabilization P-NSP1	HUD,CPD	* 14.228	B-08-DN-49-0001	476,334	404,969
(SCDBG) Neighborhood Stabilization P-NSP3	HUD,CPD	* 14.228	B-11-DN-49-0001	1,277,244	1,277,244
Emergency Shelter	HUD,CPD	14.231	E-11-DC-49-0001	616,553	585,345
Emergency Shelter	HUD,CPD	14.231	S-99-DC-49-001	44,305	44,305
Homeless Assistance Competitive	HUD,CPD	14.235	UT0001B8T000802	36,268	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0001B8T001003	54,523	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0011B8T030802	1,893	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0011B8T031003	22,165	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0020B8T041003	19,470	
Homeless Assistance Competitive	HUD,CPD	14.235	UT0021B8T040802	5,983	
Shelter Plus Care	HUD,CPD	14.238	UT0015C8T031003	28,043	28,043
Home Investment Partnership	HUD,CPD	14.239	M-11-SG-49-0100	173,800	
Home Investment Partnership	HUD,CPD	14.239	M-12-SF-49-0100	68,914	
HOPWA Block Grants	HUD,CPD	14.241	UT06H99-F999	86,921	86,921
HOPWA Block Grants	HUD,CPD	14.241	UTH00-F999	16,773	16,773
HOPWA Block Grants	HUD,CPD	14.241	UTH04-F999	410	410
HOPWA Block Grants	HUD,CPD	14.241	UTH11-F999	1,699	
ARRA-Homelessness Prev. & Rapid Re-Hous	HUD	14.257	S-09-DY-49-0001	1,862,059	1,686,049
Vernal Field Office Cooperative Agreement	DOI,BLM	15.224	L08AC13889	30,138	
GIS Cooperative Agreement	DOI,BLM	15.224	L10AC20533	40,000	
Historic Preservation	DOI,NPS	15.904	49-10-21951	199,268	191,607
Historic Preservation	DOI,NPS	15.904	49-11-31951	477,968	44,694
NEA-Utah Arts Program	NFAH,NEA	45.025	10-6100-2056	589,063	
IMLS-State Library Program	NFAH,IMLS	45.310	LS-00-09-0045-10	1,189,354	193,707
IMLS-State Library Program	NFAH,IMLS	45.310	LS-00-10-0045-11	764,509	
IMLS-State Library Program	NFAH,IMLS	45.310	LS-00-11-0045-12	1,878	
ARRA-Weatherization Low-Income Persons	Dept. of Energy	* 81.042	DE-EE0000080-001	10,760,295	10,255,474
ARRA-Weatherization Low-Income Persons	Dept. of Energy	* 81.042	DE-EE0003869	103,146	
Weatherization Assist Low-Income Persons	Dept. of Energy	* 81.042	DE-EE0000148	302,714	213,838
Low Income Heat Program LIHEAP	DHHS,ACF	* 93.568	G-10B1UTLIEA	202,220	202,220
Low Income Heat Program LIHEAP	DHHS,ACF	* 93.568	G-11B1UTLIEA	2,065,619	1,830,470
Low Income Heat Program LIHEAP	DHHS,ACF	* 93.568	G-12B1UTLIEA	14,747,462	14,520,664
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-09B1UTLIEA	956,252	905,373
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-10B1UTLIEA	218,083	218,083
LIHEAP Weatherization	DHHS,ACF	* 93.568	G-11B1UTLIEA	2,182,758	2,158,612
(CSBG) Community Services Block Grant	DHHS,ACF	93.569	G10B1UTCOSR	19,378	19,378
(CSBG) Community Services Block Grant	DHHS,ACF	93.569	G11B1UTCOSR	1,482,684	1,425,831
(CSBG) Community Services Block Grant	DHHS,ACF	93.569	G12B1UTCOSR	1,720,219	1,617,478
NCS Americorps Subgrantees	CNCS	94.003	10CAHUT0001	214,718	
Faith Based Initiatives	CNCS	* 94.006	06AFHUT001	13,257	
NCS Americorps Subgrantees	CNCS	* 94.006	06AFHUT001	1,065,834	1,058,649
NCS Americorps Subgrantees	CNCS	* 94.006	09ACHUT001	1,260,835	1,248,334
NCS Americorps Subgrantees	CNCS	* 94.006	10FXHUT001	104,333	104,333
NCS Americorps Subgrantees	CNCS	* 94.006	11AFHUT001	827,506	827,506
NCS Americorps Subgrantees	CNCS	94.007	07CDHUT001	33,658	12,000
NCS Americorps Subgrantees	CNCS	94.007	11CDHUT001	2,572	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
NCS Americorps Subgrantees	CNCS	94.009	11PTHUT001	81,863	
NCS Americorps Subgrantees	CNCS	94.021	10VGHUT001	124,949	
(EFSP) Emergency Food and Shelter	DHS	97.024	851000	9,063	
Subtotal – Department of Community and Culture				51,492,420	45,884,291
<u>CORRECTIONS, DEPARTMENT OF</u>					
NIC Cooperative Agreement	DOJ,BOP	16.601	10K127GKF2	19,970	
State Criminal Alien Assistance Program	DOJ,BJA	16.606	2008-AP-BX-0218	584,546	
Support for Adam Walsh Act Implementation	DOJ,BJA	16.580	2010-AW-BX-0038	65,484	
Support for Adam Walsh Act Implementation	DOJ,OSOSMART	16.750	2009-AW-BX-008	123,255	
Federal Inmate Reimbursement	DOJ,FBP	N/A	130543	28,452	
Subtotal – Department of Corrections				821,707	0
<u>COURTS</u>					
State Court Improvement Program	DHHS,ACF	93.586	G-0401UTSCIP	604,960	
State Access and Visitation Program	DHHS,ACF	93.597	1101UTSAVP	100,000	
Subtotal – Courts				704,960	0
<u>EDUCATION, OFFICE OF</u>					
(NUT) Nutr. Act School Breakfast Program	USDA,FNS	10.553	VARIOUS	18,055,231	17,685,438
(NUT) Natl School Lunch Pgm – Commodities	USDA,FNS	10.555	VARIOUS	15,075,556	15,075,556
(NUT) Natl School Lunch Pgm – Cash Assist	USDA,FNS	10.555	VARIOUS	89,437,077	88,832,946
(NUT) Nutr. Act Special Milk Program	USDA,FNS	10.556	VARIOUS	88,727	83,788
Child and Adult Care Food Program	USDA,FNS	* 10.558	VARIOUS	26,696,458	25,387,464
(NUT) Nutrition Act Summer Food	USDA,FNS	10.559	VARIOUS	2,112,679	2,060,542
Nutrition Act State Administration	USDA,FNS	10.560	VARIOUS	1,836,648	38,080
(EFA) Emerg Food Assistance Pgm–Admin	USDA,FNS	10.568	VARIOUS	607,753	607,753
(EFA) Emerg Food Assist Pgm-Commodities	USDA,FNS	10.569	VARIOUS	2,176,492	2,176,492
ARRA-State Child Nutrition Programs	USDA,FNS	10.579	VARIOUS	97,960	
Fresh Fruit and Vegetable Program	USDA,FNS	10.582	VARIOUS	2,264,052	2,264,052
Star Talk	DOD,NSA	12.901	VARIOUS	175,408	170,188
Adult Education–Basic Grants to States	ED,VAE	84.002	V002A09/10/110044	3,248,864	2,824,038
(TIPA) Title I Grants to Local Ed Agencies	ED,ESA	* 84.010	S010A09/10/110044	75,941,048	75,149,569
Title I Migrant Education	ED,ESA	84.011	S011A09/10/110045	2,184,114	1,925,925
Title I Neglected & Delinquent Children	ED,ESA	84.013	S013A09/10/110044	700,200	623,968
(SE) IDEA Special Education	ED,SERS	* 84.027	H027A09/10/110059	121,822,573	116,183,150
Career and Technical Education	ED,VAE	* 84.048	V048A09/10/110044	11,472,990	6,172,788
Career & Technical Education–Natl Prgms	ED,VAE	84.051	V051C100005	202,711	126,249
(VOCR) Voc. Rehab. Basic Title 1 Section	ED,SERS	* 84.126	H126A10/11/120066	42,663,323	157,133
Migrant Education Coordination	ED,ESE	84.144	S144F06/080045	53,437	
(ILSG) Independent Living Services	ED,SERS	84.169	H169A09/10/110065	354,831	354,831
(SE) Preschool Grant	ED,SERS	* 84.173	H173A09/10/110092	3,980,905	3,923,871
(ILSB) Independent Living Older Blind Persons	ED,SERS	84.177	H177B09/10/11/100044	211,898	184,614
Byrd Honors Scholarships	ED,PE	84.185	P185A09/100046	240,000	
Safe and Drug Free	ED,ESE	84.186	Q186A09/10/110046	351,442	351,442
Title VI Supported Employment	ED,SERS	84.187	H187A09/10/11/100067	413,982	
(EHCY) Homeless Child Education	ED,ESE	84.196	S196A09/10/110046	494,667	436,066

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Even Start Family Literacy	ED,ESE	84.213	S213C09/10/110045	65,191	51,891
FIE Earmark Programs	ED,ERI	84.215	VARIOUS	24,087	
Vocational Education Tech Preparation	ED,VAE	84.243	V243A09/10/110044	1,963,200	1,278,500
Vocational Rehab. In-Service Training	ED,SERS	84.265	H265A05/100029	63,225	
Public Charter Schools	ED,ESE	84.282	U282A080013	2,551,464	2,304,820
After School Learning 21st Century	ED,ERI	84.287	S287C09/10/110045	6,130,051	5,892,745
U-CAP Chinese Acquisition Pipeline	ED,ELA	84.293	T293C100002	239,066	239,066
(ETSG) Technology Literacy Challenge	ED,ESE	84.318	S318X09/10/110044	1,114,250	1,072,085
State Improvement Grant IDEA	ED,SERS	84.323	H323A070016	1,076,346	1,076,346
Advanced Placement Program Test Fees	ED,ESE	84.330	S330B100045/110008	137,655	
Training Incarcerated Youth	ED,VAE	84.331	Q331A09/10/110046	56,120	
English Language Acquisition	ED,ELA	84.365	T365A09/10/110044	5,984,624	5,748,241
Math and Science Partnership	ED,ESE	84.366	S366B09/10/110045	1,221,892	796,974
Improving Teacher Quality	ED,ESE	84.367	S367A09/10/110042	16,681,128	16,302,497
Discretionary Assessment-Math, Disabilities	ED,ESE	84.368	S368A090032	447,177	
State Assessments	ED,ESE	84.369	S369A09/10/110046	4,112,980	
(SDS) Utah Students Record Exchange	ED,ESE	84.372	R372A070015	612,001	
(SIG) School Improvement Grants	ED,ESE	84.377	S377A09/10/110045	1,521,592	1,365,919
ARRA-(SDS) Utah Data Alliance	ED,IES	84.384	R384A100056	3,225,754	
ARRA-(EHCY) McKinney Vento Homeless C	ED	84.387	S387A090046	178,719	178,719
ARRA-(SIG) School Improvement Grants	ED	84.388	S388A090045	3,200,287	3,027,085
ARRA-(TIPA) Title I Grants to Local Ed Agencies	ED	* 84.389	S389A090044	7,922,027	7,904,504
ARRA-(VOCR) Vocational Rehabilitation	ED	* 84.390	H390A090066	879,844	
ARRA-(SE) Special Ed Grants to States	ED,SERS	* 84.391	H391A090059	28,972,960	28,912,815
ARRA-(SE) Special Ed - Preschool Grant	ED,SERS	* 84.392	H392A090092	1,190,491	1,190,491
ARRA-(ILSG) Independent Living	ED	84.398	H398A090065	46,976	46,976
Service Learning	CNCS	94.004	06KSPUT001	65,621	63,925
(SSI) Disability Determination	SSA	* 96.001	VARIOUS	12,103,388	
Utah Work Incentive Project	SSA	96.008	16-T-10095-8-05	100,000	
Education Statistics System	ED,NCES	N/A	VARIOUS	220,112	
Social Security Reimbursement	SSA	N/A	VARIOUS	1,399,976	
Subtotal – Office of Education				526,469,230	440,249,542

ENVIRONMENTAL QUALITY, DEPARTMENT OF

DSMOA	DOD,DOA,OTCE	12.113	W912DY-08-2-0149	356,724	
BLM Utah Air Quality Monitoring Project	DOI,BLM	15.236	L11AC20109	195,319	
Urban Air Toxics Monitoring 103	EPA,OAR	66.034	XA-98871302	32,626	
Air Monitoring Network PM 2.5	EPA,OAR	66.034	PM998789-03	358,720	
Air Monitoring Network PM 2.5	EPA,OAR	66.034	PM96813201	61,266	
National Utah Clean School Bus	EPA,OAR	66.039	DE97868101	129,098	
National Clean Diesel Funding Assistance	EPA,OAR	66.039	DE97898701	130,802	
Diesel School Bus Retrofit	EPA,OAR	66.040	DS97867201	445,079	
Infrastructure 3 percent Setaside	EPA,OCFO	66.202	XP988760-01	42,513	
Water Quality Management Planning	EPA,Water	66.454	C6008567-09	199,435	
ARRA-Water Quality Management Planning	EPA,Water	66.454	2P978800-01	1,749	
Clean Water Capitalization Grant SRF	EPA,Water	66.458	CS490001-10	378,111	
Clean Water Capitalization Grant SRF	EPA,Water	66.458	CS490001-11	1,705,402	1,678,585
Clean Water Capitalization Grant SRF	EPA,Water	66.458	CS490001-12	504,836	504,836
ARRA-Clean Water Capitalization Grant SRF	EPA,Water	66.458	2W978825-01	693,043	693,043
NPS Projects 06	EPA,Water	66.460	C9998187-06	163,805	163,805
NPS Projects 07	EPA,Water	66.460	C9998187-07	146,287	146,287

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
NPS Projects 08	EPA,Water	66.460	C9998187-08	142,353	142,353
NPS Projects 09	EPA,Water	66.460	C9998187-09	128,015	97,252
NPS Projects 10	EPA,Water	66.460	C9998187-10	404,968	276,704
NPS Projects 11	EPA,Water	66.460	C9998187-11	221,243	169,223
GSL Wetlands	EPA,Water	66.461	CD96811401	44,003	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-07	2,651	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-08	261,622	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-09	152,817	
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-10	2,838,243	1,598,599
Drinking Water Capitalization Grant SRF	EPA,Water	66.468	FS998784-11	1,076,871	473,973
Water Protection Coordination	EPA,Water	66.474	WP978654-01	61,366	
Performance Partnership Grant	EPA,OA	66.605	BG99847511	6,951,308	
Network Readiness	EPA,OEI	66.608	OS834034-01	12,740	
Exchange Network	EPA,OEI	66.608	OS834345-01	128,504	
Five Points NPL Site	EPA,OSWER	66.802	V97824401	169,610	
Jacob Smelters OU2	EPA,OSWER	66.802	V98804001-0	10,808	
Sharon Steel OU1 O/M	EPA,OSWER	66.802	V988224-01-1	27,079	
C-Flagstaff/Davenport – EE/CA – Admin	EPA,OSWER	66.802	V998773-01-1	35,111	
Superfund Block	EPA,OSWER	66.802	V97840601	1,012,369	
Eureka Mills NPL	EPA,OSWER	66.802	V97843801	714	
Midvale Slag Ground Water	EPA,OSWER	66.802	V97844201	70,669	
Flagstaff/Davenport Remedial Action	EPA,OSWER	66.802	V97844001	1,025,615	
Portland Cement O&M	EPA,OSWER	66.802	V96807401	13,929	
UST Program	EPA,OSWER	66.804	L97883202	486,739	
LUST Supplemental	EPA,OSWER	66.805	LS978712-01	277,497	
LUST Trust Cooperative Agreement	EPA,OSWER	66.805	LS97883301	913,708	
ARRA-LUST Trust Cooperative Agreement	EPA,OSWER	66.805	2L97880201	158,879	
Solid Waste Management Assistance Grant	EPA,OSWER	66.808	X196802701	31,500	31,500
Brownfield State Response Program	EPA,OSWER	66.817	RP98899302	411,354	
ARRA-Brownfields Assessment	EPA,OSWER	66.818	2B97882401	139,269	
VITRO	Dept. of Energy	81.104	DE-FC01-99GJ79483	373	
Green River DOE	Dept. of Energy	81.104	DE-FC01-99GJ79483	272	
Monticello DOE	Dept. of Energy	81.104	DE-FG01-07LM00113	23,835	
Olsen Neihart	DOI	N/A	Monitoring Agreement	253	
Ogden Defense Depot	DOD	N/A	9210020922	6,519	
Hill Air Force Base	DOD	N/A	CerclaSctn120	133,041	
NASA GISS Project	NASA	N/A	VARIOUS	53	
Pass-Through From Non-State Entities:					
Formerly Used Defense Sites —					
EM Assist	DOD	N/A	VARIOUS	1,142	
Waste Isolation Pilot Plant —					
Western Governors Association	Dept. of Energy	N/A	30-312-08	104,094	
Subtotal – Department of Environmental Quality				23,025,951	5,976,160
GOVERNOR'S OFFICE					
Defense Logistics Agency	DOD,DLA	12.002	SP4800-08-2-0839	300,000	
Defense Human Resources Activity	DOD,FVAP	12.217	H98210-12-0016	213,525	
Sexual Assault Services Program	DOJ,VAWO	16.017	2009KFAX0042	110,790	110,050
Sexual Assault Services Program	DOJ,VAWO	16.017	2010KFAX0023	76,826	75,610
2008 Juvenile Accountability Block Grants	DOJ,OJJDP	16.523	2008JBFX0051	329,076	
2009 Juvenile Accountability Block Grants	DOJ,OJJDP	16.523	2009JBFX0048	102,809	

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
2010 Juvenile Accountability Block Grants	DOJ,OJJDP	16.523	2010JBFX0099	11,392	
2008 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2008JFFX0031	46,098	10,430
2009 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2009JFFX0030	72,092	5,275
2010 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2010JFFX0027	177,707	98,172
2011 JJDP Juvenile Justice	DOJ,OJJDP	16.540	2011JFFX0021	281,885	123,424
2011 DMC Assessment Grant	DOJ,OJJDP	16.540	2011JFFX0163	35,410	
2008 Title V Grant	DOJ,OJJDP	16.548	2008JPFX0041	5,775	5,552
2009 Title V Grant	DOJ,OJJDP	16.548	2009JPFX0041	30,725	29,852
2009 JSTAT Program	DOJ,BJS	16.550	2009BJCXK025	44,381	
2009 National Criminal History Improvement	DOJ,BJS	16.550	2009RUBXK022	11,154	
2010 National Criminal History Improvement	DOJ,BJS	16.550	2010RUBXK022	12,954	
2011 National Criminal History Improvement	DOJ,BJS	16.550	2011RUBXK084	54,660	
2009 Victims Assistance	DOJ,OVC	16.575	2009VAGX0043	1,632,385	1,444,302
2010 Victims Assistance	DOJ,OVC	16.575	2010VAGX0103	2,217,281	2,157,243
2009 Victims Compensation	DOJ,OVC	16.576	2009VCGX0009	55,172	
2010 Victims Compensation	DOJ,OVC	16.576	2010VCGX0018	53,528	
2011 Victims Compensation	DOJ,OVC	16.576	2011VCGX0004	2,181,200	
Stop Violence Against Women	DOJ,VAWO	16.588	2008WFAX0058	119,439	119,439
Stop Violence Against Women	DOJ,VAWO	16.588	2009WFAX0012	131,211	31,731
Stop Violence Against Women	DOJ,VAWO	16.588	2010WFAX0030	718,892	668,226
Stop Violence Against Women	DOJ,VAWO	16.588	2011WFAX0054	546,285	514,486
ARRA-Stop Violence Against Women	DOJ,VAWO	16.588	2009EFS60004	151,644	88,080
2011 Sexual Violence Response Improvement	DOJ,VAWO	16.590	2011WEAX0051	91,522	55,831
2008 Res. Substance Abuse Treatment	DOJ,BJA	16.593	2008RTBX0059	5,396	
2009 Res. Substance Abuse Treatment	DOJ,BJA	16.593	2009RTBX0043	39,037	
2010 Res. Substance Abuse Treatment	DOJ,BJA	16.593	2010RTBX0060	128,836	127,310
Bullet Proof Vest Program	DOJ,BJA	16.607	VARIOUS	32,232	
(JAG) 2008 Justice Assistance Grant	DOJ,BJA	16.738	2008DJBX0049	11,713	11,713
(JAG) 2009 Justice Assistance Grant	DOJ,BJA	16.738	2009DJBX0657	624,534	192,381
(JAG) 2010 Justice Assistance Grant	DOJ,BJA	16.738	2010DJBX0318	606,391	155,370
(JAG) 2011 Justice Assistance Grant	DOJ,BJA	16.738	2011DJBX2082	566,316	527,018
2009 SAVIN	DOJ,BJA	16.740	2009VNCX0008	129,622	
ARRA-Victim Assistance Recovery	DOJ,OVC	16.801	2009SGB90146	63,428	63,428
ARRA-(JAG) Justice Assistance Grant	DOJ	16.803	2009SUB90045	2,819,490	1,631,818
State Trade & Export Promotion Grant (STEP)	SBA	59.061	SBAHQ-11-IT-0039	201,430	
State Energy Program	Dept. of Energy	* 81.041	DE-FG26-07NT43206	233,369	15,850
ARRA-State Energy Program	Dept. of Energy	* 81.041	DE-EE0000083	14,525,966	13,803,564
ARRA-Energy Assurance Planning	Dept. of Energy	81.122	DE-EE0000067	138,415	138,383
ARRA-Appliance Rebate Program	Dept. of Energy	81.127	DE-EE0001601	12,120	11,625
ARRA-Energy Efficiency and Conservation	Dept. of Energy	81.128	DE-EE0000887	1,216,217	1,038,773
ARRA-(SFSF) St Fiscal Stabilization F-Govt Sv	ED	84.397	S397A090045	129,802	62,867
ARRA-Education Jobs Fund	ED	* 84.410	S410A100045	18,386,091	18,375,771
Help America Vote Act Requirements Pmts.	EAC	90.401	VARIOUS	230,143	
Utah Health Exchange Planning Grant	DHHS,CMS,CCIIO	93.525	JBEOE100015-01-00	539,144	
Election Assist. Individuals with Disabilities	DHHS,ACF	93.617	HHSELEC2003	54,353	54,353
Subtotal – Governor’s Office				50,509,863	41,747,927
<u>HEALTH. DEPARTMENT OF</u>					
USDA WIC Food/Admin	USDA,FNS	* 10.557	3UT700709	46,138,490	43,612,866
USDA WIC Infrastructure	USDA,FNS	* 10.557	3UT700759	340,939	204,634
USDA WIC Breastfeeding Peer Counseling	USDA,FNS	* 10.557	3UT700809	686,468	685,426

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Commodity Supplemental Food Program	USDA,FNS	10.565	3UT810819	182,905	
WIC National Breastfeeding Award	USDA,FNS	10.578	2011IW500543	117,327	100,074
Environmental Protection Exchange Network	EPA,OEI	66.608	83465001	102,952	
(EIS) Infants and Toddlers with Disabilities	ED,SERS	84.181	H181A100111	5,397,470	3,167,664
ARRA-(EIS) Early Intervention	ED,SERS	84.393	H393A090111A	2,108,615	2,108,222
Public Hlth Emergency Response I, 2 & 3	DHHS,CDC	93.069	1H75TP000346-01	1,243,556	918,327
Preparedness and Response for Bioterrorism	DHHS,CDC	93.069	2U90TP816965-11	7,762,773	4,960,992
Addressing Asthma Public Health Perspective	DHHS,CDC	93.070	5U59EH000489-03	437,518	45,203
Utah ESAR-VHP System Enhancement Project	DHHS,OS	93.089	6ESREP100012-02-01	272,438	
Autism System Development Federal	DHHS,HRSA	93.110	4H6MMC11061-03-02	204,961	
State Systems Development Initiative SSDI	DHHS,HRSA	93.110	6H18MC00049-15-01	118,854	
State Early Childhood Comprehensive Systems	DHHS,HRSA	93.110	6H25MC00268-08-02	121,703	
Newborn Screen Clinic Health Info Exchange	DHHS,HRSA	93.110	6U22MC16508-02-01	311,071	15,000
TB Elimination	DHHS,CDC	93.116	5U52PS807865-21	305,179	123,473
State Partnership Grants (EMSC)	DHHS,HRSA	93.127	6H33MC06680-06-01	123,958	117,742
State Primary Care Grant Award	DHHS,HRSA	93.127	6U68HP11436-03-02	215,639	
Core Violence and Injury Prevention Program	DHHS,CDC	93.136	1U17CE001996-01	295,510	
Sexual Violence Prevention and Education	DHHS,CDC	93.136	3VF1CE001144-05S1	234,502	
Evaluat. UT Clinical Guidelines Prescrib Opioids	DHHS,CDC	93.136	5R21CE001612-02	175,662	
Implementation of Nat Violent Death Report Sys	DHHS,CDC	93.136	5U17CE001697-03	193,953	
Injury Surveillance and Prevention Program	DHHS,CDC	93.136	5U17CE824807-05	51,345	
Surveillance of Hazardous Substance	DHHS,TSDR	93.204	5U61TS000131-03	291,872	
Tramatic Brain Injury	DHHS,HRSA	93.234	5H21MC17233-03	252,612	
Abstinence Education Grant	DHHS,ACF	93.235	1101UTAEGP	464,560	208,244
Promote Efforts to Reduce Environ Exposure	DHHS,TSDR	93.240	1U61TS000154-01	215,383	
Rural Hospital Flexibility	DHHS,HRSA	93.241	6H54RH00050-12-03	294,117	66,194
Universal Newborn Hearing Screening	DHHS,HRSA	93.251	6H61MC00042-11-01	433,021	
(IMMZ) Immunization and Vaccines for Children	DHHS,CDC	93.268	5H23IP822520-10	2,990,082	666,698
(IMMZ) Vaccine Distributions	DHHS,CDC	93.268	12-0CCH804430	21,573,406	21,573,406
Adult Viral Hepatitis	DHHS,CDC	93.270	5U51PS000888-05	69,080	
Epidemiology & Lab Capacity for Infectious Dis	DHHS,CDC	* 93.283	1U50CK000243-01	1,073,841	
Behavioral Risk Factor Surveillance	DHHS,CDC	* 93.283	1U58SO000058-01	540,680	
Early Hearing Detection & Intervention Tracking	DHHS,CDC	* 93.283	1UR3DD000821-01	141,069	
Birth Defects Research and Prevention	DHHS,CDC	* 93.283	5U01DD000490-03	891,620	
Utah Newborn Metabolic Surveillance	DHHS,CDC	* 93.283	5U50DD000483-03	31,026	
Heart Disease & Stroke Prevention Program	DHHS,CDC	* 93.283	5U50DP000743-05	903,428	
Demo Capacity of Comprehensive Cancer Ctrl	DHHS,CDC	* 93.283	5U55DP003047-02	168,611	
National Cancer Prevention and Control Prgm	DHHS,CDC	* 93.283	5U58DP000774-05	3,222,828	
Utah Wise Woman Program	DHHS,CDC	* 93.283	5U58DP001407-04	874,747	
Utah Public Health Approaches to Arthritis	DHHS,CDC	* 93.283	5U58DP001474-04	418,792	
Core Cap Tobacco Prevention	DHHS,CDC	* 93.283	5U58DP001993-03	1,200,152	
Diabetes Prevention amd Control Programs	DHHS,CDC	* 93.283	5U58DP001993-03	813,482	
Chronic Disease, Hlth Promotion, Surveillance	DHHS,CDC	* 93.283	5U58DP001993-03	1,923	
Colorectal Screening	DHHS,CDC	* 93.283	5U58DP002049-03	921,510	
Public Health Tracking	DHHS,CDC	* 93.283	5U38EH000182-05	77,447	
Partnership Program Improve Minority Health	DHHS,OS	93.296	5STTMP101069-02	133,182	
Small Rural Hospital Improvement	DHHS,HRSA	93.301	5H3HRH00012-10-00	195,522	104,865
Home Visitation Projects Federal	DHHS,HRSA	93.505	1X02MC23133-01	107,922	
Affordable Care Act Home Visiting Program	DHHS,HRSA	93.505	6X02MC19417-01-03	677,499	
National Background Check Program	DHHS,CDC	93.506	1A1CMS330833-01	129,761	
Strengthening Public Health Infrastructure	DHHS,CDC	93.507	5U58CD001314-02	258,654	
Collaborative Chronic Disease & Surveillance	DHHS,CDC	93.520	3U58DP001993-02S2	36,335	
Collaborative Chronic Disease & Surveillance	DHHS,CDC	93.520	3U58DP001993-03W1	47,805	47,805

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Affordable Care Act Building Epidemiology Lab	DHHS,CDC	93.521	3U50CI000866-02S1	41,720	
Epidemiology & Lab Capacity Infectious Diseases	DHHS,CDC	93.521	5U50CI000866-02	860,886	144,395
Affordable Care Act Building Epidemiology Lab	DHHS,CDC	93.521	3U50CI000866-02S2	35,824	
Community Transformation Capacity Building	DHHS,CDC	93.531	1U58DP003540-01	185,195	126,540
Public Health Tracking	DHHS,CDC	93.538	1U38EH000954-01	829,072	
Building Assist Strengthen Public Hlth Immuniz	DHHS,CDC	93.539	1H23IP000567-01	68,072	
Collaborative Chronic Disease and Surveillance	DHHS,CDC	93.544	3U58DP001993-03S2	212,133	29,497
Utah Nutrition, Physical Activity and Obesity	DHHS,CDC	93.548	5U58DP001470-04	428,736	8,807
Refugee Preventive Health Discretionary Grant	DHHS,ACF	93.576	90RX0199/01	62,470	
(HDST) Regional Head Start	DHHS,ACF	93.600	08CD0017-05	68,893	
ARRA-(IMMZ) Strep - EPI	DHHS,CDC	93.712	3U50CI823796-05S4	28,492	
ARRA-(IMMZ) Enhanced Interop Elec Hth Record	DHHS,CDC	93.712	1U66IP000432-01	379,324	
ARRA- (IMMZ) Measur Effective PCV-13 Vaccine	DHHS,CDC	93.712	3U50CI823796-05S2	47,357	
ARRA-(IMMZ) Immuniz & Vaccines for Children	DHHS,CDC	93.712	3H23IP822520-07S1	97,921	62,318
ARRA-HAI Prevention	DHHS,CDC	93.717	3U50CI823796-05S5	68,749	
ARRA-Stwide Clinical Hlth Info Exchange (CHIE)	DHHS,OS	93.719	90HT0026-01	1,391,793	
ARRA-HIT IT Planning Document Project	DHHS,OS	93.719	05-1105UTHIMP	95,959	
ARRA-Collab Chron Dis, Hlth Prom & Surveil	DHHS,CDC	93.723	3U58DP001993-01S3	475,687	43,737
ARRA-Chronic Disease Self-Management	DHHS,ACL	93.725	90RA0038-01	113,605	12,000
CHIPRA CHIP	DHHS,CMS	* 93.767	1Z0CMS030547-01-02	1,810,663	
Children's Health Insurance Program	DHHS,CMS	* 93.767	5-1105UT5021	54,230,181	
Medicaid Infrastructure	DHHS,CMS	93.768	1QACMS030319-03-01	413,343	
(MED) LTC Title 19 Cert	DHHS,CMS	* 93.777	051205UT5000	853,021	
(MED) HIB Title 18 Cert	DHHS,CMS	* 93.777	051205UT5000	1,952,451	
ARRA-(MED) Medical Assistance Payments	DHHS,CMS	* 93.778	05-1105UTARRA	(1,046,008)	
ARRA-(MED) HIT Infor Tech Planning Document	DHHS,CMS	* 93.778	05-1205UTIMPL	258,731	
ARRA-(MED) HIT Incentive Payments	DHHS,CMS	* 93.778	05-1205UTINCT	12,148,527	
(MED) Title 19 Medical Assistance Payments	DHHS,CMS	* 93.778	05-1205UT5MAP	1,275,173,058	218,894
(MED) Title 19 Administration	DHHS,CMS	* 93.778	05-1205UT5ADM	67,095,753	7,535,688
Health Care & Other Facilities	DHHS,HRSA	93.887	1C76HF19772-01-00	34,217	
Rural Health Outreach Special Initiative	DHHS,HRSA	93.888	1D1ARH16072-01-00	6,377	
Rural Health Outreach Special Initiative	DHHS,HRSA	93.888	1D1ARH20095-01-00	290,871	282,870
Bioterrorism Hospital Preparedness Prgm	DHHS,OS	93.889	6U3REP090268-03-02	3,184,701	2,059,780
State Office Rural Health	DHHS,HRSA	93.913	5H95RH00129-21-00	247,813	
Ryan White Part B Supplemental	DHHS,HRSA	93.917	2X08HA16857-03	26,006	
Ryan White/HIV	DHHS,HRSA	93.917	6X07HA00032-21-02	3,897,526	67,298
ADAP Shortfall Relief	DHHS,HRSA	93.917	6X09HA20256-01-03	766,150	
Ryan White T IV WIC Use & Affected Family	DHHS,HRSA	93.928	1H97HA22673-01	6,230	
Youth Risk Behavior Survey - Federal	DHHS,CDC	93.938	5U87DP001187-04	39,331	
Aids Prevention	DHHS,CDC	93.940	1U62PS003662-01	1,090,991	164,495
HIV/AIDS Surveillance	DHHS,CDC	93.944	3U62PS001028-05W1	301,404	
Aids Surveillance	DHHS,CDC	93.944	5U62PS001028-05	189,499	
Pregnancy Risk Assessment	DHHS,CDC	93.946	1U01DP003139-01	99,948	
Sexually Transmitted Diseases	DHHS,CDC	93.977	5H25PS001347-04	500,995	40,061
Preventive Health Services Block	DHHS,CDC	93.991	2B01DP009054-12	609,230	480,865
Maternal and Child Health Services Block Grant	DHHS,HRSA	93.994	6B04MC21424-01-05	5,668,230	1,728,735
Adult Blood Lead	DHHS,CDC	N/A	211-2009-M-30941	11,596	
CLIA	DHHS,CMS	N/A	5-1205-UT-5002	113,526	
VSCP Health Statistics	DHHS,CDC	N/A	200-2002-0725601	254,897	
Utah Personal Responsibility Education Prgm	DHHS,ACF	N/A	1001UTPREP	580,697	143,737
Subtotal – Department of Health				<u>1,542,897,600</u>	<u>91,876,552</u>

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
<u>HUMAN SERVICES, DEPARTMENT OF</u>					
Drug Court Discretionary Grant Program	DOJ,BJA	16.585	2010-DC-BX-0122	341,129	200,326
Title V Senior Community Svc Employment	DOL,ETA	17.235	AD-21866GK	548,523	511,163
Title V Senior Community Svc Employment	DOL,ETA	17.235	AD-200019Z	117,340	117,340
Title VII Elder Abuse Prevention	DHHS,ACL	93.041	10AAUTT7SP	18,589	
Title VII Ombudsman Services	DHHS,ACL	93.042	11AAUTT7SP	51,944	41,544
Title VII Ombudsman Services	DHHS,ACL	93.042	12AAUTT7SP	13,800	
Title III D Preventive Health	DHHS,ACL	93.043	10AAUTT3SP	59,137	59,137
Title III D Preventive Health	DHHS,ACL	93.043	11AAUTT3SP	100,201	100,201
(AGE) Title III B Supportive Services	DHHS,ACL	93.044	11AAUTT3SP	763,900	724,611
(AGE) Title III B Supportive Services	DHHS,ACL	93.044	12AAUTT3SP	646,080	595,081
(AGE) Title III C1 Congregate Meals	DHHS,ACL	93.045	10AAUTT3SP	708,402	708,402
(AGE) Title III C1 Congregate Meals	DHHS,ACL	93.045	11AAUTT3SP	1,890,100	1,477,586
(AGE) Title III C2 Home-Delivered Meals	DHHS,ACL	93.045	11AAUTT3SP	597,488	597,488
(AGE) Title III C2 Home-Delivered Meals	DHHS,ACL	93.045	12AAUTT3SP	471,487	471,487
Utah Senior Medicare Patrol Program	DHHS,ACL	93.048	90MP000801	58,502	58,502
Utah Senior Medicare Patrol Program	DHHS,ACL	93.048	90MP000802	81,842	71,051
Utah Senior Medicare Patrol Program	DHHS,ACL	93.048	90MP000803	40,470	12,398
Utah SMP Capacity Building	DHHS,ACL	93.048	90MP013801	64,556	56,056
Alzheimer's NYUCI Caregiver Intervention	DHHS,ACL	93.051	90AE034301	17,438	17,438
Alzheimer's NYUCI Caregiver Intervention	DHHS,ACL	93.051	90AE034302	106,987	104,498
Alzheimer's NYU Creating Caregiver Champs	DHHS,ACL	93.051	90AI004501	216,269	214,185
Alzheimer's NYU Creating Caregiver Champs	DHHS,ACL	93.051	90AI004502	106,006	105,978
Title III E National Family Caregiver Support	DHHS,ACL	93.052	10AAUTT3SP	18,085	18,085
Title III E National Family Caregiver Support	DHHS,ACL	93.052	11AAUTT3SP	910,038	910,038
Title III E National Family Caregiver Support	DHHS,ACL	93.052	12AAUTT3SP	1,272	1,272
(AGE) Nutrition Services Incentive Program	DHHS,ACL	93.053	09AAUTNSIP	150,148	150,148
(AGE) Nutrition Services Incentive Program	DHHS,ACL	93.053	10AAUTNSIP	1,426,187	1,426,187
(AGE) Nutrition Services Incentive Program	DHHS,ACL	93.053	11AAUTNSIP	570,590	570,590
Lifespan Respite	DHHS,ACL	93.072	90LR002101	12,693	12,693
Mental Health - Homeless (PATH)	DHHS,SAMHSA	93.150	SMX060045U	528,000	
Mental Health Data Quality Improvement	DHHS,SAMHSA	93.243	10SM60338A	139,902	
Strategic Prevention Framework Enhancement	DHHS,SAMHSA	93.243	10SP18625A	116,457	
Incentive	DHHS,SAMHSA	93.243	U9SP13929A	1,197,381	914,309
PASSAGE	DHHS,SAMHSA	93.243	H9SM59444A	470,940	275,142
Utah - Access to Recovery	DHHS,SAMHSA	93.275	10TI23135A	2,538,417	2,068,230
Medicare Improvements for Patients & Providers	DHHS,ACL	93.518	10AAUTMAAA	60,986	59,236
Promoting Safe & Stable Families	DHHS,ACF	93.556	1101UTFPSS	1,824,029	
Promoting Safe & Stable Families Caseworker	DHHS,ACF	93.556	1111UTFPCV	108,544	
IV-D Child Support	DHHS,ACF	93.563	1104UT4004	2,313,961	
IV-D Child Support	DHHS,ACF	93.563	1204UT4005	12,522,055	
IV-D Child Support Incentives	DHHS,ACF	93.563	0904UT4004	446,019	
IV-D Child Support Incentives	DHHS,ACF	93.563	1004UT4004	1,252,389	
IV-D Child Support/Collections	DHHS,ACF	93.563	1104UT4004/C	1,317,313	
IV-D Child Support/Collections	DHHS,ACF	93.563	--04UT4004/C	1,177,567	
IV-D Child Support/Collections	DHHS,ACF	93.563	1204UT4005/C	5,649,766	
Community-Based Child Abuse Prevention	DHHS,ACF	93.590	0901UTFRPG	296,101	
Community-Based Child Abuse Prevention	DHHS,ACF	93.590	1001UTFRPG	317,144	
Educational and Training Vouchers	DHHS,ACF	93.599	1101UTCETV	290,246	
Adoption Incentive Payment Program	DHHS,ACF	93.603	0901UTAIPP	442,000	
Adoption Incentive Payment Program	DHHS,ACF	93.603	1001UTAIPP	332,404	
Runaway & Homeless Youth-Basic Center	DHHS,ACF	93.623	90CY229403	47,809	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Runaway & Homeless Youth–Basic Center	DHHS,ACF	93.623	90CY262201	100,000	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	0901UTBS45	11,647	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1001UTBS45	539,497	283,450
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1101UTBS45	41,166	
Developmental Disabilities Basic Support	DHHS,ACF	93.630	1201UTBS45	43,818	
Title IV-B Child Welfare Social Services	DHHS,ACF	93.645	1101UT1400	3,390,000	
CASA Volunteers for Children in Foster Care	DHHS,ACF	93.652	90CO107401	69,643	57,274
Title IV-E Foster Care	DHHS,ACF	* 93.658	1101UT1401	3,855,527	
Title IV-E Foster Care	DHHS,ACF	* 93.658	1201UT1401	18,951,135	
Title IV-E Adoption Assistance	DHHS,ACF	93.659	1101UT1407	1,294,716	
Title IV-E Adoption Assistance	DHHS,ACF	93.659	1201UT1407	6,056,495	
Title XX-Social Svcs Block-Basic	DHHS,ACF	93.667	G-1001UTSOSR	1,471,227	
Title XX-Social Svcs Block-Basic	DHHS,ACF	93.667	G-1101UTSOSR	12,987,473	1,084,200
Title XX-Social Svcs Block-Discretionary	DHHS,ACF	93.667	G-1101UTSOSR	1,181,668	1,181,668
Title XX-Transfer of TANF for SSBG	DHHS,ACF	93.667	TANF TRANSFER	7,607,000	
Child Abuse Prevention and Treatment	DHHS,ACF	93.669	1001UTCA01	21,126	
Child Abuse Prevention and Treatment	DHHS,ACF	93.669	1101UTCA01	322,023	
Family Violence Prevention/Services	DHHS,ACF	93.671	1101UTFVPS	1,129,518	
Independent Living	DHHS,ACF	93.674	1001UT1420	853	
Independent Living	DHHS,ACF	93.674	1101UT1420	870,393	
State Health Ins Assistance Program (SHIP)	DHHS,CMS	93.779	0000020223	321,260	211,390
Medicare Improvements for Patients & Providers	DHHS,CMS	93.779	IX0330794A	3,821	1,364
Community Mental Health Svcs. Block	DHHS,SAMHSA	93.958	C-10B1UTCMSH	409,417	336,803
Community Mental Health Svcs. Block	DHHS,SAMHSA	93.958	C-11B1UTCMSH	3,042,734	2,372,476
Community Mental Health Svcs. Block	DHHS,SAMHSA	93.958	C-12B1UTCMSH	217,277	127,956
Substance Abuse Prevention/Treatment	DHHS,SAMHSA	* 93.959	C-11B1UTSAPT	10,273,664	8,892,857
Substance Abuse Prevention/Treatment	DHHS,SAMHSA	* 93.959	C-12B1UTSAPT	6,761,615	6,163,204
Federal Inmate Reimbursement	DOJ	N/A	8102-0037	1,748	
Pass-Through From Non-State Entities:					
APS OVW Elder Abuse Grant — Salt Lake City Corporation	DOJ,VAWO	16.528	2010-EW-AX-K007	51,902	
Subtotal – Department of Human Services				124,526,996	33,363,044
<u>INSURANCE, DEPARTMENT OF</u>					
Health Insurance Premium Review-Cycle I	DHHS,CMS	93.511	1 IPRPR100028-01-00	559,698	
Health Insurance Rate Review-Cycle II	DHHS,CMS	93.511	PRPPR0028A	278,171	
Asset Forfeiture Pgm Equitable Sharing	DOJ	N/A	UT018015Y	84,117	
Subtotal – Department of Insurance				921,986	0
<u>LABOR COMMISSION</u>					
Fair Housing Assistance Program	HUD,FHEO	14.401	FF208K118003	68,515	
Fair Housing Assistance Program	HUD,FHEO	14.401	FF208K108003	40,064	
BLS Survey Grant	DOL,BLS	17.005	OS18483-10	33,786	
BLS Survey Grant	DOL,BLS	17.005	OS-20362-11-75-J-49	33,493	
OSHA 23G Compliance	DOL,OSHA	17.503	SP17752SP1	909,688	
OSHA 23G Compliance	DOL,OSHA	17.503	SP19428SP2	932,837	
OSHA 21D Data Collection	DOL,OSHA	17.504	DC18112DC1	5,326	
OSHA 21D Data Collection	DOL,OSHA	17.504	DC18112DC2	5,313	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
OSHA 21D Consultation	DOL,OSHA	17.504	CS19399CS02	624,428	
OSHA 21D Consultation	DOL,OSHA	17.504	CS19399CS01	25,764	
EEOC Anti-Discrimination Contract	EEOC	30.002	2412FPSP0071	327,250	
Subtotal – Labor Commission				3,006,464	0

NATIONAL GUARD

Military Construction	DOD,NGB	12.400	W911YP-2001	1,221,814	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1001A	22,622,030	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1001B	2,988,350	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1001D	548,689	
Environmental Resource Management	DOD,NGB	12.401	W911YP-1002	2,635,318	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1003	959,753	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1004	647,739	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1005	2,269,419	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1007	637,841	
Anti Terrorism Program Activities	DOD,NGB	12.401	W911YP-1010	67,477	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1015	553,075	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1021	1,896,034	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1023	584,985	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1024	1,779,160	
Military Operations and Maintenance	DOD,NGB	12.401	W911YP-1040	199,866	
State Family Program Activities	DOD,NGB	12.401	W911YP-1041	948,358	
Law Enforcement Assistance	DOJ,DEA	16.001	DEA-P-031	3,693,222	
Law Enforcement Assistance	DOJ,DEA	16.001	DEA-P-004	873,592	
Subtotal-National Guard				45,126,722	0

NATURAL RESOURCES, DEPARTMENT OF

Division of Geological Survey

Paleo Database (UPDD)	DOI,BLM	15.224	L09AC15959-3	27,654	
Cedar City Field Analysis (UCFA)	DOI,BLM	15.236	L11AC20077	48,000	
Underground Mine Map Initiative (UOS2)	DOI,OSM	15.255	S11AC20013	40,000	
USGS NEHRP Nephi Segment (UNPI)	DOI,GS	15.807	G12AP20076	36,275	
Earthquake Studies (UEQ7)	DOI,GS	15.807	G10AC00058-0002	16,430	
Earthquake Studies (UEQ8)	DOI,GS	15.807	G10AC00058-0003	21,086	
USGS NEHRP Probabilities (UEQP)	DOI,GS	15.807	G11AP20004-1	23,511	
Washington Fault Zone Paleoseismic (UWPI)	DOI,GS	15.807	G11AP20061	30,975	6,000
Basin & Range Province Earthquake WG(UBRP)	DOI,GS	15.808	G11AP20187	24,998	
CO2 Sequestration Assessment (UNCS)	DOI,GS	15.808	G10AC00596	16,545	
Statemap 2011 (UST1)	DOI,GS	15.810	G10AC00386	72,883	
Statemap 2012 (UST2)	DOI,GS	15.810	G11AC20249	182,714	28,000
USGS Data Preservation Year 3 (UDP3)	DOI,GS	15.814	G11AP20159	44,988	
NCRDS - 2010 (UNC0)	DOI,GS	15.819	G10AC00455	10,792	
EPA Wetland Prgm Develop FFY 10 (UW10)	EPA,Water	66.461	CD-96811101-0	111,802	
EPA Wetland Prgm Develop FFY 11 (UW11)	EPA,Water	66.461	CD-96811901-0	12,707	12,073
West Desert Wetlands (UWDW)	EPA,Water	66.461	CD-97847201-2	34,222	
Uinta Basin Water (UUBW)	Dept. of Energy	81.089	DE-NT0005671	87,486	32,314
ARRA-Appliance Rebate Program (UARP)	Dept. of Energy	81.127	DE-EE0001601	(3,118)	
Wasatch Plateau Landslides (USF4)	USDA,FS	N/A	10-CS-11041000-033	101,381	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
National Park Paleontology (UNPP)	DOI,NPS	N/A	J2360106503	16,555	
Ferron Creek Landslide (UFS3)	USDA,FS	N/A	10-CS-11041000-028	7,560	
Subtotal – Division of Geological Survey				965,446	
<u>Division of Forestry, Fire, and State Lands</u>					
Consolidated Grant - All Programs	USDA,FS	10.664	07-DG-11046000-603	336,183	12,411
Consolidated Grant - All Programs	USDA,FS	10.664	08-DG-11046000-611	169,738	9,000
Consolidated Grant - All Programs	USDA,FS	10.664	09-DG-11046000-606	166,468	15,277
Consolidated Grant - All Programs	USDA,FS	10.664	10-DG-11046000-611	247,564	29,020
Consolidated Grant - All Programs	USDA,FS	10.664	11-DG-11046000-606	268,904	231,796
Community Protection	USDA,FS	10.664	10-DG-11046000-630	164,650	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	07-DG-11046000-617	57,038	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	08-DG-11046000-619	56,551	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	09-DG-11046000-612	78,357	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	10-DG-11046000-624	24,111	
Hazardous Fuel Reduction (Stevens Money)	USDA,FS	10.664	11-DG-11046000-615	96	
Competitive Redesign Grant	USDA,FS	10.664	09-DG-11046000-617	39,776	
Competitive Redesign Grant	USDA,FS	10.664	10-DG-11046000-615	197,525	
Competitive Redesign Grant	USDA,FS	10.664	11-DG-11046000-608	61,696	
Disaster Assistance	USDA,FS	10.664	09-DG-11046000-602	55,178	
Forest Legacy - Administration	USDA,FS	10.676	08-DG-11046000-603	56,171	
Forest Legacy - Dry Lakes Ranch	USDA,FS	10.676	10-DG-11046000-602	1,254,873	
Forest Health Protection	USDA,FS	10.680	11-DG-11046000-610	5,314	
ARRA-Farr West-Comstock	USDA,FS	10.688	10-DG-110482B1-005	15,021	
ARRA-Bumblebee	USDA,FS	10.688	10-DG-110482B1-006	82,254	
ARRA-Mountain Center	USDA,FS	10.688	10-DG-110482B1-009	43,353	
ARRA-North Elk	USDA,FS	10.688	10-DG-110482B1-008	153,002	
ARRA-Parowan Front	USDA,FS	10.688	10-DG-110482B1-010	8,549	
ARRA-Duck Creek	USDA,FS	10.688	10-DG-110482B1-070	10,149	
Good Neighbor Authority - Upper Santa Clara	USDA,FS	10.691	09-CA-11040700-046	39,377	
Good Neighbor Authority - Prescribed Fire Proj.	USDA,FS	10.691	09-CA-11040700-047	6,432	
Good Neighbor Authority - Timber Sale Prep	USDA,FS	10.691	12-CA-11040700-024	16,980	
Good Neighbor Authority - Duck Creek Pile Burns	USDA,FS	10.691	08-CA-11040700-025	7,385	
Good Neighbor Authority - Birch Creek	USDA,FS	10.691	12-CA-11040700-032	13,592	
Wildland Urban Interface Community Assist.	DOI,BLM	15.228	L08AC13293	163,937	
NUIFC Building Project	DOI,BLM	15.239	L10AC20198	2,105,958	
Ready Reserve Program	DOI,BLM	15.242	L08AC13016	110,360	
Subtotal – Division of Sovereign Lands and Forestry				6,016,542	
<u>Division of Oil, Gas and Mining</u>					
Statewide Reclamation Agreement	DOI,BLM	15.236	GBLM07AC13568	97,537	
UT FY11 Regulatory Grant	DOI,OSM	15.250	S09AF15289	1,692,548	
2009 AML Simplified Grant	DOI,OSM	15.252	S09AP15288	611,483	
2010 AML Simplified Grant	DOI,OSM	15.252	S10AF20007	838,206	
2011 AML Simplified Grant	DOI,OSM	15.252	S11AF20026	1,737,646	
EPA FFY 11 Underground Injection Control	EPA,Water	66.433	G008426-11	13,346	
EPA FFY 12 Underground Injection Control	EPA,Water	66.433	G008426-12	54,640	
Subtotal – Division of Oil, Gas and Mining				5,045,406	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>Division of Parks and Recreation</u>					
Land and Water Administration	DOI,NPS	15.916	VARIOUS	3,406	
Land and Water Conservation	DOI,NPS	15.916	VARIOUS	40,778	40,778
(HPC) Recreational Trails Program	DOT,FHWA	* 20.219	Recreational Trails	1,097,192	993,933
Boating Safety	DHS	97.012	3311FAS110149	1,544,699	
Wasatch Mountain - Forest Service	USDA,FS	N/A	VARIOUS	4,957	
EOTC Curation Services	USDA,FS	N/A	VARIOUS	50,704	
Anasai BLM Coop Agreement	DOI,BLM	N/A	VARIOUS	7,000	
Coral Pink BLM Coop Agreement	DOI,BLM	N/A	L08AC13866	17,573	
EOTC Repository and Curation	DOI,BLM	N/A	VARIOUS	22,256	
Stravation BOR Project	DOI,BOR	N/A	R10AC40003	188,576	
Subtotal – Division of Parks and Recreation				2,977,141	
<u>Division of Water Resources</u>					
San Rafael River/Muddy Creek Watershed Engineering & Planning Dam Rehabilitation	USDA,NRCS	10.916	68-8D43-10-22	88,296	
	USDA,NRCS	10.916	68-8D43-9-24	282,826	
Subtotal – Division of Water Resources				371,122	
<u>Division of Water Rights</u>					
National Dam Safety Program	DHS	97.041	DHS-11-MT-041-000-02	93,878	
Subtotal – Division of Water Rights				93,878	
<u>Division of Wildlife Resources</u>					
Cooperative Forestry Assistance	USDA,FS	10.664	VARIOUS	224,715	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2848	40,577	
Stewart Lake WMA	DOI,BOR	15.504	07-FC-40-2628	3,034	
Razor Back Sucker	DOI,BOR	15.504	07-FG-40-2676	20,211	
Provo River Corridor	DOI,BOR	15.504	07-FC-UT-1490	21,217	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2845	12,093	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2846	7,855	
Bluehead Sucker	DOI,BOR	15.504	06-FG-40-2519	48,727	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2844	13,694	
Native Cutthroat Trout Restoration/Cons.	DOI,BOR	15.504	10-FC-UT-1710	3,744	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2847	26,858	
South Willow Fly Catcher	DOI,BOR	15.504	09-AC-30-R02	14,354	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2904	25,619	
Montes Creek WMA	DOI,BOR	15.504	10-FC-UT-1780	3,627	
Whiterocks Hatchery	DOI,BOR	15.504	09-FC-CU-DW010	147,298	
Provo River Restoration PRRP	DOI,BOR	15.504	10-FC-UT-1670	55,563	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2849	206,346	
San Juan Razorback Sucker	DOI,BOR	15.504	R11AP40004	56,665	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2871	48,015	
June Sucker Interim Hatchery	DOI,BOR	15.504	11-FC-CU-DW010	206,071	
Fountain Green Hatchery	DOI,BOR	15.504	09-FC-CU-DW010	187,021	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2902	2,239	

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2908	32,432	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2850	213,199	
June Sucker Interim Hatchery	DOI,BOR	15.504	10-FC-CU-DW010	125,206	
Admin and Management	DOI,BOR	15.504	10-FC-UT-1660	133,727	
Upper Colorado River Recovery	DOI,BOR	15.504	09-FG-40-2870	84,024	
Utah Lake Wetland Preserve/Juab Co	DOI,BOR	15.504	10-FC-UT-1650	168,230	
Diamond Fork Mitigation Project	DOI,BOR	15.504	11-FC-UT-1810	15,678	
San Juan Non-native Lower San Juan	DOI,BOR	15.529	08-FG-40-2722	113,427	
(FW) Sport Fish Restoration Program	DOI,FWS	* 15.605	VARIOUS	5,566,749	
(FW) Wildlife Restoration Program	DOI,FWS	* 15.611	VARIOUS	5,559,413	
Local Endangered Species Act	DOI,FWS	15.615	VARIOUS	450,917	292,790
Landowner Incentive	DOI,FWS	15.633	VARIOUS	12,181	
State Wildlife Grants	DOI,FWS	15.634	VARIOUS	986,225	
USFWS Cooperative Agreements	DOI,FWS	15.642	VARIOUS	614,596	
FES Viral Survey	USDA,APHIS	N/A	10-9749-2063-CA	20,079	
Farm Bill Biologists	USDA,NRCS	N/A	VARIOUS	76,903	
Restoration of San Rafael River	USDA,NRCS	N/A	728D430818Q	52,011	
Chronic Wasting Disease	USDA,APHIS	N/A	06-9749-1396-CA	111,308	
Chronic Wasting Disease	USDA,APHIS	N/A	10-9749-1396-CA	155,515	
Bat Database	DOD	N/A	VARIOUS	131,000	
Bureau of Land Management	DOI,BLM	N/A	VARIOUS	2,576,523	
FEMA FBWMA	DHS	N/A	CD98870603	170,301	
Subtotal – Division of Wildlife Resources				18,745,187	
Subtotal – Department of Natural Resources				34,214,722	1,703,392
<u>PUBLIC SAFETY, DEPARTMENT OF</u>					
Public Safety Interoperable Communication	DOC,NTIA	11.555	GSH70026	2,044,306	2,037,236
Enforcing Underage Drinking Laws Program	DOJ,OJJDP	16.727	VARIOUS	450,917	283,445
Forensic DNA Capacity Enhancement Prgm.	DOJ,NIJ	16.741	VARIOUS	285,123	
Forensic Science Improvement Grant	DOJ,NIJ	16.742	VARIOUS	176,130	17,639
Commercial Driver's License Improvement	DOT,FMCSA	20.232	VARIOUS	427,768	
(HS) State and Community Highway Safety	DOT, NHTSA	20.600	VARIOUS	1,628,892	694,965
(HS) Alcohol Impaired Driving Countermeasures	DOT, NHTSA	20.601	VARIOUS	1,201,696	341,459
(HS) Occupant Protection Incentive	DOT, NHTSA	20.602	VARIOUS	127,978	12,436
(HS) Safety Belt Performance Grant	DOT, NHTSA	20.609	VARIOUS	1,047,997	245,729
(HS) St Traffic Safety Info System Improve.	DOT, NHTSA	20.610	VARIOUS	418,889	144,100
(HS) Incentive Prgm to Prohibit Racial Profiling	DOT, NHTSA	20.611	VARIOUS	205,635	35,585
(HS) Incentive Program to Incr. Motorcyclist Sfty	DOT, NHTSA	20.612	VARIOUS	92,944	
(HS) Child Safety and Child Booster Seats	DOT, NHTSA	20.613	VARIOUS	156,338	76,066
NHTSA Discretionary Safety Grants	DOT, NHTSA	20.614	VARIOUS	43,712	
Hazardous Materials Planning & Training	DOT,PHMSA	20.703	VARIOUS	260,703	145,841
High Intensity Drug Trafficking Areas Prgm	EOP	95.001	HIDTA	669,310	
Non-Profit Security Program	DHS	97.008	10UAT5	75,000	75,000
Community Assistance Program	DHS	97.023	VARIOUS	55,544	
Disaster Grants–Public Assistance	DHS	97.036	VARIOUS	7,887,363	7,682,057
Chemical Stockpile Emergency Preparedness	DHS	97.040	VARIOUS	6,888,645	3,775,007
Emergency Management Performance Grants	DHS	97.042	VARIOUS	3,769,926	1,463,835
Cooperating Technical Partners	DHS	97.045	VARIOUS	438,009	
Fire Management Assistance Grant	DHS	97.046	VARIOUS	1,370,625	1,370,625
Pre-Disaster Mitigation Competitive Grant	DHS	97.047	VARIOUS	1,171,594	937,179

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Emergency Operations Center	DHS	97.052	VARIOUS	338,454	338,454
Homeland Security Grant Program	DHS	97.067	VARIOUS	9,052,334	7,945,500
Buffer Zone Protection Plan	DHS	97.078	BFT90018, BZT80024	390,184	390,184
Earthquake Consortium	DHS	97.082	VARIOUS	106,736	
Driver's License Security Grant Prgm (Real ID)	DHS	97.089	VARIOUS	418,365	
National Incident Management System (NIMS)	DHS	97.107	SA0901858	9,361	9,361
Equitable Sharing Program	DOJ,DEA	N/A	Eq. Share. Function 000027	82,706	
Subtotal – Department of Public Safety				41,293,184	28,021,703
<u>PUBLIC SERVICE COMMISSION</u>					
ARRA-State Broadband Data & Development	DOC,NTIA	11.558	49-50-M09054	549,570	
ARRA-Electricity Regulators Assistance	Dept. of Energy	81.122	DE-OE0000131	269,430	
Subtotal – Public Service Commission				819,000	0
<u>REGENTS, BOARD OF</u>					
<u>Administration</u>					
No Child Left Behind	ED,ESE	84.367	S367B080039A / 090039	463,471	
College Access Challenge Grant Program	ED,PE	84.378	P378A090024 / A100024	1,122,092	
Subtotal – Administration				1,585,563	
<u>Student Loan Purchase Program</u>					
Special Allowance Payments	ED,SFAP	* 84.032	VARIOUS	(24,959,782)	
Federal Interest Subsidy Payments	ED,SFAP	* 84.032	VARIOUS	11,942,392	
College Access Challenge Grant	ED,PE	84.378	VARIOUS	5,043	
Subtotal – Student Loan Purchase Program				(13,012,347)	
<u>Student Loan Guarantee Program – Utah Higher Education Assistance Authority</u>					
Federal Reinsurance Received on					
Defaulted Loan Claims Paid				45,300,511	
Less Collection on Defaulted Loans					
Remitted to Department of Education				(5,641,062)	
Net Federal Reinsurance Received on					
Defaulted Loan Claims Paid	ED,SFAP	* 84.032	VARIOUS	39,659,449	
Default Adversion Fee	ED,SFAP	* 84.032	VARIOUS	243,004	
Account Maintenance Fee	ED,SFAP	* 84.032	VARIOUS	1,225,102	
College Access Challenge Grant	ED,PE	84.378	VARIOUS	224,697	
Subtotal – Student Loan Guarantee Program – Utah Higher Education Assistance Authority				41,352,252	
Subtotal – Board of Regents				29,925,468	0

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>SURPLUS PROPERTY, DIVISION OF</u>					
Federal Property Assistance	GSA	39.003	VARIOUS	83,948	
Subtotal – Division of Surplus Property				83,948	0
<u>TAX COMMISSION</u>					
Oil and Gas Leases	DOI,MMS	N/A	M10AC20016	456,140	
Motor Fuel Evasion	DOT,FHWA	N/A	F-ST99(107)	3,109	
Odometer Fraud	DOT,NHTSA	N/A	DTNH22-05-H-01403	46,240	
Subtotal – Tax Commission				505,489	0
<u>TECHNOLOGY SERVICES, DEPARTMENT OF</u>					
County Cadastral	DOI,GS	15.809	L08AC146880003-000	290,000	290,000
USGS ASAP Sys (FGDC CAP Business Plan)	DOI,GS	15.809	G11AC20049-0001-1600	40,000	
Subtotal – Department of Technology Services				330,000	290,000
<u>TRANSPORTATION, DEPARTMENT OF</u>					
Airport Improvement Program	DOT,FAA	20.106	3-49-0000-09/DOT-FA09NM-1033	33,619	
Airport Improvement Program	DOT,FAA	20.106	3-49-0000-10/DOT-FA09NM-1129	18,741	
Airport Improvement Program	DOT,FAA	20.106	3-49-0000-11/DOT-FA10NM-1041	66,302	
ARRA-(HPC) Highway Planning & Const.	DOT,FHWA	* 20.205	ARRA	5,071,032	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Add CA FR STS w/Ind Res	267,684	266,374
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Bridge	14,212,290	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Bridge Research & Deploy	7,693	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Congestion/Air Quality	7,475,418	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Demonstration Proj	5,298	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Emergency Relief	8,352,487	120,500
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Environmental Steamlining	106	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Equity Bonus Exempt Lim	7,157,798	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Equity Bonus SP Limitation	1,068,068	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Extension of Alloc Programs	9,426,096	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Ferry Boat & Term Constr	127,279	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Freight Intermodal Grant Prg	220,742	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	FTA Hwy Proj Tsf FHWA	549,639	549,639
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Grants Sup Plan Section 117	168,209	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	High Priority Projects	5,227	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	High Priority Projects 1702	17,124,997	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Bridge Prgm (Plus)	2,020,038	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Demo Project	231,886	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Infrastructure	3,212,614	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Highway Plan and Research	5,079,894	47,600
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	HSIP Limiting Flexible	9,163,821	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Interstate Maint Disc	1,420,389	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Interstate Maintenance	140,427,633	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	ITS Deployment-Metropol	233,664	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Metropolitan Planning	2,041,883	2,041,883
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Minimum Guarantee	110,964	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	National Highway System	89,875,020	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Public Lands	606,803	49,950
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Rail-Hwy Crossing Haz Eli	837,466	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Redistributed Certain Auth	4,069,695	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Safe Routes to School	1,204,811	41,970
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Scenic Byways	239,882	167,178
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Sec 115 Unobl Bal Transf	32,666	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Special Projects/Studies	79,173	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	St Environ Coop Program	41,707	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	STP Transferability	466,546	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Supportive Services	108,858	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Surface Trans Priorities	384,543	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Surface Trans Research	2,191	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Surface Transportation Prgm	97,070,564	6,916,318
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Surface Transportation Proj	1,663,122	1,499,744
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Trans Com Sys Pres Pilot	805,227	403,734
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Transp Assist Program	182,611	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Transportation Imp Proj	7,178,889	4,049
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Truck Parking Facilities	56,344	
(HPC) Highway Planning & Construction	DOT,FHWA	* 20.205	Urban Access & Mobility	542	
National Motor Carrier Safety	DOT,FMCSA	20.218	MN10(491)	21,393	
National Motor Carrier Safety	DOT,FMCSA	20.218	MN11(491)	257,842	
National Motor Carrier Safety	DOT,FMCSA	20.218	MC11(491)	731,688	
National Motor Carrier Safety	DOT,FMCSA	20.218	MC12(491)	994,383	
National Motor Carrier Safety	DOT,FMCSA	20.218	MH10(492)	99,385	
Performance and Registration Inf Sys Mgt	DOT,FMCSA	20.231	PZ09(491)	17,323	
Commercial Vehicle Info Systems & Networks	DOT,FMCSA	20.237	IT07(491)	79,748	
Commercial Vehicle Info Systems & Networks	DOT,FMCSA	20.237	IT09(491)	421,800	
ARRA-Formula Grants Other Than Urbanized	DOT,FTA	* 20.509	UT-86-X001	703,575	641,016
Formula Grants Other Than Urbanized Areas	DOT,FTA	* 20.509	UT-18-X027	1,003,864	847,614
Formula Grants Other Than Urbanized Areas	DOT,FTA	* 20.509	UT-18-X028	515,248	229,692
Formula Grants Other Than Urbanized Areas	DOT,FTA	* 20.509	UT-18-X029	2,224,035	2,072,812
Formula Grants Other Than Urbanized Areas	DOT,FTA	* 20.509	UT-18-X030	3,326,174	3,326,174
Formula Grants Other Than Urbanized Areas	DOT,FTA	* 20.509	UT-18-X026	9,216	9,216
(TSP) Capital Asst. Elderly with Disabilities	DOT,FTA	20.513	UT-16-X002	224,000	224,000
(TSP) Capital Asst. Elderly with Disabilities	DOT,FTA	20.513	UT-16-X003	427,878	
(TSP) Capital Asst. Elderly with Disabilities	DOT,FTA	20.513	UT-16-X004	141,822	52,283
(TSP) Job Access Reverse Commute	DOT,FTA	20.516	UT-37-X001	571,384	571,384
(TSP) Job Access Reverse Commute	DOT,FTA	20.516	UT-37-X002	309,955	228,125
(TSP) Job Access Reverse Commute	DOT,FTA	20.516	UT-37-X003	405,754	392,729
Public Transportation Research	DOT,FTA	20.514	UT-26-5001	184,702	3,315
(TSP) New Freedom Program	DOT,FTA	20.521	UT-57-X001	115,468	115,409
(TSP) New Freedom Program	DOT,FTA	20.521	UT-57-X002	318,544	290,784
(TSP) New Freedom Program	DOT,FTA	20.521	UT-57-X003	265,870	265,844
(HS) State and Community Highway Safety	DOT, NHTSA	20.600	NHTSA Grants Sfty Blts Trf	763,427	
Pass-Through From Non-State Entities:					
(ECDBG) Community Development Blk Grant — Vineyard Town, Utah	HUD,CPD	14.218	B-11-UC-49-0003	342,261	
Subtotal – Department of Transportation				<u>454,684,910</u>	<u>21,379,336</u>

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
<u>VETERAN'S AFFAIRS, DEPARTMENT OF</u>					
Veterans State Nursing Home Ivins	VA,VAHAC	64.005	FAI-49-004	2,909,429	
Veterans State Nursing Home Payson	VA,VAHAC	64.005	FAI-49-005	2,583,050	
Veterans Cemetery	VA,NCS	64.203	FAI-UT-10-03	247,158	
Veterans Cemetery	VA,NCS	64.203	FAI-UT-07-02	3,331,801	
Veterans State Nursing Home Care	VA,VAHAC	64.015	VARIOUS	8,515,620	
Veterans Administrative Office	VA	N/A	VARIOUS	99,644	
State Approving Agency	VA,VAHAC	N/A	V101 (223C) P-5059	112,676	
Subtotal – Department of Veteran's Affairs				17,799,378	0
<u>WORKFORCE SERVICES, DEPARTMENT OF</u>					
ARRA-(SNAP) SNAP – SSI Cash Out	USDA,FNS	* 10.551	2011 / 2012 ID281143	424,559	
(SNAP) SNAP – SSI Cash Out	USDA,FNS	* 10.551	2011 /2012 IS601843	2,454,801	
(SNAP) SNAP – Benefits	USDA,FNS	* 10.551	8016499S6008	406,290,514	
(SNAP) SNAP – Interview Research Grant	USDA,FNS	* 10.561	2011IS807313	3,246	
(SNAP) SNAP – Administration	USDA,FNS	* 10.561	2011IS251443, 2012IS251443	18,975,709	
(SNAP) SNAP – DOD Appropriation	USDA,FNS	* 10.561	2011CQ270343	5,844	
(SNAP) SNAP – Emp/Train 100%	USDA,FNS	* 10.561	2012IE251843	1,029,889	
(SNAP) SNAP – Emp/Train 50%	USDA,FNS	* 10.561	2011IS251943, 2012IS251943	1,797,290	
(SNAP) SNAP – Emp/Train 50% Participation	USDA,FNS	* 10.561	2011IS252043,2012IS252043	513,281	
(SNAP) SNAP – Nutrition Education	USDA,FNS	* 10.561	2011/2012 IQ390343, 2012IS750343	813,324	
(SNAP) SNAP – State Exchange	USDA,FNS	* 10.561	2012IS802643, 2012IS803643	9,422	
Bureau of Labor Statistics – MLS	DOL,BLS	17.002	91251, 91252	49,498	
Bureau of Labor Statistics – LAUS	DOL,BLS	17.002	91221, 91222	99,055	
BLS – LAUS City Claims	DOL,BLS	17.002	91340	1,073	
Bureau of Labor Statistics – CES	DOL,BLS	17.002	91210, 91211,91212	73,366	
Bureau of Labor Statistics – OES	DOL,BLS	17.002	91231, 91232	274,009	
ES 202	DOL,BLS	17.002	91240, 91241, 91242	277,653	
ES 202 AAMC	DOL,BLS	17.002	91312, 91360, 91441	1,854,952	
(ES) ALCATS Project Reporting	DOL,ETA	17.207	T- MI186234Z	48,980	
(ES) One Stope LMI State Admin.	DOL,ETA	17.207	ES192327K0,ES20779BK0,ES22093GC1	675,505	
(ES) ES Retirement (Trust)	DOL,ETA	17.207	ES207799L0	742,891	
(ES) Wagner/Peyser Employment Services	DOL,ETA	17.207	ES175912X0, ES192327I0	6,554,795	
(ES) Wagner/Peyser Employment Services	DOL,ETA	17.207	REED ACT	6,567,000	
Unemployment Insurance Operations	DOL,ETA	* 17.225	UI19619S0, UI21129EW0	28,120,135	
Unemploy Ins Re-Employment Assessment	DOL,ETA	* 17.225	UI196128W0, UI21229DW0	1,402,448	
Unemployment Insurance Extended Benefits	DOL,ETA	* 17.225	VARIOUS	2,504,850	
Unemployment Ins Mandatory Re-Employment	DOL,ETA	* 17.225	UI22343L00	219,785	
ARRA-UC Modernization Incentive & Admin	DOL,ETA	* 17.225	UI MODERN INCENTIVE	1,304,444	
Trade Readjustment Allowance Benefits	DOL,ETA	* 17.225	UI21129ED0, UI22343IN0	2,150,009	
ARRA-Emergency Unemp Comp (EEUC)	DOL,ETA	* 17.225	EUCA (EUC08)	87,119,172	
ARRA-Additional Unemp Comp (FAUC)	DOL,ETA	* 17.225	FAUC Additional UC (FAUC)	(228,916)	
Emergency Unemp Comp (EEUC)	DOL,ETA	* 17.225	EUCA (EUC08)	85,250,786	
Temporary Extended Unemployment Comp	DOL,ETA	* 17.225	EUCA TEUC (Contributory)	(10,524)	
Extended Benefits	DOL,ETA	* 17.225	EUCA (EB)	283,607	
State Funded Unemployment Expenditures UI	DOL,ETA	* 17.225	VARIOUS	262,316,283	
Trade TAA Program	DOL,ETA	17.245	TA197358L0	2,890,319	
Alternative TAA Program	DOL,ETA	17.245	UI196129E0, UI21129EE0	146,732	
(WIA) Workforce Invest. Act Adult Program	DOL,ETA	* 17.258	VARIOUS	4,064,703	
(WIA) Workforce Invest. Act Youth Program	DOL,ETA	* 17.259	AA20223AF0, AA21425EZ0	4,319,195	334,878

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(WIA) Dislocated Workers	DOL,ETA	* 17.260	EM204228K0	198,368	
Work Opportunity Tax Credit Program	DOL,ETA	17.271	ES20779DC0, ES22093HG0	134,630	
Alien Labor Certification Non Ag	DOL,ETA	17.273	ES20779EF0/GZ0, ES22093IP0	43,866	
Alien Labor Certification AG	DOL,ETA	17.273	ES20779EF0/GZ0, ES22093IP0	42,761	
ARRA-State Energy Sector Grant	DOL,ETA	17.275	GJ199135R0	2,104,001	
ARRA-Health Ins Tax Credit Oper Grant	DOL,ETA	17.276	EM211926C0	38,477	
Utah ATK NEG Grant	DOL,ETA	17.277	EM20422HJ	141,364	
(WIA) WIA Dislocated Worker Formula Grants	DOL,ETA	* 17.278	AA20223AU0/CZ0, AA21425FQ0/FQ	6,299,330	
(ES) Disabled Veterans Outreach	DOL,VETS	17.801	T-DV19652D15, T-DV19652D25	885,875	
(ES) Local Veterans Employment	DOL,VETS	17.804	T-DV19652L05/L15, T-DV19652L25	84,450	
Veterans Temporary Assistance	DOL,VETS	17.807	T-DV19652T25	11,184	
(TANF) Temporary Assist for Needy Families	DHHS,ACF	* 93.558	1002UTTANF, 1102UTTANF	59,583,429	2,947,240
Refugee Social Services	DHHS,ACF	93.566	G-09AAUT8115, G-10TAUT1000	789,274	309,090
Refugee Cash Medical Assistance	DHHS,ACF	93.566	P-09AAUT8100, P-10-, P-11, P-12	5,628,706	1,888,844
(CC) Child Care Develop – Discretionary	DHHS,ACF	* 93.575	G-09S/10/11/12 01UTCCDF	29,618,719	1,304,329
Refugee Older Refugee Grant	DHHS,ACF	93.576	G-90RL013102, -03, -04	61,072	
Refugee SCHOOL Impact Award	DHHS,ACF	93.576	G-90ZE008906,G-90ZE011801,-802	422,461	
Refugee Pre-Literacy Grant	DHHS,ACF	93.576	G-90RT016101	33,598	
Refugee Targeted Assistance	DHHS,ACF	93.584	G-09AUT1000, G-10AUT1000	621,075	620,976
(CC) Child Care Develop – Mandatory/Match	DHHS,ACF	* 93.596	G-09S/10/11/12 01UTCCDF	24,022,433	
(HDST) Headstart State Collaboration Grant	DHHS,ACF	93.600	G-08CD002301	92,626	
Title XX Social Services Block Grant–Basic	DHHS,ACF	93.667	G-11UTSOSR	15,000	
ARRA-(CC) Child Care Stimulus	DHHS,ACF	* 93.713	G-0901UTCCD7	543,597	
ARRA-(TANF) Temporary Assist for Needy Fam	DHHS,ACF	* 93.714	1002UTTANF, 1102UTTANF	11,045,531	
Refugee Vista Grant	CNCS	94.013	G-11VSPUT001	2,228	
Subtotal – Department of Workforce Services				1,073,853,739	7,405,357
Subtotal – Primary Government				4,032,208,322	717,023,942

COMPONENT UNITS - COLLEGE AND UNIVERSITY

UTAH COLLEGE OF APPLIED TECHNOLOGY

Bridgerland Applied Technology College

(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P113761	1,167,794	
Rural Business Enterprise Grant	USDA	N/A	52-003-870294483	11,000	
Subtotal – Bridgerland Applied Technology College				1,178,794	

Davis Applied Technology College

Community-Based Job Training Grant	DOL,ETA	17.269	CB-17304-08-60-A-49	524,719	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A117112	45,549	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A117112	14,323	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P113839	1,236,466	
Subtotal – Davis Applied Technology College				1,821,057	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
<u>Mountainland Applied Technology College</u>					
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A115818	5,976	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P115818	642,613	
Subtotal – Mountainland Applied Technology College				648,589	
<u>Ogden-Weber Applied Technology College</u>					
IT Grant	DOL,ETA	17.269	HG-18175-09-60-A-49	140,120	
Welding Grant	DOL,ETA	17.269	CB-18245-90-60-A-49	64,905	
Youth Build Grant	DOL,ETA	17.274	YB-21310-11-60-A-49	369,576	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A116314	49,350	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A116314	55,333	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P114239	2,132,025	
(SFA) Academic Competitiveness Grants	ED,PE	* 84.375	P375A094239	1,228	
Subtotal – Ogden-Weber Applied Technology College				2,812,537	
<u>Southwest Applied Technology College</u>					
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P115928	376,000	
Subtotal – Uintah Basin Applied Technology College				376,000	
<u>Uintah Basin Applied Technology College</u>					
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P113427	116,134	
Subtotal – Uintah Basin Applied Technology College				116,134	
Subtotal – Utah College of Applied Technology				6,953,111	0
<u>DIXIE COLLEGE</u>					
Non-Research Grants					
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A114194	120,937	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114194	217,748	
(TRIO) Student Support Services	ED,PE	84.042	P042A050119	315,301	
(TRIO) Talent Search	ED,PE	84.044	P044A070343	384,420	
(TRIO) Upward Bound	ED,PE	84.047	P047A080310	341,590	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	19,326,845	
Rural Entrepreneurship Excellence	ED,PE	84.116	P116Z090089	26,113	
Scholastic Reward for Talented Low Income	NSF	N/A	0630985	3,424	
SW Region Criminal Justice Computer Crime Inst	DOJ	N/A	2010-DD-BX-0641	496,683	
Veteran's Administration Allowance	VA	N/A	VARIOUS	772	
Research Grants					
(R&D) GUVI Extended Mission	NASA	* N/A	NNX11AH76G	49,679	18,827
Pass-Through From Non-State Entities:					
(R&D) GUVI Extended Mission The Aerospace Corporation	NASA	* N/A	NNX11AD71G	23,323	
Subtotal – Dixie College				21,306,835	18,827

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
<u>SALT LAKE COMMUNITY COLLEGE</u>					
Community Based Job Training Grants	DOL,ETA	17.269	CB-20613-10-60-A-49	848,796	
Youthbuild	DOL,ETA	17.274	YB-18986-09-60-A-49	58,451	
Trade Adjustment Assist Community College	DOL,ETA	17.282	VARIOUS	29,777	
Education and Human Resources	NSF	47.076	DUE-0856770/DUE-1003292	584,546	
Small Business Development Centers	SBA	59.037	VARIOUS	808,669	
ARRA-Energy Efficiency & Renewable Info	Dept. of Energy	81.117	EDE-EE002090	293,064	213,222
ARRA-Electricity Delivery & Energy Reliability	Dept. of Energy	81.122	DE-OE0000457	393,043	
ARRA-Electricity Delivery & Energy Reliability	Dept. of Energy	81.122	DE-OE0000458	553,278	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A114220	398,759	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114220	390,179	
(TRIO) Student Support Services	ED,PE	84.042	P042A100798	331,503	
(TRIO) Talent Search	ED,PE	84.044	P044A070418/P044A110418	229,768	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P092605/ 10 / 11	42,319,902	
Child Care Access Means Parents in School	ED,PE	84.335	P335A090046	98,758	
(SFA) Academic Competitiveness Grants	ED,PE	* 84.375	P375A102605	12,079	
Planning and Program Development	CNCS	94.007	VARIOUS	304,696	
Small Business Jobs Act	SBA	N/A	1-603001-Z-0156	181,941	
Pass-Through From Non-State Entities:					
Academic Exchange Pgms Undergrad — Kirkwood Community College	Dept. of State,ECA	19.009	SLC94660-67025	11,146	
Fund Improvement of Postsecondary Ed — LaGuardia Community College	ED,PE	84.116	P116B100295	7,000	
Engineering Grants — Inscent, Inc	NSF	47.041	1058580	16,870	
ARRA-Health Info Tech Professionals HC — Bellevue Community College	DHHS,OS	93.721	900CC0077/01	200,171	
Subtotal – Salt Lake Community College				48,072,396	213,222
<u>SNOW COLLEGE</u>					
NFM Benchmarking Center	USDA,NIFA	10.319	H001856205	5,537	
Farm Ranch Management	USDA,NIFA	10.500	2010-49200-06203	66,544	
USDA Rural Business Enterprise Grant	USDA,RD	10.769	52-020-876000517	27,398	
CMV Operator Safety Training	DOT,FMCSA	20.235	DT-10-49-G-00000	1,800	
Small Business Development Center	SBA	59.037	VARIOUS	69,487	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	VARIOUS	82,243	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114212	69,319	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A094212	22,730	
(TRIO) Student Support Services	ED,PE	84.042	VARIOUS	342,228	
(TRIO) Upward Bound	ED,PE	84.047	VARIOUS	286,621	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	5,926,773	
Allied Health	ED,PE	84.116	P116Z100216	114,824	
College Access Challenge Grant Program	ED,PE	84.378	VARIOUS	38,878	
Subtotal – Snow College				7,054,382	0

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
<u>SOUTHERN UTAH UNIVERSITY</u>					
Fuels Intern & Color Country Fire Intern	DOI,BIE	15.151	H69443/92120	21,887	
UPDRIP 2 - BLM UT Prairie Dog Recovery	DOI,FWS	15.615	60181AJ509	5,172	
Renewable Energy Business Development	DOI,ETA	17.261	EA-21889-11-60-A-49	243,442	
Shakespeare	NFAH,NEA	45.024	VARIOUS	50,000	
Gibson Science Center Grant	DHHS,HRSA	93.887	VARIOUS	66,235	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A114213	214,882	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114213	244,984	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A094213	25,370	
(TRIO) Student Support Services	ED,PE	84.042	P042A060878	294,853	
(TRIO) Talent Search	ED,PE	84.044	P044A060385	310,398	
(TRIO) Upward Bound Program	ED,PE	84.047	P047A070318	284,166	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P112352	12,988,316	
Voyager - Postsecondary Education	ED,PE	84.116	P116Z090142	410	
Gear Up	ED,PE	84.334	VARIOUS	76,312	
Child Care Access Means Parents in School	ED,PE	84.335	P335A090204-10	55,729	
Election Assistance Commission	EAC	90.400	E4064552E	13,706	
(HDST) Head Start Program	DHHS,ACF	93.600	VARIOUS	3,473,029	
ARRA-(HDST) Head Start Expansion/Training	DHHS,ACF	93.708	08SH0057/01	117,065	
Dixie National Forest Paric Agreement	USDA,FS	N/A	11-PA-11040700-010	28,985	
Initiative Native Plant Project	USDA,FS	N/A	10-PA-11040700-038	91,896	
Kanab Field Off - Hog Canyon Rte Mgmt	DOI,BLM	N/A	VARIOUS	4,508	
IIC - BLM Career Internship Corps	DOI,BLM	N/A	L10AC20317	12,772	
BLM UT Conservation Corp - Youth Program	DOI,BLM	N/A	L10AC20317	20,618	
Frisco Mountain endemic Plant Study	DOI,BLM	15.231	L11AC20329	25,762	
UT Prairie Dog Recovery	DOI,BLM	15.231	L10AC20498	28,394	
Bat Habitat Monitoring & Population Monitoring	DOI,BLM	15.231	L11AS00146	8,627	
Cedar Breaks Night Sky Project	DOI,NPS	15.945	J1360100174	3,449	
Phys & Bio Evaluation of Springs	DOI,NPS	15.945	J1590085135/SUU-13	3,693	
CEBR/ZION GIS Data Collection	DOI,NPS	15.945	J1596090174	2	
Youth Partnership - IIC	DOI,NPS	15.945	J1360120015/SUU-37	109,932	
GIS Supp for Under the Rim Archaeology	DOI,NPS	15.945	J1330100049/SUU-26	15,960	
Cedar Breaks Multimedia Tour	DOI,NPS	15.945	J136006D086/SUU-04 & 05	2,766	
Bryce Canyon Soundscape	DOI,NPS	15.945	J1330060018	7,183	
Grand Canyon - Parashant Nat'l Park	DOI,NPS	15.945	J8230100233/SUU-22	43,229	
Oral History Interviews from ZNP	DOI,NPS	15.945	J1590106600/SUU-23	14,521	
Bryce Canyon Memories	DOI,NPS	15.945	J1330110014 SUU-28	11,906	
Bat Monitoring Pipe Springs NM	DOI,NPS	15.945	J15101000370/SUU-25	20,277	
IIC - YIP Career Internship Corp	DOI,NPS	15.945	P11AT00221/SUU-32	209,662	
CEBR Hazardous Tree Project	DOI,NPS	15.945	J1360110060/SUU-29	12,433	
Cedar Breaks Wayside Project	DOI,NPS	15.945	J1360110051/SUU-27	483	
Enhance Trail Experience & Access-Digital	DOI,NPS	15.945	J1360110063/SUU-30	6,005	
NPS Youth Conservation Corps	DOI,NPS	15.945	VARIOUS	12,286	
Cedar Breaks	DOI,NPS	15.945	VARIOUS	5,808	
IIC - CEBR Project CIC Trails and Fence	DOI,NPS	15.945	P11AT10390	24,111	
Post Fire Monitoring & Treatment	DOI,NPS	15.945	J1597070167-SUU-08	27,136	
Wetland Microbial Comm at Bryce	DOI,NPS	N/A	P11AT00185/SUU-33	6,895	
Herbarium Imaging Project	DOI,NPS	N/A	P11AT10843-SUU-35	13,320	
Bryce Canyon - Under the Rim Archaeology	DOI,NPS	N/A	J1330100050/SUU-24	22,751	
Forest Service Internship Program	DOI,NPS	15.945	12-CS-11040700-011	14,234	
RSG/DOT-VOLPE/NPS Visitor Survey	DOT,FRA	N/A	DTRT57-10-P-80069	23,059	
Veterans Reporting	VA	N/A	VARIOUS	3,822	
Subtotal – Southern Utah University				19,322,441	0

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
UTAH, UNIVERSITY OF					
Research Grants					
(R&D) Root-To-Shoot Signal Bypass1	USDA,NIFA	* 10.206	20083530404488	106,524	
(R&D) CSTAR: High Impact Weather	DOC,NOAA	* 11.468	NA10NWS4680005	109,152	
(R&D) Measurement & Engineering R & S	DOC,NIST	* 11.609	VARIOUS	142,136	
(R&D) Basic and Applied Scientific Res.	DOD,DON,OCNF	* 12.300	VARIOUS	714,651	102,175
(R&D) Military Medical Research & Develop.	DOD,AMedicalC	* 12.420	VARIOUS	2,876,118	344,890
(R&D) Basic Scientific Research	DOD,AMaterielC	* 12.431	VARIOUS	376,922	32,300
(R&D) Basic, Applied, and Advanced Res.	DOD,OSD	* 12.630	VARIOUS	145,405	59,376
(R&D) Air Force Defense Research Sci.	DOD,DAF,MC	* 12.800	VARIOUS	1,238,437	888,237
(R&D) Unitary Representations	DOD,NSA	* 12.901	H982301010176	586	
(R&D) Research and Technology Development	DOD,ARPA	* 12.910	VARIOUS	908,241	
ARRA-(R&D) Great Basin Fire History	DOI,BLM	* 15.231	L10AC20074	16,473	
(R&D) Environmental Quality & Protection R M	DOI,BLM	* 15.236	VARIOUS	58,346	
(R&D) Sherburne NWR	DOI,FWS	* 15.650	30181AJ166F10AC00336	4,154	
(R&D) Graham's Penstemon Survey 2011	DOI,FWS	* 15.657	F11AC00384/60181BJ606	18,441	
(R&D) Liquefaction Maps for Weber CO	DOI,GS	* 15.817	G12AP20074	15,850	
ARRA-(R&D) Upgrading SEIS Network	DOI,GS	* 15.818	G10AC00041	31,601	
(R&D) Seismic and GPS Network	DOI,GS	* 15.818	G10AC00124	256,231	
(R&D) Science	NASA	* 43.001	VARIOUS	112,283	
(R&D) Engineering Grants	NSF	* 47.041	VARIOUS	1,875,775	224,140
(R&D) Mathematical and Physical Sciences	NSF	* 47.049	VARIOUS	8,953,424	122,496
(R&D) Geosciences	NSF	* 47.050	VARIOUS	1,199,002	18,124
(R&D) Computer and Information — Science and Engineering	NSF	* 47.070	VARIOUS	3,642,056	-
(R&D) Biological Sciences	NSF	* 47.074	VARIOUS	3,758,883	217,442
(R&D) Social, Behavioral, and Economic Sciences	NSF	* 47.075	VARIOUS	1,243,092	
(R&D) Education and Human Resources	NSF	* 47.076	VARIOUS	271,148	
(R&D) Polar Programs	NSF	* 47.078	VARIOUS	194,236	
(R&D) International Science and Engineering	NSF	* 47.079	VARIOUS	43,710	
(R&D) Office of Cyberinfrastructure	NSF	* 47.080	VARIOUS	223,810	
ARRA-(R&D) Trans-NSF Recovery Act R S	NSF	* 47.082	VARIOUS	3,764,621	639,375
(R&D) EAP Star Program	EPA,ORD	* 66.509	RD83438601	277,752	
(R&D) Energy Commercialization Center	Dept. of Energy	* 81.036	DESC0005480	368,850	
(R&D) Office of Science Financial Assist	Dept. of Energy	* 81.049	VARIOUS	2,145,807	369,103
(R&D) Conservation Research and Devlp	Dept. of Energy	* 81.086	VARIOUS	729,370	
ARRA-(R&D) Renewable Energy R&D	Dept. of Energy	* 81.087	VARIOUS	841,420	177,143
(R&D) Renewable Energy Research & Dev.	Dept. of Energy	* 81.087	VARIOUS	5,733,023	4,829,407
(R&D) Fossil Energy Research and Develop	Dept. of Energy	* 81.089	VARIOUS	1,108,668	140,172
(R&D) Nuclear Energy-Infrastructure	Dept. of Energy	* 81.121	DENE0000451	189,593	
(R&D) C02 Predictivity	Dept. of Energy	* 81.124	DENA0000740	1,295,648	109,063
ARRA-(R&D) Site Char Geo Formations CO2	Dept. of Energy	* 81.134	VARIOUS	5,913,899	5,602,857
(R&D) Advanced Research & Projects Agency	Dept. of Energy	* 81.135	VARIOUS	406,602	76,205
(R&D)(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114217	194,489	
(R&D) Comm of Caring Elem Curric Dev	ED,PE	* 84.116	P116Z090082	9,232	
(R&D) COE: Project	DHHS,CDC	* 93.065	5P01HK000069-02	228,857	143,162
(R&D) Food and Drug Administration - Resh	DHHS,FDA	* 93.103	VARIOUS	464,331	65,533
(R&D) Environmental Health	DHHS,NIH	* 93.113	VARIOUS	820,773	
(R&D) Oral Diseases and Disorders Research	DHHS,NIH	* 93.121	VARIOUS	151,997	96,807
(R&D) Human Genome Research	DHHS,NIH	* 93.172	VARIOUS	1,329,859	206,572

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
(R&D) Research Related to Deafness and Communication Disorders	DHHS,NIH	* 93.173	VARIOUS	3,741,111	349,714
(R&D) Pneumonia In Children	DHHS,CDC	* 93.185	VARIOUS	543,734	
(R&D) Complementary & Alternative Medicine	DHHS,NIH	* 93.213	VARIOUS	9,673	9,673
(R&D) Healthcare Cost, Quality & Outcomes	DHHS,HRQ	* 93.226	VARIOUS	1,984,179	230,081
(R&D) Neuro-Immune Mechanisms	DHHS,NIH	* 93.233	5R01HL086508-04	373,290	
(R&D) Mental Health Research Grants	DHHS,NIH	* 93.242	VARIOUS	2,359,761	248,285
(R&D) Commercial Truck Driver Injury	DHHS,CDC	* 93.262	5R01OH009155-03	153,712	137,947
(R&D) Drug Abuse Research Programs	DHHS,NIH	* 93.279	VARIOUS	3,189,085	143,597
(R&D) Investigations and Technical Asst.	DHHS,CDC	* 93.283	VARIOUS	1,137,258	64,024
(R&D) Technolog. Innovations to Improve Hlth	DHHS,NIH	* 93.286	VARIOUS	2,092,616	198,408
(R&D) Trans-NIH Research Support	DHHS,NIH	* 93.310	VARIOUS	3,203,295	17,191
(R&D) PORCN and WNT	DHHS,NIH	* 93.351	1R210D010559-01	13,996	
(R&D) Nursing Research	DHHS,NIH	* 93.361	VARIOUS	4,103	
(R&D) Research Infrastructure	DHHS,NIH	* 93.389	VARIOUS	6,242,566	292,424
(R&D) Cancer Cause and Prevention Res.	DHHS,NIH	* 93.393	VARIOUS	9,966,942	795,644
(R&D) Cancer Detection and Diagnosis	DHHS,NIH	* 93.394	VARIOUS	1,801,850	
(R&D) Cancer Treatment Research	DHHS,NIH	* 93.395	VARIOUS	3,804,471	123,186
(R&D) Cancer Biology Research	DHHS,NIH	* 93.396	VARIOUS	1,096,345	9,623
(R&D) Cancer Centers Support Grants	DHHS,NIH	* 93.397	VARIOUS	1,554,242	
(R&D) Mingnan Chen K99 Award	DHHS,NIH	* 93.399	7K99CA153929-03	40,791	
(R&D) Cancer Control	DHHS,NIH	* 93.399	VARIOUS	1,157,448	229,251
ARRA-(R&D) Trans-NIH Recovery Act R S	DHHS,NIH	* 93.701	VARIOUS	9,265,894	2,001,941
ARRA-(R&D) Primary Care Practice Redesign	DHHS,AHRQ	* 93.715	1R18HS02010601	985,538	
(R&D) Cancer Disparities Recruitment	DHHS,CMS	* 93.779	1A0CMS300067-01	13,493	
(R&D) Heart and Vascular Diseases Res.	DHHS,NIH	* 93.837	VARIOUS	13,012,211	622,510
(R&D) Lung Diseases Research	DHHS,NIH	* 93.838	VARIOUS	1,737,984	66,634
(R&D) Blood Diseases and Resources	DHHS,NIH	* 93.839	VARIOUS	1,533,700	713,023
(R&D) Arthritis, Musculoskeletal and Skin Diseases Research	DHHS,NIH	* 93.846	VARIOUS	1,955,540	160,004
(R&D) Diabetes, Endocrinology & Metabolism	DHHS,NIH	* 93.847	VARIOUS	10,813,361	983,784
(R&D) Kidney Diseases, Urology, Hematology	DHHS,NIH	* 93.849	VARIOUS	384,718	4,098
(R&D) Neurosciences and Neurological Dis.	DHHS,NIH	* 93.853	VARIOUS	5,127,126	474,286
(R&D) Allergy, Immunology, and Transplant	DHHS,NIH	* 93.855	VARIOUS	5,755,882	200,184
(R&D) Biomedical Research & Research Trng	DHHS,NIH	* 93.859	VARIOUS	21,316,877	3,986,602
(R&D) Child Health & Human Development	DHHS,NIH	* 93.865	VARIOUS	10,450,603	1,938,567
(R&D) Aging Research	DHHS,NIH	* 93.866	VARIOUS	2,200,439	99,121
(R&D) Vision Research	DHHS,NIH	* 93.867	VARIOUS	9,117,889	873,760
(R&D) Medical Library Assistance	DHHS,NIH	* 93.879	VARIOUS	1,028,090	
(R&D) Creighton Model NFP Use	DHHS,OPA	* 93.974	5FPRPA006035-03-00	32,498	
(R&D) International Research	DHHS,NIH	* 93.989	VARIOUS	914,670	235,265
(R&D) IMASS Intelligent Sensing System	DHS	* 97.077	2009DN077ARI03802	30,731	
(R&D) Fluorescent Sensing	DHS	* 97.108	2009ST108LR0005	682,199	99,576
(R&D) Department of Energy	Dept. of Energy	* N/A	VARIOUS	5,870,567	293,071
(R&D) Role of Ceramide In Obesity	DHHS	* N/A	1R15HL091493-01	12,056	
ARRA-(R&D) NIH National Library of Medicine	DHHS,NIH	* N/A	VARIOUS	2,519,767	2,181,481
(R&D) Department of Health & Human Svcs.	DHHS	* N/A	VARIOUS	16,572,404	5,071,230
(R&D) Department of Defense	DOD	* N/A	VARIOUS	3,121,107	416,899
(R&D) Department of Interior	DOI	* N/A	VARIOUS	250,589	
(R&D) Opioid Drug Interactions	DOJ	* N/A	2011DNBXX532	112,486	
(R&D) Department of Transportation	DOT	* N/A	VARIOUS	111,993	
(R&D) Environmental Protection Agency	EPA	* N/A	VARIOUS	247,912	
(R&D) Nat'l. Aeronautics & Space Admin.	NASA	* N/A	VARIOUS	1,358,887	55,390

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Visualizing Poetry	NFAH,NEH	* N/A	HJ5009912	16,583	
(R&D) National Science Foundation	NSF	* N/A	VARIOUS	101,412	
(R&D) Gromov-Witten Theory	NSF	* N/A	DMS0901098	52,948	
(R&D) EPSCOR Planning Grant	NSF	* N/A	0940499	136,354	100,000
(R&D) Faculty Develop Nuclear Engine	NRC	* N/A	NRC3810934	80,625	
(R&D) Veritas Observatory	SI	* N/A	SV171007	14,760	
(R&D) Department of Agriculture	USDA	* N/A	VARIOUS	265,032	
(R&D) Department of Veterans Affairs	VA	* N/A	VARIOUS	1,235,669	
Non-Research Grants					
Public Telecommunications Facilities Plan	DOC,NTIA	11.550	VARIOUS	192,074	
Wendover Translator Reim	DOC,NTIA	11.550	4909U90390	54,131	
ARRA-NTIA BTOP	DOC,NTIA	11.557	NT10BIX5570024	6,008,834	1,944,907
ARRA-Upgrading Seismic Monitoring	DOI,GS	15.807	G09AC00467	24,201	
Wasatch GPS Network	DOI,GS	15.807	G10AC00159	24,302	
CESU	DOI,GS	15.808	G06AC0004706HQAG0157	11,472	
Save Utah's Treasures	DOI,NPS	15.904	ST0308002308	361,892	
Low Income Taxpayer Clinic 2012	Treasury/IRS	21.008	12LITC0149	30,455	
National Endowment For the Art	NFAH,NEA	45.024	VARIOUS	96,427	
Promotion of the Humanities – Public Prgms	NFAH,NEH	45.164	VARIOUS	291,007	
Trailhead To Utah	NFAH,IMLS	45.301	MA0409025109	46,180	
National Leadership Grants	NFAH,IMLS	45.312	VARIOUS	14,188	
Engineering Grants	NSF	47.041	VARIOUS	319	
Mathematical and Physical Sciences	NSF	47.049	VARIOUS	140,321	
Computer & Info Science and Engineering	NSF	47.070	VARIOUS	18,801	
Biological Sciences	NSF	47.074	VARIOUS	725,164	
Social, Behavioral, and Economic Sciences	NSF	47.075	VARIOUS	15,811	
Education and Human Resources	NSF	47.076	VARIOUS	1,368,459	40,517
International Science and Engineering (OISE)	NSF	47.079	VARIOUS	624,935	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A114217	410,134	
NRC Fellowships Program	ED,PE	84.015	VARIOUS	825,428	402,338
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114217	1,007,502	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A114217	328,185	
(TRIO) Student Support Services	ED,PE	84.042	P042A100856	354,449	
(TRIO) Upward Bound	ED,PE	84.047	P047A070615/P047A120645	753,574	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	32,486,644	
Health Sciences Leap Expansion	ED,PE	84.116	P116Z080324	3,641	
Spec. Ed–Personnel Children w Disabilities	ED,SERS	84.325	VARIOUS	958,738	
Child Care Access Means Parents in School	ED,PE	84.335	P335A100149	75,372	
(SFA) Academic Competitiveness Grants	ED,PE	* 84.375	P375A102349	31,898	
(SFA) National SMART Grants	ED,SFAP	* 84.376	P376S102349	176,374	
(SFA) TEACH Grants	ED,PE	* 84.379	P379T122349	29,000	
Utah ADRC	DHHS,ACL	93.048	90DR0029-03	272,312	99,505
Utah Wins Asist 2010	DHHS,OS	93.088	6ASTWH070006-03	(85)	
Utah Model AHEC Program	DHHS,HRSA	93.107	VARIOUS	242,692	161,282
Maternal and Child Health Consolidated	DHHS,HRSA	93.110	VARIOUS	798,407	516,919
Occ Med Residency Program	DHHS,HRSA	93.117	VARIOUS	279,145	
Emergency Medical Services for Children	DHHS,HRSA	93.127	VARIOUS	3,031,550	
Ryan White Program Part D	DHHS,HRSA	93.153	VARIOUS	410,083	
Fellowship For Jinchuan Xing	DHHS,NIH	93.172	5K99HG005846-02	59,290	
Deafness and Communication Disorders	DHHS,NIH	93.173	VARIOUS	39,001	
AI Patient Navigator Outreach	DHHS,HRSA	93.191	VARIOUS	441,424	235,404
Utah Remote Monitoring Project	DHHS,HRSA	93.211	VARIOUS	318,261	8,572
Complementary and Alternative Medicine	DHHS,NIH	93.213	VARIOUS	63,841	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Healthcare Costs, Quality and Outcomes Evaluation and Implementation	DHHS,HRQ	93.226	VARIOUS	455,792	
Mental Health Research Grants	DHHS,TSDR	93.240	5U01EH000453-02	73,113	
Advanced Educational Nursing	DHHS,NIH	93.242	VARIOUS	189,607	
Geriatric Academic Career Awards	DHHS,HRSA	93.247	VARIOUS	660,760	
Poison Control	DHHS,HRSA	93.250	VARIOUS	139,223	
ERC Training Grant	DHHS,HRSA	93.253	VARIOUS	222,184	
(SFA) NFLP - FCC 11/12	DHHS,CDC	93.262	VARIOUS	1,562,324	15,370
Population - Based Clinical	DHHS,HRSA	* 93.264	2E01HP13017-02-00	260,998	
Drug Abuse and Addiction Research Programs	DHHS,HRSA	93.265	5K08HS018538-02	11,922	
Resting-State Connectivity	DHHS,NIH	93.279	VARIOUS	905,419	
NRSA Catherine Caska	DHHS,NIH	93.281	5K23MH087831-03	299,016	
Enhancing ADDM	DHHS,NIH	93.282	5F31MH091915-02	3,833	
Discovery & Applied Resh Tech Innovations	DHHS,CDC	* 93.283	VARIOUS	524,331	
Coalition Healthier Community	DHHS,NIH	93.286	VARIOUS	38,197	
Native American Internship	DHHS,OS	93.290	VARIOUS	270,499	62,927
(SFA) PCL FCC - Allopathic Medicine	DHHS,NIH	93.307	5R25MD006781-02	186,927	7,329
Advanced Education Nursing	DHHS,HRSA	* 93.342	E26HP21716-01-00	614,288	
NRSA Sarah Iribarren	DHHS,HRSA	93.358	6A10HP22141-01-00	80,971	
(SFA) Nursing Student Loans	DHHS,NIH	93.361	1F31NR012614-01	34,428	
National Center for Research Resources	DHHS,HRSA	* 93.364	2E4CHP19114-02-00	5,158	
Osteosarcomagenesis	DHHS,NIH	93.389	VARIOUS	719,281	
Cancer Research Manpower	DHHS,NIH	93.393	5K08CA138764-04	157,776	
ARRA-Equipment to Enhance Training	DHHS,NIH	93.398	VARIOUS	638,212	
Advanced Nursing	DHHS,HRSA	93.411	D76HP20554	105	
EPAT	DHHS,HRSA	93.513	1T57HP20586-01-00	392,040	
ARRA-Trans-NIH Recovery Act R S	DHHS,HRSA	93.513	1T88HP20920-01-00	88,000	
ARRA-Facilities for Biomedical Resh	DHHS,NIH	93.701	VARIOUS	1,086,366	23,197
Heart and Vascular Diseases Research	DHHS,NIH	93.702	1C06RR022056-01	4,991,496	
Lung Disease	DHHS,NIH	93.837	VARIOUS	932,968	
National Research Service Awards	DHHS,NIH	93.838	VARIOUS	302,997	
Training Program-Nephrology	DHHS,NIH	93.847	VARIOUS	1,159,375	
Neurosciences and Neurological Disorders	DHHS,NIH	93.849	5T32DK070557-4	43,168	
Allergy, Immunology and Transplantation	DHHS,NIH	93.853	VARIOUS	888,840	
Biomedical Research and Training	DHHS,NIH	93.855	VARIOUS	681,351	5,848
Child Health and Human Development	DHHS,NIH	93.859	VARIOUS	520,934	35,085
Aging Research	DHHS,NIH	93.865	VARIOUS	2,096,765	705,241
Medical Library Assistance	DHHS,NIH	93.866	VARIOUS	991,035	
Physician Assistant Training	DHHS,NIH	93.879	VARIOUS	933,234	
CMP Personalized Healthcare	DHHS,HRSA	93.884	VARIOUS	67,543	
Hispanic Teen Moms	DHHS,HRSA	93.888	1D1BRH20425-01-00	800,149	159,333
Outpatient Early Intervention Svcs HIV Disease	DHHS,MH	93.910	5YEPMP090038-03-00	322,087	
Ryan White Reimbursement	DHHS,HRSA	93.918	VARIOUS	858,300	
VISTA Project	DHHS,HRSA	93.924	1T22HA22943-01-00	3,359	
Geothermal Student Competition	CNCS	94.013	10VSPUT006	13,372	
Department of Health and Human Services	Dept. of Energy	N/A	AXH14045602	7,661	
Department of Defense	DHHS	N/A	VARIOUS	7,138,341	1,371,935
Department of the Interior	DOD	N/A	VARIOUS	152,793	
Department of Transportation	DOI	N/A	VARIOUS	922,899	
Dept. of Housing and Urban Development	DOT	N/A	VARIOUS	1,652,947	73,099
National Aeronautics and Space Admin.	HUD	N/A	VARIOUS	297,952	
NDNP Phase 1	NASA	N/A	VARIOUS	1,037,152	556,551
Institute of Museum and Library Services	NFAH,NEH	N/A	PJ5000305	46,663	
	NFAH,IMLS	N/A	VARIOUS	243,978	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
National Science Foundation	NSF	N/A	VARIOUS	21,518	
National Science Foundation – Fellow	NSF	N/A	VARIOUS	59,349	
Low Income Taxpayer Clinic 2011	Treasury/IRS	N/A	LITC11	36,415	
Distance ED BSW Program	USDA,RD	N/A	706C16	38,477	
Department of Veterans Affairs	VA	N/A	VARIOUS	6,020,668	
Pass-Through From Non-State Entities:					
Research Grants					
(R&D) New ORF in Potyvirdae Genome — Iowa State University	USDA,NIFA	* 10.206	4164362A	48,733	
(R&D) Basic and Applied Scientific Resh — University of Colorado Boulder	DOD,DON,OCNF	* 12.300	1548378	190,620	
University of Notre Dame	DOD,DON,OCNF	* 12.300	201839	436,702	
(R&D) Military Medical Research & Devel — Florida State University	DOD,AMedicalC	* 12.420	R01481	18,961	
The Geneva Foundation	DOD,AMedicalC	* 12.420	S123001	15,811	
Univ. of Alabama at Birmingham	DOD,AMedicalC	* 12.420	VARIOUS	71,699	
(R&D) Basic Scientific Reserach — Duke University	DOD,AMaterielC	* 12.431	11AR01066	70,811	
Saint Louis University	DOD,AMaterielC	* 12.431	W911NF0720062	77,304	
University of California Davis	DOD,AMaterielC	* 12.431	0700159	46,999	
(R&D) 3-D ENZYMATIC — Columbia University	DOD,DAF,MC	* 12.800	1ACCT522395POG03171	4,530	
(R&D) Research and Technology Develop — Case Western Reserve University	DOD,ARPA	* 12.910	RES503663	331,832	
University of Michigan	DOD,ARPA	* 12.910	F0235423001571504	39,526	
(R&D) Nellis Dunes Recreational Area — University of Nevada Las Vegas	DOI,BLM	* 15.235	11716P00	57,160	
(R&D) Retrofit Impacts of Oxy-Coal — Unismy of Wyoming	DOI,OSM	* 15.250	1001030	79,952	
(R&D) UCSB Strong Motions — University of California Santa	DOI,GS	* 15.807	KK1151	10,000	
(R&D) Science — University of California Davis	NASA	* 43.001	201014168UTAH01	71,781	
Yale University	NASA	* 43.001	C12N11372N00192	9,010	
(R&D) Engineering Grants — Arizona State University	NSF	* 47.041	11587	29,356	
University of Michigan	NSF	* 47.041	Z376501	20,387	
University of Southern California	NSF	* 47.041	H44550	69,118	
(R&D) Mathematical & Physical Sciences — Colorado School of Mines	NSF	* 47.049	400361P0120847	4,011	
University of Colorado at Boulder	NSF	* 47.049	0000067989	60,554	
Wayne State University	NSF	* 47.049	WSU07080	9,428	
(R&D) Geosciences — Colorado State University	NSF	* 47.050	VARIOUS	82,663	
Oregon Health and Science University	NSF	* 47.050	GSTCN001A7UTAH	(332)	
Texas Christian University	NSF	* 47.050	P24475000	76,479	
(R&D) Computer, Info Science & Engineering — New Mexico Consortium	NSF	* 47.070	NMC006	114,403	
Northeastern University	NSF	* 47.070	501847P1002650	566	
(R&D) Biological Sciences — University of Arizona	NSF	* 47.074	Y550697	223,930	
University of Kansas	NSF	* 47.074	VARIOUS	24,276	
University of Southern California	NSF	* 47.074	137255DBI0849977	10,426	

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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
(R&D) Social, Behavioral, & Econ. Sciences —					
Arizona State University	NSF	* 47.075	11545	7,129	
Vanderbilt University	NSF	* 47.075	21204S1	16,735	
(R&D) Education and Human Resources —					
Arizona State University	NSF	* 47.076	KMS00192242	756	
Cornell University	NSF	* 47.076	569069074	10,629	
(R&D) Victoria Land Basin Tectonics —					
University of Nebraska-Lincoln	NSF	* 47.078	2505500001124	9,170	
(R&D) Magnetotelluric —					
US Civilian Research & Develop	NSF	* 47.079	RUG17026M011	6,801	
ARRA-(R&D) Trans-NSF Recovery Act R S —					
BBN Technologies Corporation	NSF	* 47.082	VARIOUS	474,352	
Ohio State University Research	NSF	* 47.082	60023884	18,465	
University of Michigan	NSF	* 47.082	3001341070	38,355	
University of Texas at Austin	NSF	* 47.082	VARIOUS	278,326	
University of California Santa	NSF	* 47.082	KK1011	130,191	
(R&D) SCERP —					
University of Texas at El Paso	EPA,OCFO	* 66.202	2630082264	46,871	
(R&D) EPA Traffic Modeling —					
North Carolina State University	EPA,ORD	* 66.509	2009231301	19,081	
(R&D) WERF BMP: TO5B & Select V2 —					
Colorado State University	EPA,ORD	* 66.511	G59083 & G59182	167,201	
ARRA-(R&D) Materials For Energy Systems —					
University of South Carolina	Dept. of Energy	* 81.049	146097	19,873	
(R&D) Office of Science Fin Assistance —					
Reaction Engineering Intl	Dept. of Energy	* 81.049	VARIOUS	95,114	
University of Colorado at Boulder	Dept. of Energy	* 81.049	1547650	129,228	
University of South Carolina	Dept. of Energy	* 81.049	101725	195,497	
ARRA-(R&D) Renewable Energy R&D —					
Altarock Energy	Dept. of Energy	* 81.087	VARIOUS	32,288	
Boise State University	Dept. of Energy	* 81.087	014G106215B	164,953	
Ruby Mountain Inc	Dept. of Energy	* 81.087	10015028	120,723	
(R&D) Create, Review & Teach Course —					
University of Nevada Reno	Dept. of Energy	* 81.087	UNR1114	12,401	
(R&D) Fossil Energy Research & Develop. —					
New Mexico Institute of Mining	Dept. of Energy	* 81.089	DSWT20	562,424	
Stanford University	Dept. of Energy	* 81.089	2428829046112A	60,796	
University of Kentucky	Dept. of Energy	* 81.089	46900105504	85,499	
Virginia Polytechnic Institute	Dept. of Energy	* 81.089	41638719122	40,954	
(R&D) Nuclear & EM Research —					
University of Nevada Reno	Dept. of Energy	* 81.104	UNR1188	921,474	
(R&D) Nuclear Forensics Educ Awd Pgm —					
Medical University of South CA	Dept. of Energy	* 81.121	MUSC12009	7,735	
ARRA-(R&D) Reg Seq Tech Training —					
New Mexico Tech	Dept. of Energy	* 81.133	DRSE10	166,798	
(R&D) Barriers —					
Information Technology & Innovation	EAC	* 90.403	2011002	34,467	
(R&D) Family Genetics Education —					
March of Dimes Birth Defects Found	DHHS,HRSA	* 93.110	6U33MC00157	74,109	
(R&D) MC1R and Human Melanocytes —					
University of Cincinnati	DHHS,NIH	* 93.113	C0EUS006413	85,455	
(R&D) EMS for Children —					
University of California Davis	DHHS,HRSA	* 93.127	VARIOUS	101,034	

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of Michigan (R&D) Injury Prevention & Control Research —	DHHS,HRSA	* 93.127	3001656022	7,858	
University of Colorado Denver	DHHS,CDC	* 93.136	VARIOUS	18,105	
University of Pennsylvania (R&D) Human Genome Research —	DHHS,CDC	* 93.136	551270	50,594	
Case Western Reserve University	DHHS,NIH	* 93.172	RES506542	4,193	
Jackson Laboratory	DHHS,NIH	* 93.172	653021	99,719	
Johns Hopkins University	DHHS,NIH	* 93.172	2001504179	9,971	
University of Southern California (R&D) Deafness & Communication Dis —	DHHS,NIH	* 93.172	VARIOUS	149,123	
Brigham Young University	DHHS,NIH	* 93.173	090280	33,917	
University of Maryland	DHHS,NIH	* 93.173	SR00001588DC10915	143,147	
University of Mississippi Medical Center	DHHS,NIH	* 93.173	68100530413	8,834	
University of Wisconsin-Madison (R&D) Healthcare Costs, Quality & Outcomes —	DHHS,NIH	* 93.173	VARIOUS	11,192	
Childrens Hospital Philadelphia	DHHS,AHRQ	* 93.226	VARIOUS	637,407	
University of California Davis (R&D) Natl Ctr on Sleep Disorders Research —	DHHS,AHRQ	* 93.226	VARIOUS	89,416	
Northwestern University	DHHS,NIH	* 93.233	60029273UT	41,383	
U of California San Francisco (R&D) Precarious Plans —	DHHS,NIH	* 93.233	5291SC	122,640	
Stanford University	DHHS,OS	* 93.239	2945143104354C	10,901	
(R&D) Mental Health Research Grants —					
Boston University	DHHS,NIH	* 93.242	VARIOUS	33,112	
Miriam Hospital	DHHS,NIH	* 93.242	7109287	137	
Univ of North Carolina Chapel Hill (R&D) Mountain West ATTC —	DHHS,NIH	* 93.242	VARIOUS	179,571	
University of Nevada Reno	DHHS,SAMHSA	* 93.243	UNR1170	6,094	
(R&D) PH Training Centers Grant —					
University of California Los Angeles	DHHS,HRSA	* 93.249	1920GNA287	104,382	
(R&D) Occupational Safety and Health —					
University of Alaska Fairbanks	DHHS,CDC	* 93.262	UAF100038P0FP00692	8,033	
Univ of California San Francisco (R&D) Drug Abuse and Addiction —	DHHS,CDC	* 93.262	VARIOUS	31,097	
Barrow Neurological Institute	DHHS,NIH	* 93.279	1031216	85,278	
Univ of North Carolina at Chapel Hill (R&D) Tech Innovations to Improve Human —	DHHS,NIH	* 93.279	551257	121,808	
Brigham & Womens Hospital	DHHS,NIH	* 93.286	VARIOUS	526,488	
Cleveland Clinic Foundation (R&D) Nursing Research —	DHHS,NIH	* 93.286	4639216	45,086	
Qcmetrix Inc	DHHS,NIH	* 93.361	VARIOUS	204,323	
(R&D) National Ctr for Research Resources —					
Cornell University	DHHS,NIH	* 93.389	0804048611111925	84,785	
University of Washington (R&D) Cancer Cause & Prevention Res —	DHHS,NIH	* 93.389	728865	6,942	
Columbia University	DHHS,NIH	* 93.393	VARIOUS	136,264	
Fox Chase Cancer Center	DHHS,NIH	* 93.393	VARIOUS	278,664	
Fred Hutchinson Cancer Research	DHHS,NIH	* 93.393	VARIOUS	92,166	
Harvard School of Public Health	DHHS,NIH	* 93.393	23570114080	110,763	
Kaiser Permanente	DHHS,NIH	* 93.393	115938301	326,003	
Mayo Clinic Rochester	DHHS,NIH	* 93.393	VARIOUS	78,911	15,495
Texas Tech University	DHHS,NIH	* 93.393	501CA120938-02	12,965	
University of Illinois at Chicago	DHHS,NIH	* 93.393	2009026710200	329,214	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
(R&D) Breast Cancer Classification —					
Brigham & Womens Hospital	DHHS,NIH	* 93.394	PS105582	10,307	
University of Massachusetts	DHHS,NIH	* 93.394	6121965RFS900263	45,327	
Vestan Inc	DHHS,NIH	* 93.394	10022455	163,975	
(R&D) Cancer Treatment Research —					
Childrens Oncology Group	DHHS,NIH	* 93.395	021010	11,488	
MD Anderson Cancer Center	DHHS,NIH	* 93.395	2986798015413	86,226	
Mount Sinai School of Medicine	DHHS,NIH	* 93.395	VARIOUS	277,460	
National Childhood Cancer Foundation	DHHS,NIH	* 93.395	VARIOUS	30,000	
(R&D) Cancer Centers Support Grants —					
Mayo Clinic Rochester	DHHS,NIH	* 93.397	2P50CA11620106A1	4,361	
MD Anderson Cancer Center	DHHS,NIH	* 93.397	1201156798115636	91,504	
University of Texas at Houston	DHHS,NIH	* 93.397	3325698115636	204,200	
(R&D) Prostate Cancer Susceptibility —					
Johns Hopkins Hospital	DHHS,NIH	* 93.399	2000368388	115,677	
ARRA-(R&D) KT TBI —					
Columbia University	DHHS,HRSA	* 93.418	9ACCT539621	26,056	
(R&D) KT TBI —					
Columbia University	DHHS,HRSA	* 93.418	9ACCT539621	100,195	
(R&D) Search and Identification —					
Florida State University	DHHS,ACF	* 93.564	R01480	12,256	
(R&D) Trans-NIH Recovery Act Research —					
Childrens Hospital Pittsburgh	DHHS,NIH	* 93.701	0008749AMEND2	5,334	
ARRA-(R&D) Trans-NIH Recovery Act R S —					
Albert Einstein Medical Center	DHHS,NIH	* 93.701	R01CA140467-02	12,342	
Brigham & Womens Hospital	DHHS,NIH	* 93.701	104963	431	
Childrens Hospital Pittsburgh	DHHS,NIH	* 93.701	0008749	41,381	
Cincinnati Childrens Hospital	DHHS,NIH	* 93.701	105792	75,566	
Cornell University	DHHS,NIH	* 93.701	110405443	102,803	
Duke Clinical Research Institute	DHHS,NIH	* 93.701	165191 SITE 558	15,431	
Duke University	DHHS,NIH	* 93.701	165191UNIV0FUTAH	23,221	
Fred Hutchinson Cancer Research	DHHS,NIH	* 93.701	0000691045	32,600	
Johns Hopkins University	DHHS,NIH	* 93.701	2001152586	84,199	
Mayo Clinic Rochester	DHHS,NIH	* 93.701	3U01CA118444-04S1	(785)	
National Childhood Cancer Foundation	DHHS,NIH	* 93.701	5927-1011	22,306	
Northern California Inst Research	DHHS,NIH	* 93.701	VARIOUS	62,356	
Oregon Health & Science University	DHHS,NIH	* 93.701	9001291002UTAH	74,393	
San Francisco State University	DHHS,NIH	* 93.701	S10-0006	(22)	
University of California San Diego	DHHS,NIH	* 93.701	10313245 - SUB	30,359	
University of Chicago	DHHS,NIH	* 93.701	40596	22,608	
University of Florida	DHHS,NIH	* 93.701	UF10181	42,153	
University of Massachusetts	DHHS,NIH	* 93.701	6124553RFS2011074	5,942	
University of Pennsylvania	DHHS,NIH	* 93.701	553406B	25,135	
University of Rochester	DHHS,NIH	* 93.701	VARIOUS	29,848	
ARRA-(R&D) Comparative Effectiveness Resh —					
University of Colorado at Denver	DHHS,AHRQ	* 93.715	6974SC	72,309	
University of Colorado at Denver	DHHS,AHRQ	* 93.715	FY11560007	152,435	
University of Colorado at Denver	DHHS,AHRQ	* 93.715	VUMC38277	95,169	
(R&D) SAFTINET —					
University of Colorado at Denver	DHHS,AHRQ	* 93.715	FY115600072523025	121,447	
ARRA-(R&D) Resident Handoff Practices —					
Childrens Hospital Boston	DHHS,OS	* 93.726	77543	93,489	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

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ARRA-(R&D) Beacon Community Grant — Healthinsight	DHHS,OS	* 93.727	F0UU1	438,532	
ARRA-(R&D) SHARP — University of California San Diego	DHHS,OS	* 93.728	30766948SUB	85,465	
(R&D) Cardiovascular Diseases Research — Brigham & Womens Hospital	DHHS,NIH	* 93.837	VARIOUS	239,158	
Cedars Sinai Medical Center	DHHS,NIH	* 93.837	P00000813774	88,202	
Duke Clinical Research Institute	DHHS,NIH	* 93.837	VARIOUS	106,504	93,260
Georgia Health Sciences Univer	DHHS,NIH	* 93.837	240393	400,764	
Medical College of Wisconsin	DHHS,NIH	* 93.837	1U01HL10743701	15,215	
New England Research Institute	DHHS,NIH	* 93.837	VARIOUS	302,479	
University of California Davis	DHHS,NIH	* 93.837	SUB07001197	38,898	
Univ of California Los Angeles	DHHS,NIH	* 93.837	1553GKC907	(87,400)	
University of Iowa	DHHS,NIH	* 93.837	VARIOUS	3,980	
(R&D) Lung Diseases Research — University of California San Diego	DHHS,NIH	* 93.838	10294118	572,191	
University of Colorado at Denver	DHHS,NIH	* 93.838	FY11102005	36,371	
University of Illinois at Chicago	DHHS,NIH	* 93.838	201202813	42,497	
(R&D) Blood Diseases and Resources — Medical College of Wisconsin	DHHS,NIH	* 93.839	VARIOUS	56,132	
National Childhood Cancer Foundation	DHHS,NIH	* 93.839	020129	39,313	
University of Vermont	DHHS,NIH	* 93.839	20560	38,681	
Virginia Commonwealth University	DHHS,NIH	* 93.839	PT105889SC102422	492,823	
(R&D) Arthritis, Musculoskeletal & Skin Dis— Childrens Hospital Regional	DHHS,NIH	* 93.846	413820230301	(9,726)	
Emory University	DHHS,NIH	* 93.846	S741370	14,120	
Hospital for Special Surgery	DHHS,NIH	* 93.846	VARIOUS	49,852	
(R&D) Diabetes, Digestive, & Kidney Dis — Beth Israel Deaconess Medical	DHHS,NIH	* 93.847	VARIOUS	32,123	
Harvard University	DHHS,NIH	* 93.847	SUB2DK073843MUSEN	(106)	
Johns Hopkins University	DHHS,NIH	* 93.847	2000857375	35	
LaJolla Institute For Allergy & Immunology	DHHS,NIH	* 93.847	2257139334	107,558	
Mount Sinai School of Medicine	DHHS,NIH	* 93.847	VARIOUS	280,842	
New England Research Institute	DHHS,NIH	* 93.847	VARIOUS	40,984	
University of Colorado at Denver	DHHS,NIH	* 93.847	FY10269001	15,745	
University of Pennsylvania	DHHS,NIH	* 93.847	VARIOUS	3,732	
University of TX SW Medical Ctr	DHHS,NIH	* 93.847	GM0701230	977	
University of Virginia	DHHS,NIH	* 93.847	GC12032137564	52,525	
University of Wisconsin-Madison	DHHS,NIH	* 93.847	368K056	11,716	
Vanderbilt University	DHHS,NIH	* 93.847	VARIOUS	116,616	
Wake Forest University	DHHS,NIH	* 93.847	VARIOUS	34,296	
Washington University St Louis	DHHS,NIH	* 93.847	WU1071	(1)	
(R&D) Kidney Diseases, Urology & Hem — Cleveland Clinic Foundation	DHHS,PHS	* 93.849	VARIOUS	160,334	
(R&D) Extramural Neurosciences Disorders— Johns Hopkins University	DHHS,NIH	* 93.853	VARIOUS	211,199	
Mount Sinai School of Medicine	DHHS,NIH	* 93.853	COMBIRX69244P0SM9154389	68,744	
The Rehabilitation Institute of	DHHS,NIH	* 93.853	1R01NS05236901A2	80,992	
Research Inst at Nationwide Childrens Hosp	DHHS,NIH	* 93.853	VARIOUS	40,075	
Tourette Syndrome Association	DHHS,NIH	* 93.853	VARIOUS	(12,553)	
University of California San Francisco	DHHS,NIH	* 93.853	6958SC	84,270	
University of California San Diego	DHHS,NIH	* 93.853	P010323246	74,675	
University of Washington	DHHS,NIH	* 93.853	718823	39,571	

* Major Programs, see Note 2.A.

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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Yale University School of Medicine (R&D) Allergy, Immunology & Transplant —	DHHS,NIH	* 93.853	VARIOUS	34,632	11,163
Albert Einstein Medical Center	DHHS,NIH	* 93.855	VARIOUS	434,678	
Brigham & Womens Hospital	DHHS,NIH	* 93.855	VARIOUS	21,601	
Duke University	DHHS,NIH	* 93.855	3030454	213,462	
Idaho Technology Inc	DHHS,NIH	* 93.855	IT11640001CONS2	8,855	
Imquest Biosciences Inc	DHHS,NIH	* 93.855	5R21AI7977202	34,837	
Keck Graduate Institute	DHHS,NIH	* 93.855	5R01AI07624702	100,853	
U of No Carolina at Chapel Hill	DHHS,NIH	* 93.855	531540	289,855	
(R&D) Microbiology and Infectious Diseases —					
Colorado State University	DHHS,NIH	* 93.856	VARIOUS	412,035	
Imquest Biosciences Inc	DHHS,NIH	* 93.856	VARIOUS	177,368	
(R&D) Biomedical Research —					
Oregon Health & Science University	DHHS,NIH	* 93.859	GBIM00127AA	(243)	
University of California Davis	DHHS,NIH	* 93.859	06002869UT	26,366	
University of California San Diego	DHHS,NIH	* 93.859	10316875	47,255	
University of Illinois at Urbana-Champaign	DHHS,NIH	* 93.859	20100036506	239,749	
Virginia Polytechnic Institute	DHHS,NIH	* 93.859	43169819122	43,275	
Washington University in St Louis	DHHS,NIH	* 93.859	WU1272	62,400	
(R&D) Child Health & Human Development —					
Children's Hospital Los Angeles	DHHS,NIH	* 93.865	VARIOUS	37,830	
Children's Research Institute	DHHS,NIH	* 93.865	03781103	3,547	
Columbia University	DHHS,NIH	* 93.865	VARIOUS	80	
Research Foundation of SUNY	DHHS,NIH	* 93.865	48157	(4,947)	
RTI International	DHHS,NIH	* 93.865	5U10HD053124PRIME	35,912	12,998
U of No Carolina at Chapel Hill	DHHS,NIH	* 93.865	VARIOUS	144,192	
University of California San Francisco	DHHS,NIH	* 93.865	6785SC44493133446	135,000	
University of California Davis	DHHS,NIH	* 93.865	090014809	95,092	
University of New Mexico	DHHS,NIH	* 93.865	3R95Y02	26,665	
Wayne State University	DHHS,NIH	* 93.865	WSU11048	30,193	
Children's National Medical Center	DHHS,NIH	* 93.865	79280412	141,457	
(R&D) Aging Research —					
Duke Clinical Research Institute	DHHS,NIH	* 93.866	VARIOUS	265,384	
Northern California Inst for Research & Ed	DHHS,NIH	* 93.866	1574	61,151	
University of Louisville	DHHS,NIH	* 93.866	I0IC051523Z19A	(20,510)	
University of Wisconsin-Madison	DHHS,NIH	* 93.866	X279403	36,865	
(R&D) Vision Research —					
Brigham & Womens Hospital	DHHS,NIH	* 93.867	103324	49,238	
Childrens Hospital Philadelphia	DHHS,NIH	* 93.867	3208861402	67,694	
Columbia University	DHHS,NIH	* 93.867	various	105,987	
Jaeb Center for Health Research	DHHS,NIH	* 93.867	1288910	1,769	
Johns Hopkins University	DHHS,NIH	* 93.867	2000011274	8,255	
Univ of North Carolina at Chapel Hill	DHHS,NIH	* 93.867	531154	5,955	
University of Minnesota	DHHS,NIH	* 93.867	S4856207101	186,433	70,142
(R&D) Evaluation of HIV Intervention —					
University of California San Francisco	DHHS,CDC	* 93.941	6888SC	13,615	
(R&D) Mollusk ICBG —					
Oregon Health & Science University	DHHS,NIH	* 93.989	GEBSN0062UTAH	144,519	
(R&D) Central Intelligence Agency —					
North Carolina State University	CIA	* N/A	2010231601	171,324	
Sensormatrix	CIA	* N/A	10023704	95,000	

* Major Programs, see Note 2.A.

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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
ARRA-(R&D) Department of Energy —					
CSI Technologies	Dept. of Energy	* N/A	CSI0002795	(2,188)	
Houghton Cascade Holdings LLC	Dept. of Energy	* N/A	10028621	2,240	
(R&D) Department of Energy —					
Headwaters Inc	Dept. of Energy	* N/A	VARIOUS	142,720	
Materials and Systems Research	Dept. of Energy	* N/A	VARIOUS	35,761	
Medical University of South Ca	Dept. of Energy	* N/A	MUSC10115	37,015	
Newpath Research LLC	Dept. of Energy	* N/A	VARIOUS	30,434	
Ormat Technologies Inc	Dept. of Energy	* N/A	VARIOUS	51,695	
Praxair Inc	Dept. of Energy	* N/A	10001708	44,072	
Reaction Engineering Intl	Dept. of Energy	* N/A	82281	71,992	
Research Partnership to Secure	Dept. of Energy	* N/A	VARIOUS	197,539	58,832
RTI International	Dept. of Energy	* N/A	23400211955	12,251	
Savannah River Nuclear Solution	Dept. of Energy	* N/A	AC723150	15,008	
Schlumberger Data & Consulting	Dept. of Energy	* N/A	10021821	10,794	
Southern Methodist University	Dept. of Energy	* N/A	DEAC5209NA29325	84,779	
Spectral Sciences	Dept. of Energy	* N/A	9493	142,869	
University of Wisconsin-Madison	Dept. of Energy	* N/A	354K760	33,114	
VIS Trails Inc	Dept. of Energy	* N/A	VARIOUS	28,632	
Washington Savannah River Comp	Dept. of Energy	* N/A	AC723290	49,795	
University of Nevada Reno	Dept. of Energy	* N/A	VARIOUS	94,227	
(R&D) Department of State —					
Conrad	Dept. of State	* N/A	VARIOUS	874,541	
Population Council	Dept. of State	* N/A	SH1111	13,263	
ARRA-(R&D) Health and Human Services —					
General Electric Company	DHHS	* N/A	P040006524310017489	25,333	
Maximus Federal Services Inc	DHHS	* N/A	FED61S107460	314,567	
(R&D) Health and Human Services —					
Agri Analysis Inc	DHHS	* N/A	VARIOUS	24,098	
Cedars Sinai Medical Center	DHHS	* N/A	VARIOUS	156,583	
Children's National Medical Center	DHHS	* N/A	40821103	91,699	
Christopher Reeve Paralysis Foundation	DHHS	* N/A	U10CCU2203679	1,054	
Cleveland Clinic Foundation	DHHS	* N/A	4435229	(1,028)	
Duke Clinical Research Institute	DHHS	* N/A	1U01AG03466101	10,241	
Duke University	DHHS	* N/A	167326	5,134	
Echelon Biosciences Inc	DHHS	* N/A	R43HD066993	7,576	
Electro-Biology Inc	DHHS	* N/A	VARIOUS	119,278	
Electronic Bioscience, LLC	DHHS	* N/A	2814021NH1C	18,562	
Emmes Corp	DHHS	* N/A	VARIOUS	69,365	
Epitel	DHHS	* N/A	VARIOUS	93,052	
Fox Chase Cancer Center	DHHS	* N/A	FCCC1802001P0201200107	192,401	
George Washington University	DHHS	* N/A	VARIOUS	821,563	457,741
Glycomira LLC	DHHS	* N/A	VARIOUS	36,848	
Gvd Corp	DHHS	* N/A	10020388	21,121	
Idaho Technology Inc	DHHS	* N/A	VARIOUS	512,615	14,063
Johns Hopkins University	DHHS	* N/A	JHHL6892701	1,966	
Kineta Inc	DHHS	* N/A	PHASEII10016246	61,263	
Kitware	DHHS	* N/A	K000432S01	26,378	
Louisiana St Univ Health Science	DHHS	* N/A	0990010A3MH085387	67,746	
Massachusetts General Hospital	DHHS	* N/A	N01PC5504940	14,129	
Nanoshell LLC	DHHS	* N/A	10008581	5,568	
National Childhood Cancer Foundation	DHHS	* N/A	VARIOUS	149,483	
Navigen	DHHS	* N/A	1R43AI095172-01A1	29,497	

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New England Research Institute	DHHS	* N/A	SITE191	88,778	
Northern California Inst Resh	DHHS	* N/A	1459	(20,802)	
Novo Contour	DHHS	* N/A	10020321	8,651	
Omicia Inc	DHHS	* N/A	VARIOUS	48,265	
Orthocare Innovations LLC	DHHS	* N/A	VARIOUS	27,843	
Radiological Society of North	DHHS	* N/A	HHSN268200800020C	110,967	
RTI International	DHHS	* N/A	VARIOUS	1,176,073	650,754
Salk Institute for Biological	DHHS	* N/A	VARIOUS	283,389	
Seattle Children's Research Inc	DHHS	* N/A	10201SUB	4,080	
Thera Target Inc	DHHS	* N/A	10018419	69,668	
University of California Irvine	DHHS	* N/A	20061709	13,611	
University of South Florida	DHHS	* N/A	6119114400E	165,405	
University of Tennessee	DHHS	* N/A	VARIOUS	8,756	
University of Toledo	DHHS	* N/A	N201143	149,470	
University of Wisconsin-Madison	DHHS	* N/A	272K263	51,071	
Wasatch Microfluidics Inc	DHHS	* N/A	10026151	82,509	47,000
Washington University in St Louis	DHHS	* N/A	WU11208	325,833	
Westat	DHHS	* N/A	8906S08	22,406	
Northern California Inst Resh	DHHS	* N/A	001415	66,801	
(R&D) 50 Kbase DNA Sequencing — Electro-Biology Inc	DHS	* N/A	018HS1CP02257	114,356	
(R&D) Department of Commerce — Global Science & Tech Innovation	DOC	* N/A	SA11U0U01	762,949	70,000
(R&D) Department of Defense — Battelle Science & Technology	DOD	* N/A	TCN102180000255720	26,529	
Beth Israel Deaconess Medical	DOD	* N/A	W81XWH1010141	16,796	
Electrokinetic Signal Research	DOD	* N/A	100021331	22,284	
Electronic Bioscience, LLC	DOD	* N/A	2098029DA1C	59,160	
IBM Center for the Business of Gov	DOD	* N/A	4910001755	155,739	
Johns Hopkins University	DOD	* N/A	various	2,043,158	1,527,942
Lumarray Inc	DOD	* N/A	C0044101	50,269	
Materials and Systems Research	DOD	* N/A	20101	75,154	
North Carolina State University	DOD	* N/A	210113B	44,321	
Northeastern University	DOD	* N/A	504314P1104878	469,173	
Nvidia Corporation	DOD	* N/A	54128854	269,366	
Raytheon Company	DOD	* N/A	950001046513919	397,660	
Reaction Engineering Intl	DOD	* N/A	various	33,021	
Safety Management Services Inc	DOD	* N/A	1145	5,813	
Science Applications International	DOD	* N/A	P010045717	186,413	
Scientific Assessment Technology	DOD	* N/A	10006206PHASEII	1,824	
University of Kentucky	DOD	* N/A	UKRF304810387608160	51,144	
Wasatch Molecular Inc	DOD	* N/A	various	46,338	
Western Institute for Biomedical Research	DOD	* N/A	various	32,469	
(R&D) Department of the Interior — Center For Plant Conservation	DOI	* N/A	VARIOUS	27,211	
Hughes Research Laboratories	DOI	* N/A	VARIOUS	46,193	
(R&D) Department of Transportation — ICF Incorporated	DOT	* N/A	IIVV000157	2,924	
Kittelson & Associates Inc	DOT	* N/A	various	92,205	
KLS Engineering	DOT	* N/A	DFTH6110C000572	9,874	
North Dakota State University	DOT	* N/A	various	66,521	12,987
Texas A&M University	DOT	* N/A	S100088	13,691	
North Carolina State University	DOT	* N/A	2009038804	7,821	

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(R&D) Environmental Protection Agency —					
Health Effects Institute	EPA	* N/A	8009096	(7,089)	
San Diego State University Foundation	EPA	* N/A	55573A7804	(2,128)	
(R&D) Depart Housing & Urban Develop —					
Salt Lake County UT	HUD	* N/A	BV11255C	827,578	
(R&D) Nat'l. Aeronautics & Space Admin —					
Aribex Inc	NASA	* N/A	10022345	61,822	
Association for Institutional	NASA	* N/A	RG1156	32,481	
NASA Jet Propulsion Laboratory	NASA	* N/A	VARIOUS	127,277	
Space Telescope Science Institute	NASA	* N/A	VARIOUS	90,604	
University of California Santa	NASA	* N/A	KK9102	9,114	
Wyle Integrated Science and Engineering	NASA	* N/A	T72162	48,380	
University of Georgia	NASA	* N/A	RR1752254693408	17,288	
ARRA-(R&D) National Science Foundation —					
Arizona State University	NSF	* N/A	KMS02000150	366	
BBN Technologies Corporation	NSF	* N/A	9500009499	175,715	54,043
Brigham Young University	NSF	* N/A	110324	37,721	
Echelon Biosciences Inc	NSF	* N/A	10022703	32,965	
Global Environment for Network	NSF	* N/A	1855	4,554	
Heavystone Laboratory LLC	NSF	* N/A	10018547	37,891	
National Science Foundation	NSF	* N/A	ECS9979323	224	
Raytheon Company	NSF	* N/A	1861	22,079	
Smithsonian Astrophysical Observatory	NSF	* N/A	11SUBC400SV181012	3,326	
University Corp for Atmospheric Research	NSF	* N/A	S1185301	(27)	
University of California Davis	NSF	* N/A	060025831UTAHCCF0702817	6,004	
University of California Irvine	NSF	* N/A	20102529	97,041	
Wasatch Microfluidics Inc	NSF	* N/A	10024120	31,326	
(R&D) Department of Agriculture —					
University of Florida	USDA	* N/A	UF11197	10,900	
University of Idaho	USDA	* N/A	GPK699SB001P00028386	(406)	
Pass-Through From Non-State Entities:					
Non-Research Grants					
IJSHS —					
Academy of Applied Science	DOD,OSD	12.630	W911NF1020076	25,000	
Western Waters Digital Library —					
Colorado State University	NFAH,NEH	45.149	G10101	(4,567)	
Spatial Algorithms —					
Computing Research Association	NSF	47.070	CIF32	2,811	
Batterer Intevention —					
NYU Langone Medical Center	NSF	47.075	F661401	26,287	
Graduate Preparation Institute —					
Arizona State University	NSF	47.076	12705	57,500	
ARRA-Workforce Training —					
University of Minnesota	Dept. of Energy	81.122	A000211567	14,776	
Etanercept In Kawasaki Disease —					
Seattle Children's Hospital	DHHS,FDA	93.103	10259SUB	(5,811)	
Pakistan Lead Study —					
University of Alabama at Birmingham	DHHS,NIH	93.113	000335078002	(479)	
Progesterone for TBI —					
University of Michigan	DHHS,HRSA	93.127	3001656022	23,077	
Hazardous Materials Training —					
University of Texas at Houston	DHHS,NIH	93.142	0007901A	37,854	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
AIDS Education & Training Center — University of Colorado at Denver	DHHS,HRSA	93.145	FY11163006/FY11163007	173,236	
CREST-E — Massachusetts General Hospital	DHHS,NIH	93.213	VARIOUS	25,043	
Substance Abuse & Mental Hlth Projects — Salt Lake County UT	DHHS,SAMHSA	93.243	AL08515C	49,548	
The Children's Center	DHHS,SAMHSA	93.243	PID10017058	49,071	19,122
The Road Home	DHHS,SAMHSA	93.243	T123471	21,655	
University of Nevada Reno	DHHS,SAMHSA	93.243	UNR1240	386	
Cocaine Addiction Treatment — Baylor College of Medicine	DHHS,NIH	93.279	101248984	(23,748)	
Birth Defects — Association of American Medical	DHHS,CDC	* 93.283	VARIOUS	33,546	31,192
Cancer Treatment Research — National Childhood Cancer Foundation	DHHS,NIH	93.395	021074	6,540	
Cancer Control — Natl Surgcl Adjuvant Breast	DHHS,NIH	93.399	VARIOUS	33,451	
ARRA-Trans-NIH Recovery Act R S — John Wayne Cncr Inst@St Johns Health Ctr	DHHS,NIH	93.701	VARIOUS	36,358	
Stanford University	DHHS,NIH	93.701	24522260-21272-J	79,251	
University of Pittsburgh	DHHS,NIH	93.701	9004641116858-1	33,019	
John Wayne - MSLT-II — John Wayne Cncr Inst@St Johns Health Ctr	DHHS,NIH	93.701	CA29605	32,726	
ARRA-Innovative Diffusion of CER — University of Arizona	DHHS,AHRQ	93.715	Y561924	3,531	
Cardiovascular Diseases Research — Angel Medical Systems	DHHS,NIH	93.837	VARIOUS	23,970	
University of Miami	DHHS,NIH	93.837	66556C	(224)	
University of Michigan	DHHS,NIH	93.837	VARIOUS	65,908	
New England Research Inc	DHHS,NIH	93.837	HL68270	6,343	
Lung Diseases Research — Duke Clinical Research Institute	DHHS,NIH	93.838	00003745	8,578	
Duke University	DHHS,NIH	93.838	00003745	104,016	
University of California San Francisco	DHHS,NIH	93.838	6610SC	14,811	
University of California Los Angeles	DHHS,NIH	93.838	1460GNA245	64,104	
Blood Diseases and Resources Research — Duke Clinical Research Institute	DHHS,NIH	93.839	1U01HL087229	32,777	
National Marrow Donor Program	DHHS,NIH	93.839	577PRDSAFE	1,479	
Washington University in St Louis	DHHS,NIH	93.839	VARIOUS	26,136	
Neurosciences and Neurological Disorders — Cincinnati Childrens Hospital	DHHS,NIH	93.853	VARIOUS	22,673	
Massachusetts General Hospital	DHHS,NIH	93.853	5U01NS049640	16,203	
Mayo Clinic Jacksonville	DHHS,NIH	93.853	SWISS	600	
University of Virginia	DHHS,NIH	93.853	GC12107138989	16,000	
Yale University School of Medicine	DHHS,NIH	93.853	VARIOUS	38,373	
Allergy, Immunology and Transplantation — Brigham & Womens Hospital	DHHS,NIH	93.855	PS105087CT0T11	39,549	
Duke University	DHHS,NIH	93.855	VARIOUS	(835)	
Biomedical Research & Research Training — University of Pittsburgh	DHHS,NIH	93.859	VARIOUS	4,322	
Child Health and Human Development — Children's National Medical Center	DHHS,NIH	93.865	83980803	(4,483)	
University of Michigan	DHHS,NIH	93.865	2U01HD04124906	346,586	1,097

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of Rochester	DHHS,NIH	93.865	415265G	28,427	2,335
Aging Research —					
University of California San Diego	DHHS,NIH	93.866	VARIOUS	73,904	
ARRA-Nordic IIHTT —					
St. Luke's-Roosevelt Hospital	DHHS,NIH	93.867	NORDIC IIHTT	48,139	
Prevention & Treatment of Substance Abuse—					
Salt Lake Co Substance Abuse	DHHS,SAMHSA	* 93.959	AL08509C	4,514	
Health and Human Services —					
Aciont Inc	DHHS	N/A	10020810	(431)	
Amer Psychiatric Inst For Resh	DHHS	N/A	NONE	(1)	
American College of Radiology	DHHS	N/A	8201	170,260	
American College of Srgns Onco	DHHS	N/A	SITE449	9,050	
Booz-Allen-Hamilton Inc	DHHS	N/A	97389NB23	28,793	
Cleveland Clinic Foundation	DHHS	N/A	267038480301	19,373	
Emmes Corp	DHHS	N/A	various	544,313	
Gynecologic Oncology Group	DHHS	N/A	NONE	65,843	
International Society of Travel Medicine	DHHS	N/A	PID2312124	1,887	
IQ Solutions	DHHS	N/A	NIDA INVEST FELLOWSHIP - YOON	20,887	
John Snow Inc	DHHS	N/A	NONE	9,622	
Mount Sinai School of Medicine	DHHS	N/A	various	199	
National Neurovision Research	DHHS	N/A	NNSPCTEC01090034UUTNER	101,417	
Natl Environmental Education Found.	DHHS	N/A	NONE	6,714	
Navajo Nation Division of Health	DHHS	N/A	07542	4,102	
New England Research Inc	DHHS	N/A	HL68270	113,168	
New England Research Institute	DHHS	N/A	N01HC45207	405	
Oregon Health & Science University	DHHS	N/A	AS0N00260U0U	909	
Pgxl Laboratories	DHHS	N/A	NONE	4,700	
Saint Louis University	DHHS	N/A	208141039	4,762	
Salt Lake City Bioscience	DHHS	N/A	44R44GM08460302	(60,265)	
Southwest Oncology Group	DHHS	N/A	F018920	142,995	
University of California Los Angeles	DHHS	N/A	1551PNB138	8,487	
University of Nebraska Medical	DHHS	N/A	3420051001001CA157167	7,563	
University of Pennsylvania	DHHS	N/A	NONE	29,951	
University of Texas at Houston	DHHS	N/A	NONE	9,399	
Vestan Inc	DHHS	N/A	NONE	6,744	
University of Texas at Houston	DHHS	N/A	0007901A	120,108	
Department of Defense —					
Foundation Fighting Blindness	DOD	N/A	NNSPCTEC01090034UUTNER	36,859	
Institute of International Education	DOD	N/A	HQ00340820024	28,105	
Laredo Technical Services	DOD	N/A	NONE	4,131	
National Marrow Donor Program	DOD	N/A	577RITN	2,900	
Sarcoma Alliance for Rsch Thru Collab	DOD	N/A	SARCOMPNST	394	
Battelle MI —					
Battelle Science & Technology	Dept. of Energy	N/A	104852	900	
Department of Transportation —					
Univ of North Carolina at Chapel Hill	DOT	N/A	VARIOUS	16,388	
Rasc-AI Robo-Ops —					
National Institute of Aerospace	NASA	N/A	VARIOUS	9,647	
SLC Council Demographics —					
Salt Lake City Department of Corp	HUD	N/A	VARIOUS	(20)	
National Science Foundation —					
Nise Network	NSF	N/A	9215708465	3,096	
University of Guam	NSF	N/A	VARIOUS	1,405	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Bond —					
ABT Associates Inc	OTHER	N/A	GOBONDUFUTAH1659025202	138,202	
Department of Veterans Affairs —					
Vietnam Education Foundation	VA	N/A	VARIOUS	21,658	
United States Olympic Committee	VA	N/A	VARIOUS	3,500	
				<hr/>	<hr/>
Subtotal – University of Utah				362,944,406	47,466,578

UTAH STATE UNIVERSITY

Research Grants

(R&D)(SE) Special Ed Grants to States	ED,SERS	* 84.027	VARIOUS	(8,000)	(8,000)
(R&D)(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114218	51,139	
(R&D)(TRIO) Student Support Services	ED,PE	* 84.042	VARIOUS	29,164	
(R&D)(TRIO) Student Support Services	ED,PE	* 84.042	P042A110004 (110864)	265,088	
(R&D) Improvement of Postsecondary Education	ED,PE	* 84.116	P116Z100173	335,756	
(R&D) Rehabilitation Long-Term Training	ED,SERS	* 84.129	VARIOUS	93,575	
(R&D) Rehabilitation Long-Term Training	ED,SERS	* 84.129	H129B090052 (090463)	119,067	
(R&D) Rehab Training General Training	ED,SERS	* 84.275	H275A100001A (101077)	368,185	
(R&D) Research in Special Education	ED,IES	* 84.324	R324A100063 (090889)	502,201	
(R&D) Special Ed–Personnel Preparation	ED,SERS	* 84.325	H325K100501 (110045)	254,373	
(R&D) Special Ed–Tech & Media Services	ED,SERS	* 84.327	H327A100038 (100857)	213,131	
(R&D) Agriculture Research Services	USDA,ARS	* N/A	58-5360-9-442 (100119)	114,156	
(R&D) Agriculture Research Services	USDA,ARS	* N/A	VARIOUS	395,464	23,183
(R&D) Animal & Plant Health Inspection Svc	USDA,APHIS	* N/A	VARIOUS	288,153	14,335
(R&D) Animal & Plant Health Inspection Svc	USDA,APHIS	* N/A	112251, 11-8588-0587-CA (111142)	107,561	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-34526-19808 (090867)	138,251	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-34552-19746 (090848)	295,530	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-34613-20124 (090858)	171,156	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-38640-19711 (090758)	518,346	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	2009-51300-05533 (090673)	138,362	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	311000 HATCH (F111000)	871,209	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3110006049 (F121000)	991,926	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3120006049 (F121100)	534,147	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	3210006049 (F122000)	166,205	
(R&D) Cooperative State Research Svc.	USDA,NIFA	* N/A	VARIOUS	2,225,704	1,629,185
(R&D) Economic Research Service	USDA,ERS	* N/A	59-5000-0-0065 (100918)	167,062	
(R&D) Foreign Agriculture Service	USDA,FAS	* N/A	VARIOUS	74,188	
(R&D) Forest Service	USDA,FS	* N/A	VARIOUS	792,942	50,000
(R&D) Forest Service	USDA,FS	* N/A	07-CR-11062756-508 (075113)	105,771	
(R&D) Forest Service	USDA,FS	* N/A	11-CS-11221632-036 (110407)	137,675	
(R&D) Forest Service	USDA,FS	* N/A	11-CR-11221638-011 (110325)	189,480	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	VARIOUS	2,237,235	1,520,352
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2010-49400-21768 (100912)	208,219	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2010-51300-21283 (100759)	167,062	
(R&D) National Institute of Food and Ag	USDA,NIFA	* N/A	2011-67015-3008 (100984)	112,991	
(R&D) National Resources Conservation Svc	USDA,NRCS	* N/A	VARIOUS	35,063	
(R&D) Research and Development	USDA	* N/A	VARIOUS	57,100	
(R&D) Research and Development	USDA	* N/A	58-3625-9-743 (09S069)	222,222	
(R&D) Research and Development	USDA	* N/A	59-5430-1-344 (09S072)	224,785	
(R&D) Department of Commerce	DOC	* N/A	VARIOUS	2,500	
(R&D) National Institute of Standards Tech	DOC,NIST	* N/A	VARIOUS	41,870	17,804

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) National Institute of Standards Tech	DOC,NIST	* N/A	70NANB9H9120 (09S068)	618,759	
(R&D) National Oceanic and Atmospheric	DOC,NOAA	* N/A	VARIOUS	24,641	
(R&D) National Oceanic and Atmospheric	DOC,NOAA	* N/A	DG133E08CN0063 (08S047)	949,637	
(R&D) National Oceanic and Atmospheric	DOC,NOAA	* N/A	EE-133E-12-SE-1230 (S00001377)	132,208	
(R&D) National Oceanic and Atmospheric	DOC,NOAA	* N/A	NA09OAR4310195 (090268)	101,065	
(R&D) AF Research and Development	DOD	* N/A	VARIOUS	208,336	
(R&D) AF Research and Development	DOD	* N/A	FA8718-06-C-0001 (05S108)	290,270	
(R&D) AF Research and Development	DOD	* N/A	FA8814-09-D-0001 TO001 (09S070001)	295,650	
(R&D) AF Research and Development	DOD	* N/A	FA8814-09-D-0001 TO004 (09S070004)	(134,924)	
(R&D) AF Research and Development	DOD	* N/A	FA8814-09-D-0001 TO006 (09S070006)	280,447	
(R&D) AF Research and Development	DOD	* N/A	FA9453-08-C-0244 (08S063)	3,238,357	
(R&D) AF Research and Development	DOD	* N/A	FA9453-11-C-0278 (S00000953)	534,783	
(R&D) AF Research and Development	DOD	* N/A	IPA Agree w Air Force (S00000381)	310,985	
(R&D) Army Research and Development	DOD	* N/A	VARIOUS	111,560	
(R&D) Army Research and Development	DOD	* N/A	W911W6-06-C-0008 (06S035)	710,791	
(R&D) Department of Defense	DOD	* N/A	VARIOUS	63,624	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0006-05-D-0005-0022	169,574	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0006-05-D-0005-0018 (05S090018)	146,848	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0006-05-D-0005-0026 (05S090026)	193,365	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0006-05-D-0005-0030 (05S090031)	1,324,633	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0006-05-D-0005-0032 (05S090032)	375,559	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0006-05-D-0005-0033 (05S090034)	(109,765)	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0001 (S00005001)	1,440,146	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0002 (S00005002)	553,439	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0003 (S00005003)	740,613	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0004 (S00005004)	754,472	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0005 (S00005005)	103,477	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0006 (S00005006)	3,734,744	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0008 (S00005008)	214,598	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0009 (S00005009)	293,267	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0010 (S00005010)	4,902,609	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0011 (S00005011)	283,037	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0012 (S00005012)	114,361	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0013 (S00005013)	319,398	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0015 (S00005015)	125,594	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0016 (S00005016)	1,354,335	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0017 (S00005017)	3,735,273	
(R&D) Missile Defense Agency	DOD	* N/A	HQ0147-11-D-0052-0018 (S00005018)	202,732	
(R&D) National Reconnaissance Office	DOD	* N/A	NRO000-09-C-0309 (09S099001)	196,780	
(R&D) National Security Agency	DOD,NSA	* N/A	VARIOUS	(17,610)	
(R&D) Navy Research and Development	DOD	* N/A	VARIOUS	7,900	
(R&D) Navy Research and Development	DOD	* N/A	N00014-09-1-0292 (090193)	188,034	
(R&D) Navy Research and Development	DOD	* N/A	N00173-02-D-2003 TASK ORDER 0007 (02S049007)	654,973	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0002 (08S044002)	1,317,899	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0003 (08S044003)	1,520,487	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0004 (08S044004)	2,362,264	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0005 (08S044005)	7,260,364	
(R&D) Navy Research and Development	DOD	* N/A	N00173-08-D-2002 0006 (08S044006)	4,880,149	
(R&D) Navy Research and Development	DOD	* N/A	N68936-10-D-0017 TO0003 (S00063003)	121,113	
(R&D) Navy Research and Development	DOD	* N/A	N68936-10-D-0017 TO0004 (S00063004)	179,006	
(R&D) Navy Research and Development	DOD	* N/A	N68936-10-D-0017 TO0005 (S00063005)	207,607	
(R&D) Navy Research and Development	DOD	* N/A	N68936-10-D-0017 TO0006 (S00063006)	154,464	
ARRA-(R&D) Bureau of Land Management	DOI,BLM	* N/A	VARIOUS	71,576	

* Major Programs, see Note 2.A.

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State of Utah
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Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Bureau of Land Management	DOI,BLM	* N/A	VARIOUS	469,321	1,454
(R&D) Bureau of Land Management	DOI,BLM	* N/A	L09AC15164 (080889)	277,829	
(R&D) Bureau of Land Management	DOI,BLM	* N/A	L11AC20275 (110374)	116,526	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	06FC602168 (070137)	(5)	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	07-FC-40-2639 (075060)	(3)	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	08-CS-40-8210 (080574)	89,275	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	08-FC-40-2794 (080992)	40,461	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	08FC602344 (090071)	4,861	4,137
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	09-FC-40-2888 (090619)	5,545	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R10AC35280001 (101042)	23,048	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R10AP40007 (100659)	13,146	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R10AP40028 (100938)	18,363	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC40005 (110370)	107,069	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC40021 (110915)	90,394	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC80350 (110775)	14,870	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AC81456 (111043)	21,364	
(R&D) Bureau of Reclamation	DOI,BOR	* N/A	R11AP40011 (110553)	77,467	20,047
(R&D) National Park Service	DOI,NPS	* N/A	VARIOUS	830,132	
(R&D) National Park Service	DOI,NPS	* N/A	H1200090004, R1580100323, J158(101130)	102,278	
(R&D) U.S. Fish and Wildlife Service	DOI,FWS	* N/A	VARIOUS	183,698	12,337
(R&D) U.S. Fish and Wildlife Service	DOI,FWS	* N/A	84320-5-J306; F12AC00126 (110834)	111,622	
(R&D) US Geological Survey	DOI,USGS	* N/A	VARIOUS	351,311	
(R&D) US Geological Survey	DOI,USGS	* N/A	06555HR056; G11AC20195 (070722)	130,382	
(R&D) US Geological Survey	DOI,USGS	* N/A	G12AC20028 (111321)	134,767	
(R&D) Department of Justice	DOJ	* N/A	VARIOUS	62,519	19,522
ARRA-(R&D) Department of Justice	DOJ	* N/A	2009-SQ-B9-K005 (100289ARR)	194,416	
(R&D) Federal Highway Administration	DOT	* N/A	VARIOUS	14,460	
(R&D) Research & Special Programs Admin.	DOT	* N/A	DTRT06-G-0040 (061238)	153,656	
(R&D) Goddard Space Flight Center	NASA	* N/A	VARIOUS	55,749	
(R&D) Goddard Space Flight Center	NASA	* N/A	NNX09AN55G (090297)	104,042	
(R&D) Jet Propulsion Laboratory	NASA	* N/A	VARIOUS	120,707	
(R&D) Jet Propulsion Laboratory	NASA	* N/A	1407652 (S00000143)	369,355	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	VARIOUS	128,648	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNG11CR02C (S00000761)	305,733	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNL10AA11B, NNL10AC85T (S00511001)	112,852	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNX09AH97G (09S005)	137,662	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNX09AM71G (09S016)	208,276	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNX10AH27G (S00000493)	238,494	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNX10AN87A (10S003)	119,980	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	NNX11AE23G (S00000534)	192,473	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	0000144634/10017666 (100661)	189,362	
(R&D) National Aeronautic & Space Admin	NASA	* N/A	10013106 (090679)	236,509	
(R&D) Stennis Space Center	NASA	* N/A	VARIOUS	147,655	
(R&D) National Endowment for the Humanities	NFAH,NEA	* N/A	VARIOUS	3,163	
(R&D) Directorate for Biological Sciences	NSF	* N/A	VARIOUS	58,987	
(R&D) Directorate for Biological Sciences	NSF	* N/A	DEB-1019613 (100606)	101,748	
(R&D) Directorate for Computer/Info Sci/Eng	NSF	* N/A	VARIOUS	220,700	
(R&D) Directorate for Education and HR	NSF	* N/A	VARIOUS	346,972	98,591
(R&D) Directorate for Education and HR	NSF	* N/A	DRL-0426421/ESI-0426421 (041447)	518,755	
(R&D) Directorate for Engineering	NSF	* N/A	VARIOUS	112,242	
(R&D) Directorate for Geosciences	NSF	* N/A	VARIOUS	271,343	
(R&D) Directorate for Math & Physical Scien.	NSF	* N/A	VARIOUS	164,119	
(R&D) Directorate for Math & Physical Scien.	NSF	* N/A	CHE-1026826 (100697)	112,516	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Directorate for Math & Physical Scien.	NSF	* N/A	CHE-1057746 (110104)	115,985	
(R&D) Directorate for Social Behavioral & Econ.	NSF	* N/A	VARIOUS	50,521	11,400
(R&D) National Science Foundation	NSF	* N/A	VARIOUS	1,389,994	168,190
(R&D) National Science Foundation	NSF	* N/A	0953046 (100072)	126,352	
(R&D) National Science Foundation	NSF	* N/A	1020086 (100616)	453,393	
(R&D) National Science Foundation	NSF	* N/A	1045356 (101163)	162,915	
(R&D) National Science Foundation	NSF	* N/A	AGS-1041571 (100978)	155,936	
(R&D) National Science Foundation	NSF	* N/A	AGS-1061892 (100633)	117,403	
(R&D) National Science Foundation	NSF	* N/A	DEB-0953694 (100066)	134,316	
(R&D) National Science Foundation	NSF	* N/A	DEB-1054040 (110046)	121,503	
(R&D) National Science Foundation	NSF	* N/A	MCB-0952920 (100067)	198,425	
ARRA-(R&D) Directorate for Biological Sci.	NSF	* N/A	MCB-0920776 (090469ARR)	127,839	
ARRA-(R&D) Directorate for Geosciences	NSF	* N/A	VARIOUS	72,862	
ARRA-(R&D) Directorate Math & Physical Sci.	NSF	* N/A	CHE-0923654 (090317ARR)	172,731	
ARRA-(R&D) Directorate Social Behavioral Econ	NSF	* N/A	BCS-0960077 (100135ARR)	108,810	
ARRA-(R&D) National Science Foundation	NSF	* N/A	VARIOUS	125,855	13,617
(R&D) Smithsonian Institution	SI	* N/A	VARIOUS	51,201	
(R&D) Environmental Protection	EPA	* N/A	VARIOUS	31,218	
(R&D) Environmental Protection	EPA	* N/A	RD-83418601 (080915)	216,288	
(R&D) US Nuclear Regulatory Commission	NRC	* N/A	VARIOUS	77,261	
(R&D) Argonne National Laboratory	Dept. of Energy	* N/A	VARIOUS	77,258	
(R&D) Department of Energy	Dept. of Energy	* N/A	VARIOUS	174,238	93,001
(R&D) Department of Energy	Dept. of Energy	* N/A	DE-EE0000425 (090998)	141,186	
(R&D) Department of Energy	Dept. of Energy	* N/A	DE-EE000314 (100859)	4,325,686	
(R&D) Department of Energy	Dept. of Energy	* N/A	DE-FG48-05R810736 (051650)	301,859	
(R&D) Department of Energy	Dept. of Energy	* N/A	DE-NE0000357 (100964)	184,401	
(R&D) Idaho National Laboratory	Dept. of Energy	* N/A	VARIOUS	60,709	
(R&D) Los Alamos National Security	Dept. of Energy	* N/A	VARIOUS	213	
(R&D) Sandia National Laboratory	Dept. of Energy	* N/A	VARIOUS	47,814	
(R&D) Sandia National Laboratory	Dept. of Energy	* N/A	1044650 (S00000406)	2,071,423	
(R&D) Sandia National Laboratory	Dept. of Energy	* N/A	1125414 (S00000677)	216,715	
(R&D) Science	Dept. of Energy	* N/A	VARIOUS	104,550	104,550
(R&D) Science	Dept. of Energy	* N/A	DE-FC02-08ER54973 (080773)	139,804	
(R&D) Science	Dept. of Energy	* N/A	DE-FG02-06ER64277 (061743)	403,363	
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	VARIOUS	1,016,151	1,011,827
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	DE-EE0002848 (100089ARR)	505,363	
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	DE-FE0001786 (100136ARR)	106,985	
ARRA-(R&D) Department of Energy	Dept. of Energy	* N/A	DE-SC0004991 (090729ARR)	122,757	
ARRA-(R&D) What Works and Innovation Fund	ED	* N/A	VARIOUS	985,030	985,030
ARRA-(R&D) What Works and Innovation Fund	ED	* N/A	U396B100267 (101008ARR)	312,466	
(R&D) Admin. on Children and Families	DHHS	* N/A	VARIOUS	18,168	
(R&D) Centers Disease Control & Prevent.	DHHS	* N/A	1U01DD000698-01 (100838)	340,219	
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	VARIOUS	58,585	
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	G-7835-5/5U54 A1065357 (110699)	130,871	
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	HHSN272201000391 (090584)	2,544,543	
(R&D) Institute of Allergy and Infectious Dis.	DHHS	* N/A	HHSN2722011000191 (100690)	340,543	
(R&D) Institute of Child Health & Human Dev.	DHHS	* N/A	2 R01 GM059087 (035284)	111,101	
(R&D) Institute of Child Health & Human Dev.	DHHS	* N/A	VARIOUS	89,569	89,569
(R&D) Institute of Dental and Craniofacial Res	DHHS	* N/A	VARIOUS	14,101	
(R&D) Institute of Diabetes, Digestive, & Kidney	DHHS	* N/A	VARIOUS	66,559	810
(R&D) Institute of Environmental Health Sci.	DHHS	* N/A	VARIOUS	51,972	
(R&D) Institute on Aging	DHHS	* N/A	8 RAG031272A (080465)	124,300	
(R&D) Institute on Deafness Comm. Disorders	DHHS	* N/A	VARIOUS	2,209	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Institute on Drug Abuse	DHHS	* N/A	1R01DA029608 (101141)	171,142	
(R&D) National Cancer Institute	DHHS	* N/A	VARIOUS	72,819	
(R&D) National Institute of Health	DHHS,NIH	* N/A	VARIOUS	186,007	66,399
(R&D) National Institute of Health	DHHS,NIH	* N/A	1R01DA029100-01A1 (090919)	275,856	
(R&D) National Institute of Health	DHHS,NIH	* N/A	1R01HD055502-01A2 (080469)	173,679	
(R&D) National Institute of Health	DHHS,NIH	* N/A	5R01AA016786-05 (061729)	174,317	
(R&D) National Institute of Health	DHHS,NIH	* N/A	SUB #10004734-1,PO# 0000134501 (070688)	2,468,174	
(R&D) Substance Abuse and Mental Health	DHHS	* N/A	VARIOUS	12,981	12,981
ARRA-(R&D) Ins Diabetes, Digestive, & Kidney	DHHS	* N/A	2R01DK059611-05A2 (090326ARR)	110,651	
ARRA-(R&D) Institute on Aging	DHHS	* N/A	VARIOUS	36,039	14,967
ARRA-(R&D) Institute on Aging	DHHS	* N/A	1R15AG037392-01 (100268ARR)	121,263	
ARRA-(R&D) Inst on Deafness & Comm. Dis	DHHS	* N/A	1RC1DC010615-01 (090777ARR)	214,682	
ARRA-(R&D) Institute on Drug Abuse	DHHS	* N/A	VARIOUS	71,216	
ARRA-(R&D) National Institute of Health	DHHS,NIH	* N/A	VARIOUS	294,551	219,178
ARRA-(R&D) National Institute of Health	DHHS,NIH	* N/A	2R01AG021136 (090011ARR)	301,979	
Non-Research Grants					
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	VARIOUS	738,720	
(SE) Special Education Grants to States	ED,SERS	* 84.027	110130 (110747)	105,004	
(SE) Special Education Grants to States	ED,SERS	* 84.027	110131 (110765)	135,466	
(SE) Special Education Grants to States	ED,SERS	* 84.027	120054 (120189)	149,990	
(SE) Special Education Grants to States	ED,SERS	* 84.027	AF 110132 (110769)	152,153	
(SE) Special Education Grants to States	ED,SERS	* 84.027	AF 110135 (110757)	220,132	
Higher Education Institutional Aid	ED,PE	84.031	P031X00006-NASNTI (SJ)	389,296	
Endowment Challenge Grant	ED,PE	84.031	P0131G10013	77,834	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	VARIOUS	668,432	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A114218	83,596	
(TRIO) Student Support Services	ED,PE	84.042	VARIOUS	47,038	
(TRIO) Student Support Services	ED,PE	84.042	P042A110585 (120243)	267,426	
(TRIO) Talent Search	ED,PE	84.044	VARIOUS	86,619	
(TRIO) Talent Search	ED,PE	84.044	P044A110528 (120168)	311,861	
(TRIO) Upward Bound	ED,PE	84.047	P047A100228 BLAND (110054)	552,027	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	36,927,485	
Improvement of Postsecondary Education	ED,PE	84.116	VARIOUS	111,116	42,377
Improvement of Postsecondary Education	ED,PE	84.116	P116B100152 (110102)	333,534	
Rehabilitation Long-Term Training	ED,SERS	84.129	VARIOUS	187,716	
(EIS) Special Ed Grants for Infants & Families	ED,SERS	84.181	112300 (110970)	424,423	
(EIS) Special Ed Grants for Infants & Families	ED,SERS	84.181	112301 (110968)	1,204,115	
State Grants for Assistive Technology	ED,SERS	84.224	VARIOUS	22,437	
State Grants for Assistive Technology	ED,SERS	84.224	H224A110044 (110409)	431,070	
Special Ed–Personnel Preparation	ED,SERS	84.325	VARIOUS	116,342	
Special Ed–Personnel Preparation	ED,SERS	84.325	H325D090053A (090225)	178,613	
Special Ed–Personnel Preparation	ED,SERS	84.325	H325K080307 (080606)	173,554	
Special Ed–Personnel Preparation	ED,SERS	84.325	H325N100038 (100373)	141,550	
Special Ed – Technical Assist. and Dissmin.	ED,SERS	84.326	H326R090003 (100114)	1,279,861	
Special Ed–Tech & Media Services	ED,SERS	84.327	VARIOUS	14,128	
Gaining Early Awareness & Readiness Undergrd	ED,PE	84.334	VARIOUS	76,370	
Gaining Early Awareness & Readiness Undergrd	ED,PE	84.334	P334S110015 (111169)	136,981	
Mathematics and Science Partnerships	ED,ESE	84.366	AF 110034 & AF 120043 (110396)	103,774	
(SFA) Academic Competitiveness Grants	ED,PE	* 84.375	VARIOUS	(700)	
(SFA) TEACH Grants	ED,PE	* 84.379	VARIOUS	383,343	
Agriculture Research and Development	USDA	N/A	VARIOUS	30,439	
Rural Development	USDA,RBCS	N/A	VARIOUS	47,159	45,000
Rural Utilities Service	USDA,RUS	N/A	VARIOUS	362,910	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Animal & Plant Health Inspection Service	USDA,APHIS	N/A	VARIOUS	2,200	
Cooperative State Research Service	USDA,NIFA	N/A	F114000	376,647	
Cooperative State Research Service	USDA,NIFA	N/A	F117000	296,287	
Cooperative State Research Service	USDA,NIFA	N/A	F124000	1,303,288	
Cooperative State Research Service	USDA,NIFA	N/A	F127000	115,043	
Cooperative State Research Service	USDA,NIFA	N/A	VARIOUS	431,018	154,936
Farm and Foreign Agricultural Services	USDA	N/A	VARIOUS	59,705	39,000
Food and Nutrition Service	USDA,FNS	N/A	111433 (110073)	117,792	
Food and Nutrition Service	USDA,FNS	N/A	121297 (120070)	675,709	
Foreign Agriculture Service	USDA,FAS	N/A	VARIOUS	27,744	
Forest Service	USDA,FS	N/A	VARIOUS	155,738	
National Institute of Food and Agriculture	USDA,NIFA	N/A	VARIOUS	287,522	269,138
National Institute of Food and Agriculture	USDA,NIFA	N/A	2010-41590-20709 (100547)	161,661	
National Institute of Food and Agriculture	USDA,NIFA	N/A	2011-51150-30848 (110975)	238,079	
National Resources Conservation Service	USDA,NRCS	N/A	VARIOUS	15,070	
Risk Management Agency	USDA,RMA	N/A	VARIOUS	151,562	48,622
Risk Management Agency	USDA,RMA	N/A	10-IE-53300-013 (100962)	174,005	
Risk Management Agency	USDA,RMA	N/A	11-IE-53300-003 (120077)	110,006	
AF Research and Development	DOD	N/A	VARIOUS	19,176	
Housing and Urban Development	HUD	N/A	VARIOUS	48,417	
ARRA-Bureau of Land Management	DOI,BLM	N/A	VARIOUS	16,541	
UT Reclamation Mitigation & Conservation Com	DOI	N/A	VARIOUS	5,030	
Bureau of Land Management	DOI,BLM	N/A	VARIOUS	171,437	
National Park Service	DOI,NPS	N/A	VARIOUS	145,543	
U.S. Fish and Wildlife Service	DOI,FWS	N/A	VARIOUS	7,268	
U.S. Fish and Wildlife Service	DOI,FWS	N/A	F09AC00491; 98210-9-J114 (100147)	100,267	
Department of Justice	DOJ	N/A	VARIOUS	44,369	
Office of Justice Programs	DOJ	N/A	VARIOUS	26,699	
Department of Labor	DOL	N/A	VARIOUS	136,749	
Mine Safety and Health Administration	DOL	N/A	VARIOUS	94,723	
Mine Safety and Health Administration	DOL	N/A	MS-23053-12-55-R-49 (110954)	144,366	
Bureau of Educational and Cultural Affairs	Dept. of State	N/A	VARIOUS	99,509	
Bureau of Educational and Cultural Affairs	Dept. of State	N/A	FY10-TEA-USU-01 (110923)	141,630	
Goddard Space Flight Center	NASA	N/A	VARIOUS	3,544	
Jet Propulsion Laboratory	NASA	N/A	VARIOUS	84,318	
National Aeronautics and Space Administration	NASA	N/A	VARIOUS	4,000	
Institute of Museum and Library Services	NFAH,IMLS	N/A	VARIOUS	37,105	
ARRA-National Science Foundation	NSF	N/A	838059 (10S009)	174,423	
National Science Foundation	NSF	N/A	VARIOUS	17,465	
National Science Foundation	NSF	N/A	DRL-1054280 (110075)	121,874	
National Science Foundation	NSF	N/A	10012985 - S1/S2 (100544)	114,953	
Small Business Administration	SBA	N/A	VARIOUS	45,000	45,000
ARRA-Department of Energy	Dept. of Energy	N/A	VARIOUS	65,000	
Department of Energy	Dept. of Energy	N/A	VARIOUS	34,608	
ARRA-Dept of Health and Human Services	DHHS	N/A	VARIOUS	12,409	
Admin. on Developmental Disabilities	DHHS	N/A	VARIOUS	212	
Admin. on Developmental Disabilities	DHHS	N/A	90DD0610 (075071)	543,009	
Admin. on Developmental Disabilities	DHHS	N/A	90DN025501 (091001)	207,615	
Administration for Children and Families	DHHS	N/A	VARIOUS	343,541	265,188
Administration for Children and Families	DHHS	N/A	90FM0001;90FM900101 (120112)	360,741	
Administration for Children and Families	DHHS	N/A	90YD0227/01 (080113)	129,760	
Administration for Children and Families	DHHS	N/A	AGREEMENT #120051 (110903)	253,299	
Administrative Operations	DHHS	N/A	VARIOUS	3,920	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Department of Health and Human Services	DHHS	N/A	1 IDSEP100006-01-00 (110133)	351,800	
Department of Health and Human Services	DHHS	N/A	110002 (101208)	601,089	
Department of Health and Human Services	DHHS	N/A	112584 (111247)	1,620,666	
Department of Health and Human Services	DHHS	N/A	120199 (120190)	293,003	
Department of Health and Human Services	DHHS	N/A	AGREEMENT #200020 (111246)	416,277	
Health Resources and Services Admin.	DHHS	N/A	110723 (110015)	124,922	
Health Resources and Services Admin.	DHHS	N/A	2010039-02 (021275)	526,401	
Indian Health Services	DHHS	N/A	VARIOUS	739,850	739,850
Indian Health Services	DHHS	N/A	HHSP23320100001IC (100249)	138,741	
Maternal and Child Health Bureau	DHHS	N/A	VARIOUS	125,000	125,000
Maternal and Child Health Bureau	DHHS	N/A	T8MC09652 (080561)	131,066	
Maternal and Child Health Bureau	DHHS	N/A	U52MC04391 (051322)	1,677,695	
ARRA-Corp for National & Community Svc	CNCS	N/A	VARIOUS	9,152	
Corporation for National & Community Service	CNCS	N/A	VARIOUS	(10,211)	
Corporation for National & Community Service	CNCS	N/A	06VSPUT018 (110271)	197,747	
Department of Homeland Security	DHS	N/A	NBCHC060088 (06S060)	253,897	
Agency for International Development	AID	N/A	VARIOUS	16,568	
Pass-Through From Non-State Entities:					
Research Grants					
(R&D) Natl Inst Disability & Rehabilitation —					
Penn State University	ED,SERS	* 84.133	VARIOUS	49,179	
(R&D) Forest Service —					
The Pollinator Partnership	USDA,FS	* N/A	VARIOUS	8,516	
(R&D) Research and Development —					
Brigham Young University	USDA	* N/A	VARIOUS	20,952	
Hansen Energy and Environmental, LLC	USDA	* N/A	VARIOUS	8,339	
North Carolina State University	USDA	* N/A	VARIOUS	36,769	
University of California, Davis	USDA	* N/A	VARIOUS	11,036	
University of Idaho	USDA	* N/A	VARIOUS	831	
University of Illinois, Chicago	USDA	* N/A	VARIOUS	14,490	
University of Nebraska	USDA	* N/A	VARIOUS	5,637	
Washington State University	USDA	* N/A	VARIOUS	29,043	
(R&D) Cooperative State Research Svc. —					
University of Arizona	USDA,NIFA	* N/A	VARIOUS	42,807	
University of California, Davis	USDA,NIFA	* N/A	VARIOUS	6,747	
University of Nevada, Reno	USDA,NIFA	* N/A	VARIOUS	3,009	
(R&D) Nat'l Resources Conservation Svc —					
CO Plateau Coop Ecosystem Studies	USDA,NRCS	* N/A	VARIOUS	(1,996)	
University of Montana	USDA,NRCS	* N/A	VARIOUS	72,237	
(R&D) Agricultural Research Service —					
University of Nevada, Reno	USDA,ARS	* N/A	VARIOUS	8,866	
(R&D) National Institute of Food & Ag —					
Montana State University	USDA,NIFA	* N/A	VARIOUS	15,516	
New Mexico State University	USDA,NIFA	* N/A	VARIOUS	7,321	
University of California, Davis	USDA,NIFA	* N/A	VARIOUS	8,058	
University of Hawaii	USDA,NIFA	* N/A	VARIOUS	25,744	
(R&D) Department of Commerce —					
University of Colorado, Boulder	DOC	* N/A	VARIOUS	47,436	
(R&D) AF Research and Development —					
Applied Technology Assoc Aerospace	DOD	* N/A	VARIOUS	455,119	
Arrowpoint Solutions, Incorporated	DOD	* N/A	VARIOUS	91,978	
Atmospheric & Space Technology Resh	DOD	* N/A	VARIOUS	15,391	
Georgia Institute of Technology	DOD	* N/A	VARIOUS	329,055	

* Major Programs, see Note 2.A.

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State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
L-3 Communications	DOD	* N/A	VARIOUS	143,056	
Lockheed Martin	DOD	* N/A	VARIOUS	1,058	
Massachusetts Institute of Technology	DOD	* N/A	VARIOUS	26,556	
Metatech Corporation	DOD	* N/A	VARIOUS	1,066,987	
Northrop Grumman	DOD	* N/A	VARIOUS	367,068	
NorthWest Research Associates, Inc.	DOD	* N/A	VARIOUS	30,153	
Norwich U Applied Research Institutes	DOD	* N/A	VARIOUS	342,968	
Sensing Strategies, Inc.	DOD	* N/A	VARIOUS	152,904	
SRI International	DOD	* N/A	VARIOUS	84,502	
Technology Service Corporation	DOD	* N/A	VARIOUS	28,461	
Thermal Management Tech TMT, LLC	DOD	* N/A	VARIOUS	64,683	
Universal Technology Corporation (UTC)	DOD	* N/A	VARIOUS	21,707	
University of Wyoming	DOD	* N/A	VARIOUS	307,572	
Wyle Laboratories, Inc.	DOD	* N/A	VARIOUS	12,093	
(R&D) Marines Research and Development — General Dynamics	DOD	* N/A	VARIOUS	1,119	
(R&D) Navy Research and Development — Aspen Systems, Inc.	DOD	* N/A	VARIOUS	4,489	
BAE Systems	DOD	* N/A	VARIOUS	121,892	
CSA Engineering Moog	DOD	* N/A	VARIOUS	100,273	
Exelis, Inc.	DOD	* N/A	VARIOUS	53,354	
Lidar Pacific Corporation	DOD	* N/A	VARIOUS	20,917	
Stanley Associates, Inc.	DOD	* N/A	VARIOUS	23,722	
(R&D) Missile Defense Agency — Johns Hopkins University	DOD	* N/A	VARIOUS	675	
Visidyne, Inc.	DOD	* N/A	VARIOUS	57,499	
ARRA-(R&D) Army Research and Develop — Bio/West Incorporated	DOD	* N/A	VARIOUS	44,797	
(R&D) Department of Defense — Ball Aerospace	DOD	* N/A	VARIOUS	176,008	
Battelle Energy Alliance, LLC	DOD	* N/A	VARIOUS	582,884	
Fairchild Imaging, Inc.	DOD	* N/A	VARIOUS	2,229	
Global Technical Systems	DOD	* N/A	VARIOUS	15,662	
Goodrich Corporation	DOD	* N/A	VARIOUS	43,039	
Johns Hopkins University	DOD	* N/A	VARIOUS	3,468	
Micro-RDC	DOD	* N/A	VARIOUS	(121)	
Optimus Prime	DOD	* N/A	VARIOUS	1,370,519	
Raytheon	DOD	* N/A	VARIOUS	106,880	
State University of New York	DOD	* N/A	VARIOUS	16,845	
(R&D) Army Research and Development — Gannett Fleming, Inc.	DOD	* N/A	VARIOUS	135,727	
Georgia Institute of Technology	DOD	* N/A	VARIOUS	18,191	
HDR	DOD	* N/A	VARIOUS	25,180	
L-3 Communications	DOD	* N/A	VARIOUS	769	
ManTech International Corporation	DOD	* N/A	VARIOUS	2,434	
Owen Ayres and Association	DOD	* N/A	VARIOUS	10,100	
Radiance Technologies	DOD	* N/A	VARIOUS	223,762	
Science Applications Internatl Corp	DOD	* N/A	VARIOUS	11,671	
(R&D) Department of Interior — World Wildlife Fund	DOI	* N/A	VARIOUS	22,075	
(R&D) Bureau of Land Management — Idaho Department of Fish and Game	DOI,BLM	* N/A	VARIOUS	27,750	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
(R&D) Bureau of Reclamation —					
Duchesne County Water Conservancy Dist	DOI,BOR	* N/A	08-FC-40-2798	1,534	
North Wind, Inc.	DOI,BOR	* N/A	20004S.11	23,309	
Weber Basin Water Conservancy District	DOI,BOR	* N/A	2011WCFS-WBWCD	31,369	
(R&D) U.S. Fish and Wildlife Service					
Division of Parks and Outdoor Rec	DOI,FWS	* N/A	VARIOUS	14,084	
Nevada Department of Wildlife	DOI,FWS	* N/A	VARIOUS	48,108	
University of Nevada, Reno	DOI,FWS	* N/A	VARIOUS	25,110	
(R&D) Department of State —					
Virginia Tech Polytechnic Inst & State Univ	Dept. of State	* N/A	VARIOUS	25,361	
(R&D) Department of Transportation —					
North Dakota State University	DOT	* N/A	VARIOUS	14,828	
Oregon State University	DOT	* N/A	VARIOUS	92,689	
Rutgers the State University of NJ	DOT	* N/A	VARIOUS	28,072	
(R&D) Federal Highway Administration —					
Department of Transportation	DOT	* N/A	VARIOUS	33,451	
Multimedia Data Services Corp	DOT	* N/A	VARIOUS	90,138	
Rutgers the State University of NJ	DOT	* N/A	VARIOUS	684,102	
(R&D) Goddard Space Flight Center —					
Hampton University	NASA	* N/A	VARIOUS	97,152	
(R&D) Nat'l. Aeronautic & Space Admin —					
Analytical Mechanics Assoc, Inc.	NASA	* N/A	VARIOUS	1,180	
Appalachian State University	NASA	* N/A	VARIOUS	45,733	
Ashwin - Ushas Corporation, Inc.	NASA	* N/A	VARIOUS	(4,656)	
ATK Alliant Systems	NASA	* N/A	VARIOUS	35,216	
Ball Aerospace & Technologies Corp	NASA	* N/A	VARIOUS	48,937	
Design Net Engineering LLC	NASA	* N/A	VARIOUS	140,691	
GATS, Inc.	NASA	* N/A	VARIOUS	6,299	
Northrop Grumman Systems Corp	NASA	* N/A	VARIOUS	69,604	
NorthWest Research Assoc, Inc.	NASA	* N/A	VARIOUS	20,000	
Science Systems & Applications Inc	NASA	* N/A	VARIOUS	251,587	
SETI Institute	NASA	* N/A	VARIOUS	12,211	
SGT, Inc.	NASA	* N/A	VARIOUS	162,528	
Sierra Lobo Phase I SBIR	NASA	* N/A	VARIOUS	7,108	
Sigma Space Corporation	NASA	* N/A	VARIOUS	115,441	
Space Micro, Inc.	NASA	* N/A	VARIOUS	163	
UAV Collaborative	NASA	* N/A	VARIOUS	141,078	
Univ Corp for Atmospheric Research	NASA	* N/A	VARIOUS	30,191	
University of Arizona	NASA	* N/A	VARIOUS	84,747	
Univ of California Santa Cruz	NASA	* N/A	VARIOUS	14,612	
University of Colorado	NASA	* N/A	VARIOUS	8,428	
Virginia Polytech	NASA	* N/A	VARIOUS	(3,100)	
(R&D) Natl Endowment for the Humanities —					
Utah Humanities Council	NFAH,NEA	* N/A	VARIOUS	1,792	
ARRA-(R&D) National Science Foundation —					
University of Minnesota	NSF	* N/A	VARIOUS	64,625	
University of Montana	NSF	* N/A	VARIOUS	36,055	
(R&D) National Science Foundation —					
Brigham Young University	NSF	* N/A	VARIOUS	33,535	
CUAHSI	NSF	* N/A	VARIOUS	36,359	
Harvey Mudd College	NSF	* N/A	VARIOUS	36,938	
Marine Biological Laboratory	NSF	* N/A	VARIOUS	25,121	
Natl Ecological Observatory Network	NSF	* N/A	VARIOUS	17,708	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of California, Los Angeles	NSF	* N/A	VARIOUS	6,638	
University of Colorado	NSF	* N/A	VARIOUS	38,035	
University of New Mexico	NSF	* N/A	VARIOUS	18,206	
University of Notre Dame	NSF	* N/A	VARIOUS	42,466	
University of Puerto Rico	NSF	* N/A	VARIOUS	27,438	
University of Southern California	NSF	* N/A	VARIOUS	35,863	
University of Texas, Austin	NSF	* N/A	VARIOUS	27,081	
University of Virginia	NSF	* N/A	VARIOUS	3,359	
(R&D) Environmental Protection —					
Dept of Conservation & Natural Resources	EPA	* N/A	VARIOUS	53,250	
Luna Innovations, Inc.	EPA	* N/A	VARIOUS	8,279	
Michigan State University	EPA	* N/A	VARIOUS	59,588	
Parsons Commercial Technology Group, Inc.	EPA	* N/A	VARIOUS	8,771	
Wyoming Dept of Environmental Quality	EPA	* N/A	VARIOUS	9,629	
(R&D) US Nuclear Regulatory Commission —					
Calbert Cliffs Nuclear Power LLC	NRC	* N/A	VARIOUS	2,418	
(R&D) Research and Development —					
Battelle Energy Alliance, LLC	Dept. of Energy	* N/A	VARIOUS	678,326	
Bechtel Marine Propulsion Corp/Bettis Lab	Dept. of Energy	* N/A	VARIOUS	32,390	
Brigham Young University	Dept. of Energy	* N/A	VARIOUS	134,929	
Houston Advanced Research Center	Dept. of Energy	* N/A	VARIOUS	7,174	
Inland Northwest Research Alliance, Inc.	Dept. of Energy	* N/A	VARIOUS	1	
Montana State University	Dept. of Energy	* N/A	VARIOUS	120,800	
New Mexico Institute of Mining and Tech	Dept. of Energy	* N/A	VARIOUS	7,658	
Ohio State University Research Foundation	Dept. of Energy	* N/A	VARIOUS	209,650	
Virginia Tech Polytechnic Institute	Dept. of Energy	* N/A	VARIOUS	73,796	
(R&D) Administration on Aging —					
Alzheimer's Association Utah Chapter	DHHS	* N/A	VARIOUS	31,266	
(R&D) Natl Ctr Minority Hlth & Hlth Disparities —					
University of Pennsylvania	DHHS	* N/A	VARIOUS	89,424	
(R&D) National Institute on Health —					
Colorado State University	DHHS	* N/A	VARIOUS	467,134	
Johns Hopkins University	DHHS	* N/A	VARIOUS	37,897	
Michigan State University	DHHS	* N/A	VARIOUS	11,492	
Navigen Pharmaceuticals, Inc.	DHHS	* N/A	VARIOUS	(20,339)	
Southern Research Institute	DHHS	* N/A	VARIOUS	168,940	
University of California, Davis	DHHS	* N/A	VARIOUS	52,712	
University of California, Irvine	DHHS	* N/A	VARIOUS	60,030	
University of Missouri, Columbia	DHHS	* N/A	VARIOUS	17,293	
University of New Hampshire	DHHS	* N/A	VARIOUS	45,175	
University of Texas, Austin	DHHS	* N/A	VARIOUS	30,355	
(R&D) Inst Deafness Comm. Disorders —					
Ohio University	DHHS	* N/A	VARIOUS	34,145	
ARRA-(R&D) National Institute of Health —					
Montana State University	DHHS,NIH	* N/A	VARIOUS	48,985	
(R&D) Institute on Aging —					
University of Denver	DHHS	* N/A	VARIOUS	22,428	
(R&D) Admin for Children and Families —					
Ogden-Weber Community Action Part.	DHHS	* N/A	VARIOUS	59,865	
(R&D) Agency for International Develop. —					
Colorado State University	AID	* N/A	VARIOUS	43,961	
Development Alternatives, Inc.	AID	* N/A	VARIOUS	149,463	
International Resources Group	AID	* N/A	VARIOUS	37,179	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
University of Florida	AID	* N/A	VARIOUS	22,807	
Pass-Through From Non-State Entities: Non-Research Grants					
(SE) Special Ed Grants to States —					
Kansas State Board of Education	ED,SERS	* 84.027	VARIOUS	4,325	
Nebraska Department of Education	ED,SERS	* 84.027	VARIOUS	16,935	
State of Alabama Dept of Education	ED,SERS	* 84.027	VARIOUS	(27,607)	
State of Georgia Dept of Education	ED,SERS	* 84.027	VARIOUS	12,047	
State of New Mexico Dept of Education	ED,SERS	* 84.027	VARIOUS	15,172	
State of Wyoming Dept of Education	ED,SERS	* 84.027	VARIOUS	30,713	
Various States	ED,SERS	* 84.027	VARIOUS	461	
(TRIO) Upward Bound —					
Ute Indian Tribe	ED,PE	84.047	VARIOUS	27,505	
(CIL) Centers for Independent Living —					
Independent Living Research Utilization	ED,SERS	84.132	VARIOUS	160,933	
TIRR Memorial Herman	ED,SERS	84.132	VARIOUS	73,145	
Natl Inst Disability & Rehabilitation —					
Independent Living Research Utilization	ED,SERS	84.133	VARIOUS	61,352	
TIRR Memorial Herman	ED,SERS	84.133	VARIOUS	(266)	
(SE) Special Education Preschool Grants —					
WestEd	ED,SERS	* 84.173	VARIOUS	31,173	
(EIS) Special Ed Grants for Infants and Families —					
Nebraska Dept of Ed	ED,SERS	84.181	VARIOUS	1,110	
Special Proj & Demonstrations for Providing —					
George Washington University	ED,SERS	84.235	VARIOUS	3,685	
Special Education-State Personnel Develop —					
Arizona Department of Education	ED,SERS	84.323	VARIOUS	(5,892)	
Investing in Innovation (i3) Fund —					
State of Wyoming Department of Ed	ED,OII	84.411	VARIOUS	6,499	
National Institute of Food & Agriculture —					
Kansas State University	USDA,NIFA	N/A	VARIOUS	29,559	
New Mexico State University	USDA,NIFA	N/A	VARIOUS	6,423	
University of Wyoming	USDA,NIFA	N/A	VARIOUS	13,863	
Cooperative State Research Service —					
Colorado State University	USDA,NIFA	N/A	VARIOUS	45,810	
Mississippi State University	USDA,NIFA	N/A	VARIOUS	4,189	
University of Nebraska	USDA,NIFA	N/A	VARIOUS	40,739	
Agriculture Research and Development —					
Kansas State University	USDA	N/A	VARIOUS	145,490	
Mississippi State University	USDA	N/A	VARIOUS	1,674	
Texas A&M University	USDA	N/A	VARIOUS	44,524	
University of Nevada, Reno	USDA	N/A	VARIOUS	11,861	
Risk Management Agency —					
University of Nevada, Reno	USDA,RMA	N/A	VARIOUS	13,971	
Rural Development —					
Mississippi State University	USDA,RBCS	N/A	VARIOUS	49,500	
Department of Commerce —					
University of Colorado, Boulder	DOC	N/A	VARIOUS	20,534	
ARRA-US Army —					
The Louis Berger Group, Inc.	DOD	N/A	VARIOUS	63,194	
Department of Justice —					
Brigham Young University	DOJ	N/A	VARIOUS	(5,804)	
CAPSA	DOJ	N/A	VARIOUS	2,563	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Iron County	DOJ	N/A	VARIOUS	75,465	
Office of Justice Programs — National 4-H Council	DOJ	N/A	VARIOUS	167,826	
ARRA-Department of Justice — CAPSA	DOJ	N/A	VARIOUS	10,163	
ARRA-Centers for Independent Living — State of Wyoming Department of Ed	ED.SERS	N/A	VARIOUS	35,599	
Centers for Disease Control and Prevention — Marshfield Clinic	DHHS	N/A	VARIOUS	3,825	
Depart. of Health and Human Services — Kaiser Foundation Research Institut	DHHS	N/A	VARIOUS	90,586	
Institute of General Medical Sciences — Univ of California, Santa Cruz	DHHS	N/A	VARIOUS	44,218	
Corporation National & Community Svc — Colorado Campus Compact	CNCS	N/A	VARIOUS	4,314	
Subtotal – Utah State University				170,398,708	7,968,577
UTAH VALLEY UNIVERSITY					
Research Grants					
(R&D) Poppy Populations	DOI,BLM	* 15.238	L10AC20099	3,154	
(R&D) Methane Imaging	NSF	* 47.049	AST-1009776	21,625	
(R&D) Colonialism Etan Peru	NSF	* 47.075	BCS-1026169	8,254	
Non-Research Grants					
Employment and Training Administration	DOL,ETA	17.269	CB-18211-09-60-A-49	530,246	157,202
Noyce Professional Engagement	NSF	47.076	DUE-0934942	131,185	
Native American Regional IT Workforce Dev	NSF	47.080	OCI-1041410	482,585	131,491
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A114219	358,432	
1990 Endowment Challenge Grant	ED,PE	84.031	P031G00018	11,010	
Title III - Student Success Retention	ED,PE	84.031	P031A060252	89,437	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A114219	618,147	
(SFA) Perkins Loan Cancellations	ED,SFAP	* 84.037	VARIOUS	90,685	
(TRIO) Student Support Services	ED,PE	84.042	P042A101437	267,521	
(TRIO) Talent Search	ED,PE	84.044	P044A070830	270,286	
(TRIO) Upward Bound	ED,PE	84.047	P047A081130	316,950	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	P063P112493	55,590,739	
FIPSE - DESHM	ED,PE	84.116	P116N080008	46,304	
FIPSE - Utah Democracy Project	ED,PE	84.116	P116Z080242	4,474	
FIPSE - Nursing Cong Directed Grant	ED,PE	84.116	P116Z090228	97,391	
FIE - Boot Camp High Schl Entrepreneurs	ED,OII	84.215	U215K100137	36,667	
Gear-Up Scholarships	ED,PE	84.334	P334S050019	2,234,828	
Gear-Up	ED,PE	84.334	P334S050019	1,973,235	366,416
Child Care Access Means Parents in School	ED,PE	84.335	P335A090223	171,245	
(SFA) National SMART Grants	ED,SFAP	* 84.376	P376S102493	(1,500)	
Health Care Facilities	DHHS,HRSA	93.887	C76HF20977-01-01	325,100	
NFA State Fire Training Program	DHS	97.043	EMW-2011-GR-00053-S01	23,383	
Forensic Science	DOJ	N/A	2008-DD-BX-0450	193,260	
EU US Atlantis	ED	N/A	P116J090088	2,061	
Americorps Student Awards	CNCS	N/A	VARIOUS	151,897	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass-Through
Pass-Through From Non-State Entities:					
Research Grants					
(R&D) Paleofaulting Investigation S Andreas Fault — Univ of So California	DOI,GS	15.808			
	DOI,GS	15.808	G12AC20038	6,513	
Pass-Through From Non-State Entities:					
Non-Research Grants					
(EDC) Public Works & Econ Develop Facilities — Comm on Econ Develop - Orem Manufacturing Extension Partnership — WestCamp Inc.	DOC,EDA	11.300	05-01-04666	499,989	
Corporation for National and Community Svc — Colorado Campus Compact	DOC,NIST	11.611	70NANB10H042/70NANB10H31	139,179	
	CNCS	N/A	VARIOUS	2,638	
Subtotal – Utah Valley University				64,696,920	655,109
WEBER STATE UNIVERSITY					
Research Grants					
(R&D) AG Research – Basic and Applied	USDA,ARS	* 10.001	04-CS-11041563-33	5,915	
(R&D) CESU TASKS	DOI,FWS	* 15.615	60181AP622/ES10ND011	9,880	
(R&D) Migratory Bird Monitoring, Conservation	DOI,FWS	* 15.655	601819J301	33,645	
(R&D) Outdoor Recreation Acquisition	DOI,NPS	* 15.916	H1200-004-0002	916	
(R&D) Perservation and Access	NFAH,NEH	* 45.149	PG-51564-12	5,766	
(R&D) Digital Humanities	NFAH,NEH	* 45.169	HD-51258-11	34,384	
(R&D) Geosciences	NSF	* 47.050	EAR-1050069	47,080	
(R&D) Social, Behavioral, & Economic Sci.	NSF	* 47.075	SES-0648735	162	
(R&D) CESU TASKS	DOI,NPS	* N/A	VARIOUS	18,688	
(R&D) Forest Service	DOI,FS	* N/A	10-CS-11041000-022	2,060	
Non-Research Grants					
Research and Technical Assistance	DOD,OEA	12.615	VARIOUS	168,934	
Cleam Air Act Surveys, Studies, Research	EPA,OAR	66.034	XA-83523201/XA-83346201	147,960	
(SFA) Supp. Educ. Opportunity Grants	ED,SFAP	* 84.007	P007A114221	461,583	
(SFA) Federal Work Study Program	ED,SFAP	* 84.033	P033A104221/P033A114221	832,768	
(SFA) Perkins Loan Program	ED,SFAP	* 84.038	P038A114221	110,398	
(TRIO) Student Support Services	ED,PE	84.042	P042A101501	380,410	
(TRIO) Talent Search	ED,PE	84.044	P044A060394/P044A110773	207,125	
(TRIO) Upward Bound	ED,PE	84.047	P047A090251,P047V070012	724,007	
(SFA) Pell Grant Program	ED,SFAP	* 84.063	VARIOUS	29,482,886	
Fund for the Improvement of Postsecondary Ed	ED,PE	84.116	P116Z080202	60,754	
Fund for the Improvement of Education	ED,II	84.215	U215K100083	195,815	
(SFA) National SMART Grants	ED,SFAP	* 84.376	P376S102354	2,000	
Health Careers Opportunity Program	DHHS,HRSA	93.822	D18HP13631	211,648	
Specially Selected Health Projects	DHHS,HRSA	93.888	D1DHP20348-01-01	197,019	
Participating Agreement	USDA	N/A	04-PA-11046000-044	1,695	
Pass-Through From Non-State Entities:					
Research Grants					
(R&D) Fish, Wildlife and Plant Conserv — The Nature Conservancy	DOI,BLM	* 15.231	VARIOUS	4,780	
(R&D) Migratory Bird Monitoring, Assess — Ogden City	DOI,FWS	* 15.655	VARIOUS	923	
(R&D) Cooperative Research Units Pgm — South Dakota State University	DOI,GS	* 15.812	3TB627	576	
(R&D) Aerospace Education Services Pgm —					

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

State of Utah
Schedule of Expenditures of Federal Awards by State Agency
For the Year Ended June 30, 2012

Grant Name	Federal Granting Agency	Federal Catalog#	Federal Award/Contract #	Expenditures	Pass- Through
University of Washington	NASA	* 43.001	Subcontract #462967	85,609	
Pass-Through From Non-State Entities: Non-Research Grants					
Education and Human Resources — Lorain County Community College	NSF	47.076	LCCC1-0000100293	22,130	
Fund for the Improvement of Education — Ogden City School District	ED,ERI	84.215	MOU PO#3790	19,017	
Gear Up — Ogden City School District	ED,PE	84.334	VARIOUS	278,161	
CDC Investigations & Technical Assistance — Weber-Morgan Health Department	DHHS,CDC	* 93.283	VARIOUS	932	
National Writing Project — National Writing Project Corporation	ED	N/A	07-UT02	41,671	
Subtotal – Weber State University				<u>33,797,297</u>	<u>0</u>
Subtotal – Component Units – College and University				<u>734,546,496</u>	<u>56,322,313</u>
COMPONENT UNITS - OTHER					
<u>Comprehensive Health Insurance Pool</u>					
High Risk Pool Operational Loss Grant	DHHS,CMS	93.780	1G0CMS300045/02-04	851,380	
Subtotal – Comprehensive Health Insurance Pool				<u>851,380</u>	<u>0</u>
<u>Utah Schools For the Deaf and Blind</u>					
Deaf Blind Centers	ED,SERS	84.326	H326C030012	77,654	
Subtotal – Utah Schools for the Deaf and Blind				<u>77,654</u>	<u>0</u>
Subtotal – Component Units – Other				<u>929,034</u>	<u>0</u>
TOTAL FEDERAL EXPENDITURES				<u>\$ 4,767,683,852</u>	<u>\$ 776,701,109</u>
Summary of Endowments, Loan Guarantees, and Loans Outstanding Reported in Notes to the Schedule of Expenditures of Federal Awards				<u>\$ 2,009,697,534</u>	
TOTAL				<u>\$ 6,777,381,386</u>	

* Major Programs, see Note 2.A.

The accompanying notes are an integral part of the Schedule of Expenditures of Federal Awards

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 1. **PURPOSE OF THE SCHEDULE**

The accompanying Schedule of Expenditures of Federal Awards (Schedule) is a supplementary schedule to the State's basic financial statements and is presented for purposes of additional analysis. The Schedule is required by Office of Management and Budget (OMB) Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*.

NOTE 2. **SIGNIFICANT ACCOUNTING POLICIES**

A. **Basis of Presentation** – The information in the Schedule is presented in accordance with OMB Circular A-133.

- Federal Financial Assistance – Pursuant to the Single Audit Act of 1984 (Public Law 98-502); the Single Audit Act Amendments of 1996 (Public Law 104-156); and OMB Circular A-133, federal financial assistance is defined as assistance provided by a federal agency, either directly or indirectly, in the form of grants, contracts, cooperative agreements, loans, loan guarantees, property, interest subsidies, insurance, endowments, or direct appropriations. Accordingly, nonmonetary federal assistance, including food stamps, food commodities, vaccines, food vouchers, and surplus property, is included in federal financial assistance and, therefore, is reported on the Schedule. Federal financial assistance does not include direct federal cash assistance to individuals. Solicited contracts between the State and Federal Government for which the Federal Government procures tangible goods or services are not considered to be federal financial assistance.
- Catalog of Federal Domestic Assistance – OMB Circular A-133 requires the Schedule to show the total expenditures for each of the State's federal financial assistance programs as identified in the Catalog of Federal Domestic Assistance (CFDA). The CFDA is a government-wide compendium of individual federal programs. Each program included in the CFDA is assigned a five-digit program identification number (CFDA number) which is reflected in the Schedule. Federal financial assistance programs and contracts which have not been assigned a CFDA number or, where management has been unable to determine the CFDA number, have been identified as N/A in the CFDA number column of the schedule.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- Cluster of Programs – Closely related programs with different CFDA numbers which share common compliance requirements are considered a cluster of programs. Clusters are defined by the OMB. Clustered programs presented in the Schedule are identified with a cluster prefix at the beginning of the grant name: (R&D) Research and Development Programs, (SFA) Student Financial Assistance Programs, (AGE) Aging Cluster, (CC) CCDF Cluster (Child Care), (CIL) Centers for Independent Living Cluster, (CSBG) CSBG Cluster, (ECDBG) CDBG – Entitlement Grants Cluster, (EDC) Economic Development Cluster, (EFA) Emergency Food Assistance Cluster, (EFSP) Emergency Food and Shelter Program Cluster, (EHCY) Education of Homeless Children and Youth Cluster, (EIS) Early Intervention Services (IDEA) Cluster, (ES) Employment Services Cluster, (ETSG) Educational Technology State Grants Cluster, (FW) Fish and Wildlife Cluster, (HDST) Head Start Cluster, (HPC) Highway Planning and Construction Cluster, (HS) Highway Safety Cluster, (ILSB) Independent Living Services for Older Individuals Who Are Blind Cluster, (ILSG) Independent Living State Grants Cluster, (IMMZ) Immunization Cluster, (JAG) JAG Program Cluster, (MED) Medicaid Cluster, (NUT) Child Nutrition Cluster, (SCDBG) CDBG – State-Administered CDBG Cluster, (SDS) Statewide Data Systems Cluster, (SE) Special Education (IDEA) Cluster, (SFSF) State Fiscal Stabilization Fund Cluster, (SIG) School Improvement Grants Cluster, (SNAP) SNAP Cluster, (SSI) Disability Insurance/SSI Cluster, (TANF) TANF Cluster, (TIPA) Title I, Part A Cluster, (TRIO) TRIO Cluster, (TSP) Transit Services Programs Cluster, (VOCR) Vocational Rehabilitation Cluster, and (WIA) WIA Cluster.
 - Type A and Type B Programs – The Single Audit Act of 1984 (as amended in 1996) and OMB Circular A-133 establish the levels to be used in defining Type A and Type B federal programs. Type A programs for the State of Utah are those programs which exceeded \$16,700,000 in federal awards expended for the fiscal year ended June 30, 2012. All other programs are classified as Type B by the State. For the year ended June 30, 2012, certain low-risk Type A programs were not audited and certain Type B programs were audited as required by OMB Circular A-133. All Type A and Type B programs that were audited as major programs are noted on the Schedule. A listing of these programs is in the Schedule of Findings and Questioned Costs, PART I. Summary of Auditor’s Results.
- B. Reporting Entity – The State reporting entity is fully described in Footnote 1.A. of the State’s basic financial statements. The Schedule includes all federal financial assistance programs administered by the State for the year ended June 30, 2012.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- C. Basis of Accounting – Federal financial assistance programs included in the Schedule are primarily reported in the State’s basic financial statements as grants and contributions in the entity-wide Statement of Activities and as federal grants and contracts or federal reinsurance in the fund financial statements. The Schedule is presented using the same basis of accounting as that used in reporting the expenditures of the related funds in the State’s basic financial statements. The basis of accounting used for each fund is described in Footnote 1.C. of the State’s basic financial statements.
- Indirect Costs – The Schedule includes a portion of costs associated with general activities which are allocated to federal financial assistance programs under negotiated formulas commonly referred to as indirect cost rates.
 - Matching Costs – The Schedule does not include matching expenditures.
 - Nonmonetary Assistance – The Schedule contains values for several nonmonetary assistance programs. The Food Stamp program is presented at the dollar value of food stamp electronic benefit transfers authorized and used by recipients. The Commodities and Vaccine programs are presented at the federally assigned value of commodities disbursed by the State. The Surplus Property program is presented at the estimated fair value of the property distributed. The fair value was estimated to be 23.3% of the property’s original federal acquisition value.
 - Endowment, Loan, and Loan Guarantee Programs – Information on federal endowment, federal loan, and federal loan guarantee programs is included in the footnotes instead of on the Schedule.
 - Direct and Indirect (Pass-Through) Federal Financial Assistance – The majority of the State’s federal financial assistance is received directly from the granting federal agency (i.e., the State is the primary recipient). However, some federal financial assistance, as identified on the Schedule, is passed through a separate entity prior to receipt by the State (i.e., the State is a subrecipient). Although this type of assistance is included on the Schedule as “Pass-Through From Non-State Entities,” it is not reported as federal revenue on the State’s basic financial statements because it was not awarded directly from the Federal Government to the State.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

- Pass-Through Expenditures – The Schedule includes a column for “Pass-Through” to identify the amount provided to subrecipients. The State makes subgrants to other entities to carry out the federal programs.
- Federal Transactions Between State Entities – Some state entities transfer (expend) federal assistance to other state entities (i.e., a pass-through of funds by the primary recipient state entity to a subrecipient state entity). In this case, the federal revenue and expenditures are only reported once within the same fund on the State’s basic financial statements in accordance with generally accepted accounting principles (GAAP) and reported once on the Schedule in the primary recipient state entity. This method avoids duplication and the overstatement of the aggregate level of federal financial assistance expended by the State. However, purchases of services between state entities using federal monies are reported in the basic financial statements as expenditures or expenses by the purchasing entity and as revenues for services rendered by the providing entity.
- Supplemental Nutrition Assistance Program (SNAP) – The reported expenditures for benefits under the Supplemental Nutrition Assistance Program (SNAP) (CFDA #10.551) are supported by both regularly appropriated funds and incremental funding made available under section 101 of the American Recovery and Reinvestment Act of 2009. The portion of total expenditures for SNAP benefits that is supported by Recovery Act funds varies according to fluctuations in the cost of the Thrifty Food Plan, and to changes in participating households’ income, deductions, and assets. This condition prevents USDA from obtaining the regular and Recovery Act components of SNAP benefits expenditures through normal program reporting processes. As an alternative, USDA has computed a weighted-average percentage to be applied to the national aggregate SNAP benefits provided to households in order to allocate an appropriate portion thereof to Recovery Act funds. This methodology generates valid results at the national aggregate level but not at the individual State level. Therefore, we cannot validly disaggregate the regular and Recovery Act components of our reported expenditures for SNAP benefits. At the national aggregate level, however, Recovery Act funds account for approximately 16.55 percent of USDA's expenditures for SNAP benefits in the federal fiscal year ended September 30, 2011.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 3. RECONCILIATION OF EXPENDITURES TO FEDERAL REVENUES

Expenditures reported in the Schedule agree with the federal revenues reported in the State's basic financial statements with the following reconciling items:

Total Federal Expenditures from the Schedule	\$ 4,767,683,852
Reconciling items:	
A. Federal Capital Contribution for loan programs reported as federal revenue in the State's Governmental Fund and Proprietary Fund Financial Statements but not reported on the Schedule:	
HOME Investment Partnership Loans (14.239)	6,284,849
Clean Water Capitalization Grant State Revolving Fund Loans (66.458)	7,176,975
Drinking Water Capitalization Grant State Revolving Fund Loans (66.468)	5,690,470
B. Federal assistance reported on the Schedule under the Board of Regents' Student Loan Purchase Program and the Student Loan Guarantee Program – Utah Higher Education Assistance Authority which is reported in the Proprietary Fund Financial Statements as non-federal revenue.	17,190,095
C. Federal flow-through funds for colleges and universities which are not reported in the Component Unit Financial Statements as federal revenue.	(40,215,396)
D. State-funded unemployment insurance expenditures reported on the Schedule which are not reported as federal revenue in the Proprietary Fund Financial Statements.	(262,316,283)
E. Amounts not included and not reported in the single audit for Build America Bonds subsidy payments, State Small Business Credit Initiative (SSBCI) funds, and the Temporary High Risk Insurance Pool Program.	34,688,599
F. Fund Financial Statements accrual of federal pass-through revenues to local school districts.	(19,293,711)
G. Reed Act money spent that was received in prior years.	(7,871,444)
H. Medicaid cost settlements and reimbursements.	11,331,431
I. Other miscellaneous reconciling items, net.	(2,099,652)
	\$ 4,518,249,725
FEDERAL REVENUE PER THE FUND FINANCIAL STATEMENTS:	
Governmental Funds – Federal Grants and Contracts	\$ 3,561,512,000
Proprietary Funds – Federal Reinsurance and Allowances / Reimbursements	220,094,000
Proprietary Funds – Federal Grants	41,959,000
Component Units – Operating Grants and Contributions	1,048,809,000
Total	4,872,374,000
Less amounts included in the Component Unit revenues for:	
State, local, and other grants and contributions	(348,516,479)
College and university federal flow-through funds from State agencies	(5,607,796)
Total federal revenue per the Fund Financial Statements	\$ 4,518,249,725

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 4. NONMONETARY ASSISTANCE INVENTORY

As described previously in Note 2, nonmonetary assistance is reported in the Schedule based on the amount disbursed. As of June 30, 2012, the following inventories of nonmonetary assistance existed:

<u>Assistance</u>	<u>Inventory Balance June 30, 2012</u>
Food Commodities	\$ 249,435
Surplus Property	\$ 9,948

NOTE 5. FEDERAL REINSURANCE ON DEFAULTED LOANS

The Utah Higher Education Assistance Authority has entered into agreements with the U.S. Department of Education which provide for federal reinsurance against defaulted acquired student loans according to the following schedule for loans disbursed:

<u>Annual Default Rate</u>	<u>Federal Reinsurance for Loans Disbursed Prior to October 1, 1993</u>	<u>Federal Reinsurance for Loans Disbursed On or After October 1, 1993 and Prior to October 1, 1998</u>
0% to less than 5%	100%	98%
5% to less than 9%	100% of claims up to 5% and 90% of claims greater than 5% but less than 9%	98% of claims up to 5% and 88% of of claims greater than 5% but less than 9%
9% or greater	100% of claims up to 5%, 90% of claims greater than 5% but less than 9%, and 80% of claims 9% or greater	98% of claims up to 5%, 88% of claims greater than 5% but less than 9%, and 78% of claims 9% or greater

Federal reinsurance is paid at a reduced rate for loans whose first disbursement was on or after October 1, 1998, as follows:

<u>Annual Default Rate</u>	<u>Federal Reinsurance for Loans Disbursed On or After October 1, 1998</u>
0% to less than 5%	95%
5% to less than 9%	95% of claims up to 5% and 85% of claims greater than 5% but less than 9%
9% or greater	95% of claims up to 5%, 85% of claims greater than 5% but less than 9%, and 75% of claims 9% or greater

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

As of June 30, 2012, the Utah Higher Education Assistance Authority had guaranteed student loans outstanding with a current principal and interest balance of approximately \$1.588 billion. Annual default rates for purposes of application of federal reinsurance are calculated on a federal fiscal year-end basis by dividing default claims filed for the year by the original guarantee amount of loans in repayment at the end of the preceding year. The Utah Higher Education Assistance Authority's annual default rate was less than 5% for the federal fiscal year ended September 30, 2011.

NOTE 6. FEDERAL LOANS OUTSTANDING AND NEW LOANS ISSUED

The State administers the following federal loan programs:

	<u>CFDA #</u>	<u>Beginning Balance at July 1, 2011</u>	<u>New Loans Issued During Fiscal Year 2012</u>	<u>Repayments/ Adjustments During Fiscal Year 2012</u>	<u>Outstanding Balance at June 30, 2012</u>
Rural and Economic Development Program	10.433	\$ 2,385,958	\$ 2,500	\$ 4,974	\$ 2,383,484
Rental Rehabilitation Program	14.230	119,819	-	95,935	23,884
HOME Investment Partnership	14.239	51,109,752	6,557,803	1,689,197	55,978,358
Highway Planning and Construction Program *	20.205	2,291,314	900,000	1,336,082	1,855,232
Clean Water Capitalization Grant State Revolving Fund ¹	66.458	199,352,187	11,743,000	18,597,885	192,497,302
Drinking Water Capitalization Grant State Revolving Fund ¹	66.468	100,063,022	8,867,000	8,381,189	100,548,833
State Energy Program Revolving Fund * ²	81.041	92,712	1,071,162	-	1,163,874
(SFA) Perkins Loan Program *	84.038	56,123,251	7,371,905	9,444,239	54,050,917
(SFA) Health Professions Student Loan Program *	93.342	2,384,231	619,665	234,661	2,769,235
(SFA) Nursing Student Loan Program *	93.364	<u>469,752</u>	<u>97,948</u>	<u>98,573</u>	<u>469,127</u>
		<u>\$ 414,391,998</u>	<u>\$ 37,230,983</u>	<u>\$ 39,882,735</u>	<u>\$ 411,740,246</u>

* Denotes a major program

¹ Funding sources for new loans issued in the Clean Water Capitalization Grant State Revolving Fund and the Drinking Water Capitalization Grant State Revolving Fund are a combination of federal capital contributions and existing fund equity.

² The source for new loans in the State Energy Program Revolving Fund is ARRA funding.

Only the administrative allowances expended under these programs during the year are included in the Schedule.

Some of the above loan programs require matching funds from the State. The HOME Loan Program requires a 25 percent match; the loans made with the match money are separate loans, accounted for separately, and are not included in the above

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

numbers. Other loan programs above require a 0 to 25 percent match. The above numbers for these loan programs include the State match.

The colleges and universities also partially administer the Federal Direct Student Loans (Direct Loan) Program. The loans of the Direct Loan Program are made by the Secretary of Education, but the colleges and universities are responsible for completing portions of the loan applications, verifying student eligibility, filing Student Confirmation Reports, and disbursing funds to students. During the fiscal year ending June 30, 2012, the colleges and universities disbursed the following amount of new loans:

	<u>CFDA #</u>	<u>New Loans Disbursed During Fiscal Year 2012</u>
(SFA) Federal Direct Student Loans *	84.268	\$ 437,577,102

* Denotes a major program

NOTE 7. FEDERAL ENDOWMENT

The College of Eastern Utah, a regional college of Utah State University, maintains an endowment from the U.S. Department of Education (CFDA #84.031). The endowment is for scholarships for Native Americans and residents of San Juan County, Utah. The endowment was created April 1, 1993, for 20 years with federal funds of \$4,000,000 and with a match from private funds of \$2,000,000. During the year ended June 30, 2012, \$77,834 of earnings were spent on scholarships; the federal portion of this amount is included on the Schedule. The endowment balance of both federal and match funds at June 30, 2012, was \$13,987,268.

The Utah Arts Council of the Utah Department of Community and Culture maintains an endowment from the U.S. National Endowment for the Arts. The endowment is a permanent fund used to provide support for the Utah Arts Endowment Fund and was created with \$600,000 of federal funds on September 26, 1991. Only the interest from the endowment is used to make grants to individual artists and ethnic art groups. The Utah Arts Endowment Fund also accepts donations that are used to make grants. During the year ended June 30, 2012, interest earnings and contributions were \$747 and no grants were issued. The Utah Arts Endowment Fund balance at June 30, 2012, comprised of the federal endowment and donations, was \$795,858.

NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

NOTE 8. **WOMEN, INFANT, AND CHILDREN PROGRAM FOOD REBATES**

During the fiscal year ended June 30, 2012, the Utah Department of Health received \$9,811,116 of cash rebates from infant formula manufacturers on the sale of formula to participants in the Women, Infants and Children (WIC) Program (CFDA #10.557), a major program. Rebate contracts with infant formula manufacturers are authorized by federal regulation 7 CFR 246.16a as a cost containment measure.

Rebates are reported as a reduction of expenditures previously incurred for WIC food benefit costs. The cash rebates resulted in more persons being served than if there were no rebates.

The cash rebates received in the fiscal year ended June 30, 2012 correspond to an annual average food benefit for 17,004 persons.

**SCHEDULE OF FINDINGS AND
QUESTIONED COSTS**

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART I. SUMMARY OF AUDITOR'S RESULTS

FINANCIAL STATEMENTS:

1. Type of auditor's report issued: ***Unqualified***
2. Internal control over financial reporting:
- Material weakness identified? x yes no
(#12-01)
 - Significant deficiencies identified? x yes none reported
(#12-02, #12-03)
3. Noncompliance material to financial statements noted? yes x no

FEDERAL AWARDS:

4. Internal control over major programs:
- Material weaknesses identified? x yes no
The material weaknesses relate to the following programs:

17.258, 17.259, 17.260, 17.278 (WIA) Workforce
Investment Act Cluster (#12-45)
81.041 State Energy Program (#12-26)
93.767 Children's Health Insurance Program (#12-33)
96.001 (SSI) Disability Insurance/SSI Cluster (#12-47)
 - Significant deficiencies identified x yes no

5. Type of auditor's report issued on compliance for major programs: ***Unqualified for all major programs except for the following programs which were qualified:***
- 17.258, 17.259, 17.260, 17.278 (WIA) Workforce
Investment Act Cluster (#12-45)
81.041 State Energy Program (#12-26)
93.767 Children's Health Insurance Program
(#12-33)*
6. Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? x yes no

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART I. SUMMARY OF AUDITOR'S RESULTS

7. Identification of major programs:

<u>CFDA #</u>	<u>GRANT NAME</u>
10.551, 10.561 10.557	(SNAP) SNAP Cluster Special Supplemental Nutrition Program for Women, Infants & Children
10.558	Child and Adult Care Food Program
14.228 *	(SCDBG) CDBG – State-Administered CDBG Cluster
15.605, 15.611 *	(FW) Fish & Wildlife Cluster
17.225	Unemployment Insurance
17.258, 17.259, 17.260, 17.278 *	(WIA) WIA Cluster
20.205, 20.219	(HPC) Highway Planning and Construction Cluster
20.509 *	Formula Grants for Other Than Urbanized Areas
81.041 *	State Energy Program
81.042 *	Weatherization Assistance for Low Income Persons
84.010, 84.389	(TIPA) Title I, Part A Cluster
84.032	Federal Family Education Loans
84.027, 84.173, 84.391, 84.392	(SE) Special Education (IDEA) Cluster
84.048 *	Career and Technical Education – Basic Grants to States
84.126, 84.390	(VOCR) Vocational Rehabilitation Cluster
84.410	Education Jobs Fund
93.283 *	Centers for Disease Control & Prevention – Investigations & Technical Assistance
93.558, 93.714	(TANF) TANF Cluster
93.568	Low Income Home Energy Assistance
93.575, 93.596, 93.713	(CC) CCDF Cluster (Child Care)
93.658	Foster Care– Title IV-E
93.767	Children's Health Insurance Program
93.720, 93.775, 93.777, 93.778	(MED) Medicaid Cluster
93.959	Block Grants for Prevention and Treatment of Substance Abuse
94.006 *	Americorps
96.001 *	(SSI) Disability Insurance/SSI Cluster
various	(SFA) Student Financial Assistance Programs Cluster (including CFDA 84.032 FFEL – Lenders)
various	(R&D) Research and Development Programs

* Type B Program

8. Dollar threshold used to distinguish between
Type A and Type B programs:

\$16,700,000

9. Auditee qualified as low-risk auditee?

___ yes x no

SCHEDULE OF FINDINGS AND QUESTIONED COSTS PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT

GENERAL FINDINGS

12-01. INADEQUATE INTERNAL CONTROLS OVER FINANCIAL REPORTING (Department of Workforce Services)

CFDA Number and Title: N/A

Federal Award Number: N/A

Questioned Costs: N/A

Pass-through Entity: N/A

The Department of Workforce Services (DWS) does not have adequate internal controls to ensure that financial information for the Unemployment Compensation Fund (UCF) is properly prepared in accordance with generally accepted accounting principles. In addition, DWS Operational Accounting personnel with responsibility to classify UCF accounts receivable as current or noncurrent and prepare the associated allowance for doubtful accounts lack sufficient knowledge and training to prepare the required financial information in accordance with generally accepted accounting principles. As a result, two material adjustments were required to properly present the UCF's financial position in the State's basic financial statements.

Management is responsible for the preparation and accuracy of financial reporting for the UCF, establishing internal controls and procedures to accurately capture and record transactions, and ensuring personnel preparing the financial information have sufficient knowledge and training.

Recommendation:

We recommend that DWS strengthen existing controls to ensure that financial reporting reflects UCF's financial position, results of operations, cash flows, and disclosures in conformity with generally accepted accounting principles. We also recommend that DWS ensure personnel involved in preparing financial information have sufficient knowledge and training to prepare the necessary financial information in accordance with these principles.

DWS' Response:

We agree with the finding. The Operational Accounting personnel responsible for preparing the UCF accounts receivable as current and noncurrent and applying the associated allowance will work with State Finance, the State Auditor's Office, and Internal Audit to gain the necessary understanding of the requirements for accurately preparing the required financial information in accordance with generally accepted accounting principles.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT

GENERAL FINDINGS

In order to understand the methodology for determining current and noncurrent accounts receivable and calculating an allowance rate that meets the standards for financial reporting, the responsible Operational Accounting personnel will take the following steps:

- *Recalculate the numbers for FY 2012 using the State Auditor's methodology.*
- *Analyze FY 2011 data using the FY 2012 methodology.*
- *Compare the FY 2011 data to the FY 2012 data and evaluate for consistency and reasonableness.*
- *Request accounts receivable data for FY 2010 benefit overpayments and FY10 through FY12 of the Special Administrative Expense Account (formerly known as the Special Admin Fund (SAF)).*
- *Identify any anticipated changes that could significantly impact UCF accounts receivables.*
- *Use an accepted methodology, as agreed to by State Finance and the State Auditors, for determining the current and noncurrent accounts receivable breakout classification.*
- *Use a two-year history (three-years, if we are able to obtain the FY 2010 data from MIS) to calculate the allowance rate.*
- *Apply the allowance rate to both the actual accounts receivable for benefit overpayments and Special Administrative Expense Account (formerly known as the Special Admin Fund).*

*Contact Persons: John Talcott, Administrative Support Division Director, 801-526-9402
Mitch Romo, Accounting and Payroll Manager, 801-526-9221*

Anticipated Correction Date: November 30, 2012

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

12-02. **INCORRECT ELIGIBILITY AND INCOME DETERMINATIONS**
(Department of Health) (Department of Workforce Services)

CFDA Number and Title: **93.767 Children’s Health Insurance Program**

Federal Award Number: **5-1105UT5021**

Questioned Costs: **\$5,215**

Pass-through Entity: N/A

NOTE: This finding is presented in detail in Finding No. 12-33 in Part III of this section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
PART II. FINDINGS – FINANCIAL STATEMENTS AUDIT

U.S. DEPARTMENT OF LABOR

12-03. **ELIGIBILITY ERRORS AND UNALLOWABLE ACTIVITIES**
(Department of Workforce Services)

CFDA Numbers and Titles: 1) **17.258 WIA Adult Program**
2) **17.259 WIA Youth Activities**
3) **17.278 WIA Dislocated Workers**
4) **17.260 WIA Dislocated Workers – ARRA**

Federal Award Numbers: **various**

Questioned Costs: 1) **\$4,138** 2) **\$9,872** 3) **\$4,049** 4) **\$1,554** = **\$19,613**

Pass-through Entity: N/A

NOTE: This finding is presented in detail in Finding No. 12-45 in Part III of this section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF AGRICULTURE

12-04. **INADEQUATE INTERNAL CONTROL OVER TRANSPARENCY REPORTING**
(Utah State Office of Education)

CFDA Numbers and Titles: 1) **84.010** Title I Grants to Local Educational Agencies
2) **84.027** IDEA Cluster
3) **84.048** Career and Technical Education
4) **10.558** Child and Adult Care Food Program

Federal Award Numbers: 1) **S010A110044**
2) **H027A110059**
3) **V048A110044-11A**
4) **11113UT311N2020 and 12123UT311N2020**

Questioned Costs: N/A
Pass-through Entity: N/A

NOTE: This finding is presented in detail in Finding No. 12-07 in Part III of this section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

12-05. **INADEQUATE CONTROL OVER SUBRECIPIENT MONITORING**

(Department of Community and Culture)

CFDA Number and Title: **94.006 AmeriCorps Subgrantees**

Federal Award Numbers: **06AFHUT001, 09ACHUT001, 11AFHUT001**

Questioned Costs: N/A

Pass-through Entity: N/A

We reviewed the Department's subrecipient monitoring of six of the 13 AmeriCorps subgrantees that received federal funds in fiscal year 2012. We noted the following:

- For three of the six subgrantees, the monitoring checklists were only partially completed.
- Two of the six subgrantees had not been monitored for more than three years. One of these two subgrantees had several deficiencies noted in the monitoring letter to the subgrantee reporting the results of the monitoring. However, there was no evidence that the fiscal monitor had followed up to ensure that the deficiencies were corrected.
- For one of the six subgrantees, there was no monitoring letter sent reporting the results of the October 21, 2011 monitoring.

The policy of the Department is to perform fiscal monitoring of all subgrantees at least once every three years, report the results of the monitoring in a monitoring letter, and follow-up to make sure deficiencies are corrected in a timely manner. These subrecipient monitoring errors occurred because, based on the Department's experience with the subgrantees, the staff considered the risk of noncompliance to be low and the subrecipient monitoring to be sufficient. If subrecipient monitoring is not completed in a timely manner and follow-up is not performed on deficiencies identified, subrecipients may fail to comply with federal grant requirements.

Recommendation:

We recommend that the Department strengthen controls over subrecipient monitoring to ensure that the monitoring is completed in a timely manner, that monitoring letters reporting the results of the monitoring be sent following each monitoring visit, and that follow-up is performed to ensure that corrective action was implemented on deficiencies noted in the during-the-award monitoring.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Department's Response:

We agree with this finding and recommendation. The Commission on Volunteers will be establishing additional controls and procedures to ensure that the subrecipient monitoring is handled appropriately. With changes in the department, a new individual will be monitoring subrecipients and will be trained on appropriate procedures related to completion of the monitoring checklist, timeliness for monitoring based on programmatic risk assessment and appropriate follow-up after monitoring visits.

*Contact Person: LaDawn Stoddard, Acting Executive Director, Commission on Volunteers,
801-715-6716*

Anticipated Correction Date: September 20, 2012

12-06. **INADEQUATE CONTROL OVER MATCHING REQUIREMENT**

(Department of Community and Culture)

CFDA Number and Title: **94.006 AmeriCorps Subgrantees**

Federal Award Numbers: **06AFHUT001, 09AFHUT001**

Questioned Costs: N/A

Pass-through Entity: N/A

Subgrantees are required to provide matching contributions equal to a specified percentage of the total funds expended by the subgrantee for the program. Grants are for three-year periods, and the Corporation for National and Community Service requires subgrantees to meet their required match over the three-year period of their grant or pay back federal funds. We reviewed 25 fiscal year 2010 and fiscal year 2011 contracts with AmeriCorps subgrantees and noted the following control deficiencies in the Department's monitoring of the match requirement:

- For five of the 25 contracts, there were errors in the required match percentage listed in the contract terms and/or in WebGrants (the Department's grant management system).
- The formula entered into WebGrants by the Department that calculates the actual match percentage provided by each subgrantee is incorrect. The incorrect formula yields a match percentage that is sometimes higher and sometimes lower than the actual match percentage.
- Each subgrantee is required to submit match reports and to enter the information from the match reports into WebGrants. We reviewed the match reports submitted for six

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of the contracts and noted that the total match listed on the reports for two of the contracts did not agree with the total match recorded in WebGrants.

The Department uses the above information to monitor compliance with the match requirement. Therefore, it is imperative that the Department has adequate internal controls in place to ensure the information is accurate so they can effectively monitor whether subgrantees have met their match requirement and take appropriate action if they have not.

Recommendation:

We recommend that the Department design and implement internal controls to ensure that the information used to monitor the match requirement is correct in the contracts and in WebGrants.

Department's Response:

We agree with this finding and recommendation. The Commission on Volunteers is aware of the importance of implementing adequate internal controls to ensure subrecipients comply with applicable federal matching requirements. We will be establishing additional procedures to ensure subrecipient match requirements are monitored appropriately. An additional review of a contract, by the program officer, will be conducted prior to the contract being issued to verify that all information is accurate, including match percentage requirements. The Commission will be moving to another WebGrants platform which will allow additional customizations where necessary. The Commission will be changing the formula in the WebGrants system to reflect an accurate assessment of the cumulative match a subrecipient is providing. Training is scheduled for all current subrecipients to discuss match report requirements and procedures related to issues with the report. The individual on staff responsible for review of the match documentation will complete a checklist of all required item which will be submitted to the program officer for approval.

*Contact Person: LaDawn Stoddard, Acting Executive Director, Commission on Volunteers,
801-715-6716*

Anticipated Correction Date: September 20, 2012

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12-07. INADEQUATE INTERNAL CONTROL OVER TRANSPARENCY REPORTING

(Utah State Office of Education)

CFDA Numbers and Titles: 1) **84.010** Title I Grants to Local Educational Agencies
2) **84.027** IDEA Cluster
3) **84.048** Career and Technical Education
4) **10.558** Child and Adult Care Food Program

Federal Award Numbers: 1) **S010A110044**
2) **H027A110059**
3) **V048A110044-11A**
4) **11113UT311N2020 and 12123UT311N2020**

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah State Office of Education (USOE) did not have adequate internal controls to ensure that they complied with subaward reporting requirements under the Federal Funding Accountability Transparency Act (FFATA) in a timely manner for Title I, IDEA Cluster, Career and Technical Education, and Child and Adult Care Food Program (CACFP). We requested documentation for our audit of the USOE on February 21, 2012 and determined that, due to a misunderstanding of the FFATA requirements, the required subaward reporting had not been properly entered into the FFATA Subaward Reporting System (FSRS). Many of these subawards should have been reported by August 31, 2011. Beginning in March 2012, the USOE began entering subaward reports in FSRS but adequate controls to capture all subawards that needed to be entered under FFATA had not been completely developed, and subawards for CACFP had not been entered.

Recommendation:

We recommend that the USOE provide adequate training on grant requirements and implement adequate internal controls so that all subawards that need to be reported for FFATA are reported in a timely manner consistent with grant compliance requirements.

USOE's Response:

The USOE agrees with this finding. FFATA was a new federal reporting requirement beginning in fiscal year 2012. Due to a misunderstanding of the FFATA reporting requirements, the USOE did not enter awards into the FSRS system in accordance with FFATA requirements and deadlines.

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When this misunderstanding was discovered, the required reports were submitted. As of October 2012 all FFATA reports are up to date. Additionally, we are working on automating the FFATA data compilation process in our Budget Accounting System for Education (BASE). This will be part of our fiscal month ending process and will provide the necessary data to complete the FFATA reports in accordance with FFATA requirements.

*Contact Person: Gary Belliston, USOE Controller, 801-538-7627
Anticipated Correction Date: November 1, 2012*

12-08. INADEQUATE RESOLUTION OF SUBRECIPIENT NONCOMPLIANCE (Utah State Office of Education)

CFDA Number and Title: **84.048 Career and Technical Education**
Federal Award Number: **V048A100044A**
Questioned Costs: N/A
Pass-through Entity: N/A

We reviewed the USOE's monitoring report for one of their subrecipients, Davis School District (District). The report documented that the District's fiscal year 2011 request for reimbursement exceeded the expenditures reported in the District's fiscal year 2011 Annual Program Report (APR) by \$30,463. Thus, it appears that the USOE overpaid the District for fiscal year 2011. The error was properly communicated with the District; however, no action was taken by the USOE to resolve the error. Federal regulations require the USOE to monitor subrecipients use of federal awards and properly resolve errors and noncompliance identified. This error resulted from turnover in key personnel both at the USOE and at the District. The District had allowable costs that were subsequently appropriately charged against the grant; therefore, we have not questioned any costs associated with this error.

Recommendation:

We recommend that the USOE properly resolve errors and noncompliance identified while monitoring subrecipients.

USOE's Response:

The USOE CTE division agrees with this finding. The CTE Coordinator over the Perkins program is a new employee and fiscal year 2011 was the first time the coordinator conducted monitoring. The monitoring process identified a discrepancy between expenditures claimed

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for reimbursement by an LEA and the information provided by LEA program personnel to substantiate these expenditures. The LEA CTE personnel provided the Annual Program Report (APR) to verify total expenditures for the programs. However, the APR does not report accounts receivable or timing differences between reimbursement requests and the accounting system. This issue was noted in the monitoring letter to the District, and instruction was provided verbally; however, no follow up occurred to rectify the discrepancy.

The USOE CTE Coordinator has received training from both the Federal Government and the USOE Internal Auditor on monitoring protocol. In the future, such discrepancies will be reported and a follow up will be requested with a resolution. This resolution will either provide sufficient documentation to reconcile the issue, funds will be requested back, and/or an interest calculation will be performed. The resolution will be sufficiently documented.

*Contact Person: Mary Shumway, State Director CTE, 801-538-7852
Anticipated Correction Date: December 2012*

12-09. IMPROPER DETERMINATION OF MAINTENANCE OF EFFORT (Utah State Office of Education)

CFDA Number and Title: **84.048 Career and Technical Education**
Federal Award Number: **V048A090044A**
Questioned Costs: **\$-0-**
Pass-through Entity: N/A

We reviewed the maintenance of effort (MOE) calculation prepared by the USOE for fiscal year 2010 to determine compliance with MOE requirements and noted the following:

- The USOE improperly included capital expenditures in the secondary education portion of the MOE calculation. Additionally, there is no control in place to ensure that capital expenditures are properly excluded from the higher education portion of the calculation. Per Perkins IV Section 311 (b)(1)(B), a State must exclude capital expenditures in the calculation of MOE. The USOE should have controls in place to ensure that expenditures unallowed in the calculation of MOE are properly excluded.
- The USOE improperly included expenditures related to non-State sources in the MOE calculation. Per Perkins IV Section 311 (b)(1)(A), only State sources are to be used in the MOE calculation.

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- The USOE did not include all institutions of higher education in the MOE calculation. The USOE should include all institutions of higher education in the MOE calculation to provide a meaningful and accurate view of the State's MOE.

These errors resulted from a misunderstanding of the MOE requirements. The revised calculation indicated that the State failed to maintain its fiscal effort for fiscal year 2010 when compared with fiscal year 2009 by \$8,081,511, or 3.59%. In addition, this recalculation resulted in an apparent noncompliance with supplement vs. supplanting requirements. Inconsistency in elements included in the calculation and inclusion of unallowed expenditures in the calculation can result in a reduction in future grant funding and potential questioned costs.

Recommendation:

We recommend that the USOE implement controls to ensure that unallowable expenditures, such as capital expenditures and expenditures provided by federal funds, are excluded in the calculation of the MOE. In addition, we recommend that the USOE ensure that the MOE calculation include expenditures of all institutions of higher education.

We also recommend that the USOE consider requesting an exemption from the MOE requirements for the 2010 award year.

USOE's Response:

The USOE CTE division agrees with this finding. The CTE Coordinator over the Perkins program is new and fiscal year 2011 was the first time the coordinator reviewed maintenance of effort. Maintenance of effort is calculated by School Finance. There appears to be a misunderstanding regarding State and Federal maintenance of effort calculations which resulted in these errors.

The CTE Coordinator has received additional training from the Federal Department of Education and has worked with School Finance to correct the MOE calculation. In the future, CTE and School Finance will meet yearly to update the MOE calculation. CTE will review the calculation prior to it being finalized. The State is presently in the process of requesting a waiver of MOE for the 2010 award year.

Contact Person: Mary Shumway, State Director CTE, 801-538-7852

Anticipated Correction Date: March 2013

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12-10. **UNTIMELY COMPLETION OF PERSONNEL ACTIVITY REPORTS (PARs)**
(Utah State Office of Education and Utah State Office of Rehabilitation)

CFDA Numbers and Titles: 1) **84.048 Career and Technical Education**
2) **96.001 Social Security – Disability Insurance**
3) **84.126 Vocational Rehabilitation Basic Title I Section**

Federal Award Numbers: 1) **V048A080044A**
2) **04-1104UTDI00 and 04-1204UTDI00**
3) **H126A110066**

Questioned Costs: N/A
Pass-through Entity: N/A

Of 40 employees sampled as part of our testwork of payroll expenditures, 18 (45%) did not complete a Personnel Activity Report (PAR) until after our request as follows:

Federal Program	Number of Employees Sampled	# of Employees Who Had Not Completed a PAR
Social Security Insurance	14	12
Vocational Rehabilitation	13	2
Career and Technical Education	13	4

Per OMB Circular A-87, Attachment B, Section 8.h.(3), employees who charge their time to a single federal program must complete and sign a PAR form at least semi-annually. However, a formal process is not in place to ensure all employees complete the PAR forms. Not properly completing the required PARs in a timely manner could result in unallowable costs being charged to federal programs.

Recommendation:

We recommend that the USOE and the USOR implement a process to ensure that all employees who work on federal programs complete PARs in a timely manner.

USOE's Response:

The USOE agrees with this finding regarding PARS for the Career and Technical Education section. The Internal Accounting Section disbursed the reports but did not properly follow up to ensure all reports were signed and returned.

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The following policy has been implemented for employees who charge 100 percent of their time to federal grants or are paid with state funds that are used for matching. The Internal Accounting Section will determine which employees need to complete PARs and will send them to the section the first week of July and January. These PARs will be distributed to the appropriate sections with a list of the employees on a cover sheet. This cover sheet will have a list of the employees and the grant they are working on. The PARs will be signed by the employee and the employee's supervisor. The PARs will then be collected by the section and the section director will sign the cover sheet upon completion of all reports. The section director's signature will be the section's verification that all employees working on that grant are accounted for. These reports will be completed semi-annually. The reports for the period July 1 through December 31 will be distributed to the sections by January 15. The sections will complete the reports and return them to Internal Accounting by February 10. For the January 1 through June 30 periods the reports will be distributed to the sections by July 20. The sections will complete the reports and return them to Internal Accounting by August 20.

*Contact Person: Gary Belliston, USOE Controller, 801-538-7627
Anticipated Correction Date: January 2013*

USOR's Response:

The USOR has made significant changes to the process of distributing, collecting and storing Personnel Activity Reports (PARs) to ensure that proper documentation is maintained. The DDS financial analyst has taken over responsibility for the preparation and compilation of the PARs beginning with the July-December 2012 PARs.

The DDS financial analyst will follow a verification process to make sure that all the necessary documents have been received. The rest of the documentation will continue to be collected by the USOR technician using a revised process. Beginning in July 2012 PARs were distributed to employees through unit supervisors.

The supervisors were given responsibility to gather and submit completed PARs back to the USOR technician. The technician then performs a person by person double check to make sure all forms are returned. The USOR is confident that this new process will eliminate errors and assure that all needed forms are distributed and returned in a timely manner.

*Contact Persons: Jeff Young, USOR Financial Manager, 801-538-7633
Candice Martin, USOR DDS Financial Analyst, 801-538-7631
Anticipated Correction Date: December 31, 2012*

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12-11. NONCOMPLIANCE WITH FEDERAL REQUIREMENTS RELATED TO CONSTRUCTION

(Utah State Office of Rehabilitation)

CFDA Number and Title: **84.126 Vocational Rehabilitation Basic Title I Section**

Federal Award Number: **H126A110066**

Questioned Costs: N/A

Pass-through Entity: N/A

During our testwork of the Utah State Office of Rehabilitation (USOR) construction projects, we noted the following issues related to the remodel of the building leased for vocational rehabilitation in Layton, Utah:

- The USOR does not have adequate internal controls to ensure compliance with the Davis-Bacon Act requirements. As a result, the USOR did not include the Davis-Bacon provisions in the contract, did not inform the landlord (who arranged for the construction) or the contractor of the need to follow the Davis-Bacon Act, and did not collect the certified payroll reports required by the Davis-Bacon Act.
- The USOR did not have adequate understanding to ensure compliance with the matching requirements for construction projects. As a result, the USOR used federal funds, totaling \$37,000, for the entire lease improvement project. Per the OMB Circular A-133 Compliance Supplement, for construction projects using non-ARRA funds, the federal share of expenditures made for the construction of a facility for community rehabilitation purposes may not be more than 50% of the total cost of the project.

Noncompliance with the Davis-Bacon Act and federal matching requirements could result in the loss of federal funding. Because the USOR had sufficient allowable costs that could have been charged to the Vocational Rehabilitation Program but were not, we have not questioned any costs associated with these errors.

Recommendation:

We recommend that the USOR implement adequate internal controls over construction projects to ensure compliance with the Davis-Bacon Act and matching requirements.

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USOR's Response:

The USOR has taken steps to insure that DFCM includes language in the bidding process and subsequent agreements to cover the terms of the Davis-Bacon Act. There was a misunderstanding and DFCM believed those requirements only applied to ARRA funds. The USOR has clarified that all bids for any work related to buildings owned, all or in part, by the USOR where any federal funds from the USOR will be used, need to include the language. The USOR understands that responsibility for the monitoring or compliance of landlords to the Davis-Bacon Act including data collection and submission to the Department of Labor will be the responsibility of the USOR. The USOR will consult with DFCM as well as contacting the Rehabilitation Services Administration (RSA) to obtain guidance and training in developing a comprehensive plan for the USOR to monitor compliance.

*Contact Person: Michael Wollenzien, USOR Facilities Coordinator, 801-538-7987
Anticipated Correction Date: November 30, 2012*

12-12. **IMPROPER DETERMINATION OF MAINTENANCE OF EFFORT**

(Utah State Office of Education)

CFDA Number and Title: **84.010 Title I Grants to Local Educational Agencies**

Federal Award Numbers: **S010A100044A, S010A110044**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

In our review of the Maintenance of Effort (MOE) Determination, we noted the following errors in the net current expenditures calculation which was used as the base for the fiscal year 2011 MOE determination:

- Not all expenditures of funds provided by the Federal Government were excluded in the net current expenditures. OMB Compliance Supplement, section G indicates that all federal expenditures provided by the Federal Government must be excluded. This error was due to inadequate understanding of the compliance requirement.
- Certain community service expenditures were improperly included in the net current expenditures. According to OMB Compliance Supplement, section G, expenditures for community services should not be included. This error was a result of oversight.
- A referencing error was in a formula in a portion of the spreadsheet to calculate the net current expenditures. Thus, the net current expenditures calculated were incorrect.

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Another referencing error was in the fiscal year 2012 MOE calculation. These errors were a result of human error and oversight.

Although we found the errors noted above, the USOE was materially in compliance with MOE determination.

Recommendation:

We recommend that the USOE exclude unallowable expenditures, such as expenditures provided by federal funds and community service expenditures, in the net current expenditures calculation for MOE determination. In addition, we recommend that the USOE take greater care in preparing the net current expenditure calculation to ensure that appropriate numbers are referenced for the formula in the spreadsheet.

USOE's Response:

The USOE Title I division agrees with this finding. MOE is calculated by School Finance and provided to the Title I division for review. The review did not detect the errors noted in the audit. Title I staff will request additional training from the Federal Department of Education and has worked with School Finance to correct the MOE calculation. In the future, Title I and School Finance will meet yearly to update the MOE calculation. Title I will review the calculation prior to it being finalized.

*Contact Person: Karl Wilson, State Director Title 1, 801-538-7509
Anticipated Correction Date: March 2013*

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work with their grant accountant to change or update payroll coding for employees charging payroll to grants, to be completed by the end of the first October pay period.

Additionally monitoring and queries will be completed by the program accountants mid-November to ensure that charges are not made against grants outside of the proper period of availability. Any journal entries or transfers occurring in closed grants will need to be signed by both the program accountant and the program specialists prior to being booked; this includes the charging of indirect costs and rent. This process will be overseen by the USOE Controller and the Associate Superintendent of Business and Operations and will be properly documented. Elements of this process will be implemented immediately for grants ended September 30, 2012.

*Contact Person: Gary Belliston, USOE Controller, 801-538-7627
Anticipated Correction Date: Partial, October 2013*

12-14. **INTERNAL CONTROL WEAKNESS WITH SELECTIVE SERVICE REQUIREMENTS** (Salt Lake Community College)

CFDA Numbers and Titles: **1) 84.063 Federal Pell Grant Program (Pell)**
2) 84.007 Federal Supplemental Education Opportunity Grants (FSEOG)
3) 84.032 Federal Family Education Loans (FFEL)
4) 84.268 Federal Direct Student Loans (Direct Loans)

Federal Award Numbers: **1) P063P112605 2) P007A114220 3) N/A 4) N/A**

Questioned Costs: N/A

Pass-through Entity: N/A

We selected a sample of 60 students from the statewide population of students who received Student Financial Assistance during fiscal year 2012. From this statewide sample, we examined the files of seven Salt Lake Community College students for compliance with federal eligibility requirements and noted one male student that was not required to register with Selective Service, but for whom the College had not obtained appropriate documentation to validate the exemption prior to disbursing aid to the student. Because the student was not required to register with Selective Service, the student was eligible for the aid he received. Therefore, we have not questioned any costs. This error occurred because an employee in the College's Financial Aid Office improperly satisfied the Selective Service requirement for this student without obtaining documentation to prove that the student was exempt from registering with Selective Service.

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Recommendation:

We recommend that Salt Lake Community College strengthen internal controls to ensure that appropriate documentation is obtained from students who are exempt from registering with Selective Service.

College's Response:

In the College's accounting system, an automatic message is generated to students if Selective Service cannot confirm registration. The requirement is set as "N" (needed) in the system. The student may not be required to register for several reasons such as: student is female or the student entered the United States after the age of registration (26). In addition, a student may appeal the non-registration, and it is up to the school to determine if the appeal should be approved. And, very often, the student will register with Selective Service after the system generated message is sent. Once the outcome of the non-registration is determined, the requirement is set to "C" (Completed). This requirement is not year specific and a student must only complete the requirement one time.

12-15. INACCURATE REQUESTS FOR REIMBURSEMENT OF CTE FUNDS

(Snow College)

CFDA Number and Title: **84.048 Career and Technical Education Program – Carl Perkins**

Federal Award Number: **V048A110044-11A**

Questioned Costs: \$-0-

Pass-through Entity: N/A

Snow College submits a Reimbursement Request form to the Utah State Office of Education in order to receive reimbursement for Career and Technical Education (CTE) expenditures. The College incorrectly prepared the Reimbursement Request form for fiscal year 2012 using budgeted information rather than actual expenditures recorded in the Banner system (the College's accounting system). As a result, we noted the following errors on the Reimbursement Request form:

- a. The amount reported as expended in the travel category on the form did not agree to the travel amounts recorded in Banner. Amounts reported as expenditures on the Reimbursement Request form should be supported by amounts recorded in Banner.

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- b. The amount reported as expended in the property category on the form did not agree to the property amounts recorded in Banner. The College included an amount for items that were ordered but not received as of the date covered by the reimbursement request. The grant award document from the Office of Education states, “Reimbursements may be requested based only on actual amount of expenditures.”

Due to turnover in grant administration, the College grant administrators were unaware of the errors on the Reimbursement Request form. Errors such as these can result in inaccurate federal reporting, interest liabilities to the State for advance draws, and potential questioned costs. We did not question any costs associated with these errors because the College had sufficient other allowable expenditures that occurred during the proper time period that could have been claimed.

Recommendation:

We recommend that the College prepare the Reimbursement Request forms using only actual expenditures recorded in Banner.

College's Response:

The error identified in the audit is an anomaly to prior financial reporting due primarily to year-end encumbrances and a delay in the delivery of materials ordered. Submitting reimbursement requests based on budgeted amounts rather than actual expenditures has not been the College's practice.

Recent restructuring within the Controller's Office allowed the College to create and fund a full-time Compliance Officer position. This position, which was filled on September 17, 2012, is tasked with assisting grant administrators in complying with all financial grant requirements and acting as the primary financial contact for all grant programs. The Compliance Officer will generate and/or review for accuracy financial reports submitted by the College for all grant programs, including CTE. This will entail being fully aware of grant budgets, allowable and unallowable costs, and other programmatic nuances of each grant. Upon review of these audit findings, the new Compliance Officer has been directed to ensure that only allowable actual recorded expenditures are submitted for reimbursement in the proper time period for each of our grant programs.

*Contact Persons: John Ruell, Controller, 435-283-7250
Robin Gore, Compliance Officer, 435-893-2257*

Correction Date: September 17, 2012

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12-16. **NONCOMPLIANCE WITH FEDERAL EQUIPMENT MANAGEMENT REQUIREMENTS** (Snow College)

CFDA Number and Title: **84.048 Career and Technical Education Program – Carl Perkins**
Federal Award Number: **V048A110044-11A**
Questioned Costs: \$-0-
Pass-through Entity: N/A

During our review of equipment purchased with federal award money, we noted the following:

- a. Snow College is not properly classifying equipment as either equipment acquired under federal awards or equipment otherwise acquired. Additionally, the College is not documenting all required information (such as description, identifying number, cost, etc.) related to assets acquired under federal awards as required by OMB Circular A-110 Part A, Section .34.
- b. The College has no procedures in place to ensure that disposals of equipment acquired under federal awards comply with federal equipment management requirements detailed in OMB Circular A-110 Part A, Section .34.

These errors occurred because Snow College was unaware of grant requirements related to equipment acquired under federal awards. Noncompliance with federal equipment management requirements can result in questioned costs.

Recommendation:

We recommend that the College:

- a. **Properly classify equipment purchased under federal awards vs. equipment otherwise acquired and document all required information related to those assets to ensure compliance with applicable federal equipment management requirements.**
- b. **Implement procedures to ensure that disposal of equipment acquired under federal awards is in compliance with federal equipment management requirements.**

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College's Response:

The College's standard for the disposition of assets is to use an unbiased public process and/or auction to ensure equal access and opportunity for purchasers. Prior to the disposition of CTE assets the College contacts similar Utah College of Applied Technology programs to determine if the assets could be utilized within the higher education community. All funds generated from the auction of CTE equipment are reinvested in the College's CTE programs to address ongoing needs.

In fiscal year 2011, the College created an account to properly classify equipment acquired under federal awards. While this process has been implemented moving forward the College needs to identify assets purchased in prior years to ensure disposition is handled following the appropriate regulations. The College will document all required information related to equipment acquired under federal awards as required by OMB Circular A-110 Part A, Section .34.

The College will ensure that disposals of equipment acquired under federal awards comply with federal equipment management requirements detailed in OMB Circular A-110 Part A, Section .34. The College has added a section to the College's Asset Tracking Form that identifies equipment acquired under federal awards. These assets have additional disposal requirements that must be met before being disposed.

The College Controller's Office created a Compliance Officer position in September 2012. The Compliance Officer is assigned to monitor the disposition of College assets purchased with restricted funds. The College is also in the process of modifying its fixed asset guidelines by adding clarification regarding the documentation and disposal requirements of fixed assets acquired under federal awards.

*Contact Persons: John Ruell, Controller, 435-283-7250
Robin Gore, Compliance Officer, 435-893-2257*

Anticipated Correction Date: February 2013

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12-17. NONCOMPLIANCE WITH PAYROLL DISTRIBUTION REQUIREMENTS

(Snow College)

CFDA Number and Title: **84.048 Career and Technical Education Program – Carl Perkins**

Federal Award Number: **V048A110044-11A**

Questioned Costs: \$-0-

Pass-through Entity: N/A

During our review of Snow College’s payroll charges to the CTE grant, we noted that the College has not implemented a method to properly document the distribution of payroll as required by OMB Circular A-21 J.10.b.

OMB Circular A-21 J.10.c. provides examples of acceptable methods of documenting the distribution of payroll. One such method that could appropriately be used by the College is after the fact activity reports, which should “reflect an after the fact reporting of the percentage distribution of activity of employees.” Under this method, the following should occur:

- Charges may be made initially on the basis of estimates made before the services are performed, provided that such charges are promptly adjusted if significant differences are indicated by activity records.
- The reports must be signed by the employee, principal investigator, or responsible official(s) using suitable means of verification that the work was performed.
- For professorial and professional staff, the reports should be prepared each academic term, but no less frequently than every six months. For other employees, unless alternate arrangements are agreed to, the reports should be prepared no less frequently than monthly and coincide with one or more pay periods.

Due to turnover in grant administration, the College’s grant administrators were unaware of the payroll distribution requirements. If grant administrators are not aware of all significant grant requirements, unallowable costs could be charged to the grant.

Recommendation:

We recommend that the College ensure that grant administrators are aware of significant grant requirements and implement processes and procedures to ensure compliance with payroll distribution requirements, as outlined by OMB Circular A-21.

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College's Response:

The College does have and uses time and effort reports to properly document the distribution of payroll as required by OMB Circular A-21 J.10.b. Time and effort reports were not being used with this grant for the time period tested because the 1.5 FTE who are charging time to the grant spend 100% of their time in the Diesel Mechanics program. It was our understanding that time and effort reports, beyond regular payroll documentation, were not required when 100% of an employee's time is dedicated to one grant program. The College will ensure certifications are filed each semester for these employees verifying 100% of their time is spent on grant activities.

The College hired a Compliance Officer September 17, 2012 to assist grant administrators in complying with all financial grant requirements. The Compliance Officer will ensure that time and effort reports are properly and consistently used. The Compliance Officer will also train grant administrators to ensure that they are aware of all the requirements pertaining to their grants.

*Contact Persons: John Ruell, Controller, 435-283-7250
Robin Gore, Compliance Officer, 435-893-2257*

Correction Date: August 2012

12-18. WEAKNESSES IN REPORTING PERFORMANCE DATA (Snow College)

CFDA Number and Title: **84.048 Career and Technical Education Program – Carl Perkins**
Federal Award Number: **V048A110044-11A**
Questioned Costs: \$-0-
Pass-through Entity: N/A

Snow College must annually report to the State its progress in achieving its target levels of performance on the core indicators of performance set by the Perkins IV grant. We noted the following weaknesses related to the reporting of this information:

a. Inaccurate Data Reported

Some of the student data reported by the College for the 2011 Reporting Year was incomplete, inaccurate, and inconsistent (the fiscal year 2012 reports were not yet available at the time of our testwork). The inaccurate data was the result of the following:

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- Inaccurate coding in the Banner system. The College had outdated Classification of Instructional Program (CIP) codes in their system (these codes are used by the Board of Regents to identify CTE students and are used to compile the report).
- Inaccurate information input by the students relating to declared majors.
- Turnover in grant administration.

Use of incomplete, inaccurate, and inconsistent student data results in meaningless comparisons of the data submitted to target data as well as noncompliance with reporting requirements.

b. Failure to Provide Performance Data to the General Public

The College is not providing performance data to the general public as required by the Perkins IV grant. Per 20 USC 2323(b)(4)(C)(v), data for the core indicators of performance described in Section 113 (b)(4) of Perkins IV must be available to the general public through a variety of formats, including electronically through the Internet. Failure to report the required information in the proper format as described above results in noncompliance with grant requirements. This error occurred because Snow College was unaware of the requirement.

Recommendation:

We recommend that the College:

- Implement adequate internal controls and processes to better ensure accurate and consistent reporting of student data.**
- Make its performance data available to the general public using the required formats.**

College's Response:

This particular finding touches on challenges related to the reporting of Perkins/CTE data statewide. Recent years have seen a number of changes in the process of data collection, CIP codes, and review. While the College strives to ensure the integrity of its data, discussions at the state level will be necessary for full resolution of these items.

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Inaccurate coding in the Banner system:

The issue of CIP Codes particular to Perkins has been an on-going discussion item between Snow College and the Board of Regent's Office (Regents) for the past two years. Changes have been made to the Banner system (the STVMAJR) table in order to update outdated CIP codes as well as better align intended majors with those self-declared by students. For the 2012 year (namely the graduation file) 11 CIP codes were changed to (1) better match programs offered by the College and (2) match general updates/changes in CIP codes (i.e. nursing CIP codes changed across the board).

Inaccurate information input by students related to declared majors:

As an open institution with a self-declare major process, this remains problematic. The College is in the unique situation of offering general education coursework preparatory to job placement or transfer; resulting in majors that are essentially not more than "general education." However, the College is required by the Regents and Perkins to report majors (outside of GNED). Several items have been implemented to better assess and assign students to appropriate majors when they do not declare:

- 1. A student major survey required at first registration. The survey asks students to identify a major/area of emphasis and to declare if their choice should be considered their official major. Data from this survey is sent to the Registrar to update student information after the completion of each 3rd week report.*
- 2. Majors attending specific required classes taught by the College (e.g. LPA vs. CNA) submit major cards in class at the beginning of the semester. These cards will be used to determine a student's major based on their enrollment in the class. For example, a student who would self-declare "nursing" as his or her major would be coded with a default RN (nursing) CIP code. At the on-set of his or her first required LPN class, the major card completed would change the CIP code from RN to the more appropriate LPN.*

Failure to Provide Performance Data to the General Public:

Due to circumstances surrounding the change in Snow's Perkins grant administrator, the new director received no training, assistance, or transition advice from the incumbent. While the College followed the format of previous reports and documentation, it appears there are longstanding compliance issues. The College cannot find evidence that the general public reporting requirement was met. Reports meeting the requirements will be generated and provided by the College in the methods outlined.

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In addition to the transitional issues mentioned, there are a number of systemic issues worth highlighting. Data for the Perkins grant was historically reported by the College to the Regents. This separate reporting was eliminated approximately three years ago when it was determined that data already sent to the Regent's Institutional Research (IR) Office could be used to compile the Perkins report. In addition, Perkins reporting changed to emphasize "participants" and "concentrators" all of which would be determined from data extracts sent to the Board of Regents. A few elements such as PELL and BIA information were added to 3rd Week and End of Term extracts in order to facilitate this process. What happens with data once it leaves the College remains unclear. Snow does not have clarification as to how or what Perkins-related information is transferred from the Regents (Higher Education) to the State Office of Education. Specific information as to the data script that pulls Perkins information and how that information is edited, cleansed, and then submitted to the State Office of Education is needed--at least a detailed conversation with the College, representatives from the Regent's, and State Office of Education regarding specific student samples would help clarify the process and reveal how the inputs result in such confusing and erroneous outputs.

*Contact Persons: Dr. Beckie Hermansen, Director, Institutional Research, 435-283-7346
Michael Medley, Dean, Division of CTE, 435-893-2264
Robin Gore, Compliance Officer, 435-893-2257*

Anticipated Correction Date: Ongoing

12-19. **ENROLLMENT REPORTING ERROR** (Southern Utah University)

CFDA Number and Title: **84.268 Federal Direct Student Loans (Direct Loans)**

Federal Award Number: N/A

Questioned Costs: N/A

Pass-through Entity: N/A

We selected a sample of 11 students, out of a statewide sample of 40, who either withdrew (officially or unofficially) or graduated from Southern Utah University (the University) to determine whether enrollment changes were being properly reported to the National Student Clearinghouse (Clearinghouse). For one student, we noted that the University reported that the student withdrew on 12/15/2011, though we determined that the student unofficially withdrew on 11/1/2011. This error was due to the use of incorrect parameters in the Banner (the University's accounting system) report used for the Clearinghouse submission. The

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incorrect status date could cause a student's conversion to repayment status to be inappropriately delayed.

Recommendation:

We recommend that the University ensure that correct parameters are used when determining the student enrollment statuses that are reported to the Clearinghouse.

University's Response:

We determined that a parameter had been set incorrectly on the report that sends the enrollment change data to the Clearinghouse. The parameter was corrected immediately, and all future reports have used the correct effective withdrawal date since that time. To ensure this error does not occur in the future, the Registrar and the Financial Aid Director will meet prior to the beginning of each academic year to review the parameters for correctness.

*Contact Person: Jan Carey-McDonald, Director of Financial Aid, 435-586-7734
Correction Date: March 29, 2012*

12-20. **REPORTING ERROR** (University of Utah)

CFDA Number and Title: **84.063 Federal Pell Grant Program**
Federal Award Number: **P063P112349**
Questioned Costs: N/A
Pass-through Entity: N/A

We selected a sample of 60 students statewide to review for eligibility and other compliance requirements related to Student Financial Assistance distributions. From this sample, we reviewed 10 students at the University of Utah (University) who received a Pell grant during the 2011-12 school year and noted 3 students whose Cost of Attendance reported to the U.S. Department of Education's Common Origination and Disbursement (C.O.D.) system was \$46,366, which was double the actual amount of \$23,168. The Cost of Attendance data is used to calculate the amount of Pell funds to be awarded to each student. Because the University's actual cost of attendance is already in the U.S. Department of Education's highest category, there were no over awards of Pell funds. Cost of Attendance should be accurately reported to the C.O.D. system; not doing so could allow inappropriate Pell funds to be awarded.

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Recommendation:

We recommend that the University establish a control to ensure that the correct Cost of Attendance is reported to the U.S. Department of Education’s Common Origination and Disbursement system.

University’s Response:

The University’s Pell Grant Cost of Attendance is at a high level and is therefore not at risk to fall into a different formula. Consequently, the University’s students are not at any risk of receiving funding for which they are not eligible due to the Pell Grant Cost of Attendance.

Our staff has taken additional steps to ensure that the data is being populated properly before it is transmitted to the C.O.D. We review a number of reports to validate the accuracy of that data field. Through these efforts we have established a process to correct this concern. We have worked diligently to ensure the data is correct for the 2012/13 aid year.

*Contact Person: John Curl, Director, Administration, Financial Aid and Scholarships,
801-581-8788*

Anticipated Correction Date: October 31, 2012

12-21. **INACCURATE LINE ITEMS IN THE FISAP REPORT** (Utah Valley University)

CFDA Numbers and Titles: **1) 84.007 Federal Supplemental Education Opportunity Grants**
2) 84.033 Federal Work Study Program
3) 84.038 Federal Perkins Loan Program

Federal Award Numbers: **1) P007A114219 2) P033A114219 3) n/a**

Questioned Costs: N/A

Pass-through Entity: N/A

We tested Utah Valley University’s (University) Fiscal Operations Report and Application to Participate (FISAP report) for federal award year 2010-2011 and noted errors in the amounts reported on the following lines:

- 1) Part II, Section E, line 22(a): Total tuition and fees for the award year July 1, 2010 to June 30, 2011 – Undergraduate was understated by \$5,910,467.

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- 2) Part II, Section E, line 22(b): Total tuition and fees for the award year July 1, 2010 to June 30, 2011 – Graduate/Professional was understated by \$78,171.
- 3) Part III, Section C, line 1.1(c): Borrowers whose loans are fully retired – Amount Lent was understated by \$1,627,094.
- 4) Part III, Section C, line 5.3(c): In default more than 2 years but not more than 5 years – Amount Lent was overstated by \$679,000.

Reports should contain accurate information. These errors occurred due to clerical errors and use of inaccurate data. Errors in the FISAP report could potentially affect the amount of aid awarded to the University in future award years.

Recommendation:

We recommend that the University strengthen controls and review the reports for reasonableness to ensure that information reported in the FISAP report is accurate.

University's Response:

We concur with the finding and recommendation and have taken the necessary steps thru review and analysis to ensure amounts are reported accurately in the future.

*Contact Person: Trish Howard, Director, Financial Aid and Scholarships, 801-863-6746
Anticipated Correction Date: September 20, 2012*

12-22. INADEQUATE INTERNAL CONTROLS AND NONCOMPLIANCE RELATED TO RETURN OF TITLE IV FUNDS

(Weber State University)

CFDA Numbers and Titles: 1) **84.063 Federal Pell Grant Program**
2) **84.268 Federal Direct Student Loans (Direct Loans)**

Federal Award Numbers: 1) **P063P112354** 2) N/A

Questioned Costs: **\$700**

Pass-through Entity: N/A

We selected a sample of 44 students, out of a statewide sample of 60, that officially or unofficially withdrew from Weber State University (the University) during the Fall 2011 and Spring 2012 semesters to determine whether the University properly determined and calculated the Return of Title IV funds. For one of the student records we examined, the

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University had not calculated a Return of Title IV. This error occurred because of a change in the student's registration status that should have resulted in a Return of Title IV calculation, but that was not detected by the Financial Aid Office at the University. This error resulted in \$700 of institutional charges not returned, which we have questioned.

Recommendation:

We recommend that the Financial Aid Office strengthen internal controls to ensure that all students who are subject to Return of Title IV requirements are properly detected and that funds are returned appropriately.

University's Response:

We agree with the finding. We have returned the required funds to the Department of Education. Our procedures have been updated to identify students with NC (no credit) grade changes.

*Contact Person: Jed Spencer, Director of Financial Aid and Scholarships, 801-626-6586
Correction Date: September 24, 2012*

12-23. **INTERNAL CONTROL WEAKNESSES AND NONCOMPLIANCE RELATED TO FSEOG SELECTION CRITERIA**
(Weber State University)

CFDA Number and Title: **84.007 Federal Supplemental Educational Opportunity Grant (FSEOG)**

Federal Award Number: **P007A104221**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

Weber State University's process for awarding Federal Supplemental Educational Opportunity Grant (FSEOG) funds does not comply with federal regulations (34 CFR 676.10(a)(1)). Federal regulations require that FSEOG funds be awarded to students with the lowest expected family contributions who also receive a Pell grant in that year. Due to a misunderstanding of federal requirements, the University was not considering the expected family contribution amount when determining award recipients. This resulted in \$159,086 of FSEOG funds being awarded inappropriately. Subsequent to our testwork, the University replaced the inappropriately awarded FSEOG funds with state funds and re-awarded the

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FSEOG funds to eligible students. Therefore, we have not questioned any costs associated with this error.

Recommendation:

We recommend that the University establish internal controls to ensure compliance with all applicable federal regulations when determining which students are eligible for financial assistance.

University's Response:

We agree with the finding. We have corrected all students awarded. We have updated our policy so that students must have a zero EFC in order to receive an FSEOG award.

Contact Person: Jed Spencer, Director of Financial Aid and Scholarships, 801-626-6586

Correction Date: May 21, 2012

12-24. **ENROLLMENT REPORTING ERRORS**

(Weber State University)

CFDA Number and Title: **84.268 Federal Direct Student Loans (Direct Loans)**

Federal Award Number: N/A

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We selected a sample of 29 students, out of a statewide sample of 40, who either withdrew (officially or unofficially) or graduated from Weber State University to determine whether enrollment changes were being properly reported to the National Student Clearinghouse (Clearinghouse). We noted the following errors:

- a. In four instances, the students unofficially withdrew (ceased attendance and lacked a formal withdrawal) from the University during the Fall semester, but were not correctly reported. For two of these instances, the students re-enrolled for the Spring semester and were consequently never reported as withdrawn during the Fall semester. For the other two instances, the students did not re-enroll for the Spring semester, and the University reported the last day of the Fall semester as the withdrawal date. The NSLDS Enrollment Reporting Guide states that for students who unofficially withdraw from an institution, the

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institution should report the student's last recorded date of attendance as the withdrawal date.

- b. In one instance, the student graduated from the University on 12/9/2011, however the student was not reported as graduated. Instead, the student was reported as withdrawn as of 1/2/2012. The NSLDS Enrollment Reporting Guide states that the institution should report the student as graduated on the date the student completed the course requirements.

An incorrect enrollment status and/or status date reported to the Clearinghouse could cause a student's loan to be inappropriately delayed in being converted to repayment status. These errors occurred because the University had not properly identified the correct enrollment status and/or status dates for these students, and thus, incorrect information was reported to the Clearinghouse.

Recommendation:

We recommend that the University ensure that proper enrollment status dates are identified and reported to the Clearinghouse.

University's Response:

We agree with the finding. We have implemented a process to manually update the Clearinghouse records for unofficial withdrawals and graduation status changes during the semester. All records noted in the finding have been updated with the Clearinghouse.

*Contact Person: Jed Spencer, Director of Financial Aid and Scholarships, 801-626-6586
Correction Date: May 15, 2012*

12-25. FAILURE TO SEND OUT LOAN DISBURSEMENT NOTIFICATIONS (Weber State University)

CFDA Numbers and Titles: 1) **84.268 Federal Direct Student Loans (Direct Loans)**
2) **84.038 Perkins Loans**
Federal Award Numbers: N/A
Questioned Costs: **\$-0-**
Pass-through Entity: N/A

We selected a sample of 60 students statewide to review for eligibility for student financial assistance, including loans. As part of this testwork, we noted that Weber State University did

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not send the required loan disbursement notifications to any of the seven students in our sample at the University who had loans. This occurred because a link in the financial aid system (Banner) that was needed to generate the notifications was destroyed during a system upgrade. Federal regulations (34 CFR 668.165) require that notification be sent to a student no earlier than 30 days before a loan is credited to the student's account and no later than 30 days after. This notification must include the date and amount of the disbursement, as well as the student's (or parent's) right to cancel all or a portion of the loan and have the loan proceeds returned to the holder of the loan. Not sending the appropriate disbursement notifications resulted in noncompliance with federal regulations. Because the students were eligible for the loans, no costs have been questioned.

Recommendation:

We recommend that the University implement internal controls to ensure that all required loan disbursement notifications are sent in a timely manner as required by federal regulations.

University's Response:

We agree with the finding. The computer programming code was fixed and on August 17, 2012 students received a disbursement notice for all prior disbursements Fall 2011 and Spring 2012. This notice made students aware of their loan-refusal rights as required by federal guidelines.

*Contact Person: Jed Spencer, Director of Financial Aid and Scholarships 801-626-6586
Correction Date: August 17, 2012*

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U.S. DEPARTMENT OF ENERGY

12-26. **NONCOMPLIANCE WITH REPORTING REQUIREMENTS**

(Governor–Office of Energy Development) (Department of Environmental Quality)

CFDA Number and Title: **81.041 State Energy Program - ARRA**

Federal Award Number: **DE-EE0000083**

Questioned Costs: **\$0**

Pass-through Entity: N/A

The Utah Office of Energy Development (OED) did not correctly report the funds received or expenditure information on the March 31, 2012 ARRA Section 1512 report. This resulted in an underreporting of \$8,201,854 of revenue and \$8,956,953 of expenditures. The ARRA 1512 report should be accurately completed in accordance with the report's instructions. Not accurately completing the report results in noncompliance with grant requirements.

We recommend that OED implement internal controls to ensure that ARRA Section 1512 reports are prepared accurately in accordance with the grant agreements and instructions.

OED's Response:

We agree with the finding and recommendation. Due to an oversight, the funds received and expended were not updated from the prior report. A corrected report has been submitted. To ensure that reports are completed accurately, additional reviews of the report will be made.

Contact Person: Craig Silotti, DEQ Finance Director, 801-536-4460

Anticipated Correction Date: August 2012

12-27. **MISCODED TRANSACTIONS RESULTING IN AN OVERDRAW OF FEDERAL FUNDS**

(Governor–Office of Energy Development) (Department of Environmental Quality)

CFDA Number and Title: **81.041 State Energy Program - ARRA**

Federal Award Number: **DE-EE0000083**

Questioned Costs: **\$107,216**

Pass-through Entity: N/A

The Department of Environmental Quality (DEQ) performs bookkeeping functions for the OED's State Energy Program. Due to a miscommunication between State Energy Program employees and DEQ, two loan disbursements which should have been funded from an

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established revolving loan fund were instead miscoded as regular expenditures. Because of the miscoding, DEQ included these expenditures in their regular draw down from the U.S. Department of Energy. This resulted in an overdraw of \$107,216, which we have questioned. All transactions should be properly coded in the accounting system to avoid drawing down funds inappropriately.

Recommendation:

We recommend that the State Energy Program strengthen internal controls to ensure that all program transactions are properly coded in the accounting records.

OED's Response:

We agree with the finding and recommendation. The fund number was not typically noted on the supporting documentation and DEQ entered the wrong fund which resulted in the miscoding. The coding error and overdraw of funds has been corrected. To ensure future loan payments are accurately coded, all loan disbursements are to have the fund noted on the supporting document. In addition, we have established a unique unit code for the loan fund to eliminate similar errors.

Contact Person: Craig Silotti, DEQ Finance Director, 801-536-4460

Anticipated Correction Date: July 2012

12-28. **NONCOMPLIANCE WITH SUBRECIPIENT MONITORING REQUIREMENTS** (Governor–Office of Energy Development) (Department of Environmental Quality)

CFDA Number and Title: **81.041 State Energy Program - ARRA**

Federal Award Number: **DE-EE0000083**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

The OED does not have controls in place to ensure that subrecipients are complying with OMB Circular A-133 audit requirements. OMB Circular A-133 requires subrecipients who expend over \$500,000 in federal awards to have an A-133 audit completed within 9 months of their fiscal year end. When an A-133 audit is performed, the subrecipient must submit to the pass-through entity either a copy of their A-133 audit report or written notification that an A-133 audit was performed and there were no findings related to the pass-through entity. OED program managers are not verifying that subrecipients met these requirements. Failure to

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properly monitor subrecipients could result in noncompliance with grant requirements at the subrecipient level going undetected and/or uncorrected.

Recommendation:

We recommend that OED implement internal controls to ensure that all subrecipients who expend over \$500,000 in federal awards obtain and submit a copy of their A-133 audit report to OED or submit written notification that an A-133 audit was performed but there were no findings related to OED programs.

OED's Response:

We agree with the finding and recommendation. Due to a transition in program managers, the subrecipient A-133 audit monitoring was not completed in a timely manner. The OED has implemented internal controls to properly monitor subrecipients' compliance with A-133 audit requirements.

*Contact Person: Alair Emory, OED Program Manager, 801-538-8722
Anticipated Correction Date: May 2012*

12-29. ERRORS IN THE WEATHERIZATION ASSISTANCE PROGRAM FEDERAL FINANCIAL REPORT

(Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)

CFDA Number and Title: **81.042 Weatherization Assistance for Low-Income Persons**

Federal Award Number: **DE-EE0000148**

Questioned Costs: N/A

Pass-through Entity: N/A

On the SF-425 report for the Weatherization Assistance Program grant (non-ARRA) for the quarter ended March 31, 2012, the cash receipts were overstated by \$19,409, the cash disbursements were understated by \$275,106, and consequently, the cash on hand was overstated by \$294,515. The cash receipts and cash disbursements reported on the SF-425 should agree with the state's general ledger (FINET), but they do not. These discrepancies were caused by clerical errors in the preparation of the report. These errors result in inaccurate program information being reported to the Federal Government.

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Recommendation:

We recommend that DWS implement internal controls which will ensure that accurate financial information is reported on the SF-425 reports.

DWS' Response:

We agree with the finding and recommendation. Under previous Housing and Community Development Division (HCDD) financial management at the Department of Community and Culture, much of the financial reporting was assigned to program staff. This practice will be changed and all financial reporting will now be generated by financial staff and reviewed by financial managers to ensure accuracy and the adequacy of supporting documentation supplementing the reports. HCDD corrected the SF-425 report for the understated disbursements and overstated cash on hand on June 13, 2012. The overstatement of cash receipts will be corrected as part of the next report due October 30, 2012.

*Contact Persons: John Talcott, Director of Administrative Support, 801-526-9402
Kimberley Schmeling, HCDD Budget & Accounting Director, 801-526-9504*

Anticipated Correction Date: June 30, 2013

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U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

12-30. NONCOMPLIANCE DUE TO INCORRECT SYSTEM PROGRAMMING

(Department of Human Services)

CFDA Number and Title: **93.658 Title IV-E Foster Care**

Federal Award Numbers: **1001UT1401, 1101UT1401, 1201UT1401**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

During our review of the Contract Approval & Payment System (CAPS) at the Department of Human Services (Human Services), we identified unallowable costs of \$17,568 which should have been funded with state funds rather than with Federal IV-E Foster Care funds. For certain types of foster care, the daily rate paid to providers includes the approved federal rate plus an additional \$3 funded by the State. However, due to an unintentional error during a programming update to CAPS, from May 30, 2012 to July 25, 2012, the state portion was improperly coded and charged to federal funds when CAPS interfaced with FINET. As a result of our audit, Human Services corrected the coding and reduced a subsequent draw of federal funds; therefore, we have not questioned any costs.

Recommendation:

We recommend that Human Services take greater care with programming updates to ensure that costs charged to the Federal grant are allowable.

Human Services' Response:

Human Services and the Department of Technology Services will continue efforts to properly program CAPS.

Contact Person: Don Moss, Finance Director, 801-538-4142

Anticipated Correction Date: Ongoing

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U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

12-31. OVERPAYMENT OF FEDERAL TITLE IV-E FOSTER CARE FUNDS (Department of Human Services)

CFDA Number and Title: **93.658 Title IV-E Foster Care**
Federal Award Numbers: **1001UT1401, 1101UT1401, 1201UT1401**
Questioned Costs: **\$8,069**
Pass-through Entity: N/A

We noted three control errors when testing a sample of 76 Title IV-E Foster Care maintenance payments at Human Services – two payments made by the Division of Child and Family Services (DCFS) and one payment made by the Division of Juvenile Justice Services (DJJS). The 76 payments tested totaled \$66,487 and were taken from a total population of \$8,183,187, with compliance errors noted in the actual sample totaling \$2,575.

- a. For one DCFS case in our sample, payments were made for the child after the child had been adopted. After pulling our sample, we were informed that payments had been made for the child's sibling who had also been adopted. Foster Care payments should end when the child is no longer in the foster care system. Therefore, we have questioned the costs associated with the payments made on behalf of both children after they were adopted, totaling \$198. These errors occurred due to oversights by the caseworker who failed to close the cases as of the adoption date and did not notice the payment forms were filled out incorrectly by the provider.
- b. For one DCFS case, payments were made for the child for a period of time when the child was a runaway and in detention. Payments should be adjusted according to policy when a child is a runaway or is in an unqualified placement. We have questioned the costs associated with the payments made during the period when the child was not in foster care, totaling \$209. This error occurred due to a misunderstanding of policy.
- c. For one DJJS case, the child was determined to be ineligible for federal foster care payments; however, the payments were incorrectly coded as federal rather than state. Payments with federal foster care funds should only be made for children who are eligible for federal foster care. Therefore, we have questioned the costs associated with this case, totaling \$7,662. This error occurred due to a clerical error when processing payments.

Recommendation:

We recommend that Human Services document case information accurately and in a timely manner to ensure program payments are appropriate. We also recommend that

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Human Services review payment documentation carefully to ensure the coding is accurate.

Human Services' Response:

Internal reviews will continue to be performed to assist with proper Title IV-E processing. DCFS/JJS will continue efforts to appropriately account for Title IV-E. DCFS will review procedures involving client absences.

*Contact Person: Lee Fairbourn, DCFS Administrative Services Director, 801-538-4601
Anticipated Correction Date: January 2013*

12-32. **NONCOMPLIANCE WITH TIMING REQUIREMENTS OF HEALTH AND SAFETY STANDARD SURVEYS FOR NURSING HOME FACILITIES**
(Department of Health)

CFDA Number and Title: **93.778 Title 19 Medical Assistance Program**
Federal Award Number: **05-1105UT5MAP**
Questioned Costs: N/A
Pass-through Entity: N/A

The Centers for Medicare and Medicaid Services (CMS) require that Health and Safety surveys be conducted by the Department of Health on nursing home facilities receiving Medicaid payments no less frequently than every 15.9 months. These surveys help ensure facilities meet prescribed health and safety standards for Medicaid providers. The Department of Health did not conduct a survey within the required timeframe for 43 of the 99 nursing home facilities in Utah receiving Medicaid payments. On average, the past due surveys had not been performed for almost 4 months beyond the requirement, with the longest just over 8 months beyond the requirement. This is a result of changes in survey staffing and the survey process. If surveys are not conducted in the prescribed manner, including the frequency, health and safety violations may go undetected.

Recommendation:

We recommend that the Department of Health strengthen existing controls over surveys regarding health and safety standards at nursing homes to ensure that they are done with the frequency required.

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Department of Health's Response:

The Department of Health concurs with the audit finding and recommendation. Two important changes have resulted in this finding. First, our survey program has been short-staffed due to the large growth in providers in our state coupled with an actual decrease in the number of survey staff. Second, in January of 2011 the Centers for Medicare and Medicaid Services (CMS) mandated that the Utah State Survey Agency change the long-term care survey process to a computer-assisted survey process – Quality Indicator Survey (QIS). The new QIS survey process has shown to require more staff resources. The Department is currently seeking a budget request to address this issue.

Contact Person: Joel Hoffman, Bureau Director, Health Facilities Licensing Certification and Resident Assessment, 801-538-6279

Anticipated Correction Date: State Fiscal Year 2014, if receive budget request.

12-33. INCORRECT ELIGIBILITY AND INCOME DETERMINATIONS

(Department of Health) (Department of Workforce Services)

CFDA Number and Title: **93.767 Children's Health Insurance Program**

Federal Award Number: **5-1105UT5021**

Questioned Costs: **\$5,215**

Pass-through Entity: N/A

We reviewed the eligibility determination and documentation process for 60 Children's Health Insurance Program (CHIP) payments. We noted internal control weaknesses and noncompliance for 12 (20%) cases related to the 60 payments as described below. Three (5.0%) of the 60 payments, totaling \$65 (federal and state portions), were considered unallowable due to incorrect eligibility decisions. The 60 CHIP payments tested totaled \$4,427 and were taken from a total population of \$70,610,018 (federal and state portions). During our testwork we noted other noncompliance associated with the CHIP cases included in our sample. As a result of the incorrect eligibility decisions and other noncompliance issues, we have questioned the federal portion of costs associated with the errors identified for these cases: \$3,193 for federal fiscal year 2012 and \$2,022 for federal fiscal year 2011.

a. Incorrect Eligibility Decisions

For two cases, the caseworker placed children on CHIP even though they were eligible for Medicaid Child Age 0-5. According to CHIP policy 201, a child who is eligible for

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Medicaid is not eligible for CHIP; therefore, we have questioned all costs for these cases during the time the children were eligible for Medicaid, totaling \$2,666. The cause of these errors appears to be that the caseworker did not properly consider Medicaid eligibility.

b. Improper Eligibility Review

For one case, because a change of income was reported during the 12 months prior to the February 2012 review, the review should have included income verification, per CHIP Policy 704. However, only a simple review form, which does not ask for verification of the household income, was sent for the February 2012 review. If a mandatory review form had been sent as required, it would have noted a household income that exceeded the CHIP limits. In addition, the client reported a change in income during the second month of the certification period (March 2012) which was calculated to be above the CHIP income limits. Although CHIP eligibility is normally determined only once per year, CHIP Policy 704-1, states that if income changes that make the household ineligible are received too late to correct the first month of the new certification period, the change should be made in the second month, if possible. These errors resulted in the household being placed on CHIP when it was not eligible. We have questioned the costs associated with this case during the time the household was ineligible less the premiums paid by the household to be on the program, totaling \$380. The cause of this error appears to be caseworker misunderstanding of CHIP policy for eligibility reviews when income changes are reported.

c. Available Employer Insurance Not Considered

For one case, the household remained on CHIP even after the child had access to employer- offered health insurance with a cost less than 5% of the household's gross countable income. Per CHIP policy 220-4, if an applicant has had at least one chance to enroll their child in any health insurance plan offered by an employer that is less than 5% of their household's gross countable income, the child is not eligible for CHIP. When an employer's insurance is available, the case should be closed when the current certification period ends. We have questioned the costs associated with this case during the new certification period less premiums paid by the household, totaling \$598. The cause of this error appears to be failure by the caseworker to consider available employer insurance.

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d. Income Calculation Errors

- 1) For one case, earned income was calculated incorrectly because the caseworker did not use the correct number of expected weekly hours of a new job. Per CHIP Policy 415-1, income eligibility should be determined by establishing a “best estimate” of expected income. If the reasonable best estimate income had been calculated using the correct expected weekly hours, one child in the household would have been eligible for Medicaid Child Age 0-5 instead of CHIP. We have questioned the costs related to the ineligible child less premiums paid by the household, totaling \$1,379.
- 2) For two cases in the same household, the household’s self-employment income was not calculated in accordance with CHIP Policy 410-2. This error caused the household to be placed on the incorrect CHIP plan, with a lower premium than the plan for which the household was eligible. We have questioned the costs for the premiums the household should have paid, totaling \$96.
- 3) For two cases, best estimates of monthly income were calculated incorrectly because the caseworker did not factor income in accordance with CHIP policy 415-4. These errors caused the households to be placed on the incorrect CHIP plan. Because one of the households was on a CHIP plan with a lower premium than the plan for which the household was eligible, we have questioned the costs for the premiums the household should have paid, totaling \$96.
- 4) For two cases, best estimates of monthly income were calculated incorrectly because the case worker did not annualize unemployment income or seasonal income as required by CHIP policy 415-1. These errors resulted in the households being placed on the incorrect CHIP plan. Because the households for these cases paid more in premiums than required had they been on the correct CHIP plan, we have not questioned any costs associated with these errors.
- 5) For one case, the caseworker did not follow CHIP policy 415-3 to establish a reasonable best estimate of household income. After we brought this case to the Department’s attention, a different reasonable best estimate income was provided. Because the household was eligible for the same CHIP plan under the new calculation, we have not questioned any costs associated with this error.

The cause of these income calculation errors appears to be caseworker misunderstanding of policies regarding best estimate income calculations, as well as human error.

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The Department of Health sets CHIP policy and processes all CHIP expenditures. The Department of Workforce Services (DWS) handles eligibility determination and case file management for CHIP.

Recommendation:

We recommend that the Department of Health work with DWS to strengthen internal controls, provide employee training, and ensure that eligibility decisions are appropriate by ensuring DWS eligibility specialists:

- a. Understand and apply both Medicaid and CHIP eligibility policies during the CHIP application and/or review process.**
- b. Understand CHIP policy for eligibility reviews when income changes are reported.**
- c. Consider available employer insurance.**
- d. Properly calculate household monthly income.**

Department of Health's Response:

The Department of Health (Health) concurs with this finding and recommendation. As the state agency ultimately responsible for CHIP, Health must ensure that eligibility for the program is accurately determined. Health has delegated CHIP eligibility determination and case management to the Department of Workforce Services (DWS) through an operating agreement. The operating agreement establishes targets for accuracy and provides bonuses if DWS meets those accuracy targets. State and federal rules require several different reviews to ensure that eligibility decisions are made correctly.

Health has reviewed the corrective action plan submitted by DWS on this finding and will work with DWS to implement the actions they have proposed. Health will continue to work with DWS to involve Health policy specialists with the DWS program specialists to clearly interpret the policy manuals, review training materials for accuracy, and also meet with eligibility workers whenever possible to provide in person training. Health will continue to meet weekly with the eligibility system steering group to further enhance and strengthen the eligibility system (eREP). The Medicaid Quality Control Unit (MEQC) will continue to focus their review projects on error prone areas to shore up DWS' understanding of the policy and how it relates to specific cases.

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Health will actively reinforce the corrective action plans as agreed upon between the agencies in the regular weekly meetings with DWS. As DWS perfects their “targeted training” approach, Health will continue its oversight responsibility to verify that the DWS staff are understanding the concepts by watching the various error rate measures for trends and providing appropriate and timely feedback to DWS. Health will work closely with DWS to develop and implement the new Affordable Care Act provisions by January 2014, and ensure that DWS eligibility staff understands the changes in eligibility that will occur.

The questioned costs will be reported on the CMS 21 report for the quarter ending 12-31-2012 and paid back on or before January of 2013.

*Contact Person: Jeff Nelson, Bureau Director, Eligibility Policy, 801-538-6471
Anticipated Correction Date: Ongoing through June 2013*

DWS’ Response:

We agree with the finding and recommendation. Currently, DWS is participating with the Utah Department of Health (DOH) in a data mining effort designed to look at problem areas as identified by various audits and internal case reviews. Training has been designed for staff to address the issues identified. New training modules based on best estimates, annualized income, reviews, and information gathering have been developed and will be delivered to all CHIP teams by the end of the fiscal year. Case specific examples will be used to train staff in problem areas. Supervisors as well as staff will participate in the training and will continue to have access to training materials and resources so ongoing instruction can be given regularly.

The data mining efforts between DWS and DOH also produced a list of system related issues that were causing errors on cases. One such error was the system failing to identify the need for a mandatory renewal when income was reported. This issue was swiftly corrected and will allow for the appropriate type of review to be sent following an income change report.

The Performance Review Team (PRT) conducts in-depth case reviews on every CHIP worker each month. Particular areas of focus are income, TPL, and reviews. Reviews are conducted by a small number of specialized reviewers to bolster consistency and maintain a focus on problematic areas. PRT efforts are focused, whenever possible, on real-time case reviews to render immediate feedback to workers.

Targeted training support needs are identified monthly by eligibility operations by reviewing PRT review results. Training is given to teams and/or individual staff and is geared

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specifically toward problem areas based on a given team's or individual staff's performance. Training feedback is given to supervisors and managers as the staff's performance is followed up on to ensure progress has been made.

The efforts listed above will continue throughout the end of the performance year and are expected to generate continued improvement.

*Contact Person: Kevin Burt, Associate Director, 801-597-3907
Anticipated Correction Date: June 30, 2013*

12-34. **INCORRECT ELIGIBILITY DETERMINATION**

(Department of Health) (Department of Workforce Services)

CFDA Numbers and Titles: 1) **93.778 Title 19 Medical Assistance Program**
2) **93.778 Title 19 Medical Assistance Program – ARRA**
Federal Award Numbers: 1) **05-1205UT5MAP** 2) **05-1105UTARRA**
Questioned Costs: **\$-0-**
Pass-through Entity: N/A

We reviewed the case files for 60 Medicaid service expenditures at the Department of Health. The expenditures for these cases totaled \$703,037 and were taken from a total population of \$1,863,315,835. Of these case files, we noted 2 cases (3.3%) with eligibility determination errors.

- a. For one case, the caseworker did not include the client's monthly Veterans benefit when calculating income for eligibility even though the benefit was documented on both the application and the bank statements. Medicaid Policy 700 indicates that all income should be verified and included when calculating eligibility. This error did not result in an improper eligibility decision, so no costs were questioned. However, such errors could result in improper eligibility decisions.
- b. For one case, the household was put on the Family LIFC program for the months of May through July 2011; however, they only qualified for the Family 12-month transitional program because the household income exceeded the Family LIFC limits. Since the household was eligible for a different Medicaid program, we have not questioned any costs. However, such errors could result in improper eligibility decisions.

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The cause of these errors appears to be that caseworkers did not correctly determine eligibility as required by Medicaid policy, mainly due to human error or unfamiliarity with policy.

Although all Medicaid expenditures are processed at the Department of Health, eligibility and case file management for Medicaid is handled by DWS.

Recommendation:

We recommend that the Department of Health work with DWS to ensure that they follow established policies and procedures when determining eligibility for Medicaid Programs.

Department of Health's Response:

The Department of Health (Health) concurs with this finding and recommendation. As the state agency ultimately responsible for Medicaid, Health must ensure that eligibility for the program is accurately determined. Health has delegated Medicaid eligibility determination and case management to the Department of Workforce Services (DWS) through an operating agreement. State and federal rules require several different reviews to ensure that eligibility decisions are made correctly.

Health has reviewed the corrective action plan submitted by DWS on this finding and will work with DWS to implement the actions they have proposed. Health will continue to work with DWS to involve our policy specialists with the DWS program specialists to clearly interpret the policy manuals, review training materials for accuracy, and also meet with eligibility workers whenever possible to provide in person training. Health will continue to meet weekly with the eligibility system steering group to further enhance and strengthen the eligibility system (eREP). The Medicaid Quality Control Unit (MEQC) will continue to focus their review projects on error prone areas to shore up DWS' understanding of the policy and how it relates to specific cases.

Health will actively reinforce the corrective action plans as agreed upon between the agencies in the regular weekly meetings with DWS. As DWS perfects their "targeted training" approach, Health will continue its oversight responsibility to verify that the DWS staff are understanding the concepts by watching the various error rate measures for trends and providing appropriate and timely feedback to DWS. Health will work closely with DWS to develop and implement the new Affordable Care Act provisions by January 2014 and ensure that DWS eligibility staff understands the changes in eligibility that will occur.

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*Contact Person: Jeff Nelson, Bureau Director, Eligibility Policy, 801-538-6471
Anticipated Correction Date: Ongoing through June 2013*

DWS' Response:

We agree with the finding and recommendation. Currently, the Department is participating with the Utah Department of Health (DOH) in a data mining effort designed to look at problem areas as identified by various audits and internal case reviews. Training has been designed for staff to address the issues identified. New training modules based on income and specialized medical programs have been developed and will be delivered to Medicaid teams. Case specific examples will be used to train staff in problem areas. Supervisors as well as staff will participate in the training and will continue to have access to training materials and resources so ongoing training can be given regularly.

The data mining efforts between DWS and DOH also produced a list of system related issues that were causing errors on cases. For example, it became evident that staff was having difficulty recognizing whether or not they had LIFC or a Transitional Medicaid program open because transitional programs are a sub-category of the LIFC category. Programming has been completed to allow the sub-type or transitional program to display clearly on the Program Home Page in eREP. This makes it apparent to workers if they have chosen the incorrect program.

The Performance Review Team (PRT) conducts in-depth case reviews on every Medicaid worker each month. Particular areas of focus are applications, income, assets, Third Party Liability (TPL), and case reviews. Case reviews are conducted by a small number of specialized reviewers to bolster consistency and maintain a focus on problematic areas. PRT efforts are focused, whenever possible, on real-time case reviews to render immediate feedback to workers.

Targeted training support needs are identified monthly by eligibility operations by reviewing PRT review results. Training is given to teams and/or individual staff and is geared specifically toward problem areas based on a given team's or individual staff's performance. Training feedback is given to supervisors and managers as the staff's performance is followed up on to ensure progress has been made.

The efforts listed above will continue throughout the end of the performance year and are expected to generate continued improvement.

*Contact Person: Kevin Burt, Associate Director, 801-597-3907
Anticipated Correction Date: June 30, 2013*

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12-35. **THIRD PARTY LIABILITY INFORMATION NOT ADEQUATELY OBTAINED OR UPDATED**

(Department of Health) (Department of Workforce Services)

CFDA Numbers and Titles: 1) **93.778 Title 19 Medical Assistance Program**
2) **93.778 Title 19 Medical Assistance Program – ARRA**

Federal Award Numbers: 1) **05-1205UT5MAP** 2) **05-1105UTARRA**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We reviewed the case files for 60 Medicaid service payments at the Department of Health and noted an error related to Third Party Liability (TPL) for one (1.7%) of the cases. Medicaid Policy 225-1 states that Medicaid applicants must provide information about any possible third party insurance coverage. For one case, insurance coverage for the parents was properly documented, but the caseworker did not obtain or request additional TPL information from the family regarding possible insurance coverage of a newborn child. It was subsequently determined that the parents were trying to add the child to their insurance plan; however, due to an error at the insurance company, there was a delay in getting the coverage for the child. The additional insurance now covers the child back to the day she was born; consequently, a portion of the total federal costs associated with this case of \$236,244 can now be recovered from a third party. After our testwork, DWS referred these costs to the Office of Recovery Services for collection procedures; therefore, we have not questioned any costs associated with this case. This error was probably due to a caseworker oversight. Not properly obtaining potential TPL information could result in Medicaid overpayments.

Although all Medicaid expenditures are processed at the Department of Health, TPL determination and case file management for Medicaid is handled by DWS.

Recommendation:

We recommend that the Department of Health work with DWS to ensure that Medicaid caseworkers follow policies and procedures to report TPL information in a timely manner.

Department of Health's Response:

The Department of Health (Health) concurs with this finding and recommendation. As the state agency ultimately responsible for Medicaid, Health must ensure that eligibility for the program is accurately determined. Health has delegated Medicaid eligibility determination

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and case management to the Department of Workforce Services (DWS) through an operating agreement. State and federal rules require several different reviews to ensure that eligibility decisions are made correctly.

Health has reviewed the corrective action plan submitted by DWS on this finding and will work with DWS to implement the actions they have proposed. Health understands the cost of missing TPL on a case. Health has met with DWS and the eREP system to work towards a unified solution that helps ensure a single process can be developed and implemented that is agreeable and effective across all the stakeholders. Health focused an entire Medicaid Quality Control (MEQC) review on the TPL area and will do so again during the next fiscal year. Health will work to ensure that each responsible agency understands the impact of proper TPL collections, considers the impact of programming changes to the overall collection process, and work to review the process from start to finish. Each agency should continue to look for ways to make this process more efficient and correct.

*Contact Person: Jeff Nelson, Bureau Director, Eligibility Policy, 801-538-6471
Anticipated Correction Date: Ongoing through June 2013*

DWS' Response:

We agree with the finding and recommendation. The Performance Review Team (PRT) evaluates appropriate management of TPL information on every Medicaid and CHIP case review. The PRT evaluates whether or not TPL information was gathered, acted upon, and referred correctly. Errors are cited for failure to follow any of the prescribed TPL process. Immediate feedback is given to workers whenever possible so that any available TPL can be updated and referred.

Targeted support continues to be administered to workers and teams to increase not only an understanding of the process that must be followed, but of the importance of the TPL process from a cost perspective.

Formal training regarding the TPL process is maintained and available to teams on an ongoing basis.

System enhancements, including automation of the referral process, have been established in the myCase system to supplement the worker assisted TPL process and provide a failsafe for missed referrals.

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Continued education to workers and a further honing of the automated process are expected to sustain improvement in the accuracy of TPL information gathering and appropriate referrals.

*Contact Person: Kevin Burt, Associate Director, 801-597-3907
Anticipated Correction Date: June 30, 2013*

12-36. INTERNAL CONTROL WEAKNESSES AND NONCOMPLIANCE (Department of Workforce Services)

CFDA Numbers and Titles: 1) **93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund**
2) **93.713 Child Care and Development Block Grant – ARRA**
Federal Award Numbers: 1) **G-0901UTCCDF, G-1001UTCCDF, G-1101UTCCDF**
2) **G-0901UTCCD7**
Questioned Costs: 1) **\$1,149** 2) **\$18 = \$1,167**
Pass-through Entity: N/A

We tested benefit expenditures of the Child Care and Development Fund (CCDF) Cluster at DWS by selecting a sample of 60 Child Care benefit payments, totaling \$31,079, related to 60 cases, taken from a population of \$46,776,676. Of the 60 Child Care benefit payments tested, we questioned a total of \$1,167 (3.75% of the total sample benefit amount). We noted errors in 13 (21.67%) of the 60 sample cases tested.

a. Inadequate Verification of Providers' Charges and Child Care Need

For four cases, the caseworkers did not properly obtain, use, or verify the Child Care Subsidy Worksheet (DWS Form 980), which is completed by the provider, to ensure the accuracy of the providers' charges or the child care monthly need prior to authorizing the child care payment, as required by the DWS Child Care Eligibility Manual (Eligibility Manual) §330-3A. We noted the following errors:

- 1) For one case, the period covered by form 980 had expired and the caseworker ordered the next payment without obtaining a new form 980.
- 2) For one case, the provider had submitted an updated form 980 to include a child recently born, but the caseworker did not use it.

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- 3) For one case, the provider listed an amount on form 980 which showed a significant decrease from previous months' charges. The decrease was actually determined to be a typographical error subsequent to our audit; however, rather than verify whether the decreased amount listed on the form 980 was an error, the caseworker instead authorized the provider charge from the previous form 980.
- 4) For one case, the provider charge was entered incorrectly into the Electronic Resource Eligibility Product (eREP) system and the caseworker did not verify that the amount entered into the eREP system matched the amount on the form 980.

For each of these cases, either the errors resulted in underpayments to the participants, or DWS personnel contacted the providers subsequent to our audit and verified that the children were actually in care with the provider during the month and that the provider charge was correct. Therefore, we have not questioned any costs associated with these cases.

b. Incorrect Determination of Earned Income or Hours Worked

For eight cases, we were unable to reconcile the earned income and/or hours worked entered into the eREP system to the information documented in the case record. While reviewing the case records we noted the following reasons for the differences:

- 1) For two cases, the parents were not working during the benefit month selected and had no other participation that would qualify them for the monthly child care benefit. These errors resulted in overpayments of child care totaling \$1,167. We have questioned these costs.
- 2) For two cases, the caseworker did not apply the "best estimate" methodology correctly by including tips, incentive pay, and bonuses as income or narrating the case record to explain why they were excluded from income. Per the Eligibility Manual §450, the best estimate of income is based on the income that is expected to be received in each month of the eligibility period. The caseworker is to verify a minimum of the past 30 days earned income of an ongoing job, up to the date of application or the date the review is submitted. These errors did not affect the copay amount for these cases; therefore, we have not questioned any costs associated with these cases.
- 3) For one case, the eREP system calculated parent participation based on hours worked, as entered on eREP, but the caseworker calculated parent participation differently than the eREP system and did not narrate in the case record how the hours worked

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were prorated when calculating parent participation. The error resulted in an underpayment; therefore, we have not questioned any costs associated with this error.

- 4) For three cases, the caseworkers made clerical errors when summing income reported on self-employment forms or when entering earned income and hours worked on eREP. These errors did not affect the copay amount for these cases; therefore, we have not questioned any costs associated with these cases.

c. Child's Age Not Verified At Time of Benefit Determination

For one case, the child's age was not verified at the time of benefit determination. To be eligible for child care benefits, a child must be less than 13 years of age (42 USC 9858n(4)) or be under age 19 if under court supervision or incapable of self care (45 CFR 98.20(a)). When the age of the child is not verified, ineligible payments for child care could occur. We have not questioned any costs associated with this case since the child's age was verified subsequent to our audit and the child was determined to be eligible for child care benefits.

Recommendation:

We recommend that DWS strengthen their caseworkers' understanding of established policies and procedures to ensure that they are able to effectively administer the Child Care program. Specifically, DWS caseworkers should:

- a. **Obtain a current form 980 and verify the accuracy and completeness of data submitted by child care providers on form 980 prior to ordering child care payments.**
- b. **Review the accuracy and completeness of earned income and hours worked entered on the eREP system; apply "best estimate" methodology correctly by including tips, incentive pay, and bonuses as income or narrating the case record to explain why they were excluded from income; and narrate all other information pertinent to the calculation of earned income, hours worked, and parent participation.**
- c. **Verify the age of the children prior to issuing benefits to avoid paying child care benefits for an ineligible child.**

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DWS' Response:

We agree with the finding and recommendation. The Department has already taken the following steps to reduce future errors associated with the FY2012 audit results:

- a. Eligibility Services Division (ESD) Managers, Community Based Team (CBT) Supervisors, Child Care Program Specialists, and Performance Review Team (PRT) reviewers met on October 2, 2012 to discuss the audit findings. We reviewed the Child Care Subsidy Worksheet (Form 980) errors and stressed the importance of making sure the form is complete and the provider charge entered in eREP is correct for any month a subsidy payment is issued. The CBT Supervisors will review the audit findings at their next team meeting.*
- b. The Program Specialist Training unit is conducting and will continue to conduct Best Estimate training to ESD teams. The trainers review best estimate methodology and stress the importance of narrating what income was used and why. The CBT teams have either completed the training or will be scheduling it for their teams by November 1, 2012.*
- c. ESD Managers, CBT supervisors, Child Care Program Specialists, and PRT reviewers met on October 2, 2012 to discuss the audit findings. At this meeting we reviewed the importance of conducting an eFIND search on children at application or when adding a new child to the Child Care program. The CBT Supervisors will review the audit findings at their next team meeting.*

*Contact Person: Kevin Burt, Associate Director, 801-597-3907
Anticipated Correction Date: June 30, 2013*

12-37. **NONCOMPLIANCE WITH CHILD SUPPORT NON-COOPERATION REDUCTION IN BENEFIT REQUIREMENTS**
(Department of Workforce Services)

CFDA Number and Title: **93.558 Temporary Assistance for Needy Families**
Federal Award Numbers: **G-1002UTTANF, G-1102UTTANF, 1202UTTANF**
Questioned Costs: **\$663**
Pass-through Entity: N/A

DWS uses an official problem solving process to review participants' cooperation in establishing paternity, or in establishing, modifying, or enforcing a support order with respect

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to a child of the participant. The DWS Financial Eligibility Manual §305-4 requires Temporary Assistance for Needy Families (TANF) to be denied to persons who do not cooperate in the child support process. We selected a sample of 40 TANF cases from a population consisting of non-cooperation notices received by DWS from the Office of Recovery Services (ORS), Utah's IV-D agency. We tested the selected cases to ensure that DWS took appropriate action to deny TANF assistance to participants with the non-cooperation notices and noted that non-cooperation was never addressed for one case. The error occurred when the case was reassigned and the caseworker marked the non-cooperation task for the case on the Utah Workforce Case Management System (UWORKS) as having been completed prior to transferring the case to the new caseworker. Not addressing all participation issues during problem solving may lead to incorrect conclusions regarding the recipient's participation and may result in overpayments in benefits and questioned costs. We have questioned the benefits issued to the participant for the month in which problem solving could and should have taken place, totaling \$663.

Recommendation:

We recommend that DWS adequately train and supervise caseworkers to ensure that non-cooperation notices are appropriately addressed in a timely manner in order to comply with applicable regulations.

DWS' Response:

We agree with the recommendation. This issue was addressed and trained with the Employment Counselor/Supervisor of this specific finding on October 10, 2012. Also, training was completed in the TANF Functional Call on October 18, 2012 with all TANF Managers and Supervisors to review how ORS tasks in UWORKS are received and worked. They will then be required to share this information with their staff no later than October 31, 2012.

*Contact Persons: Karla Aguirre, Associate Director, 801-526-9724
Helen Thatcher, Program Manager, 801-526-4370*

Anticipated Correction Date: October 31, 2012

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12-38. ACF-204 REPORTING ERRORS

(Department of Workforce Services)

CFDA Number and Title: **93.558 Temporary Assistance for Needy Families**
Federal Award Numbers: **G-1002UTTANF, G-1102UTTANF, 1202UTTANF**
Questioned Costs: **\$-0-**
Pass-through Entity: N/A

While performing testwork on the TANF Form ACF-204 (the annual report on State Maintenance-of-Effort Programs), we noted that for 1 (12.5%) of the 8 programs described on the ACF-204, the amount of FY 1995 Expenditures (Line 11) did not agree to actual expenditures in FY 1995. This resulted in expenditures being overreported by \$614,503. This error was caused by a miscalculation by personnel preparing the report and the third-party consultant. Reports should be accurate and agree to underlying documentation in order to comply with federal regulations regarding the submission of this report. Failure to report according to federal regulations could result in loss of funds or require funds be repaid.

Recommendation:

We recommend that DWS establish controls to ensure reports are prepared accurately and agree to supporting documentation.

DWS' Response:

We agree with the finding that the ACF-204 report contained a miscalculation. This error has been corrected for the report in question. Additionally, DWS is in the process of reviewing controls and federal report validation processes to ensure federal revenues are reported accurately in the future. The broader review and the corresponding actions will take this particular report into consideration. As a result of this review, the new validation processes within the division will ensure that this error is not made again.

Contact Persons: John Talcott, Director of Administrative Support, 801-526-9402
Dan Schuring, Budget and Grant Manager, 801-526-4306

Anticipated Correction Date: October 31, 2012

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12-39. NONCOMPLIANCE WITH TREASURY-STATE AGREEMENT

(Department of Workforce Services)

CFDA Numbers and Titles:

- 1) **17.225 Unemployment Insurance (UI)**
- 2) **93.558 Temporary Assistance for Needy Families (TANF)**
93.575 Child Care and Development Block Grant (CCDF Cluster)
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (CCDF Cluster)

Federal Award Numbers: **various**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We tested weekly cash draws made by DWS and noted the following noncompliance with the Treasury-State Agreement:

- a. Draws for certain administrative costs that were made based on estimated expenditures were not reconciled to actual expenditures at the frequency required by the Treasury-State Agreement. DWS estimates the projected federal share of certain administrative expenditures quarterly for the CCDF Cluster, TANF, and UI, divides the estimate by 13 to arrive at a weekly estimate, and draws the estimated amount weekly. Once the DWS Cost Allocation is completed each quarter, the Treasury-State Agreement requires DWS to adjust each grant to bring draws into reconciliation with actual expenditures, returning or drawing funds as necessary. DWS only performed this reconciliation once for TANF, once for UI, and twice for the CCDF Cluster during State fiscal year 2012. The reconciliations resulted in reconciling draws for State fiscal year 2012 for the CCDF Cluster and TANF totaling \$5,664,254 and \$30,858,708, respectively, a portion of which is attributable to administrative costs. The UI reconciliation resulted in the need to return overdrawn funds for UI administrative costs, totaling \$1,630,510. The required reconciliations were not performed at the required frequency because the financial manager responsible for performing federal draws was occupied with other duties and responsibilities which took precedence over the required reconciliations.
- b. Two weekly draws for TANF and three weekly draws for both UI and the CCDF Cluster were made for amounts that were double the estimated weekly amount for the respective grant. The error occurred because the financial manager responsible for performing federal draws doubled the estimated weekly draw amount for one week for each grant to compensate for not drawing funds in the previous week. However, the doubled amount was not adjusted back to the estimated weekly amount and was also drawn in subsequent

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weeks, resulting in overdrawn funds totaling \$876,000, \$1,470,000, and \$553,663 for the CCDF Cluster, TANF, and UI, respectively. Overdrawn amounts for the CCDF Cluster were returned at the time the error was discovered, but overdrawn funds for TANF and UI were not returned for 6 weeks.

Not complying with the Treasury-State Agreement could result in lost interest revenue to the State or in an interest liability for the State.

Recommendation:

We recommend that DWS comply with the Treasury-State Agreement by:

- a. Performing required reconciliations quarterly and returning or drawing funds as necessary.**
- b. Drawing the estimated weekly amount for certain CCDF Cluster, TANF, and UI administrative expenditures on a weekly basis.**

We further recommend that DWS manage employees' workloads to ensure that each employee has time to perform all the responsibilities of their job in a timely fashion.

DWS' Response:

We agree with the finding and recommendation. Effective immediately, the Financial Manager for Revenue will perform a quarterly reconciliation to ensure federal funds are properly drawn or returned as necessary. We will also draw the estimated amounts for the CCDF Cluster, TANF, and UI administrative expenditures on a weekly basis.

The Financial Manager for Operational Accounting will review the quarterly reconciliations and draw activity using a tracking schedule to ensure draws have been made according to the Treasury-State Agreement. The Operational Accounting Manager will also review and approve the Cash Receipt entries posted in FINET for the weekly draws.

*Contact Persons: John Talcott, Director of Administrative Support, 801-526-9402
Mitch Romo, Accounting and Payroll Manager, 801-526-9221*

Anticipated Correction Date: October 31, 2012

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12-40. LIHEAP DOCUMENTATION AND ELIGIBILITY DETERMINATION ERRORS

(Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program (LIHEAP)**

Federal Award Numbers: **G-10B1UTLIEA, G-11B1UTLIEA, G-12B1UTLIEA**

Questioned Costs: **\$753**

Pass-through Entity: N/A

We reviewed case files for 60 LIHEAP households. The expenditures for these cases totaled \$18,832 and were taken from a total population of \$19,776,499. We noted 9 cases (15%) with some form of error, as described below, and we have questioned the overpayment of the LIHEAP benefits for 3 cases (5%), totaling \$753. For the 6 cases where costs were not questioned, control errors exist that could lead to questioned costs in other situations. Errors appear to result from inattention to the program policy.

a. For three households, caseworkers did not take proper action when names on utility bills did not match names of household members:

- For two households, the names on the applicants' utility bills did not match any of the household members. For one of these cases, the case editor noted the error prior to payment but approved the LIHEAP payment anyway. Thus, the LIHEAP payments were approved without fully determining eligibility per LIHEAP Policy Manual section 200.B (whether the household was vulnerable and whether another person with countable income should be included in the household). When we notified the respective subrecipients of the errors, they were unable to provide information regarding the person listed on the utility bill for one case. For the other case, they explained that the person listed on the utility was a parent who had opened the utilities for her child but never actually lived in the household; however, this raises questions of whether the household was vulnerable if another person was responsible for paying the bill. We have questioned the entire amount of LIHEAP payments for both cases, totaling \$670.
- For one household, both the name of the applicant and the name of a person not included in the household were listed on the utility bill. The case worker overlooked determining whether the second person on the utility bill should have been included in the household. The addition of a household member could affect the eligibility determination and calculation of the LIHEAP payment. When we notified the respective subrecipient of this error, the subrecipient contacted the household and

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determined that the second person on the utility bill was properly excluded from the household; therefore, we have not questioned costs for this error; however, such an error could result in questioned costs in other cases.

- b. For one household, the LIHEAP payment calculation for an application dated December 2, 2011 included medical deductions from October 2011. Per HEAT Policy Manual section 310.G, only medical deductions received in the month prior to the application month are eligible for deduction. Excluding these medical deductions from the calculation results in an overpayment of \$83. We have questioned this amount.
- c. For three households, case files contained errors related to income verifications:
 - For one household, the case file did not document whether an 18-year-old living in the household was in high school. Per HEAT Policy Manual section 310.E.1, if he was not in high school any income would need to be verified or a Zero Income Statement completed. When we notified the respective subrecipient of this error, they contacted the household and obtained a Zero Income Statement signed by the 18-year-old; therefore, we have not questioned costs for this error.
 - For one household, income was verified for the month of the HEAT application instead of the month prior to the HEAT application as required by HEAT Policy Manual section 310.A. In this case, it is unlikely that income for the prior month would be different enough that an overpayment exceeding the \$75 error limit defined in HEAT Policy Manual section 500.A would result. Additionally, the Zero Income Statement completed by one member of the household only restates that he made zero income and does not indicate how the household is able to meet its living expenses, as required by HEAT Policy Manual 320.L. In this case, it is unlikely that this error would result in an incorrect eligibility determination or an overpayment of HEAT benefits; therefore, we have not questioned costs for these errors.
 - For one household, the bank statement provided by the applicant to verify child support income and a deposited paycheck also included two ATM cash deposits totaling \$240. These deposits could represent earnings; thus, the case worker should have inquired about them, but did not. If the deposits did represent countable income, the result would be a \$57 overpayment. Since this amount is under the \$75 error limit defined in HEAT Policy Manual section 500.A., we have not questioned this cost.
- d. For two households, the case files do not document the young child target group (through either birth verification documents or narration of visible observation of the child's age) as

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required by HEAT Policy Manual section 330.3. We were able to find the birth certification for the child in each household by using another State system, so it appears each household was eligible for the \$35 young child target group credit. Therefore, we have not questioned costs for these errors.

Recommendation:

We recommend that DWS strengthen existing internal controls to ensure that LIHEAP eligibility determinations and assistance amount calculations are correct and comply with policy.

DWS' Response:

We agree with the finding and recommendation. The Department will address the audit findings with the Local HEAT Office Supervisors. Additional training will be provided to all HEAT workers during the fall training to cover the specific issues mentioned. We will review case files during monitoring for the specific issues mentioned in the findings.

*Contact Persons: Mike Glenn, Housing Programs Director, 801-526-4495
Sue Kolthoff, Program Director, 801-526-9303*

Anticipated Correction Date: November 30, 2012

12-41. FAILURE TO PROVIDE FEDERAL AWARD INFORMATION TO SUBRECIPIENTS

(Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program**

Federal Award Numbers: **G-12B1UTLIEA**

Questioned Costs: N/A

Pass-through Entity: N/A

For fiscal year 2012, we reviewed ten contracts with LIHEAP (Weatherization) grant subrecipients and noted that federal award information required to be identified to the subrecipients (i.e., CFDA title, award name and number, and name of federal awarding agency) was missing from each contract. Per the OMB A-133 Compliance Supplement, each pass-through entity is responsible for identifying the federal award information to the subrecipient at the time of the award. These errors occurred because controls over this requirement had not been fully implemented after we issued the same finding in our prior year

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audit. Failure to disclose federal award information to subrecipients could result in subrecipient noncompliance with grant requirements.

Recommendation:

We recommend that DWS implement controls to ensure that the required federal award information is included in all contracts with subrecipients.

DWS' Response:

We agree with the finding and recommendation. The missing CFDA title award information is missing on the FY11 open contracts and we are working to get those corrected. The staff has been trained and we have verified that the FY12 contracts have the correct information. The Weatherization team will correct the nine contracts from previous years that received LIHEAP funds and are still open and need correction.

*Contact Persons: Mike Glenn, Housing Programs Director, 801-526-4495
Sue Kolthoff, Program Director, 801-526-9303*

Anticipated Correction Date: November 30, 2012

12-42. LIHEAP FINANCIAL REPORTING ERROR

(Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program**

Federal Award Numbers: **G-10B1UTLIEA, G-11B1UTLIEA**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We tested the SF-425 annual reports for the year ended September 30, 2011 for the LIHEAP grants awarded in federal fiscal years 2010 and 2011. The amount reported as “Federal share of unliquidated obligations” on line 10.f. is incorrect on both SF-425 reports. For each report, the amount reported on line 10.f. is the calculated difference between the total federal funds authorized (line 10.d.) and the federal share of expenditures (line 10.f.); it does not represent obligations that have actually been incurred. Per the instructions for the SF-425 report, line 10.f, “Unliquidated obligations on a cash basis are obligations incurred, but not yet paid.” This error occurred due to a misunderstanding of reporting requirements. This error causes

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errors in other lines of the reports and ultimately provides inaccurate program information to the Federal Government.

Recommendation:

We recommend that DWS: 1) use more diligence in understanding reporting requirements to ensure the SF-425 reports are prepared in accordance with applicable federal instructions, and 2) submit corrected reports.

DWS' Response:

We agree with the finding and recommendation. Under previous Housing and Community Development Division (HCDD) financial management at the Department of Community and Culture, much of the financial reporting was assigned to program staff. This practice will be changed and all financial reporting will now be generated by financial staff and reviewed by financial managers to ensure accuracy and the adequacy of supporting documentation supplementing the reports. We will also submit corrected reports.

*Contact Persons: John Talcott, Director of Administrative Support, 801-526-9402
Kimberley Schmeling, HCDD Budget & Accounting Director, 801-526-9504*

Anticipated Correction Date: June 30, 2013

12-43. LIHEAP SPECIAL REPORTING ERROR

(Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)

CFDA Number and Title: **93.568 Low-Income Home Energy Assistance Program**

Federal Award Number: **G-11B1UTLIEA**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We noted the following reporting errors for the LIHEAP Household Report for federal fiscal year 2011 (Long Format):

- The reported number of households *applying* for crisis payments is 5,559, while the reported number of households *assisted* with crisis payments is 5,851, a difference of 292 households. As a rule, the number of assisted should not be greater than the number applying. A lag can exist between when a person applies for crisis payments

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and when they are assisted, which could skew reported numbers. However, crisis payments are meant to serve an immediate need, and a lag for 292 households is unusual. This could be due to incorrect data provided by subrecipients.

- The reported number of crisis households with a young child is 605, which is 1,200 households fewer than the 1,805 households recorded in the source documentation. This appears to be a typographical error.
- The reported unduplicated number of households with any target group is 487, which is 2,518 households fewer than the 3,005 households recorded in the source documentation. Also, the reported unduplicated number of households with any target group is less than the unduplicated number for the target group with the fewest households, which is not possible. It is unclear what caused these errors.

Reporting errors such as these result in inaccurate program information being included in the annual LIHEAP report to Congress.

Recommendation:

We recommend that DWS: 1) ensure that subrecipients are reporting correct data, 2) strengthen internal controls to ensure proper accumulation of data, and 3) submit corrected reports.

DWS' Response:

We agree with the finding and recommendation. We have received additional clarification of the information that is required to be reported on the form. Adjustments have been made in our procedures to account for this information going forward and staff are working with the cognizant federal agency to ensure that all reporting issues have been resolved.

*Contact Persons: Mike Glenn, Housing Programs Director, 801-526-4495
Sue Kolthoff, Program Director, 801-526-9303*

Anticipated Correction Date: December 31, 2012

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12-44. **ERRORS IN PERFORMANCE EVALUATION REPORTS**

(Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)

CFDA Number and Title: **14.228 Community Development Block Grant (NSP)**

Federal Award Number: **B-10-DC-49-0001**

Questioned Costs: **\$-0-**

Pass-through Entity: N/A

We reviewed Part I of the June 30, 2011 Performance Evaluation Report for the B-10-DC-49-0001 award submitted on September 30, 2011 through IDIS using the new methodology recommended in Notice CPD-11-03 from the Department of Housing and Urban Development (HUD). We noted the following errors:

- The “Total Obligated to Subrecipients” (Line B.11) of \$7,439,765 was \$173,705 more than the supporting documentation.
- The \$4,169,563 “Total Drawn” reported on Line C.40 was \$634,691 less than the amount in the State’s general ledger (FINET) system.
- Because the new methodology creates a point-in-time report, amounts on the report inaccurately reflected IDIS activity through the date the report was produced instead of including only data as of the June 30, 2011 reporting period.

Creating the Performance Evaluation Reports in IDIS requires more data elements than the previous methodology. As a result, personnel who prepared the report were confused as to what should be included in the report, and thus, inaccurate information was reported to HUD. Continuation of similar errors may affect future funding and/or sanctions by HUD.

Recommendation:

We recommend that DWS work with its HUD contact to better understand the data elements that should be included in the Performance Evaluation Reports under the new methodology.

DWS’ Response:

The Department agrees with the finding and recommendation. Corrections have been made to report the data elements that should be included in the Performance Evaluation reports using the new methodology per HUD Notice CPD-11-03. This was completed for the new reports in September 2012.

Contact Person: Keith Heaton, Program Director, 801-526-9461

Correction Date: September 30, 2012

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12-45. ELIGIBILITY ERRORS AND UNALLOWABLE ACTIVITIES

(Department of Workforce Services)

CFDA Numbers and Titles: 1) **17.258 WIA Adult Program**
2) **17.259 WIA Youth Activities**
3) **17.278 WIA Dislocated Workers**
4) **17.260 WIA Dislocated Workers – ARRA**

Federal Award Numbers: **various**

Questioned Costs: 1) **\$4,138** 2) **\$9,872** 3) **\$4,049** 4) **\$1,554** = **\$19,613**

Pass-through Entity: N/A

We tested benefit expenditures of the Workforce Investment Act (WIA) Cluster at DWS by selecting a sample of 40 benefit payments, totaling \$18,147, related to 39 cases, taken from a total population of \$7,091,425. Of the 40 WIA benefit payments tested, we questioned a total of \$2,324 (12.8%). We also questioned additional payments made in State fiscal years 2011 and 2012 related to the sample cases tested totaling \$4,248 and \$13,041, respectively. The total of all costs questioned is \$19,613. We noted at least one error in 23 (59%) of the 39 sample cases tested; certain cases had multiple errors.

a. Participant Not Eligible

For one case, the WIA Youth participant's income exceeded the applicable WIA income guidelines. The error occurred because the employment counselor did not collect acceptable documents at eligibility to verify the participant's income in accordance with the DWS Workforce Development Division Policy Manual (WDDPM) §720-5. We have questioned all costs associated with this case, totaling \$2,467.

b. Nonexistent or Undocumented Reconciliation to Determine Allowable WIA Activities

For four cases, employment counselors did not obtain and reconcile receipts for certain purchases made by WIA Youth and WIA Adult participants using WIA funds to verify allowable expenditures in accordance with WDDPM §910. As a result, we were unable to determine whether WIA funds were expended for allowable activities. The required reconciliations did not occur due to the employment counselor not having sufficient time to complete required reconciliations for one case, the employment counselor not being aware of proper reconciliation procedures for one case, and lack of follow-through by the employment counselor for two cases. We have questioned the amount of WIA funds expended for which receipts were not obtained and reconciled by employment counselors, totaling \$1,590. In addition, for certain purchases made by participants in one of the four

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cases and one additional case, the employment counselors obtained and reconciled receipts but did not properly document the reconciliation in the case record.

c. Lack of Compliance with Military Selective Service Act

For one case, the employment counselor did not follow the procedures in WDDPM §720-4 to ensure that a WIA Youth participant who reached the age of 18 while enrolled in WIA submitted to Selective Service registration. If a male WIA Youth participant turns 18 after eligibility is determined, he must submit to Selective Service registration as a condition of ongoing eligibility per federal regulations (29 USC 2939(h)). The error occurred due to employment counselor oversight. The employment counselor should have set a task to withhold benefits until such time that the participant's registration occurred. Not verifying that the participant had complied with the Military Selective Service Act resulted in unallowable costs being charged to the grant. We have questioned WIA funds expended on behalf of this participant during the period of time after the participant reached the age of 18 and before he submitted to Selective Service registration, totaling \$1,936.

d. Verification of Other Grant Assistance Not Obtained or Properly Considered

- For one case, the employment counselor did not obtain documentation to verify whether the WIA Dislocated Worker participant was eligible for a Federal Pell Grant and/or assistance from other sources or programs to pay the cost of training and/or supportive services. A participant enrolling in a training program that qualifies for financial aid must apply for the aid annually and must provide information regarding financial aid status upon determination (WDDPM §605-4). The participant's financial aid status was not verified due to employment counselor oversight. We determined that the participant received a Federal Pell Grant and a Federal Supplemental Educational Opportunity Grant (FSEOG) and did not require WIA assistance in addition to these grants.
- For one case, the employment counselor verified the WIA Dislocated Worker participant's Federal Pell Grant and FSEOG, but did not properly consider them when performing a financial needs assessment for the participant. As a result, the amount of WIA Dislocated Worker funds obligated for the case by the employment counselor does not appear to be justified since the participant did not require WIA assistance in addition to their Pell Grant and FSEOG. Employment counselors must obligate to the nearest dollar determined to meet the training and/or supportive services need and should not obligate the maximum amount available if the training or supportive

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services need does not justify that amount. The case record should justify the need (WDDPM §900(A)(3)).

For both cases, not obtaining evidence of participants' financial aid status and not considering all available assistance from other sources or programs resulted in the employment counselors using WIA Dislocated Worker funds to pay for training, training-related services and supplies, and supportive services for the participants when Federal Pell Grant and FSEOG funds should have been used for these expenses. Because WIA funds should supplement, not supplant, other sources of training and supportive services funds (29 USC §§2864(d)(4)(B), 2864(e)(2)), we have questioned all WIA funds expended for these cases that supplanted other sources of funds, totaling \$5,603.

e. Inappropriate Use of WIA Supportive Services Funds

For one case, the employment counselor authorized WIA supportive services funds for a WIA Youth participant in the amount of \$823 even though documentation obtained by the employment counselor showed that the supportive services expense was \$300. The amount expended which exceeded the documented expense, totaling \$523, is not considered a reasonable supportive services expense (WDDPM §1205), and we have questioned this cost. The employment counselor is no longer employed by DWS, so it is not known why this error occurred.

f. Excessive or Unallowable Youth Incentive Bonuses

For three cases, employment counselors issued numerous incentive bonuses to WIA Youth participants in amounts which exceeded dollar amounts authorized in the DWS Youth Incentive Plan (WDDPM §805-6(A)(1)(e) and §900(C)(1)). In addition, for one of the three cases, an incentive bonus was issued for an activity that is not included in the Youth Incentive Plan; for another one of the three cases, an incentive bonus was issued to a participant twice for the same activity. The errors occurred due to employment counselors not using the current Youth Incentive Plan when negotiating participants' incentive bonuses and due to employment counselor error. We have questioned the WIA Youth funds expended on behalf of these participants for youth incentive bonuses issued in excess of the authorized dollar amounts, totaling \$960.

For two additional cases, employment counselors issued incentive bonuses to WIA Youth participants to recognize achievement which occurred prior to the participants' enrollment in WIA. Because the participants' achievement occurred prior to their enrollment in WIA, issuing incentive bonuses to recognize that achievement is not considered an appropriate

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use of WIA funds. We have questioned the WIA Youth funds expended for these incentive bonuses, totaling \$150.

g. Participants' Unmet Need Not Established

For one case, the case record indicates that the WIA Youth participant's monthly household resources exceeded monthly expenses by amounts ranging from \$80 to \$162 per month for three months. Thus, it appears that the participant's financial resources would have covered the participant's expenses for supportive services authorized by the employment counselor during those months. Employment counselors are to calculate participants' resources and expenses and use supportive services funds to cover unmet supportive services need (WDDPM §1250(A)(3)). Funding is not to be provided when support is available through other resources, including personal or family financial resources. The employment counselor documented in the case record that there was unmet supportive services need for each of three months, but the financial needs assessment for the months does not appear to support that conclusion. Therefore, we have questioned the WIA Youth funds expended for this participant's supportive services during these months, totaling \$240.

h. Internship Placement Error, On-the-Job Training Extended Beyond End Date, and Incorrect Hours Paid for Internships

For one case, the employment counselor placed a WIA Adult participant in a paid internship in an unapproved occupation and without ensuring that the participant met the required placement criteria. At the time the placement occurred, WIA Adult paid internships were appropriate for participants who (1) had recently completed training in a specific occupation but needed work experience in that field to obtain employment, (2) needed an externship, or (3) were laid off workers, changing careers and needing experience in a new field in order to obtain employment (WDDPM §1105-5(B)(3)(a)-(c)). In addition, WIA Adult paid internship participants were to be placed in an occupation on the DWS Training Occupations Listing (WDDPM §1105-5(B)(5)). The employment counselor is no longer employed by DWS, so it is not known why this error occurred. We have questioned all WIA funds expended for this participant's paid internship, totaling \$3,987.

For one case, the WIA Dislocated Worker participant's On-the-Job Training (OJT) extended beyond the original end date documented on the OJT Position Agreement (DWS Form 341PAID). The error occurred because the employment counselor obligated the maximum amount of funds available instead of the funds needed for the negotiated length

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of the OJT. As a result, the employment counselor did not realize that the OJT should have ended. Employment counselors must obligate to the nearest dollar determined to meet the training and/or supportive services need and should not obligate the maximum amount available if the training or supportive services need does not justify that amount (WDDPM §900(A)(3)). We have not questioned any costs for this error because the participant's OJT did not exceed the maximum time limit for OJTs and the total amount paid on behalf of the participant did not exceed the maximum obligation amount per exposure.

For three cases, employment counselors erred when calculating the number of hours participated in WIA Youth and WIA Adult paid internships. The miscalculations resulted in an aggregate underpayment to a WIA Youth participant and a WIA Adult participant totaling \$76 and an overpayment to a WIA Youth participant totaling \$29. We have questioned the amount overpaid.

i. Inadequate Monitoring

Employment counselors are required to perform activities to confirm, substantiate, document, and/or verify participant success at least once every 4 months (WDDPM §820); however, for one case, there were two occasions where 5 months elapsed between monitoring activities. In both instances, the employment counselor determined that the WIA Youth participant was meeting performance expectations of the negotiated activities in their employment plan even though documentation in the case record indicates that the participant was not satisfactorily participating. In addition, the participant's employment plan was not renegotiated as a result of the most recent monitoring. Monitoring ensures that the participant is pursuing the activities agreed upon and narrated in their employment plan (WDDPM §820). If the participant does not meet the performance expectations of a negotiated service activity or task in the employment plan, employment counselors should address the lack of performance with the participant and close the failed service/activity or renegotiate the employment plan in a timely manner (WDDPM §835-7). Untimely monitoring together with not taking appropriate action when participants fail to meet performance expectations could result in unallowable costs being charged to the grant. Since no WIA funds appear to have been spent for unallowable activities for this participant, we did not question any costs associated with the inadequate monitoring.

j. Required Supervisory Approval Not Obtained

For one case, the employment counselor modified an existing paid internship for a WIA Youth participant, extending the internship beyond 3 months without obtaining

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supervisory approval for the extension as required by WDDPM §1105-2(B)(3)(a). Paid internships are generally limited to 3 months; however, supervisors may approve internships for up to 3 additional months. For one additional case, the employment counselor established two paid internships for the WIA Youth participant for periods of 6 months each. Supervisory approval to extend the internships beyond the 3-month limit was not obtained at the time the paid internships were initially established because the employment counselor assumed that WIA Youth could participate in paid internships until the maximum funding limit was reached. We have questioned all WIA funds expended for these paid internships beyond the 3 month limit, totaling \$2,128.

For two cases, employment counselors authorized certain issuances of WIA supportive services and training-related services and supplies as “cash-unrestricted” without obtaining the supervisory approval required by WDDPM §910. The errors occurred due to employment counselor oversight. We did not question any costs associated with these errors because WIA funds expended on behalf of the participants were questioned in part b. above.

k. Service Priority Level Determination Error

For one case, the employment counselor indicated that the WIA Adult participant did not have transferrable skills but did not narrate in the case record how this determination was made (WDDPM §755). The “no transferrable skills” criterion is one of the components used by DWS to calculate the service priority level for participants (29 USC 2864(d)(4)(E) and 20 CFR 663.600). Not properly documenting the transferrable skills determination in the case record could result in incorrect calculation of participants’ service priority level. The employment counselor is no longer employed by DWS, so it is not known why this error occurred. We did not question any costs associated with this error because all WIA funds expended on behalf of this participant were questioned in part h. above.

l. Missing, Incomplete, or Late Forms

For ten cases, certain forms required by DWS policy were either missing, lacked required data, or were not completed timely. The errors occurred for various reasons including employment counselors not being aware of applicable policy and employment counselor oversight. Since the completion of these forms did not affect eligibility of WIA participants, we did not question any costs associated with these errors.

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Recommendation:

We recommend that management at DWS (1) emphasize to employment counselors the importance of compliance with all applicable laws, compliance requirements, and established policies and procedures, (2) ensure employment counselors have the training and resources necessary to effectively administer the WIA programs, and (3) increase employment counselors' accountability through effective supervision and review.

DWS' Response:

We agree with the finding and recommendation. Workforce Development Division (WDD) created the Program Review Team (PRT) to conduct program and compliance reviews. PRT began with full reviews starting in the late spring of 2011. PRT is completing compliance reviews of 10 percent of total caseloads when eligibility is determined. Review of the cases allows errors to be caught and corrected before funding is issued. Spot compliance reviews are also completed. The results of the audit were shared with PRT with emphasis on the findings and we will evaluate our review tool to better align with audit issues. WDD anticipates that the compliance reviews will continue to reduce the amount of findings in the future.

In addition, the findings were shared with each Service Area Director, Supervisor, and the impacted Employment Counselor. Each Employment Counselor received training and created a corrective action plan to ensure that the error was not repeated. State staff conducted training via Secure Meeting with the ESA's during September and October and will follow up with office visits in the spring.

On December 3, 2012 the Department will implement the UCard for Employment and Training programs, including the WIA programs. The reconciliation process will be changed with this implementation. The case management system, UWORKS, will be programmed to support reconciliation more efficiently. Employment Counselors will be able to identify non-acceptable expenditures. These non-acceptable expenditures will be deducted from future authorizations for the customer. Also, if expenditures are not reconciled after 90 days, UWORKS will prevent future authorizations. We anticipate this will reduce errors due to reconciliation issues in the future.

In addition, UWORKS will be programmed (effective January 2013) to send a task to the Employment Counselor 30 days before a male customer turns 18 to remind the Employment Counselor the person needs to register with Selective Service. Once the Selective Service is complete, the Employment Counselor will enter a note and the Selective Service registration

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number. If this information is not entered 30 days after the person turns 18, UWORKS will prevent future authorizations until the registration is complete.

Lastly, the state program team is working with the ESA's on their youth incentive plans to create more consistency statewide.

*Contact Persons: Karla Aguirre, Associate Director, 801-526-9724
Rachael Stewart, Program Manager, 801-526-9257*

Anticipated Correction Date: June 30, 2013

12-46. **NONCOMPLIANCE WITH TREASURY-STATE AGREEMENT**
(Department of Workforce Services)

CFDA Numbers and Titles:

- 1) **17.225 Unemployment Insurance (UI)**
- 2) **93.558 Temporary Assistance for Needy Families (TANF)**
93.575 Child Care and Development Block Grant (CCDF Cluster)
93.596 Child Care Mandatory and Matching Funds of the Child Care and Development Fund (CCDF Cluster)

Federal Award Numbers: **various**

Questioned Costs: **\$-0-**

Pass-through Entity: **N/A**

NOTE: This finding is presented in detail in Finding No. 12-39 in Part III of this section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

SOCIAL SECURITY ADMINISTRATION

12-47. **INACCURATE PREPARATION OF THE SSA-4513**

(Utah State Office of Rehabilitation)

CFDA Number and Title: **96.001 Social Security–Disability Insurance**

Federal Award Number: **04-1104UTDI00**

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah State Office of Rehabilitation (USOR) did not accurately prepare the SSA-4513 report for the quarter ended September 30, 2011 for the Social Security Disability Insurance grant. The inaccuracies included the following:

- Multiple clerical errors which resulted in an overstatement of disbursements by approximately \$250,951.
- The use of the wrong indirect cost rate and associated understatement of indirect costs of approximately \$63,313.
- The misclassification of \$2,885 of disbursements.
- Unsupported amounts reported as unliquidated obligations.

In addition, the USOR submitted the report at least one day after the required 30 days.

These errors are believed to be the result of 1) insufficient knowledge and training for the employee regarding grant requirements and report instructions, and 2) inadequate monitoring of the employee's work to ensure proper preparation of the report. As draws are not based on the SSA-4513 report but rather on separate information pulled from the accounting records, there are no questioned costs related to these overstatements.

Reports should agree to underlying documentation and should be submitted within the appropriate timeframe. Submitting inaccurate and/or late reports to the Social Security Administration may affect future grant award amounts.

Recommendation:

We recommend that the USOR adequately train employees on grant requirements including report instructions, adequately monitor reports prior to submission to ensure accurate reporting, and submit the reports within the required timeframe.

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SOCIAL SECURITY ADMINISTRATION

USOR's Response:

The USOR agrees with this finding. The reporting errors from last year occurred when information was entered incorrectly by a new financial analyst, and was not detected in a cursory review by the supervisor. The supervisor has more closely monitored and reviewed the new analyst's work during this past year to ensure data is correct prior to submission. The USOR staff involved has been working with the Federal Social Security Administration (SSA) to receive additional training. The federal fiscal year 2013 current quarterly SSA-4513 reports have been submitted and reviewed by the SSA, in accordance with the SSA deadlines. The USOR believes that the training provided to our new employee and the review process of the supervisor will ensure accurate and complete reports, submitted by the SSA deadline in the future.

Contact Person: Jeff Young, USOR Financial Manager, 801-538-7633

Correction Date: June 30, 2012

12-48. INACCURATE REPORTING OF HOURS ON THE SSA-4514

(Utah State Office of Rehabilitation)

CFDA Number and Title: **96.001 Social Security–Disability Insurance**

Federal Award Numbers: **04-1104UTDI00 and 04-1204UTDI00**

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah Division of Disability Determination Services (DDS) inaccurately reported the number of hours paid on the SSA-4514 for the quarter ended September 30, 2011. We noted the following errors:

- The intermediary electronic preparation workbook had several inaccurate formulas which improperly excluded several employees and several categories of time for certain employees, which ultimately excluded those hours from the final report.
- Errors in the preparation workbook resulted in an understatement of 1 hour of On Duty Hours for one individual and an understatement of 9 hours of On Duty Hours but an overstatement of 9 hours of Holiday and Leave Hours for another individual (total hours were correct for this individual, but the breakout between On Duty and Holiday and Leave was incorrect). This is believed to be a result of these individuals changing their timesheets after the responsible DDS employee input their hours into the preparation workbook.

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SOCIAL SECURITY ADMINISTRATION

- One of 31 lines did not add correctly, thus making the total number of hours reported incorrect.

These errors resulted in an understatement of On Duty Hours, Holiday and Leave Hours, and Overtime Hours totaling 1,083 hours (2.51% of total hours as they should have been reported, which is the equivalent to 2.08 full-time employees).

Also, we noted that the USOR (lead agency for the DDS) sent the report to appropriate authorities at least one day after the required 30 days.

Reports should agree to underlying documentation and should be submitted within the appropriate timeframe. Submitting inaccurate and/or late reports to the Social Security Administration may affect future grant award amounts.

Recommendation:

We recommend that the DDS review all formulas within the preparation workbook to ensure all employees and all categories of time are appropriately included, and that the DDS prepare the report only after timesheets are finalized. We also recommend that the USOR submit the report within the required 30 days.

USOR's Response:

The payroll data compiled in a spreadsheet by Disability Determination Services (DDS) administrative personnel contained a formula error. This error was not detected by the DDS financial analyst when compiled and submitted with the final SSA-4513 report. DDS personnel are developing a review and reconciliation process which will be performed by the DDS financial analyst to compare payroll data submitted by DDS administration to the State payroll system. This review process will ensure that worksheets submitted are accurate and complete. Additionally, all categories of time are included in the workbook, and the report is prepared only after the time sheets are finalized so that there cannot be late changes that lead to inaccurate data. Further, the USOR financial analyst who submitted the final reports was new in fiscal year 2012. Training has occurred and deadlines for submissions are being monitored more closely by the analyst and the supervisor to ensure that reports are submitted timely.

Contact Person: Jeff Young, USOR Financial Manager, 801-538-7633
Anticipated Correction Date: December 31, 2012

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

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SOCIAL SECURITY ADMINISTRATION

12-49. **UNTIMELY COMPLETION OF PERSONNEL ACTIVITY REPORTS (PARs)**
(Utah State Office of Education and Utah State Office of Rehabilitation)

CFDA Numbers and Titles: 1) **84.048 Career and Technical Education**
2) **96.001 Social Security–Disability Insurance**
3) **84.126 Vocational Rehabilitation Basic Title I Section**

Federal Award Numbers: 1) **V048A080044A**
2) **04-1104UTDI00 and 04-1204UTDI00**
3) **H126A110066**

Questioned Costs: N/A
Pass-through Entity: N/A

NOTE: This finding is presented in detail in Finding No. 12-10 in Part III of this section.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

U.S. DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY ADMINISTRATION

12-50. UNTIMELY WEEKLY CERTIFIED PAYROLLS

(Utah Department of Transportation)

CFDA Number and Title: **20.205 Highway Planning and Construction – ARRA**

Federal Award Number: **various**

Questioned Costs: N/A

Pass-through Entity: N/A

The Utah Department of Transportation (UDOT) does not have adequate procedures to ensure that contractors and subcontractors submit certified payrolls “within seven days after the regular payment date of the payroll period,” as required by federal regulations (29 CFR 3.4(a)). The certified payrolls are comprised of a copy of the payroll and a statement of compliance with the Davis-Bacon Act. We reviewed 64 certified payrolls (32 submitted by the prime contractors and 32 submitted by subcontractors) for 36 construction projects and noted that 59 (92.2%) were received on time and 5 (7.8%) were received late. In 2009, UDOT implemented a new electronic payroll tracking system that has dramatically decreased the percentage of late submissions. However, the timely submission of weekly certified payrolls is necessary for UDOT to monitor compliance with Davis-Bacon Act requirements.

Recommendation:

We recommend that UDOT continue their efforts to monitor and evaluate the timely submission of certified payrolls.

UDOT's Response:

While we agree with the results of the audit, 92.2% of the sample payrolls were submitted on time, and 7.8% were late, we disagree with the unreasonable expectation that 100% of the payrolls will be submitted on time. UDOT currently has contracts with over 700 prime and subcontractors with new ones added continuously. For many subcontractors, this might be the very first, only and perhaps last federal aid project in a large portfolio of other non-federal projects. It is unreasonable to assume that all of them will always submit their payrolls on time every week.

Nevertheless, in addition to the work already done to improve contractor compliance, the department is promulgating a disincentive program within future federal aid projects to reinforce the importance of prime and subcontractor compliance. We expect next construction season equal or better compliance, and adequate information to continue to monitor compliance.

Contact Person: Stacy Frandsen, Business Analyst, 801-965-4344

Anticipated Correction Date: December 31, 2012

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

GENERAL FINDINGS

12-51. **WORKING CAPITAL RESERVES IN EXCESS OF FEDERAL GUIDELINES**
(Department of Administrative Services)

Federal Agency: **Various**
CFDA Number and Title: **Various**
Federal Award Numbers: **Various**
Questioned Costs: **Undeterminable**
Pass-through Entity: N/A

Three divisions within the Department of Administrative Services held working capital reserves in excess of federal guidelines at June 30, 2012 in their internal service funds. Federal guidelines in OMB Circular A-87 allow a reasonable working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes in each internal service fund. This error was caused by the inherent difficulty of accurately estimating expenses when setting rates. As of June 30, 2012, the total excess working capital reserves were as follows:

<u>Division</u>	<u>Number of Days in Reserve</u>	<u>Excess Amount in Reserve</u>
Risk Management	117	\$ 3,851,812
General Services	670	\$ 2,553,865
Finance	180	\$ 62,211

Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

We recommend that each of the respective divisions within the Department of Administrative Services eliminate excess working capital reserves to comply with federal guidelines.

Department of Administrative Services' Response:

We agree that these three divisions hold working capital reserves in excess of federal guidelines in their internal service funds. We are working with the Federal Division of Cost Allocation (DCA) in resolving these excess reserves as follows:

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GENERAL FINDINGS

- *The excess reserves in the Division of Purchasing and General Services are from the accumulation of cooperative contract administrative fees, and from reserves in the State surplus property program. To reduce the excess, the Division will lower its administrative fees on cooperative contracts and also expects increased costs as it carries out its strategy for that program. The Division will also refund the federal portion of any excess retained earnings in the cooperative contracts fund as they have done in the past. The Division also plans to refund the federal portion of the excess retained earnings in the State surplus property fund.*
- *The excess retained earnings in the Division of Risk Management are primarily in the workers compensation program. The Division of Risk Management has been charging its customers a lower rate for workers compensation coverage than the rates charged to the Division by the third party insurer in order to bring the balance down. The Division will continue charging lower rates through fiscal year 2014 to help eliminate the excess balance. In addition, the Division is planning to refund the federal portion of excess retained earnings in its workers compensation, liability, and administration funds. Working capital reserves are projected to be in compliance with federal guidelines by the end of fiscal year 2013.*
- *The Division of Finance is planning to increase expenses for auditing and oversight of the purchasing card program. In addition, we will continue to issue rebates to the various state departments as necessary to ensure excess retained earnings are eliminated by the end of fiscal year 2013.*

Contact Person: John Reidhead, Chief Financial Officer, DAS Finance, 801-538-3095
Anticipated Correction Date: June 30, 2013

12-52. **WORKING CAPITAL RESERVES IN EXCESS OF FEDERAL GUIDELINES**
(Department of Human Resource Management)

Federal Agency: **Various**
CFDA Number and Title: **Various**
Federal Award Number: **Various**
Questioned Costs: **Undeterminable**
Pass-through Entity: N/A

The Department of Human Resource Management held working capital reserves in excess of federal guidelines at June 30, 2012 in their Human Resource Management Internal Service Fund. Federal guidelines found in OMB Circular A-87 allow “a working capital reserve as

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GENERAL FINDINGS

part of retained earnings of up to 60 days cash expenses for normal operating purposes.” As of June 30, 2012, the Department of Human Resource Management had 80 days of working capital reserves which amounted to an excess of \$625,932. Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

We recommend that the Department of Human Resource Management eliminate excess working capital reserves to comply with federal guidelines.

DHRM’s Response:

We anticipate DHRM’s allowable reserves to be in compliance with federal guidelines OMB Circular A-87 by the end of fiscal year 2013.

*Contact Person: Jeff Herring, Executive Director, DHRM, 801-538-3403
Anticipated Correction Date: June 30, 2013*

12-53. **WORKING CAPITAL RESERVES IN EXCESS OF FEDERAL GUIDELINES** (Public Employees Health Program)

Federal Agency: **Various**
CFDA Number and Title: **Various**
Federal Award Numbers: **Various**
Questioned Costs: **Undeterminable**
Pass-through Entity: N/A

The Public Employees Health Program (PEHP) held working capital reserves in excess of federal guidelines at June 30, 2012. Federal guidelines in OMB Circular A-87 allow “A working capital reserve as part of retained earnings of up to 60 days cash expenses for normal operating purposes.” As of June 30, 2012, excess working capital reserves for programs at PEHP were as follows:

<u>Program</u>	<u># of Days in Reserve</u>	<u>Excess Amount in Reserve</u>
State Medical	97	\$ 28,449,764
Death Benefit	510	\$ 5,607,828
Medicare Supplement	251	\$ 14,097,043

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

GENERAL FINDINGS

Excess reserves could result in a federal liability since federal programs share an interest in the reserves.

Recommendation:

We recommend that PEHP eliminate excess working capital reserves to comply with federal guidelines.

PEHP's Response:

We agree the contingency reserves in the State Medical, Death Benefit, and Medicare Supplement programs are in excess of federal guidelines. We have already put the following steps into place to reduce the excess:

State Medical – PEHP and the Utah Legislature plan to reduce the excess reserves in this program by underfunding premium increases that will be needed to cover projected costs for the next 2 to 3 fiscal years. This underfunding reduced premiums by 2 percent for fiscal year 2012. PEHP and the Utah Legislature currently plan to not change these premiums for fiscal year 2013. PEHP estimates the excess reserves in this program will be eliminated by the end of fiscal year 2014.

Death Benefit – PEHP plans on reducing the excess reserves in this program by reducing premiums. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2015.

Medicare Supplement – PEHP will reduce excess reserves in this program by either reducing rates or declaring a premium holiday (experience dividend). PEHP estimates the excess reserves currently in this program will be eliminated by the end of fiscal year 2014.

Contact Person: Steve Broadhead, Finance Manager, 801-366-7558

Anticipated Correction Date: June 30, 2015

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

GENERAL FINDINGS

12-54. **COST ALLOCATION ERRORS**
(Department of Workforce Services)

Federal Agency: **Various**
CFDA Number and Title: **Various**
Federal Award Numbers: **Various**
Questioned Costs: N/A
Pass-through Entity: N/A

While performing testwork on the 1st quarter Cost Allocation, we noted that hours from portions of two different pay periods were not included in the calculations for the Cost Allocation due to an error in the organization of data queried from the State's Data Warehouse. A DWS financial manager normally queries the data, organizes it, and verifies that all hours are included in the final total to be used in the Cost Allocation. However, the financial manager responsible for organizing the data was occupied with other responsibilities near year-end close out and chose not to perform this check of the data in lieu of other responsibilities. Thus, the error was not detected and the 1st quarter Cost Allocation was issued with those errors uncorrected. Because this error affects only the allocation of expenditures in the Cost Allocation, it did not cause a misstatement of total expenditures. However, it caused over allocations of up to \$112,202 and underallocations of up to \$145,154 to the individual federal programs' expenditures for the quarter. DWS subsequently made corrections for these errors in the 4th quarter Cost Allocation, which corrects the yearly totals because the Cost Allocation is cumulative. Errors in the Cost Allocation can result in improper expenditures reported for each of the programs at DWS and improper funds drawn.

Recommendation:

We recommend that DWS manage employees' workloads to ensure that each employee has time to perform all the responsibilities of their job accurately and in a timely manner.

DWS' Response:

We agree with the finding that payroll hours for the first quarter were not correct in the first quarter cost allocation calculations. The recommendation is that employee workload issues should be managed to prevent the error in the future. After review, the root cause appears to be a lack of independent review for the payroll data included in the cost allocation process. The division is in the process of reviewing the process and will identify and assign the independent validation step to someone in conjunction with the next quarterly cost allocation.

SCHEDULE OF FINDINGS AND QUESTIONED COSTS

PART III. FINDINGS AND QUESTIONED COSTS – MAJOR FEDERAL PROGRAMS AUDIT

GENERAL FINDINGS

*Contact Persons: John Talcott, Director of Administrative Support, 801-526-9402
Dan Schuring, Budget and Grant Manager, 801-526-4306
Mitch Romo, Accounting and Payroll Manager, 801-526-9221*

Anticipated Correction Date: January 31, 2013

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**SUMMARY SCHEDULE OF
PRIOR AUDIT FINDINGS**

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF AGRICULTURE

Year	Finding	Status of Finding and Questioned Costs
2011	11-09	<p>Finding: <u>Internal Control Weaknesses and Noncompliance with Allowable Costs and Cost Principle Requirements</u> (Department of Agriculture and Food)</p> <p>Questioned Costs: \$ 596 The questioned costs affected four grants. One grant is still active and \$112.64 of the questioned costs will be reduced on the next draw and federal financial report for the quarter ended September 30, 2012. The granting agency for the three closed grants has been contacted and we are waiting for their decision on the remaining \$483.36 of questioned costs.</p> <p>Status: Implemented. Finding initially occurred in fiscal year 2009.</p> <p>Office supplies are no longer charged to federal grants. The dollar amounts are very small and the effort to track and allocate the usage of office supplies is not cost effective.</p> <p>Completion Date: October 5, 2012 Contact Person: Stephen Ogilvie, Director Administrative Services, 801-538-7110</p>
2011	11-10	<p>Finding: <u>Reporting Errors</u> (Department of Agriculture and Food)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-11	<p>Finding: <u>Noncompliance with Treasury-State Agreement</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2011	11-12	<p>Finding: <u>Noncompliance with Treasury-State Agreement for American Recovery and Reinvestment Act Draws</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF AGRICULTURE (continued)

Year	Finding	Status of Finding and Questioned Costs
2011	11-13	<p>Finding: <u>Inadequate Controls Over WIC Vouchers</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-14	<p>Finding: <u>Noncompliance with Transparency Act Reporting Requirements</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF EDUCATION

Year	Finding	Status of Finding and Questioned Costs
2010	10-27	<p>Finding: <u>Inadequate Internal Control Over Purchase Cards</u> (Southern Utah University)</p> <p>Questioned Costs: \$ 43 The costs were allowable by the grant, and therefore Southern Utah University has not been requested to repay the questioned costs. Southern Utah University has continued to monitor the newly implemented internal control procedures over purchasing cards.</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-15	<p>Finding: <u>Failure to Notify Borrowers of Loan Sales</u> (Utah Higher Education Assistance Authority)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-16	<p>Finding: <u>Errors in Special Allowance Payments Billed at the 9.5% Minimum Return Rate</u> (Utah Higher Education Assistance Authority)</p> <p>Questioned Costs: \$ 174 Corrected billings resolved the questioned costs of \$174. UHEAA’s external student loan servicer filed a corrected LaRS billing for the quarter ended December 31, 2011.</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2009.</p>
2011	11-17	<p>Finding: <u>Potential Noncompliance with Special Allowance Payments Billed at the 9.5% Minimum Return Rate</u> (Utah Higher Education Assistance Authority)</p> <p>Status: Pending – final resolution not yet determined. Utah Higher Education Assistance Authority contacted the Department of Education (ED) in December 2011 to confirm that the loans in question are eligible to receive a 9.5 percent special allowance payment. Follow-up communications continued through February 2012. As of June 30, 2012, ED has not disqualified the loans in question for the 9.5 percent special allowance payment. All loans in question were subject to a disqualifying event on September 28, 2011, and have not been billed at the 9.5 percent special allowance rate since the quarter ended September 30, 2011.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF EDUCATION (continued)

Year	Finding	Status of Finding and Questioned Costs
2011	11-18	<p>Finding: <u>Questioned Transfer of Funds</u> (Utah Higher Education Assistance Authority)</p> <p>Status: Pending – final resolution not yet determined. Utah Higher Education Assistance Authority has been willing to monitor and consider any clarification provided by the Department of Education (ED) concerning the transfer in question. As of June 30, 2012, no clarification has been provided by ED.</p>
2011	11-19	<p>Finding: <u>Inadequate Monitoring of LEA Reimbursement Requests</u> (Utah State Office of Education)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-20	<p>Finding: <u>Inaccurate Federal 1512 Reporting</u> (Utah State Office of Education)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-21	<p>Finding: <u>Noncompliance with Requirements for Access to Federal Funds for New or Significantly Expanded Charter Schools</u> (Utah State Office of Education)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-22	<p>Finding: <u>Noncompliance with Payroll Documentation Requirements and Errors in Payroll Coding</u> (Utah State Office of Education)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-10.</p>
2011	11-23	<p>Finding: <u>Overdraw of Federal Funds</u> (Utah State Office of Education)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF EDUCATION (continued)

Year	Finding	Status of Finding and Questioned Costs
2011	11-24	<p>Finding: <u>Failure to Monitor Grant Requirements for Administrative Costs</u> (Utah State Office of Education)</p> <p>Questioned Costs: \$ 19,059 Questioned costs of \$19,059 were repaid April 13, 2012, to the Office of Elementary and Secondary Education, U.S. Department of Education.</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-25	<p>Finding: <u>Inaccurate SF-269 and RSA-2 Reports</u> (Utah State Office of Rehabilitation)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-26	<p>Finding: <u>Inadequate Internal Controls Over and Noncompliance With Davis-Bacon Act Requirements</u> (Utah State Office of Rehabilitation)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-11.</p>
2011	11-27	<p>Finding: <u>Inaccurate Line Items in the FISAP Report</u> (Utah Valley University)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-21.</p> <p>Action: The Perkins loan data for the FISAP will be provided by the Collections Office earlier than in past years. The Collections Office and Financial Aid and Scholarships Office will meet and go over each line item before the final submittal of the FISAP. The Collections Office will sign off on the numbers they are providing to the Financial Aid and Scholarships Office and will also provide the detail behind the numbers provided.</p> <p>SEOG and Work Study reporting errors have been corrected.</p> <p>Completion Date: September 30, 2013 Contact Person: Trish Howard, Director Financial Aid and Scholarships, 801-863-6746</p>
2011	11-40	<p>Finding: <u>Internal Control Weakness and Noncompliance with Maintenance of Effort</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF ENERGY

Year	Finding	Status of Finding and Questioned Costs
2011	11-28	<p>Finding: <u>Inadequate Controls over Standards for Weatherization Materials</u> (Department of Community and Culture)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-29	<p>Finding: <u>Weakness in Weatherization Subrecipient Monitoring</u> (Department of Community and Culture)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-30	<p>Finding: <u>Noncompliance with Reporting Requirements</u> (Department of Natural Resources – program administered by the Governor’s Office of Energy Development and the Department of Environmental Quality beginning July 1, 2011)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-26.</p>
2011	11-31	<p>Finding: <u>Inadequate Review of Invoices</u> (Department of Natural Resources – program administered by the Governor’s Office of Energy Development and the Department of Environmental Quality beginning July 1, 2011)</p> <p>Questioned Costs: \$ 210 The questioned costs of \$210 for the remaining portion of the adjustment to correct the overpayment to the State Division of Facilities Construction and Management were credited back to the State Energy Program on May 25, 2011.</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Year	Finding	Status of Finding and Questioned Costs
2010	10-46	<p>Finding: <u>Internal Control Weaknesses and Noncompliance</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$ 1,784 The Department of Workforce Services has not been requested to repay the questioned costs.</p> <p>Status: Partially implemented. Repeated in fiscal year 2011, see finding 11-44. Finding initially occurred in fiscal year 2007.</p>
2010	10-49	<p>Finding: <u>Noncompliance with Child Support Non-Cooperation Reduction in Benefit Requirements</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$ 2,304 The Department of Workforce Services has not been requested to repay the questioned costs.</p> <p>Status: Partially implemented. Repeated in fiscal year 2011, see finding 11-45.</p>
2011	11-32	<p>Finding: <u>Inadequate Controls over LIHEAP Financial Reports</u> (Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-42.</p>
2011	11-33	<p>Finding: <u>LIHEAP Calculation Errors and Inadequate Documentation</u> (Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)</p> <p>Questioned Costs: \$ 38 The Department of Community and Culture has not been requested to repay the questioned costs.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-40.</p>
2011	11-34	<p>Finding: <u>Failure to Provide Federal Award Information to Subrecipients</u> (Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-41.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
2011	11-35	<p>Finding: <u>Internal Control Weaknesses, Noncompliance, and Inadequate Documentation</u> (Department of Health) (Department of Workforce Services)</p> <p>Questioned Costs: \$ 15,772 Questioned costs of \$15,772 were repaid February 17, 2012 and reported on CMS 21 for the quarter ending 12/31/2011.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-33. Finding initially occurred in fiscal year 2002.</p>
2011	11-36	<p>Finding: <u>Incorrect Eligibility Determination and Inadequate Documentation of Eligibility</u> (Department of Health) (Department of Workforce Services)</p> <p>Questioned Costs: \$ 3,518 Part of the \$3,518 in questioned costs was based upon estimated ARRA grant FMAP rates. The actual ARRA grant FMAP rates were applied to determine the actual questioned cost repayment of \$3,410. The \$3,410 was repaid February 17, 2012, and reported on CMS 64 for the quarter ending 12/31/2011.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-34. Finding initially occurred in fiscal year 2004.</p>
2011	11-37	<p>Finding: <u>Third Party Liability Information Not Adequately Obtained or Updated</u> (Department of Health) (Department of Workforce Services)</p> <p>Questioned Costs: \$ 227,398 Part of the \$227,398 in questioned costs was based upon estimated ARRA grant FMAP rates. The actual ARRA grant FMAP rates were applied to determine the actual questioned cost repayment of \$224,931. The \$224,931 was repaid February 17, 2012, and reported on CMS 64 for the quarter ending 12/31/2011.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-35. Finding initially occurred in fiscal year 2005.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
2011	11-38	<p>Finding: <u>Noncompliance with Treasury-State Agreement</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-39	<p>Finding: <u>Noncompliance with Treasury-State Agreement for American Recovery and Reinvestment Act Draws</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-41	<p>Finding: <u>Lack of Controls over Overpayments and Receipts</u> (Department of Health)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2011.</p>
2011	11-42	<p>Finding: <u>Internal Control Weakness and Noncompliance with Eligibility Requirements (Foster Care)</u> (Department of Human Services)</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-43	<p>Finding: <u>Internal Control Weaknesses and Noncompliance (Child Care)</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$ 2,822 The Administration for Children and Families (ACF) decided not to pursue the non-monetary questioned costs of \$2,822 per their management decision letter dated May 31, 2012. The State is taking appropriate actions, including referral to the Payment Error Prevention/Overpayment Unit (PEP) for investigation, calculation, and collection.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-36. Finding initially occurred in fiscal year 1996.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (continued)

Year	Finding	Status of Finding and Questioned Costs
2011	11-44	<p>Finding: <u>Internal Control Weaknesses and Noncompliance (TANF)</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$ 548 The questioned costs of \$548 were not sustained in the Administration for Children and Families' management decision letter dated May 17, 2012.</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2007.</p>
2011	11-45	<p>Finding: <u>Noncompliance with Child Support Non-Cooperation Reduction in Benefit Requirements</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$ 1,664 The questioned costs of \$1,664 were not sustained in the Administration for Children and Families' management decision letter dated May 17, 2012.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-37. Finding initially occurred in fiscal year 2010.</p>
2011	11-46	<p>Finding: <u>ACF-199/ACF-209 Reporting Errors</u> (Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2008.</p>
2011	11-47	<p>Finding: <u>ACF-204 Reporting Errors</u> (Department of Workforce Services)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-38. Finding initially occurred in fiscal year 2010.</p>
2011	11-48	<p>Finding: <u>ARRA Expenditures Overreported</u> (Department of Workforce Services)</p> <p>Status: Implemented – corrective action taken.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Year	Finding	Status of Finding and Questioned Costs
2010	10-51	<p>Finding: <u>Noncompliance with Matching and Earmarking Requirements</u> (Department of Community and Culture)</p> <p>Questioned Costs: \$119,466 The Department of Community and Culture has not been requested by HUD to repay the questioned costs.</p> <p>Status: Partially implemented. Repeated in fiscal year 2011, see finding 11-50.</p>
2011	11-49	<p>Finding: <u>National Stabilization Program Errors</u> (Department of Community and Culture)</p> <p>Questioned Costs: \$ 14,360 The Department of Community and Culture has not been requested to repay the questioned costs.</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2011	11-50	<p>Finding: <u>Noncompliance with Matching Requirements</u> (Department of Community and Culture)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2011	11-51	<p>Finding: <u>Errors in Preparation of the Section 3 Report</u> (Department of Community and Culture)</p> <p>Status: Implemented – corrective action taken. Finding initially occurred in fiscal year 2010.</p>
2011	11-52	<p>Finding: <u>Performance Evaluation Report Errors</u> (Department of Community and Culture – program administered by the Department of Workforce Services beginning July 1, 2012)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-44. Finding initially occurred in fiscal year 2010.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF LABOR

Year	Finding	Status of Finding and Questioned Costs
2011	11-53	<p>Finding: <u>Internal Control Weaknesses and Noncompliance (Unemployment Insurance)</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$1,065 The questioned costs of \$1,065 were deemed a no-fault overpayment and considered allowable per the final determination letter dated April 4, 2012, from the Department of Labor, Employment and Training Administration.</p> <p>Status: Implemented – corrective action taken.</p>
2011	11-54	<p>Finding: <u>Internal Control Weaknesses and Noncompliance (WIA Programs)</u> (Department of Workforce Services)</p> <p>Questioned Costs: \$29,137 The questioned costs of \$29,137 were repaid on April 26, 2012, to the U.S. Department of Labor per the final determination letter dated April 4, 2012.</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-45. Finding initially occurred in fiscal year 2000.</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

U.S. DEPARTMENT OF TRANSPORTATION, FEDERAL HIGHWAY ADMINISTRATION

Year	Finding	Status of Finding and Questioned Costs
2011	11-55	<p>Finding: <u>Untimely Weekly Certified Payrolls</u> (Utah Department of Transportation)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-50. Finding initially occurred in fiscal year 2005.</p> <p>Action: UDOT has developed a new upgrade to the electronic certified payroll system with an import feature that will allow the contractors to export data from their payroll program directly to the UDOT certified payroll system. This will reduce errors that are currently associated with the manual entry of this data. This development is now in the mid-testing phase and is scheduled to be in place by August 31, 2012.</p> <p>To increase compliance, UDOT is going to charge a disincentive to the prime contractor when certified payrolls are not entered in a timely manner as identified by federal regulation. It is the prime contractor's responsibility to ensure compliance of prompt entry of certified payroll of the subcontractors as well as his own employees. This disincentive will be automatically generated from the Project Development Business System (PDBS) to the prime contractor when failure to comply with certified payroll is acknowledged by the Electronic Payroll System (EPS). The two systems will work together to ensure disincentives are assessed. This is scheduled to be in place by August 31, 2012.</p> <p>Completion Date: August 31, 2012 Contact Person: Rob Wight, State Construction Engineer, 801-965-4869</p>

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

GENERAL FINDINGS

Year	Finding	Status of Finding and Questioned Costs																																										
2011	11-56	<p>Finding: <u>Working Capital Reserves in Excess of Federal Guidelines</u> (Department of Administrative Services, Internal Service Funds)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-51. Finding initially occurred in fiscal year 2003 for Risk Management, in 2011 for the other ISF's.</p> <p>Action: The Division of Risk Management will refund the federal portion of the excess reserves in the Workers Compensation Fund. The Administration and Liability funds are projected to be in compliance with federal guidelines by the end of fiscal year 2013.</p> <p>The Division of Purchasing and General Services plans on refunding the federal portion of the excess reserves in the Cooperative Contract Management and State Surplus Property funds.</p> <p>The Division of Finance issued rebates to the various state departments from the Purchasing Card fund excess reserves and will continue to issue rebates as necessary. The Division will be refunding the federal portion of these rebates.</p> <div style="text-align: center; margin-top: 20px;"> <p>Internal Service Funds Excess Working Capital Reserves as of June 30</p> <table style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 20%; text-align: right; border-bottom: 1px solid black;">2011</th> <th style="width: 20%; text-align: right; border-bottom: 1px solid black;">2012</th> </tr> </thead> <tbody> <tr> <td>Division of Risk Management</td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">Administration</td> <td style="text-align: right;">\$ —</td> <td style="text-align: right;">\$ 194,184</td> </tr> <tr> <td style="padding-left: 20px;">Auto</td> <td style="text-align: right;">112,654</td> <td style="text-align: right;">—</td> </tr> <tr> <td style="padding-left: 20px;">Liability</td> <td style="text-align: right;">185,810</td> <td style="text-align: right;">926,409</td> </tr> <tr> <td style="padding-left: 20px;">Owner Controlled Insurance</td> <td style="text-align: right;">413,220</td> <td style="text-align: right;">—</td> </tr> <tr> <td style="padding-left: 20px;">Workers Compensation</td> <td style="text-align: right;">3,097,561</td> <td style="text-align: right;">2,731,219</td> </tr> <tr> <td>Division of Fleet Operations</td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">Fuel Network</td> <td style="text-align: right;">\$ 1,517,351</td> <td style="text-align: right;">\$ —</td> </tr> <tr> <td>Division of Purchasing & General Services</td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">Cooperative Contract Management ..</td> <td style="text-align: right;">\$ 988,620</td> <td style="text-align: right;">\$ 2,350,939</td> </tr> <tr> <td style="padding-left: 20px;">State Surplus Property</td> <td style="text-align: right;">—</td> <td style="text-align: right;">202,926</td> </tr> <tr> <td>Division of Finance</td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">Purchasing Card</td> <td style="text-align: right;">\$ 105,041</td> <td style="text-align: right;">\$ 62,211</td> </tr> </tbody> </table> </div> <p style="margin-top: 10px;">Completion Date: June 30, 2013 Contact Person: John Reidhead, Chief Financial Officer, DAS Finance, 801-538-3095</p>		2011	2012	Division of Risk Management			Administration	\$ —	\$ 194,184	Auto	112,654	—	Liability	185,810	926,409	Owner Controlled Insurance	413,220	—	Workers Compensation	3,097,561	2,731,219	Division of Fleet Operations			Fuel Network	\$ 1,517,351	\$ —	Division of Purchasing & General Services			Cooperative Contract Management ..	\$ 988,620	\$ 2,350,939	State Surplus Property	—	202,926	Division of Finance			Purchasing Card	\$ 105,041	\$ 62,211
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SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

GENERAL FINDINGS (continued)

Year	Finding	Status of Finding and Questioned Costs												
2011	11-57	<p>Finding: <u>Working Capital Reserves in Excess of Federal Guidelines</u> (Department of Human Resource Management)</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-52. Finding initially occurred in fiscal year 2011.</p> <p>Action: The Department of Human Resource Management projects that this fund will be in compliance with federal guidelines by the end of fiscal year 2013.</p> <p style="text-align: center;">Department of Human Resource Management Excess Working Capital Reserves as of June 30</p> <table style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 20%; text-align: center; border-bottom: 1px solid black;">2011</th> <th style="width: 20%; text-align: center; border-bottom: 1px solid black;">2012</th> </tr> </thead> <tbody> <tr> <td style="text-align: right;">Department of Human Resource Management ...</td> <td style="text-align: right;">\$ 1,462,571</td> <td style="text-align: right;">\$ 625,932</td> </tr> </tbody> </table> <p>Completion Date: June 30, 2013 Contact Person: Jeff Herring, Executive Director, DHRM, 801-538-3403</p>		2011	2012	Department of Human Resource Management ...	\$ 1,462,571	\$ 625,932						
	2011	2012												
Department of Human Resource Management ...	\$ 1,462,571	\$ 625,932												
2011	11-58	<p>Finding: <u>Working Capital Reserves in Excess of Federal Guidelines</u> (Public Employees Health Program (PEHP))</p> <p>Status: Partially implemented. Repeated in fiscal year 2012, see finding 12-53. Finding initially occurred in fiscal year 1997.</p> <p>Action: State Medical - PEHP and the Utah Legislature plan to reduce the excess reserves in this program by underfunding premium increases that will be needed to cover projected costs for the next 2 to 3 fiscal years. This underfunding reduced premiums by 2 percent for fiscal year 2012. PEHP and the Utah Legislature currently plan to not change these premiums for fiscal year 2013. PEHP estimates the excess reserves in this program will be eliminated by the end of fiscal year 2014.</p> <p>Death Benefit - PEHP plans on reducing the excess reserves in this program by reducing premiums. PEHP estimates the excess reserves will be eliminated by the end of fiscal year 2016.</p> <p>Medicare Supplement - PEHP will reduce excess reserves in this program by either reducing rates or declaring a premium holiday (experience dividend). PEHP estimates the excess reserves currently in this program will be eliminated by the end of fiscal year 2014.</p> <p style="text-align: center;">Public Employees Health Program Excess Working Capital Reserves as of June 30</p> <table style="margin-left: auto; margin-right: auto; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;"></th> <th style="width: 20%; text-align: center; border-bottom: 1px solid black;">2011</th> <th style="width: 20%; text-align: center; border-bottom: 1px solid black;">2012</th> </tr> </thead> <tbody> <tr> <td style="text-align: right;">Death Benefit</td> <td style="text-align: right;">\$ 3,217,490</td> <td style="text-align: right;">\$ 5,607,828</td> </tr> <tr> <td style="text-align: right;">Medicare Supplement</td> <td style="text-align: right;">9,167,999</td> <td style="text-align: right;">14,097,043</td> </tr> <tr> <td style="text-align: right;">State Medical</td> <td style="text-align: right;">38,443,095</td> <td style="text-align: right;">28,449,764</td> </tr> </tbody> </table> <p>Completion Date: June 30, 2016 Contact Person: Steve Broadhead, Finance Director, 801-366-7558</p>		2011	2012	Death Benefit	\$ 3,217,490	\$ 5,607,828	Medicare Supplement	9,167,999	14,097,043	State Medical	38,443,095	28,449,764
	2011	2012												
Death Benefit	\$ 3,217,490	\$ 5,607,828												
Medicare Supplement	9,167,999	14,097,043												
State Medical	38,443,095	28,449,764												

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
FOR YEARS PRIOR TO THE YEAR ENDED JUNE 30, 2012

GENERAL FINDINGS (continued)

2011	11-59	Finding: <u>Inadequate Internal Controls over SEFA Preparation</u> (Department of Workforce Services) Status: Implemented – corrective action taken.
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